

# **Release and Formation of Oxidation Related Aldehydes during Wine Oxidation**

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1 **ABSTRACT**

2 Twenty-four Spanish wines were subjected to five consecutive cycles of air saturation at  
3 25°C. Free and bound forms of carbonyls were measured in the initial samples and after  
4 each saturation. Non-oxidized commercial wines contain important and sensory relevant  
5 amounts of oxidation-related carbonyls under the form of odorless bound forms. Models  
6 relating the contents in total aldehydes to the wine chemical composition suggest that  
7 fermentation can be a major origin for Strecker aldehydes: methional,  
8 phenylacetaldehyde, isobutyraldehyde, 2-methylbutanal and isovaleraldehyde. Bound  
9 forms are further cleaved releasing free aldehydes during the first steps of wine  
10 oxidation, as a consequence of equilibrium shifts caused by the depletion of SO<sub>2</sub>. At  
11 low levels of free SO<sub>2</sub>, *de novo* formation and aldehyde degradation are both observed.  
12 The relative importance of these phenomena depends on both the aldehyde and the  
13 wine. Models relating aldehyde formation rates to wine chemical composition, suggest  
14 that amino acids are in most cases the most important precursors for *de novo* formation.

15 **KEYWORDS**

16 Methional, phenylacetaldehyde, sulfur dioxide, Strecker aldehydes, bound forms.

17

## 18 INTRODUCTION

19 It is undeniable that some oxidation during wine making and aging is required in order  
20 to reach wine optimum quality.<sup>1</sup> Positive effects of controlled oxidation are the decrease  
21 of wine astringency<sup>2</sup> and the stabilization of wine color.<sup>3, 4</sup> However, oxidation can also  
22 lead to major negative modifications in wine composition and sensory properties, such  
23 as the development of yellow and brown colors<sup>5</sup> and wine aroma deterioration.<sup>1, 6</sup>  
24 Oxidative spoilage of wine aroma comprises the loss of citric and fresh aromas by  
25 reaction between polyfunctional mercaptans and quinones formed in the oxidation<sup>7, 8</sup>  
26 and the development of powerful oxidation related odorants such as phenylacetaldehyde  
27 (honeylike)<sup>9</sup> and methional (boiled potato odor).<sup>10</sup> At low concentrations these  
28 aldehydes may add to the complexity of a wine, but at higher levels, they are  
29 responsible for the loss of freshness<sup>11</sup> and for the development of specific oxidation-  
30 related off-odors.<sup>12</sup> In those wines in which polyfunctional mercaptans are not key  
31 aroma compounds, the formation of these aldehydes is the main cause of wine aroma  
32 deterioration.

33 On the other hand, carbonyls in general, and aldehydes in particular, are highly reactive  
34 molecules. They are able to react to wine polyphenols<sup>13</sup> and they can also form strong  
35 reversible intermolecular interactions with many molecules such as SO<sub>2</sub>, amino acids  
36 and proteins and other chemical species.<sup>14 - 16</sup> The adducts that wine carbonyls form  
37 with SO<sub>2</sub> (chemically  $\alpha$ -hydroxyalkylsulfonates), may play a particularly outstanding  
38 role on the development of oxidation related-off odors in wines. Their existence would  
39 imply in fact that wine may contain a pool of powerful oxidation related odorants under  
40 the form of non-volatile and hence non-odorous complexes. At least theoretically, such  
41 a pool could release back into the wine the free odorants, as SO<sub>2</sub> disappears by

42 oxidation or by reaction with other wine components. This possibility has been recently  
43 suggested when the aldehyde formation rates of wines exposed to different levels of  
44 oxygen were found to be strongly correlated to the wine levels in combined SO<sub>2</sub>.<sup>6</sup>  
45 Previous observations about the strong differences in volatility of wine aldehydes<sup>17</sup>  
46 would be also consistent with the relevance of their bound forms. The documented  
47 existence of those adducts<sup>18, 19</sup> and the likely reversibility of the equilibrium, makes  
48 that, without the ability to discern free from bound forms, it is not possible to make a  
49 correct diagnose about the nature of the problem. The observed increments of aldehydes  
50 during wine bottle storage might be the simple consequence of the release of bound  
51 forms once SO<sub>2</sub> is depleted<sup>20 - 23</sup> – in this case bound forms should decrease -, but they  
52 could also be formed by direct oxidation of precursors – in this case total forms should  
53 increase -. Preventive and remedial actions would be completely different in each case.

54 Recently, an analytical procedure specifically designed to measure free forms of  
55 aldehydes and to estimate bound forms has been developed and validated.<sup>19</sup> Such a  
56 procedure will be herein used in order to get more precise insights into the chemical  
57 processes involved in the development of oxidation-related aldehydes during wine  
58 oxidation. Specific goals of the present research are: 1) to assess the presence of bound  
59 forms of aldehydes in non-oxidized commercial wines; 2) to assess which changes in  
60 levels of free forms of aldehydes should be attributed to release from adducts and which  
61 ones to *de novo* formation or to other chemical processes; and 3), to obtain clues about  
62 the potential origin of both, adducts and of aldehydes formed *de novo*.

63

## 64 MATERIALS AND METHODS

### 65 Chemicals

66 Ethanol, dichloromethane and methanol were supplied by Merck (Darmstadt,  
67 Germany), tartaric acid 99%, glycerol 99.5%, 1,2-propanediol 99.5% and sodium  
68 metabisulfite 97% were from Panreac (Barcelona, Spain), acetonitrile and sodium  
69 hydroxide 99% were from Scharlau (Barcelona, Spain). Water was purified in a Milli-Q  
70 system from Millipore (Bedford, Germany). Chemicals used for the analytical  
71 characterization were analytical grade and were supplied by Aldrich (Madrid, Spain),  
72 Fluka (Madrid, Spain), Chem Service (West Chester, PA, USA) and Firmenich  
73 (Switzerland). Purity of chemical standards is over 95% in all cases and most of them  
74 are over 99%. Specific details can be obtained from method references<sup>19, 24 - 35</sup>.

### 75 Analytical Characterization

76 Analysis carried out in the original wines and in sample taken after each one of the  
77 saturation cycles included absorbance at 280, 420, 520 and 620 nm, free and total sulfur  
78 dioxide, free carbonyls and free acetaldehyde. Exhaustive analyses performed at the  
79 beginning of the experiment included total carbonyls, pH, metal cations, different  
80 aldehydes precursors such as amino acids or alcohols, trolox equivalent antioxidant  
81 capacity (TEAC) and Folin-Ciocalteu, polyphenols (21 anthocyanins, 12  
82 hydroxycinnamic acids, 9 benzoic acids, *trans* and *cis*-aconitic acids, ellagic acid, 2  
83 stilbenes, 8 flavanols, 21 flavonols, 3 proanthocyanidins, average polymerization degree  
84 and other parameters of polymeric polyphenols), protein precipitable proanthocyanidins  
85 and polymeric pigments.

86 The quantitative determination of free sulfur dioxide was carried out by direct GC-MS  
87 analysis of the headspace in equilibrium over the acidified wine sample. HS-GC-MS

88 analyses were performed using a GCMS-QP2010 from Shimadzu (Kyoto, Japan) with a  
89 DB-WAX (30 m x 0.25 mm i.d. x 0.25  $\mu$ m film thickness) column from J&W Scientific  
90 (Agilent Technologies, Santa Clara, CA, USA). 4.5 mL of wine were transferred to a 10  
91 mL standard headspace vial, to which 20  $\mu$ L of 2-chloroethanol was added as internal  
92 standard, capped, and further acidified with 500  $\mu$ L of orthophosphoric acid (85%) just  
93 before the analysis. Samples were incubated at 40°C for 15 minutes and 400  $\mu$ L of the  
94 headspace were injected in a split/splitless injector at 200°C in split mode with a 1:4  
95 split ratio. Linear velocity was kept at 44.2 cm/s. The temperature program was 50 °C  
96 for 4 min, then raised at 50°C/min to 220°C keeping this temperature for 5 min. The  
97 mass spectrometer was used in single ion monitoring (SIM) mode. Sulfur dioxide  
98 (retention time (tr) 1.870 min) was monitored at m/z 48 and 64 and 2-chloroethanol (tr  
99 = 6.626) with m/z 44, 49 and 80. Quantitative data were obtained by interpolation of  
100 relative peak areas in the calibration curves made with synthetic wine (5g/L tartaric  
101 acid, 12% ethanol, 1.5 % propane-1,2-diol, 10 g/L glycerin, pH 3.5) containing known  
102 amounts of sulfur dioxide, obtained by dissolving sodium metabisulfite ( $\text{Na}_2\text{S}_2\text{O}_5$ ) from  
103 Panreac (Barcelona, Spain). This calibration solution was freshly prepared from the  
104 solid just before the analysis. A validation study carried out with more than 20 wines  
105 demonstrated that results were comparable to those provided by the aspiration/titration  
106 method, but precision ( $\text{RSD}(\%) < 5\%$  for free  $\text{SO}_2$  above 5 mg/L) and sensitivity (1  
107 mg/L) were better.

108 Total sulfur dioxide was determined by the aspiration/titration method (Rankine method  
109 recommended by the OIV, International Organization of Vine and Wine).<sup>28</sup> Combined  
110 sulfur dioxide levels were calculated as the difference between total and free sulfur  
111 dioxide.

112 The determination of free forms and the simultaneous estimation of bound forms of 14  
113 odor-active carbonyls in wine is described in the method proposed by Bueno et al.<sup>19</sup> The  
114 wines were spiked with surrogates, other carbonyls not present in the original wine and  
115 with chemical and SO<sub>2</sub> bonding properties very similar to those of wine natural  
116 carbonyls. Carbonyls in the headspace were preconcentrated on a PDMS/DVB fiber and  
117 are further analyzed on a GC–MS equipped with a quadrupole in SIM mode.

118 Metals analyzed were copper, iron, manganese and zinc. Microwave assisted digestion  
119 was used as sample treatment. Samples were further analyzed by inductively coupled  
120 plasma optical emission spectrometry (ICP-OES), as described by Gonzalvez et al.<sup>26</sup>

121 A precolumn derivatization procedure with aminoquinolyl-N-hydrosysuccinimidyl  
122 carbamate (AQC) for the determination of amino acids levels (valine, methionine,  
123 isoleucine, leucine, phenylalanine) in wines using a quaternary high-performance liquid  
124 chromatography (HPLC) eluent system was followed as described by Hernandez-Orte  
125 et al.<sup>27</sup>

126 The determination of different major aroma compounds such as isobutanol, isoamyl  
127 alcohol, benzyl alcohol, methionol, β-phenylethanol were carried out using a variation  
128 of the method published by Ortega et al.<sup>29</sup> as described elsewhere.<sup>35</sup> The strategy  
129 followed a liquid–liquid microextraction with dichloromethane and uses several internal  
130 standards to correct for matrix effects (recoveries above 95% in all cases). 2-butanol  
131 was used as internal standard for isobutanol, 4-methyl-2-pentanol for isoamyl alcohol  
132 and benzyl alcohol and 4-hydroxy-4-methyl-2-pentanone for methionol and β-  
133 phenylethanol, all of them spiked at 1.5 mg/L to the wine. Analyses were carried out  
134 using a GC-3800 from Varian (Walnut Creek, CA) equipped with a flame ionization  
135 detector (FID). The column used was a DB-WAX from J&W (Folsom, CA) 30 m ×  
136 0.32 mm × 0.5 mm film thickness, preceded by a silica precolumn from Agilent

137 Technologies (Santa Clara, CA) 3m × 0.32 mm i.d. The carried gas was He at 2.2  
138 mL/min. Two microliters were injected in split mode (1:20). Injector and detector were  
139 both kept at 250 °C. The temperature program: 40 °C for 5 min, then raised at 4 °C/min  
140 up to 102 °C, 2 °C/min up to 112 °C, 3 °C/min up to 125 °C, this temperature was kept  
141 for 5 min, 3 °C/min up to 160 °C, 6 °C/min up to 200 °C and this temperature was kept  
142 for 30 min.

143 TEAC and Folin-Ciocalteu assays were adapted from procedures described by Rivero-  
144 Perez et al.<sup>30</sup> and Singleton et al.<sup>33</sup> respectively. Absorbance measurements were taken  
145 by duplicate using 1 cm quartz cuvettes.

146 For all absorbance measurements, the UV-vis spectrophotometer UV-17000 Pharma  
147 Spec from Shimadzu (Duisbug, Germany) was used.

148 Protein-precipitable proanthocyanidins (PPAs) were estimated using ovalbumin as the  
149 precipitation agent and tannic acid solutions as standards. The analysis was performed  
150 using the method published by Saenz-Navajas et al.<sup>31</sup> in duplicate at room temperature.

151 The procedure for polymeric pigments determination was carried out as described  
152 elsewhere.<sup>32</sup> Monomeric pigments (MP), small polymeric pigments (SPP), and large  
153 polymeric pigments (LPP) were determined in a UNICAM UV2 Spectrophotometer  
154 (Burladingen, Germany) in duplicate.

155 Analyses of the polyphenolic matter was performed following the method described by  
156 Gonzalez-Hernandez et al.<sup>24</sup> Two mL of wine were filtered by 0.45 µm and fractionated  
157 by Gel Permeation Chromatography (GPC) in an automated fraction collector from  
158 Gilson (Middleton, WI, USA) with a Vantage L column (120 mm × 12 mm) from  
159 Millipore (Bedford, Ma, USA) packed with TSK Toyopearl gel HW-50F (Tosohaas,  
160 Montgomery Ville, PA, USA). Two fractions were collected and brought to dryness  
161 under vacuum. Fraction 1 was dissolved in 2 mL of formic acid/water (5:95, v/v) and it

162 was further analyzed by UPLC–DAD-MS for quantifying anthocyanins and by UPLC–  
163 MS for quantifying flavonols, flavanols, hydroxycinnamic acids, phenolic acids,  
164 aconitic acid and resveratrol. Fraction 2 was dissolved in 2 mL of methanol. The  
165 vanillin (4-hydroxy-3-methoxybenzaldehyde) assay was performed according to the  
166 method described by Sun et al.<sup>34</sup> in the second fraction obtained from the GPC to  
167 determine proanthocyanidins (PAs) in catechin equivalents units. To study the  
168 polymeric matter of the samples, acid-catalyzed degradation of the second fraction in  
169 the presence of toluene- $\alpha$ -thiol was performed according to the method described by  
170 Gonzalo-Diago et al.<sup>25</sup>

### 171 **Wines and oxidation process**

172 Twenty-four different Spanish wines (16 reds, 5 whites and 3 rosés), from different  
173 wine making areas, were used in the present study (Associated content, Table S1).  
174 Samples were selected to cover a wide range of different characteristics associated to  
175 the oxidation phenomena.

176 The oxidation experiment consisted of five consecutive air-saturation cycles. The  
177 chemical composition of wines before the oxidation was extensively characterized by  
178 duplicate. In addition, at the end of each one of the cycles, some basic parameters were  
179 also determined (see analyses details below). Two bottles of each wine were opened  
180 inside a glove chamber from Jacomex (Dagneux, France) in which oxygen in the gas  
181 phase was below 0.002 % (v/v). The content of 2 bottles was mixed in a beaker and  
182 after ensuring that dissolved O<sub>2</sub> was non-detectable (< 1  $\mu$ g/L, measured with a  
183 fluorescence probe –OptiOx SG-9 from Mettler Toledo-España, Barcelona) samples for  
184 analysis were taken in different hermetic vials. Then 500 mL were spiked with  
185 standards and surrogates as is described in Bueno et al.<sup>19</sup> Then the spiked wine was  
186 taken out of the chamber, saturated with air by gentle shaking in a 1 L closed pyrex

187 bottle for 10 seconds, after which the cap was opened to let fresh air get into, and the  
188 shaking operation was repeated 2 more times until the oxygen level of the wine reached  
189 6 mg/L. The air-saturated wine was then distributed into eight 60 mL tightly screw  
190 capped clear glass vials supplied by WIT-France (Bordeaux, France), three of them  
191 containing PSt3 oxygen sensors (Nomacorc S.A., Thimister-Clermont, Belgium). The  
192 tubes were filled up completely, and were carefully closed avoiding any headspace.  
193 Post-hoc studies revealed that with this procedure headspace ranged from nothing to a  
194 bubble of air with not higher than 120  $\mu$ L. Previous studies had confirmed that the  
195 amount of oxygen passing through those closures was negligible for the purposes of the  
196 experiment ( $< 0.5$  mg/L per week). Wines were stored in an incubator in the dark at  
197 25 °C and dissolved oxygen level was daily monitored with a Nomasense oxygen  
198 analyzer from Nomacorc S.A. The oxidation cycle was considered finished once O<sub>2</sub>  
199 levels dropped to 10% of the initial concentration or after a week. Then the vials were  
200 opened and mixed inside the glove chamber within a 500 mL pyrex bottle and 58 mL of  
201 wine for intermediate analyses were taken. The remaining wine was taken out for a new  
202 saturation cycle in n-1 tubes, 2 of which at least contained oxygen sensors (n being the  
203 number of WIT tubes used in the previous cycle). Therefore, at the end of the  
204 experiment 144 samples have been generated (24 different commercial wines + 24  $\times$  5  
205 different oxidation states).

## 206 **Statistical analysis and data treatment**

207 Simple statistical calculations were carried out with Excel 2013 (Microsoft, WA, USA).  
208 Partial Least-Squares (PLS) regression were performed using The Unscrambler 9.7  
209 (CAMO Software AS, Oslo, Norway). The quality parameters studies to evaluate the  
210 prediction ability of the model were the slope pf the regression curve between real and

211 predicted Y variables ( $m$ ), the root-mean-square error (RMSE) for the prediction and the  
212 percentage of variance explained by the model (%EV).

## 213 **RESULTS AND DISCUSSION**

### 214 **Free and bound forms of carbonyls in commercial non-oxidized wines**

215 A novel method specifically designed to quantify free forms of carbonyls and to estimate  
216 bound forms of these compounds<sup>19</sup> has been applied to determine 14 carbonyls in 24  
217 Spanish commercial wines. Results of the analyses are summarized in Table 1 while the  
218 relative distribution of bound forms estimated for each analyte or group of analytes  
219 from its corresponding surrogate is summarized in Table 2. The complete set of results  
220 is given in the Associated content, Table S2. As seen in the tables, only aldehydes and  
221 2,3-diketones were found to be present both under free and bound forms while ketones  
222 such as acetovanillone,  $\beta$ -damascenone or  $\beta$ -ionone were exclusively found as free  
223 forms. This is not incompatible with the known ability of  $\beta$ -damascenone to irreversibly  
224 bind to sulfur dioxide.<sup>36</sup>

225 The estimations have a reasonable accuracy as determined during method validation<sup>19</sup>  
226 and make it possible to confirm that normal, commercial non-oxidized wines contain  
227 relevant amounts of aldehydes and diketones under bound forms. Methional,  
228 isovaleraldehyde and phenylacetaldehyde are found mostly under bound forms in most  
229 wines (average levels between 78 and 91%). Isobutyraldehyde, 2-methylbutanal,  
230 benzaldehyde, diacetyl and 2,3-pentanedione are also majorly found under bound forms  
231 (> 60% in average), while furfural and 5-methylfurfural are mostly as free forms but up  
232 to 45% of the total wine content can be under bound forms. Deep sensory consequences  
233 would be expected if these bound forms were released, since some of the bound  
234 components are present at concentrations well above odor thresholds. In fact, the wine

235 contents in isovaleraldehyde would increase by factors as high as 20, those of methional  
236 and phenylacetaldehyde by factors as high as 10 and those of diacetyl by factors as high  
237 as 4. In the cases of decanal and acetaldehyde, the total fraction was estimated using the  
238 apparent equilibrium constant with SO<sub>2</sub> published elsewhere.<sup>15</sup> In accordance to those  
239 estimations, more than 99% of these compounds can be under bound forms in wines  
240 containing high levels of free SO<sub>2</sub>, indicating that total levels of these aliphatic  
241 aldehydes can be very high and that their release may also have strong sensory  
242 consequences.

### 243 **Modelling the total aldehyde content of wine from its present chemical composition**

244 The estimated total amounts of Strecker aldehydes found in the set of wines have been  
245 related to the wine chemical composition (summarized in Associated content, Table S3)  
246 by PLS modeling. Metal cations and the potential precursors of aldehydes: higher  
247 alcohols and Strecker amino acids, were included in the models which are summarized  
248 in Table 3.

249 The models have in all cases highly satisfactory prediction abilities with explained  
250 variances over 88% (by cross-validation) and have a quite consistent structure in all  
251 cases, regardless of wine type. The models suggest that the actual wine content in total  
252 aldehyde can be satisfactorily predicted from the wine content in precursor amino acid,  
253 precursor alcohol, Zn, combined or total SO<sub>2</sub> and to other components specific to each  
254 aldehyde and wine type. In all cases, wine aldehyde levels are positively related to the  
255 precursor alcohol and leaving aside isobutyraldehyde, also to combined or total SO<sub>2</sub>.  
256 Aldehyde levels are also in all cases (except methional in white and rosés) negatively  
257 related to the wine level of Zn. The amino acid precursors seem to be also essential in  
258 most models, but in these cases coefficients can be either positive or negative.

259 Although the models are not definitive evidence and further specific experimental material  
260 should be produced, the observed patterns seem to favor the hypothesis that the main origin  
261 of Strecker aldehydes is alcoholic fermentation. Strecker aldehydes are in fact normal  
262 intermediates in the yeast amino acid synthesis and are further reduced to the corresponding  
263 alcohols by dehydrogenase-class enzymes, which would explain the positive weight of the  
264 alcohol in the models. The presence of free SO<sub>2</sub> during fermentation could trap the aldehyde  
265 under bound forms avoiding its enzymatic reduction, which would be consistent with the  
266 positive coefficients found for combined and/or total SO<sub>2</sub>. The negative role of Zn would be  
267 consistent with the known role played by this cation in alcohol dehydrogenases from  
268 *saccharomyces* and other fungus.<sup>37, 38</sup> Finally, the erratic correlation coefficient of the amino  
269 acid precursor, mostly negative except for isobutyraldehyde and for isovaleraldehyde in  
270 reds, is difficult to explain since not much is really known about the relationship between  
271 yeast fermentation and the presence of residues of amino acids in wine. It should be noted  
272 that only in one of the cases (isovaleraldehyde in reds) free SO<sub>2</sub> appears with a negative  
273 correlation coefficient, suggesting that the direct chemical oxidation of the alcohol or the  
274 amino acid cannot be completely excluded as a formation route, although data suggest that  
275 it is not the main formation path.

#### 276 **Evolution of carbonyl surrogates during oxidation**

277 Wines were oxidized following a forced oxidation procedure consisting of five  
278 consecutive air-saturation cycles. Such a procedure provides a reasonable way to obtain  
279 samples with a controlled consumption of oxygen. Although it is apparently different to  
280 the slow oxidation suffered by the wine in the bottle, it is not that different to the  
281 oxidation suffered in the wine by the accidental exposure of the wine to oxygen. In  
282 addition, there are no obvious reasons to think that the relative ability of different wines  
283 to form or release aldehydes is going to be altered.

284 In the study, wines were spiked with surrogates representing structurally different  
285 aldehydes and ketones at the beginning of the forced oxidation procedure. Surrogates  
286 are non-naturally occurring wine carbonyls with chemical (including SO<sub>2</sub> bonding)  
287 properties very similar to those of wine native carbonyls, and their presence makes it  
288 possible to assess some of the chemical reactions taking place along wine oxidation. A  
289 first statement is that, at least concerning aldehyde formation, the most relevant variable  
290 in wine oxidation was found to be the free SO<sub>2</sub> level, and only when levels of aldehydes  
291 were plotted versus this variable, some meaningful relationship emerged. More  
292 precisely, and taking into account that free SO<sub>2</sub> levels measured in this work include  
293 “molecular” SO<sub>2</sub> and HSO<sub>3</sub><sup>-</sup>, whose relative distribution is pH dependent, the most  
294 meaningful relationships emerge when free aldehyde levels are plotted either to  
295 ‘molecular’ SO<sub>2</sub>, or to its complementary, HSO<sub>3</sub><sup>-</sup> form.

296 For instance, the levels of free 3,5,5-trimethylhexanal (surrogate for isovaleraldehyde)  
297 in the 144 samples generated in the forced oxidation protocol (24 different commercial  
298 wines + 24 × 5 different oxidation states) are plotted in Figure 1 versus the molecular  
299 sulfur dioxide level of the wines. As can be seen, there is a close relationship between  
300 both variables, so that the lower the molecular SO<sub>2</sub> level, the higher the level of free  
301 surrogate. In addition, the solid and dashed lines represent the expected free aldehyde  
302 level attending to the molecular SO<sub>2</sub> level of the sample; to the known spiked amount of  
303 surrogate; and to its apparent complex formation constant measured both in synthetic  
304 (dashed line<sup>19</sup>) or real (solid line) wines. Taking into account that the surrogate is not  
305 naturally formed in wine, we must unequivocally conclude that the increase is due to the  
306 release of the surrogate complexed with SO<sub>2</sub> once this molecule is oxidized.

307 The figure also reveals (see the zoomed area) that at very low levels of molecular SO<sub>2</sub>  
308 the measured levels of free surrogate of some wines become consistently below

309 expected values with a trend towards progressively smaller values as molecular SO<sub>2</sub>  
310 levels further drop. Such a decrease should be attributed to the oxidative degradation of  
311 surrogates at those low SO<sub>2</sub> levels, which would be in agreement with the expected  
312 generalization of the Fenton reaction once the levels of free SO<sub>2</sub> are no longer able to  
313 trap the H<sub>2</sub>O<sub>2</sub> formed in the wine oxidation cycle.<sup>39, 40</sup> In the case of 3,5,5-  
314 trimethylhexanal such decrease is observed when levels of molecular SO<sub>2</sub> fall below  
315 0.10 mg/L. The same general pattern, with a less marked but yet obvious degradation  
316 trend at very low levels of molecular SO<sub>2</sub>, was observed for the surrogates 3-  
317 (methylthio)butanal and hydrocinnamaldehyde. Since there is no reason to think that  
318 native wine aldehydes behave differently to their surrogates, it can be concluded that the  
319 levels of free aldehydes during wine oxidation are determined at least by the three  
320 following factors: 1) the previous existence of bound forms; 2) the cleavage of those  
321 bound forms to release free forms attending to the chemical equilibrium sulfite +  
322 carbonyl ↔ alkylhydroxysulfonate; and 3) the oxidative degradation of the aldehydes  
323 taking place at very low levels of molecular SO<sub>2</sub>. A fourth factor, namely the “de novo”  
324 formation of aldehydes, will be considered in the following section.

325 The plot shown in Figure 1 and its analogues for hydrocinnamaldehyde and 3-  
326 (methylthio)butanal (Associated content, Figure S1), make it possible to estimate the  
327 average apparent formation constants ( $K_a$ ) for the three surrogates following the same  
328 behavior. This was done by excluding from the representation those data points at very  
329 low levels of molecular SO<sub>2</sub> affected by degradation and representing the inverse of the  
330 molar concentration of complexed aldehyde versus the inverse of the molar  
331 concentration of molecular SO<sub>2</sub>. Since the adducts aldehyde-SO<sub>2</sub> have a 1:1  
332 stoichiometry,<sup>41</sup> such representation should yield a straight line whose slope is  $1/K_a$ ,  
333 attending to Equation 1,

334 
$$\frac{1}{B} = 1 + \frac{1}{K_a} \times \frac{1}{[\text{molecular SO}_2]} \quad [1]$$

335 where  $B$  represents the molar concentration of complexed aldehyde, obtained as the  
336 difference between the concentration of surrogate added and its free measured  
337 concentration in each sample. These plots in the three cases showed good straight lines  
338 with intercepts not significantly differing from 1, as expected from Equation 1 (see  
339 Associated content Figure S2). The constants obtained were similar, although smaller  
340 than those measured in synthetic wine.<sup>19</sup> The values obtained were  $(17.1 \pm 0.6) \times 10^5$ ,  
341  $(6.20 \pm 0.04) \times 10^5$  and  $(9.30 \pm 0.51) \times 10^5$  for 3,5,5-trimethylhexanal,  
342 hydrocinnamaldehyde and 3-(methylthio)butanal respectively.

343

#### 344 **Evolution of native carbonyls during wine oxidation**

345 The previous observations can help to understand the observed evolutions of free native  
346 aldehydes during wine oxidation. A plot free-methional vs. molecular SO<sub>2</sub> for one of the  
347 wine samples is given in Figure 2. The solid line represents the evolution of measured  
348 free methional in the wine during oxidation and the horizontal dashed line corresponds  
349 to the estimated levels of total methional present originally in wine. The dotted line,  
350 partially concealed by the solid line, represents the levels of expected free methional  
351 estimated from the total methional originally present in the wine, the apparent formation  
352 constant for the adduct and the molecular SO<sub>2</sub> level of the sample. It is evident from the  
353 plot, that estimated and measured free amounts of methional are totally coincident in the  
354 two first samples, those taken at levels of molecular SO<sub>2</sub> above 0.1 mg/L, meaning that  
355 the observed increases in free methional in this region can be attributed to the cleavage  
356 of its hydroxyalkylsulfonate, so that in this phase of oxidation increases are really the

357 result of release. In the last three sampling points, however, the estimated levels fall  
358 well below the measured levels, strongly suggesting that at those low levels of  
359 molecular SO<sub>2</sub>, strong *de novo* formation of methional from different precursors is  
360 actively taking place. As aforementioned, such *de novo* formation at those low levels of  
361 molecular SO<sub>2</sub> would be consistent with the development of Fenton reaction once SO<sub>2</sub>  
362 cannot prevent the accumulation of H<sub>2</sub>O<sub>2</sub>.<sup>39</sup>

363 In order to get better insights of all the phenomena affecting free levels of aldehyde  
364 during wine oxidation, a different type of plot has been produced. For each aldehyde,  
365 wine and sampling point, the difference between the measured free aldehyde level and  
366 the estimated free aldehyde (for that particular wine at that particular molecular SO<sub>2</sub>  
367 concentration using the corresponding apparent formation constant) has been calculated  
368 and plotted versus the molecular level of SO<sub>2</sub> in the sample. Three of these plots are  
369 given as examples in Figure 3 (methional, decanal and 2-methylbutanal).

370 Figure 3a shows that the finding exemplified in Figure 2 about the coincidence between  
371 measured and expected free aldehyde levels, extends to most wines and sampling points  
372 with molecular SO<sub>2</sub> levels above 0.15 mg/L. Above this level, differences between  
373 measured and expected values are close to 0, and only in few cases a decreasing trend is  
374 observed. Below this region, however, the points scatter above and below 0 in Figure  
375 3a. A point above 0 means that the free aldehyde found in wine is above expected,  
376 suggesting *de novo* formation, while a point below 0 means that it is below expected,  
377 suggesting oxidative degradation. The random pattern of scatter is an artifact, since each  
378 wine shows in general a well-defined trend. For instance, the solid and dashed lines  
379 represented in Figure 3a group the sampling points of two specific wines. In the case of  
380 the wine represented by the dashed line, it is apparent that there is a strong *de novo*  
381 formation of methional at low SO<sub>2</sub> levels, while in the wine represented by the solid

382 line, there is a neat degradation of methional and only at very low levels of molecular  
383 SO<sub>2</sub> some *de novo* formation becomes apparent. For decanal, represented in Figure 3b,  
384 and for which there is no known precursor in wine (natural 1-decanol levels are very  
385 low), only the degradation pattern is observed, and becomes apparent in some wines at  
386 levels of molecular SO<sub>2</sub> below 0.6 mg/L. The case of 2-methylbutanal, shown in Figure  
387 3c, is rather the contrary, since *de novo* formation prevails over degradation. For this  
388 compound, *de novo* formation took principally place also at low levels of molecular  
389 SO<sub>2</sub>, although in one particular white wine (solid line), *de novo* formation was observed  
390 at levels between 0.4 and 0.5 mg/L molecular SO<sub>2</sub>. Exactly the same trend was observed  
391 for 2-methylbutanal, including the premature *de novo* formation for the same white  
392 wine (Associated content Fig. S3a.). The plot for isovaleraldehyde showed also mostly  
393 *de novo* formation and no degradation (Associated content Fig. S3b.), while for  
394 phenylacetaldehyde *de novo* formation was evident only at very low SO<sub>2</sub> levels (less  
395 than 0.1 mg/L), while some degradation is apparent at levels as high as 0.5 mg/L  
396 (Associated content Fig. S3c.).

### 397 **Modelling aldehyde formation rates (AFRs)**

398 Data in Figures 2 and 3 reveal that the release of bound forms explains quite  
399 satisfactorily the observed increases in free aldehyde as long as the levels of molecular  
400 SO<sub>2</sub> are above 0.1-0.2 mg/L. The design of the present experiment, however, in which  
401 the wines were forced to 5 consecutive oxygen-saturation cycles regardless of their  
402 initial SO<sub>2</sub> content, does not make it possible to build satisfactory models for the  
403 production of aldehydes, mostly *de novo*, at low SO<sub>2</sub> levels. Fortunately, we do have at  
404 hand data from a previous experiment<sup>6</sup> in which wines were subject to a wide range of  
405 levels of oxygen during months of storage. In such a case, aldehydes were found to  
406 increase in an approximately linear way with the oxygen consumed. Such linear

407 relationships made it possible to determine the aldehyde formation rates (AFRs) of each  
408 wine.<sup>6</sup> Those AFRs were found to be significantly correlated to the amino acid  
409 precursor (in case of Strecker aldehydes) and combined SO<sub>2</sub> (in most cases), but were  
410 not further modelled because at that moment it was not possible to correctly discern  
411 between free and bound forms. However, with the apparent equilibrium constants  
412 determined in ref.<sup>19</sup> and in the present study, it is possible to estimate for those wines  
413 the bound fraction of each aldehyde present at the beginning of the experiment. With  
414 such estimations at hand together with the chemical composition of the unoxidized  
415 wines it has been possible to build some PLS models which give further insights on the  
416 formation and release of Strecker aldehydes along wine oxidation. The models are  
417 summarized in Table 4 and reveal a quite consistent structure in all cases. All models  
418 bear positive correlation coefficients to the three different types of precursors: amino  
419 acids, alcohols and the initial amount of aldehyde under bound forms, suggesting that in  
420 fact the three phenomena concur to form or release these aldehydes. The models have a  
421 relatively satisfactory prediction power and provide a preliminary estimation about the  
422 contribution of each formation/release route to the AFR of each aldehyde. Attending to  
423 such estimations, the amino acids would be the most relevant source of these  
424 compounds, in accordance with results from Grant-Preece et al.<sup>18</sup> The alcohol would be  
425 also important in the case of isovaleraldehyde, which comes from the major wine  
426 alcohol, isoamyl alcohol, and would have null influence in the case of methional, which  
427 comes from the minor methionol, in apparent disagreement with previous  
428 observations.<sup>42</sup> The levels of bound aldehydes have a higher weight in the cases of  
429 isovaleraldehyde and phenylacetaldehyde, those aldehydes whose alcohols were formed  
430 at higher levels along the alcoholic fermentation. Nevertheless, apart from the fact that  
431 release takes place in the first phase of wine oxidation, not much is yet known about the

432 mechanisms and time periods in which *de novo* formation of aldehydes takes place  
433 along wine oxidation. These questions will have to be specifically addressed in future  
434 research.

435

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442

## 443 **ASSOCIATED CONTENT**

444 Wines analyzed in the experiment including origin, age, varietal composition and some  
445 basic compositional parameters. Free SO<sub>2</sub> (mg/L) and free (determined) and total  
446 (estimated) forms of wine carbonyls (μg/L) in the 24 wines. Concentration ranges and  
447 average concentrations in the initial wines of amino acids and alcohols potentially  
448 precursors for oxidation aldehydes and some trace mineral elements with potential  
449 catalytic activity upon the oxidation processes. Measured levels of different surrogates  
450 as a function of wine molecular SO<sub>2</sub> content. Relationship between the inverse of the  
451 molar concentration of bound forms (1/B) and molecular SO<sub>2</sub> for 144 samples (24  
452 different commercial wines + 24 × 5 different oxidation states). Differences between the  
453 measured and estimated free levels of some aldehydes along wine oxidation as a  
454 function of the molecular SO<sub>2</sub> level of the wine.

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- 602

## FIGURE CAPTIONS

Figure 1. Measured levels of free 3,5,5-trimethylhexanal as a function of wine molecular SO<sub>2</sub> content. Solid and dashed lines give the expected free level estimated using the 3,5,5-trimethylhexanal-SO<sub>2</sub> adduct dissociation constant measured in synthetic (dashed) and real (solid) wines. The zoomed area gives the details of two wines in which a strong degradation of the surrogate at low molecular SO<sub>2</sub> levels is observed.

Figure 2. Levels of methional of a red wine measured during its oxidation as a function of its molecular SO<sub>2</sub> content. Dashed line represents the estimated levels of total methional of the unoxidized wine sample. Dotted line represents the free levels estimated using the buthional-SO<sub>2</sub> adduct dissociation constant measured in real wine.

Figure 3. Differences between the measured and estimated free levels of some aldehydes during wine oxidation as a function of the molecular SO<sub>2</sub> level of the wine. The data from the 24 wines after 5 different oxidation levels are represented: (a) methional, lines group points from specific wines; (b) decanal; (c) 2-methylbutanal. For methional and 2-methylbutanal, the apparent formation constant ( $K_a$ ) for the corresponding surrogate calculated in real wine was taken. In case of decanal the  $K_a$  reported in synthetic wine by de Azevedo et al. 2007 was used.