

1 Energy Performance Certification of Faculty Buildings in Spain: 2 the gap between estimated and real energy consumption

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8 9 ABSTRACT

10 A systematic method has been established to perform and analyse in detail the Energy
11 Performance Certification of 21 faculty buildings located at the University of Zaragoza
12 (Spain), according to the transposition of Directive 2010/31/EU. First of all, the problem
13 background and a review of the state-of-the-art of the energy certification in buildings is
14 outlined, regarding both the actual state of the Government regulations and the studies
15 undertaken in several countries to assess the energy performance of different types of
16 buildings, residential and non-residential. A summary of the causes found in other studies for
17 the discrepancies between the estimated (by simulation) and actual energy consumption is
18 shown which is afterwards tested and compared with the results found in the present study.
19 Thereafter, the method followed to undertake the buildings' energy performance certification
20 is explained, and the main results found together with the discussion are detailed, comparing

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21 actual vs. estimated energy consumption in the different case studies and proposing reasons
22 for these deviations. The energy consumption breakdown by uses for several buildings is also
23 analysed, and potential improvements for the simulation software are assessed.

24 **KEYWORDS**

25 *Building Energy Certification, energy consumption, user behaviour, energy efficiency*
26 *measures, invoiced energy consumption, faculty buildings.*

27 **1 INTRODUCTION**

28 **1.1 Background**

29 Nowadays, there is a significant and continuing desire to increase the energy efficiency and to
30 diversify and decarbonise the energy supply, due to the rise in energy demand, together with
31 the high dependence on fossil fuels and the Climate Change that the Earth is experiencing. In
32 particular, in Europe 50% of the energy demand is imported from countries outside the EU
33 [1], with the energy consumption of buildings accounting for 40% of the total final energy use
34 and 36% of total CO₂ emissions of the EU Member States [2]. All these issues led the United
35 Nations to sign the Kyoto's protocol in 1997, whose main objectives are to reduce the energy
36 demand, increase energy efficiency and reduce greenhouse emissions. In this regard, several
37 directives have already been implemented in Europe, such as the Directive 2010/31/EU on
38 energy performance of buildings [3] and the more recent Directive 2012/27/EU on energy
39 efficiency [4]. These directives aim to implement the measures adopted to reduce the energy
40 consumption in the EU, which will allow, together with an increase in the use of renewable
41 energies, the EU Member States to comply with the Kyoto's protocol, and hence the

42 consecution of the triple objective: 20% reduction of greenhouse gas emissions, 20% increase
43 in energy efficiency and 20% of the energy supply provided by renewable energies.

44 In the Construction sector, where our study is based, the Directive 2010/31/EU [3] (recast of
45 the Directive 2002/91/EC [5] and reinforced by the Directive 2012/27/EU [4]) has established
46 a common framework for a general methodology to calculate the buildings' energy efficiency,
47 and has also set the minimum cost-optimal requirements for energy performance of buildings
48 that should be applied to both new and existing buildings, to guarantee that the energy cost
49 savings throughout the lifecycle of a building outweigh the investments involved [6].
50 Additionally, this Directive requires that all new buildings (residential, offices and services)
51 constructed in the EU from 2020 onwards, should be nearly zero-energy buildings, promoting
52 the thermal envelope improvement, in situ renewable energy production and installation of
53 high energy efficient equipment [3].

54 On the other hand, the Directive 2012/27/EU establishes that, as from 1 January 2014, each
55 Member State shall ensure that 3% of the total floor area of heated and/or cooled buildings
56 owned and occupied by its central government is renovated each year, provided the useful
57 surface is greater than 500 m², in order to meet at least the minimum energy performance
58 requirements set in application of Article 4 of Directive 2010/31/EU [4].

59 In compliance with these Directives, in April 2013, the Spanish Government approved the
60 Royal Decree 235/2013 [7], in which the basic procedure for the energy performance
61 certification of buildings is established. This document requires that the existing buildings
62 rented or on sale shall obtain the Building Energy Performance Certificate. According to the
63 Spanish normative, specific tools for the certification of buildings were generated, which use
64 thermal modelling to simulate the whole building in order to determine its energy

65 performance. In Spain, those tools are CALENER VyP for dwellings and small tertiary sector
66 buildings and CALENER GT (based on DOE-2 calculation engine) for the rest of tertiary
67 sector buildings. These tools are connected with the software LIDER, which has a graphic
68 interface through which the 3D model is implemented and all required inputs are introduced,
69 such as the thermal envelope materials, HVAC installations data and operating hours
70 according to the building user, occupancy profiles, etc. With this information, the software
71 then calculates the energy demand of the building, so as the final energy consumption of the
72 building is calculated, and based on this result, the building energy performance certificate
73 can be obtained.

74 **1.2 State of the art**

75 Andalaro A.P.F *et al.* [8] studied to what extent the 27 European countries had adopted
76 energy certification in buildings. The results concluded that, in 2010, most countries were still
77 at a halfway stage towards achieving excellence, which means that they had not completely
78 implemented and activated the buildings' certification and that they had not adopted yet
79 measures to enhance energy efficiency, or the measures adopted were not fully applied so far.
80 Among the different countries studied, some of them should be highlighted, such as Denmark
81 which was one of the first EU countries to make certification compulsory before the Energy
82 Performance of Buildings Directive (EPBD) (2002/91/EC) [5] and had in 2010 more than half
83 of its buildings already certified. Besides, in this country there are different National
84 Calculation methodologies for residential and non-residential buildings. The study [8] also
85 showed that other countries such as Austria also have different methods of calculation,
86 differentiating residential and non-residential buildings, and dividing the latter into 11
87 categories: office buildings, nurseries and compulsory schools, secondary schools and

88 colleges, hospitals, care homes, guest houses, hotels, bars and restaurants, meeting places,
89 sports facilities and sales outlets. While other countries such as Germany, United Kingdom,
90 Spain and Portugal only have different calculation procedures distinguishing between
91 residential and non-residential buildings [8]. However, even though these energy policies
92 (Directive 2010/31/EU, Directive 2012/27/EU) are already implemented in most of the
93 countries, a review undertaken by the Buildings Performance Institute Europe (BPIE) [9]
94 concluded that there is still a lack of strong commitments with clear targets to enforce these
95 regulations, as well as a deficit of qualified professionals to undertake the quality control and
96 verification of the energy performance of buildings in most European countries [6]. A more
97 recent study of the BPIE [10] stated that, by October 2014, all 28 EU Member States (MS)
98 had formally transposed the EPBD requirements for the Energy Performance Certification
99 (EPC) in their national legislation. Nevertheless, not all of them had implemented yet an
100 Independent Control System, and only 19 of them had approved official software for the EPC
101 calculation (in the rest of the MS any software that follows the national calculation
102 methodology can be used, but they are not officially verified). Finally, a series of conclusions
103 and recommendations were extracted by the BPIE, such as the need to further improve the
104 enforcement of the EPC schemes in the MS and strengthen the monitoring of the EPC
105 compliance at national and European levels.

106 Several studies, such as [11], have corroborated the difference between the energy
107 performance of buildings calculated with the simulation software (which is based on Standard
108 Conditions) and their actual energy performance. Specifically, Bordass B. *et al.* [12] studied
109 16 non-domestic buildings between 1995 and 1999 and concluded that the actual energy
110 consumption of most of the buildings considered was higher than the calculated energy use,
111 which was associated, among others, to the discrepancies between the values assumed in the

112 simulation tool and the actual values found in the buildings. This energy performance gap was
113 also confirmed in other studies in the UK, in secondary schools [13], where Pegg I. *et al.*
114 found that 80% of the buildings studied used more energy than expected; as well as in other
115 type of buildings such as retail, education, offices and mixed use residential buildings [14].
116 Those studies found several reasons for this discrepancy, in the former case [13] they
117 identified that the introduction of IT equipment such as computers, white boards, etc. in
118 schools, the increase in the indoor environmental quality standards, the extension of the
119 extracurricular activities and the poor control of the building equipment (i.e. HVAC systems)
120 were the major causes for the higher than expected energy consumption; while in the latter
121 study [14] they concluded that the complexity of control strategies, the poor construction
122 practices, the inadequate commissioning and the lack of involvement of contractors in the
123 buildings' adjustment and refinement after completion were also causes of this energy
124 performance gap. From these findings it can highlighted that one of the major causes of these
125 discrepancies is that the actual energy uses in buildings are considered in the design for
126 regulatory compliance.

127 There are also other case studies in other European countries, in Italy [15] and Denmark [16],
128 which have shown discrepancies of up to 30% between the actual energy consumption and the
129 energy consumption estimated in the simulation tool. These results are in accordance with the
130 results reported by CarbonBuzz within the UK, which show deviations of around 40% for
131 offices and around 30% for educational buildings [17], which corroborates the existence of an
132 energy performance gap between estimated and real energy consumption.

133 In general terms, this discrepancy is attributed to the following causes [6]:

- 134
- Regarding the modelling software and design assumptions:

- 135 ○ Inaccuracies [18] and uncertainties in the implementation of the modelling inputs [19].
- 136 ○ Simplifications and inadequacies of the simulation tool [20], which can lead to
- 137 unrealistic inputs concerning the building quality and design, as well as user
- 138 behaviour, occupancy patterns and building management [21].

139 Some of these inadequacies could be avoided by using modelling software appropriately
140 validated with procedures for Software Accreditation and Verification, such as the ones
141 defined by CIBSE TM33 [22].

- 142 ▪ Built quality: Deficiencies and provisioning issues during the construction process and
- 143 commissioning [23], such as gaps in the insulation and thermal bridges, which usually are
- 144 not considered in the calculation of the energy consumption [24].

- 145 ▪ During the usage stage of the building [25]:

- 146 ○ Unsuitable building management: if building managers implement inappropriate
- 147 strategies, a significant portion of the energy can be wasted [18].

- 148 ○ Operational inefficiencies [23].

149 This unnecessary energy waste could be avoided with regular energy audits and re-
150 commissioning exercises [26].

151 Apart from the previous causes, another important factor which is expected to significantly
152 influence in the real building energy performance is the user behaviour [27], which cannot be
153 implemented in the simulation software, so it can increase the aforementioned energy
154 performance gap. Specifically, Hirst E. *et al.* [28] confirmed that building occupants tend to
155 increase the indoor temperature above the modelling assumptions in winter to feel more
156 comfortable in new buildings, which leads to a shortfall in the expected energy savings. This

157 behavioural response, known as the *rebound effect* [29], was also evidenced by other study
158 undertaken in 2000 in Austria [30] and more recently in a review carried out by Sorrel S. *et*
159 *al.* [31] in which several studies undertaken in different countries (UK, Austria, Norway,
160 Canada and the US) are reviewed and the main results regarding the *rebound effect* are
161 shown, concluding for example that, in most of the UK cases reported, the mean shortfall is
162 around 55%. Even though most of these studies were undertaken in residential buildings, it is
163 expected to obtain similar results in the case of non-residential buildings, as the building user
164 typically behaves similarly in different environments. For example, Hamilton *et al.* [32]
165 compared predicted and actual electricity consumption in three non-residential buildings and
166 concluded that the measured electricity demand was approximately 60-70% higher than
167 predicted in schools and general offices, while for university campus was over 85% higher
168 [18].

169 Regarding the Building Shape, some studies refer to it as *relative building compactness (RC)*
170 and define it as follows [33]:

$$171 \quad RC = \frac{\left(\frac{V}{A_s}\right)_{building}}{\left(\frac{V}{A_s}\right)_{Rf}} \quad (1)$$

172 where V and A_s , are the volume and the exterior wall area of the building analysed and of a
173 reference (*Rf*) building respectively [34].

174 While others call it *shape coefficient* and define it as the total façade surface area to the space
175 volume of a building inside the envelope [35], that is:

$$176 \quad S/V ratio = \frac{A_s}{V} \quad (2)$$

177 The latter definition (eq. 2) is used in the present study, due to the particularities of the
178 different buildings considered.

179 Even though these are different ways to calculate the Building Shape, in general similar
180 conclusions can be extracted: the lower is the *relative building compactness*, the higher is the
181 annual energy use of the building [33]; or the higher the *S/V ratio*, the higher the building's
182 energy consumption is.

183 **1.3 Contribution and main objectives**

184 All the aforementioned studies evidence the energy performance gap between the real and the
185 estimated energy consumption in both non-residential and residential buildings, which must
186 be addressed to ensure that the actual energy policies are effectively complied. In an attempt
187 to identify this gap and provide alternatives to overcome it, in the present study a method has
188 been established to assess the Spanish official software for the Energy Performance
189 Certification of Buildings (EPCB), which has then been applied to 21 different faculty, both
190 academic and research, buildings. This method details: i) the different steps to gather all
191 relevant data and features of the building, ii) how to extract and analyse important
192 information regarding the building's energy performance provided by the software and iii)
193 how to use the results obtained to evaluate the differences between the estimated energy
194 consumption from the thermal modelling and the actual energy consumption obtained from
195 utility bills. It should be noted that the method established could be extrapolated and adapted
196 to assess other energy simulation software.

197 Specifically, the main objectives of the study are:

- 198 ▪ Establish a method to analyse in detail the Spanish official software for the EPCB.

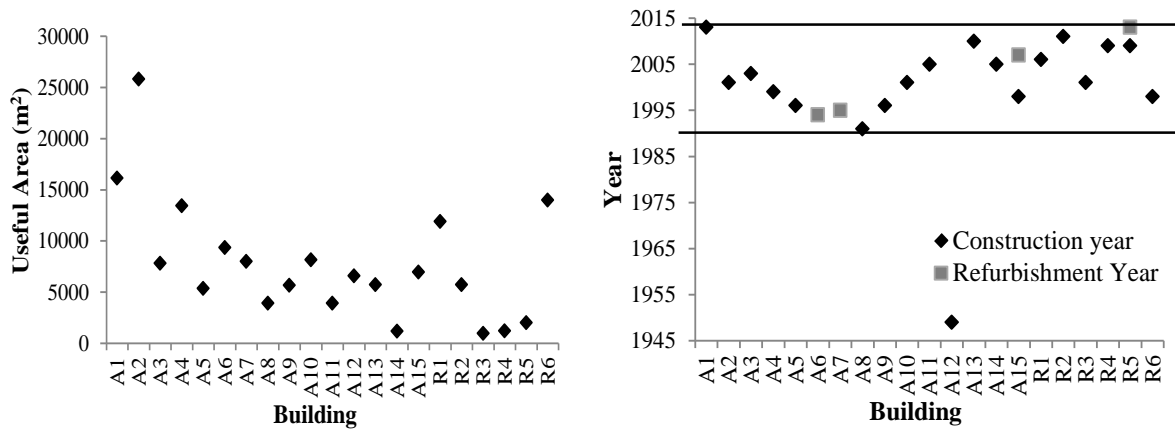
- 199 ▪ Characterise the Faculty Buildings' stock of the University of Zaragoza (Spain).
- 200 ▪ Establish a method to perform the Energy Performance Certification of those buildings,
201 adapting the data required by the software to the data available within the Faculty
202 Buildings.
- 203 ▪ Detect the points of the major energy consumption and evaluate the reasons.
- 204 ▪ Assess the differences between estimated (by simulation) and actual energy consumption.
- 205 ▪ Detect limitations of the simulation tool used and propose improvements to shorten the
206 energy performance gap.
- 207 ▪ Propose a series of energy efficiency measures to decrease the energy consumption of the
208 buildings.

209 Therefore, the main contribution of this research paper is the establishment of a method to
210 assess any simulation tool used to obtain the EPCB, which is applied to the specific case of
211 Faculty Buildings in Spain, but that can be extrapolated to other case studies. This specific
212 type of buildings was selected both for their peculiarities and diversity of features, which
213 allows a better detection of restrictions and limitations of the simulation tool.

214 **2 MATERIALS AND METHODS**

215 The Faculty Buildings' stock of the University of Zaragoza considered in this study consists
216 of 21 Buildings, 15 of them mainly Academic (A) buildings and the other 6 mainly Research
217 (R) buildings. As it can be seen in Figure 1, the buildings studied are significantly different,
218 with a useful area ranging from 800 to 27600 m², and a construction year (or refurbishment
219 year when applicable) between 1990 and 2013 (except A12 Building). As consequence, the
220 characteristics of the different buildings are rather different, with several singularities in the

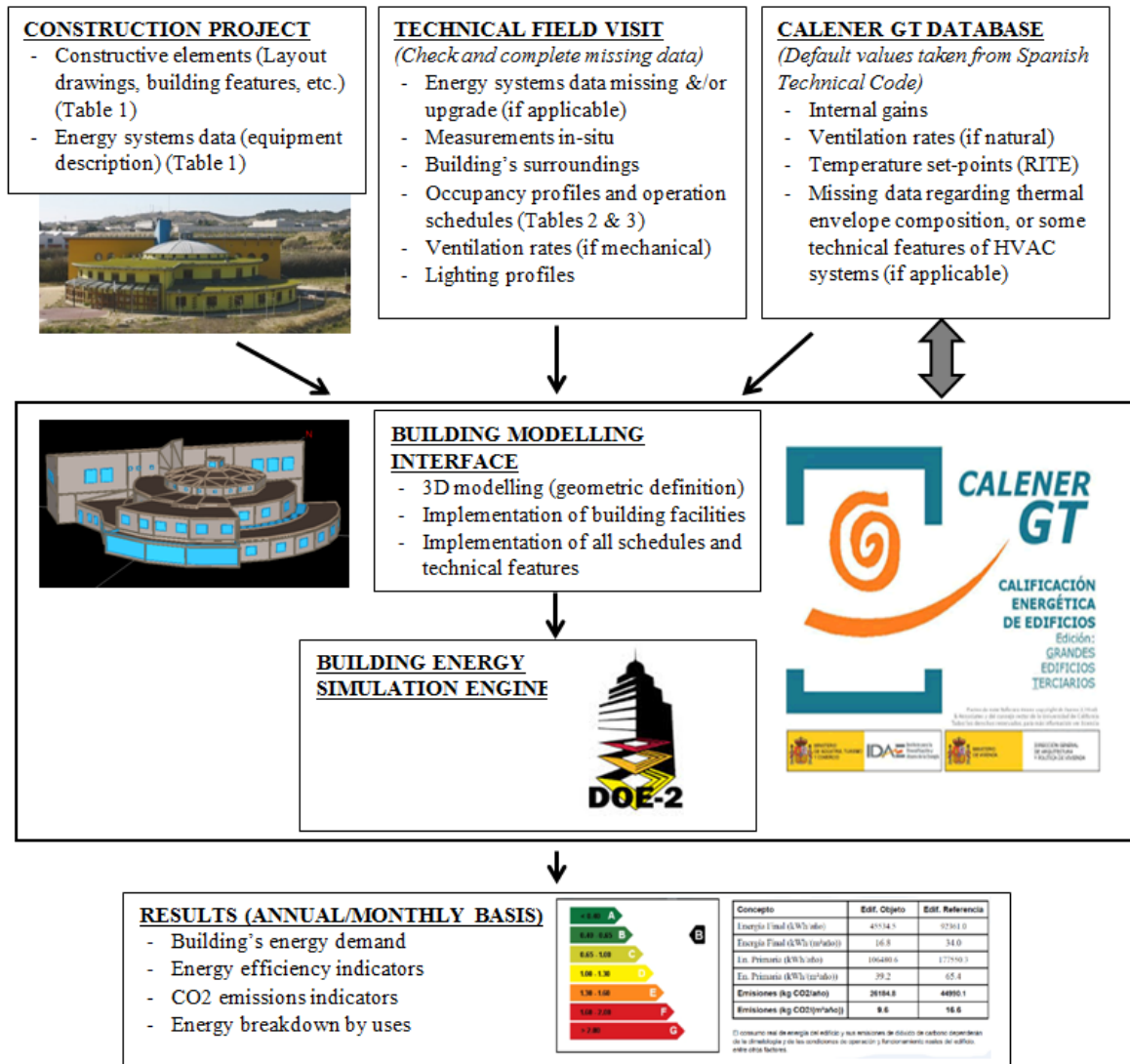
221 constructive solutions, especially in older buildings which have been refurbished (A6 was
 222 built in 1946 but refurbished in 1994, A7 was built in 1975 but refurbished in 1995).



223

224 *Figure 1. (left) Useful Area and (right) Construction year and refurbishment year for the*
 225 *different Faculty Buildings studied (A = Academic, R = Research).*

226 The method followed in this research for the energy certification process and assessment of
 227 the simulation software is detailed in Figure 2.



228

229 *Figure 2. Method followed for the energy certification process and assessment of the*
 230 *simulation software.*

231 The first step consists in gathering all required information to fully characterise the buildings
 232 (similar as in other studies such as Ref. [35]). To this end, the following tasks were
 233 undertaken:

- 234 1. Find the information available in the database of projects in execution and
 235 refurbishment in the University of Zaragoza.

- 236 2. Field visit to the different buildings to check possible alterations respect to the Project
237 Documentation and to gather information regarding internal loads, as well as HVAC
238 systems and other equipment installed.
- 239 3. Take measurements in-situ of the building features: lighting system, glazing, etc.
- 240 4. Check the technical features' document of the HVAC systems.
- 241 5. Study the building's surroundings to determine the building's shading.

242 The thermal modelling software used, CALENER GT, utilises DOE-2.2 as calculation engine,
243 developed by the Energy Department of the USA and the Berkeley Laboratory. It includes in
244 its database typical performance curves of different equipment, indispensable for the
245 simulation of the systems, which can be substituted for the curves of specific manufacturers,
246 if the data is provided in the form required by the DOE-2 algorithm [36]. This tool has a
247 graphic interface (LIDER) through which all required inputs are introduced (see Figure 2):

- 248 ▪ *Geometric definition and thermal envelope composition* – Introduction of the materials
249 of each layer, thermal properties and thickness, so as the software can establish the
250 building's energy demand.
- 251 ▪ *Data of all installations* – technical features of the installations (i.e. HVAC systems),
252 such as nominal power, performance curve, flow-rates and energy consumptions.
- 253 ▪ *Occupancy profiles and operation schedules* to establish the building operation
254 profile.

255 The software then calculates the energy performance of buildings following the procedure and
256 under the general operating conditions specified in the normative. The main outputs are the
257 energy efficiency and environmental indicators required to complete the Energy Performance

258 label requested in the EPBD [5], provided through the energy consumption per square meter
259 of the building (both in terms of primary and final energy), the building's energy demand of
260 heating, cooling, lighting and Domestic Hot Water (DHW) and the global and partial CO₂
261 emissions (for the different aforementioned services). Apart from that, CALENER-GT
262 incorporates a results analysis tool to analyse the different energy uses within the building
263 (pumps, fans, lighting, etc.), adding an additional value to this tool (see Figure 3). Besides, as
264 it allows a detailed modelling of the thermal and optical properties of windows, it is possible
265 to study the temperature effects on U-value, as well as the incident angle correlations for the
266 solar heat gain properties and visual transmittance [37], serving as a useful tool to provide
267 potential energy efficiency improvements.

268 Even though the electricity consumption of the office IT equipment (computers, printers,
269 fax/scanners, etc.) and the laboratory equipment (water/vacuum pumps, smoke extractor,
270 furnaces, etc.) could be estimated mathematically (considering the equipment's inventory and
271 their approximated operation hours), or even measured with a Network Analyser, the present
272 study did not consider this item for the Energy Performance assessment. The reason is that the
273 Spanish Regulation for the Energy Performance Certification of Buildings states that only
274 heating, cooling, ventilation, DHW and lighting (only for tertiary buildings) should be
275 included, and therefore the Spanish Official Software does not allow the introduction of office
276 IT and laboratory equipment. However, other commercial software such as *Energy Plus*
277 allows the implementation of IT and laboratory equipment, through the introduction of the
278 nominal power and schedule, but, as that tool is more focused on the building's thermal
279 modelling, the results regarding electricity consumption are not itemised, simply
280 differentiating the lighting energy consumption from the total electricity consumption.

281 This fact is considered as one of main the drawbacks of this tool, especially in the type of
 282 buildings studied in this manuscript, Academic and Research buildings, because those devices
 283 account for a significant share of the energy consumption.

284 The data that should be introduced in the simulation tool to obtain the Energy Performance
 285 Certificate is summarised in Table 1. These data is required to characterise: i) the thermal
 286 envelope, ii) the building's equipment and iii) the building's use in terms of schedules and
 287 internal loads. It should be emphasised that it is significantly complicated to gather all this
 288 information, as each building has its particularities and, as shown in Figure 1, some of them
 289 are rather old.

290 *Table 1. Data input in the thermal modelling software to define the Building Energy*
 291 *Performance.*

Constructive Elements	Energy Systems	Schedules	Internal Load
Plant Layout Drawings	Equipment description:	Heating	Occupancy
Building Sections	Boilers	Cooling	Equipment
Building envelope	Coolers	Ventilation	Internal gains
Glazing data and location	Pumps	Lighting	
Façade description	Lighting		
Roof description	Fan coils		
Floor description	Heaters/radiant floor		
Internal partitions	Domestic Hot Water		
Interior and exterior slab	Solar thermal system		
Walls in contact with ground	Indoor-air conditions' distribution		

292 Due to the singularities of the buildings and the difficulty of establishing an operation pattern
 293 for each of them, general profiles have been defined depending on the main use of the
 294 building, that is, the building's stock has been divided in: i) Academic Buildings, in which the

295 main activity is teaching and, ii) Research Buildings, in which research and laboratory
 296 activities are predominant. According to this division, Table 2 shows the occupancy profiles
 297 in terms of percentage of occupancy throughout the opening times of the building, for
 298 weekdays (Monday to Friday) and weekends (Saturday). These percentages are determined
 299 based on access control of workers, University Authorities' estimations and academic
 300 schedules (there are classes throughout the day from 8 h to 20 h). The occupancy profiles
 301 shown in Table 2 are considered constant throughout the year as during summer there are also
 302 summer courses and students studying.

303 *Table 2. Schedules and occupancy percentages of the Faculty Buildings studied.*

Building	Occupancy profile				
	8-9 h	9-14 h	14-16 h	16-18 h	20-22 h
Mon. – Fri.					
Academic (A)	40	100	30	40	20
Research (R)	80	100	35	70	30
Saturday					
Academic (A)	0	2	0	0	0
Research (R)	0	40	5	10	0

304 Similarly, the hours of operation of the different building facilities should be defined. Despite
 305 each building operates the systems differently; a common pattern can be established
 306 distinguishing Academic and Research Buildings, as shown in Table 3.

307 *Table 3. Hours of operation of the Faculty Buildings' facilities.*

Building	Heating System			Cooling System		
	Nov.	Dec.-Mar.	Apr.	Jun.	Jul.-Aug.	Sep.
Mon. – Fri.						
Academic (A)	7-13 h	7-21 h	7-13 h	12-18 h	10-20 h	12-18 h
Research (R)	7-17 h	7-21 h	7-17 h	8-21 h	8-21 h	11-19 h

Saturday

Research (R)	7-17 h	7-21 h	7-17 h	8-21 h	8-21 h	11-19 h
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308 It is observed that Research Buildings have a wider schedule than Academic Buildings, with
309 the former extending the systems' operation through the evening and also during the weekend
310 (Saturday). Finally, it should be noted that in May and October both cooling and heating
311 systems are turned off due to an energy saving criterion established by the University
312 Authority.

313 Apart from this, other inputs required are lighting profiles, internal gains due to occupancy
314 and existent equipment and ventilation rates (see Figure 2). In this study, it is particularly
315 difficult to obtain and/or estimate internal gains due to the Buildings' dimensions, the
316 difficulty of measuring them and the lack of relevant data gathered. Consequently, default
317 values provided in the simulation tool were used, which vary depending on the building's
318 occupancies and are the ones established in the Spanish Technical Code [38] and other
319 Regulations [39], based on ASHRAE Standards commonly accepted. Specifically, two types
320 of occupancies were considered, one for classrooms and offices ($2.5 \text{ m}^2/\text{person}$) and other for
321 the rest of rooms and spaces ($10 \text{ m}^2/\text{person}$), together with the associated internal loads per
322 person provided by CALENER GT: $79.01 \text{ W}/\text{person}$ for sensible heat and $50.99 \text{ W}/\text{person}$ for
323 latent heat. Apart from this, the default value for lighting internal gains was also considered,
324 $15 \text{ W}/\text{m}^2$.

325 Regarding ventilation rates, the values were obtained depending on the building's installation
326 (HVAC systems) and when there is no mechanical ventilation installed, the reference values
327 according to Spanish Regulations, which are the air exchanges equivalent to $15 \text{ m}^3/\text{h}$ per
328 person in each space, were used [38], considering the aforementioned occupancies. Lighting
329 profiles were implemented based on the estimations provided by the University Authorities,

330 and the lighting system nominal power was gathered in the technical field visits. Finally, the
331 same temperature set-points were defined for all the buildings: 20°C in winter and 25°C in
332 summer, to meet with the requirements established in the Thermal Installation Regulations of
333 Buildings (RITE) [40].

334 One of the problems found in most of the cases was the absence of sufficient data to complete
335 the inputs required by the simulation tool. The missing data was mainly concentrated in the
336 buildings definition, in particular, in the thermal envelope composition, the absence of the
337 technical features information of the HVAC systems (principally due to their age), and the
338 difficulty to access other facilities to gather the corresponding data. In these cases the default
339 values established in the Spanish Technical Code [39] and provided in the simulation tool
340 were used. Another difficulty detected in the building simulation process was the geometric
341 definition of the buildings. This is implemented graphically through the Spanish tool LIDER
342 [38] which allows a visual 3D building definition where floors, conditioned spaces and
343 enclosures' composition are defined with XYZ coordinates and the corresponding polygons
344 of those parts are created. The complexity of this definition falls on the limitation of the
345 vertex number forming each polygon (maximum of 30 vertexes), the scarce graphic resolution
346 of the software and the geometric complexity of the buildings, which should be simplified to
347 polygons.

348 Once all information is gathered and implemented in the software, the next step of the method
349 is to analyse the results obtained in the energy simulation in order to assess both the
350 simulation tool and the buildings' energy performance. To this end, first of all the causes for
351 the discrepancies found in the implementation of the buildings are analysed (geometrically
352 and regarding operation schedules) (Section 3.1). Afterwards, the energy breakdown by uses
353 provided by the tool is studied both to identify potential inconsistencies between simulation

354 and reality and correct them as far as possible, and to evaluate the building's performance
355 (Section 3.2). In this line, the next step is to analyse the energy performance gap between
356 estimated (by simulation) and real (through utility bills) energy consumption (Section 3.3) to
357 identify possible mistakes during the building's implementation process as well as to propose
358 potential improvements in the simulation software (Section 3.4). Finally, as part of the Energy
359 Certification process and based on the information provided by the software, a series of
360 energy efficiency measures to improve the buildings' energy performance are outlined
361 (Section 3.5).

362 **3 RESULTS AND DISCUSSION**

363 **3.1 Discrepancies in the implementation of the buildings**

364 As it was mentioned in the previous section, the graphic implementation of buildings in the
365 simulation software involves a number of simplifications which consequently generate a
366 deviation in the final buildings' dimensions. In this particular case, the discrepancy found
367 between the real and the simulated buildings' surface area is on average 8%, being in most
368 cases the area implemented in the software larger than the real surface area of the building.
369 This is attributed to several factors: i) the stairwell, which in the simulation software is
370 considered as a different zone in each of the floors, ii) installations' spaces, which sometimes
371 are considered as conditioned zone due to its lack of proper definition, and iii) simplifications
372 required to establish a realistic building's envelope. All this is expected to consequently
373 impact at some extent the specific energy indicators provided by the simulation software. For
374 example, in R6 building, the surface area deviation found is 7%, being in this case the area
375 implemented in the software lower than the real surface area of the building. In an attempt to
376 correct this deviation, the total energy consumption estimated with the simulation tool was

377 increased by a factor of 1.07 (see column *Simulation Corrected* in Table 4). As consequence,
378 the deviation between estimated and real energy consumption is reduced from 36.4% to
379 32.3%, when the building is considered as Research. In other cases, the results show
380 important discrepancies in the area simulated, for example in building R5, due to the
381 complication in its geometric definition as this is a singular circular building with a dome that
382 presents significant difficulties in its implementation. As consequence, it can be concluded
383 that an improvement in the implementation mode of the buildings' geometry would reduce
384 the difference between the estimated and real energy consumption. This will be further
385 explained afterwards.

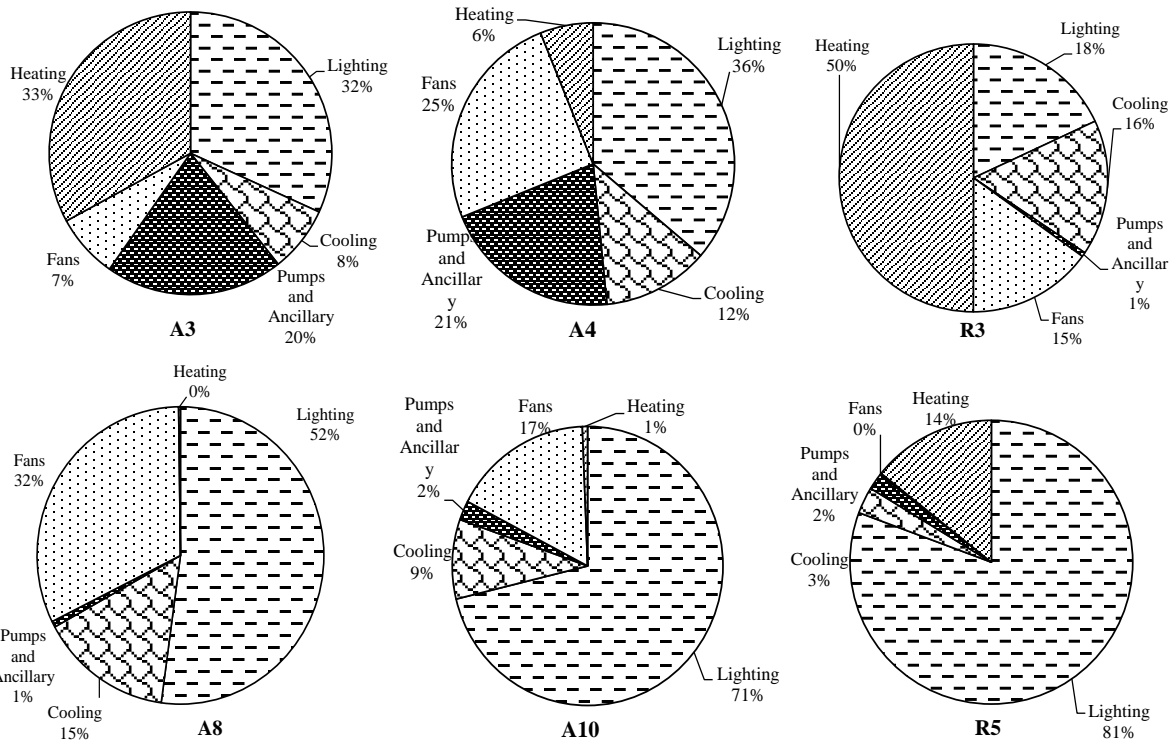
386 On the other hand, it should be noted that throughout the present study it was observed that the
387 operation schedule established in the different buildings significantly affected the simulation
388 results; therefore it was very important to correctly select the buildings' main use between
389 Academic and Research (see Table 3), to obtain a proper estimation of the building's
390 performance with the software. For example, R6 was initially simulated as Academic building,
391 because it has an important academic load, but the results showed that the discrepancy between
392 the estimated and the real energy consumption was substantial (82%, see Table 4). If on the
393 contrary the operation schedule was incremented by considering it as a Research building, the
394 deviation decreased to 36%. Therefore, it can be concluded that the comparison of estimated vs.
395 real energy consumption is very important as it allows the detection of possible mistakes made in
396 the building's definition and implementation in the simulation software.

397 *Table 4. Total energy consumption (estimated and real) and percentage of deviation for R6*
 398 *building considering it as Research and Academic. Columns “Simulation Corrected” and*
 399 *“Deviation Corrected” shows the total energy consumption and percentage of deviation*
 400 *respectively when the surface area deviation is corrected.*

Operation Schedule	Building Type	Total Energy Consumption (kWh/m ² -year)			Deviation (%)	
		Simulation tool	Simulation Corrected	Utility bill	Actual	Corrected
Extended	Research	98	104.4	154.2	36.4%	32.3%
Academic	Academic	28.1	29.9	154.2	81.8%	80.6%

401 **3.2 Energy consumption breakdown by uses**

402 Apart from the Energy Performance Label obtained in the simulation with CALENER GT
 403 tool, this thermal modelling software also provides several outputs which can be further
 404 analysed to study the energy performance of the building and be able to extract conclusions
 405 and suggest possible improvements, both in terms of simulation and building performance.
 406 For example, Figure 3 illustrates the itemisation of the electricity consumption in six
 407 representative faculty (4 Academic and 2 Research) buildings, including lighting, cooling,
 408 heating, fans and pumps and ancillary equipment. A3 and A4 buildings were selected for the
 409 heat pump system installed, and A8 is a similar building but with a conventional boiler, so it
 410 can be compared with the formers. Additionally, A10 building was selected as it is similar to
 411 A8 but it is located in a less warm climate. Regarding Research buildings, R3 is a
 412 characteristic Research building with some particularities, and R5 is a singular building
 413 constructed with bioclimatic criteria. In general terms, it is possible to observe that, even
 414 though there are some similarities within buildings A3, A4 on one side, and A8, A10 and R5
 415 on the other side, there are particularities worthy to comment for each case.



416

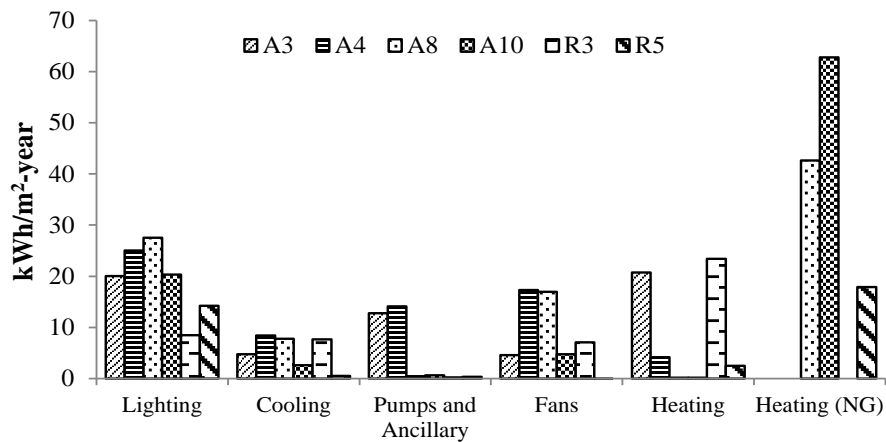
417

418

419 *Figure 3. Itemisation of the electricity consumption per year for six representative Faculty*
 420 *buildings.*

421 First of all, it should be highlighted that Figure 3 only considers electricity consumption, and
 422 buildings A8, R5 and A10 have a heating system fed with Natural Gas in the two first cases,
 423 and Propane in the latter. Details of the energy consumption per year are shown in Figure 4.
 424 Figures 3 and 4 show that in A3 and A4 Buildings, pumps and other ancillary equipment have
 425 a significant electricity consumption compared to the rest of the buildings, which can be
 426 attributed to the open-loop geothermal heat pump system installed, in which the water
 427 condensation is achieved with water from a well, at constant temperature (around 17°C),
 428 reducing the heat pump electricity needs. However, a pump system is required to extract
 429 water from the well located at 15 m depth; hence significant amount of energy is consumed in
 430 pumping. In contrast, R3 building has important energy consumption (50%) for heating, as

431 this is provided by a series of air heat pumps which consume more energy than the well water
 432 pump. In this line, Figure 4 shows that the heating consumption for the different buildings
 433 analysed differs considerably, which is due to several reasons: i) the disparity of heating
 434 systems integrated, mainly regarding the terminal units (hot-water radiators, fan-coils, heat
 435 pumps or radiant floors); ii) the dissimilar thermal transmittances of the building's envelope,
 436 attributable to the different project requirements; and iii) the location of the buildings, as the
 437 cities in which they are located have different climatic conditions.



438
 439 *Figure 4. Breakdown of the energy consumption per year for five representative faculty*
 440 *buildings (NG = Natural Gas).*

441 On the other hand, another point to highlight is the high electricity share of lighting in
 442 buildings R5 and A10 (81% and 71% respectively), which can be attributed to several
 443 reasons. First of all, as commented above, both buildings have a heating system fed with
 444 Natural Gas and Propane respectively, therefore the heating share in terms of electricity is
 445 very low and negligible respectively. Besides, as shown in Figure 4, the rest of items have
 446 very low electricity consumption, with the cooling, pump and fan systems consuming less
 447 than 5 kWh/m²-year in case of A10 and less than 0.5 kWh/m²-year for R5 building. In fact,
 448 both R5 and A10 buildings have within the lowest lighting consumption and the lowest total

449 electricity consumption from the six buildings selected (17.7 kWh/m²-year and 28.6 kWh/m²-
450 year respectively). Particular attention should be paid to R5 building, in which the HVAC
451 systems have a very low energy consumption (0.53 kWh/m²-year for cooling and ventilation).
452 The reason is that the design of the building was undertaken following bioclimatic criteria to
453 achieve a nearly-Zero Energy Building [41]. As consequence, the natural ventilation of the
454 building allows achieving comfort temperatures with very low contribution of mechanical
455 systems.

456 Therefore, it can be concluded that important information can be extracted from the energy
457 analysis provided by the simulation tool, which allows the identification of the main energy uses,
458 serving as a starting point for the analysis of potential energy efficiency measures, as it will be
459 further detailed in Section 3.5.

460 **3.3 Energy performance gap**

461 Regarding the differences between estimated (by simulation) and actual energy consumption,
462 Table 5 shows a comparison between both consumptions (Simulation tool vs. Utility bill) in
463 terms of natural gas, electricity and total energy consumption, as well as the percentage of
464 deviation for each case. The simulation results show that both Academic and Research
465 buildings have a similar energy performance with an average of 83 kWh/m²-year (A11 and
466 A13 are not included in the calculation due to their particularities), which implies that, at least
467 theoretically, Faculty buildings are constructed similarly concerning HVAC and lighting
468 needs. However, a different conclusion can be extracted when comparing the actual energy
469 consumption of the buildings obtained from the utility bills. In this case, a differentiation can
470 be made between Academic buildings, which have an average total energy consumption of
471 about 85 kWh/m²-year (A11 and A13 are not included in the calculation due to their

472 particularities), and Research buildings, with around 167 kWh/m²-year on average (see Figure
 473 5). This distinction reveals the importance of the IT and laboratory equipment in the latter
 474 type of building, which would have to be considered in the simulation of buildings, especially
 475 when a realistic representation of the building's energy performance is required.

476 Concerning the Building shape, the results obtained in the present study show that the trend
 477 mentioned in Section 1.2, found in previous studies [33,34], is not that clear in this type of
 478 buildings, in which the energy consumption is more influenced by other factors such as user
 479 behaviour or IT equipment. Table 5 shows that all buildings are within the range 0.17-0.33,
 480 with an average of 0.28, being the lowest *S/V ratio* A11 Building, which has a significant part
 481 dedicated to sports (high heights), and the highest *S/V ratio* is a typical value (most of the
 482 buildings have an *S/V ratio* between 0.27-0.33).

483 *Table 5. Building Shape (S/V ratio); natural gas, electricity and total energy consumption,*
 484 *both estimated by the simulation tool and real consumption detailed in the utility bills, as well*
 485 *as the percentage of deviation for each case, for the 21 faculty buildings studied. The*
 486 *exceptions explained further in the text are highlighted in bold.*

ID	S/V ratio	Natural Gas (kWh/m ² -year)			Electricity (kWh/m ² - year)			TOTAL (kWh/m ² -year)		
		Simul. tool	Utility bill	% Deviation	Simu l. tool	Utilit y bill	% Deviation	Simul. tool	Utilit y bill	% Deviation
A1	0.29	39.7	0.0 ⁺	-	79.9	0.0 ⁺	-	119.6	0.0 ⁺	-
A2	0.27	0.0	0.0	-	50.6	75.3	-33%	50.6	75.3	-33%
A3	0.32	0.0	0.0	-	62.9	71.6	-12%	62.9	71.6	-12%
A4	0.32	0.0	0.0	-	69.1	52.9	31%	69.1	52.9	31%
A5	0.31	0.0	0.0	-	36.4	40.3	-10%	36.4	40.3	-10%
A6	0.26	69.4	42.8	62%	29.6	95.4	-69%	99.0	138.2	-28%
A7	0.27	62.7	42.8	47%	67.9	95.4	-29%	130.6	138.2	-5%

A8	0.33	42.6	75.4	-43%	53.0	45.1	17%	95.6	120.6	-21%
A9	0.29	32.1	69.3	-54%	37.6	33.1	14%	69.7	102.3	-32%
A10**	0.27	62.8	64.0	-2%	28.5	37.3	-23%	91.3	101.3	-10%
A11†	0.17	107.6	98.7	9%	82.7	54.0	53%	190.3	152.7	25%
A12	0.31	44.8	21.2	111%	44.0	37.1	19%	88.8	58.3	52%
A13*	0.32	169.3	233.7	-28%	76.8	162.3	-53%	246.1	396.0	-38%
A14	0.30	47.5	86.5	-45%	44.1	40.9	8%	91.6	127.4	-28%
A15	0.31	26.5	30.3	-12%	61.8	54.2	14%	88.3	84.5	5%
R1	0.28	89.2	77.3	15%	42.2	302.8	-86%	131.4	380.1	-65%
R2	0.32	40.8	66.0	-38%	34.9	89.7	-61%	75.7	155.6	-51%
R3	0.28	0.0	21.2	-100%	46.9	37.1	26%	46.9	58.3	-20%
R4	0.22	73.3	96.8	-24%	15.3	24.0	-36%	88.6	120.9	-27%
R5	0.26	17.9	29.6	-39%	17.7	102.5	-83%	35.6	132.2	-73%
R6	0.27	39.5	61.2	-35%	58.5	93.0	-37%	98.0	154.2	-36%

487 *A significant part is a Residential building hosting students.

488 **Heating system fed by Propane.

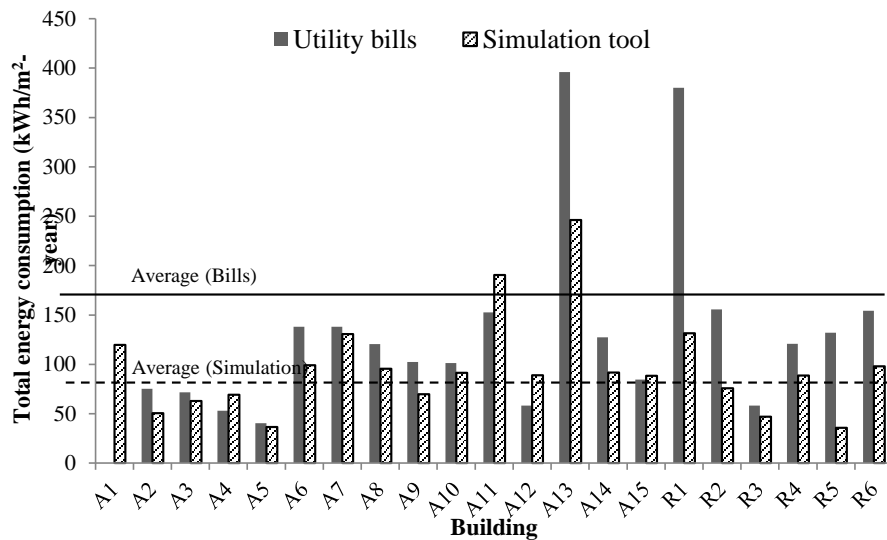
489 †Building with a significant part dedicated to sports.

490 ‡New building in which there are not available yet utility bills for a whole year.

491 As regards the discrepancies found between the estimated and real energy consumption, the
492 results show an average deviation of 30%, which is in accordance with previous studies [15–
493 17]. It should be noted that these deviations are significantly higher for Research buildings
494 than for Academic buildings (45% vs. 23% on average), which is consistent with the previous
495 statement about the importance of considering IT and laboratory equipment in the former
496 case. Table 5 shows that most Academic buildings have negative deviations as the simulation
497 results are lower than the actual energy consumption. In particular, the discrepancies found in
498 buildings A2, A6, A9 and A14 should be noted, which are mainly due to the significant
499 number of offices with usually high occupancy levels. As consequence, the IT equipment
500 increases notably and the user behaviour becomes more important, due to the manual
501 management of the installations in these offices (in the field visits it was observed that in
502 many cases the equipment is not disconnected when not in used nor at night).

503 Additionally, some exceptions which are considered worthwhile to explain further are
504 highlighted in bold in Table 5. In A12 building the estimated natural gas consumption is more
505 than double the real consumption, being the estimated electricity consumption also higher,
506 which infers that the building is being significantly underused. This was corroborated in the
507 field visit, when it was observed that several zones and rooms of the building were empty and
508 hence the terminal heating units in these spaces were closed. However, from the Energy
509 Performance Certification point of view, these zones are habitable and therefore the Official
510 simulation software considers them with the general building's profile. On the other hand,
511 Figure 5 shows that A13 building has significantly higher energy consumption than the rest of
512 Academic buildings, because part of the building is dedicated to host students (some rooms
513 are dorms). Consequently, the heating needs are higher than for a pure academic building, and
514 also the Domestic Hot Water needs are considerably higher. Another consequence of being a
515 partially residential building is the greater influence of the user behaviour, especially in the
516 electricity consumption, which is confirmed with the large deviation found between estimated
517 and real electricity consumption (more than 50%).

518 One limitation of the software was found when simulating A8 building, which has a
519 swimming pool heated at constant temperature that cannot be properly implemented in the
520 tool. Consequently, even though several simplifications and approximations were made, the
521 natural gas consumption estimated is still rather lower than the actual consumption (see Table
522 5).



523

524 *Figure 5. Total Energy consumption, both estimated by the simulation tool and real*
 525 *consumption detailed in the utility bills for the 21 faculty buildings studied. Solid line*
 526 *represents the average real energy consumption and the dotted line the average estimated*
 527 *energy consumption.*

528 As previously commented, the larger discrepancies are found in Research buildings. The
 529 greatest deviation occurs in R5, which shows an overall deviancy of 73% (39% in natural gas
 530 consumption and 83% in electricity consumption (see Table 5 and Figure 5)), due to several
 531 reasons. First of all, it should be considered that this building was designed under the nearly-
 532 Zero Energy Building criterion [32] and hence the passive elements of the buildings
 533 theoretically yield to a very low energy demand. However, due to the real building
 534 characteristics and the underestimation of the building occupancy in the design phase, the
 535 actual energy consumption of the building is significantly higher than expected. For example,
 536 the important increase in building users has consequently augmented the IT equipment
 537 (computers, printers, etc.) and hence their correspondent thermal loads, requiring more
 538 ventilation and cooling to achieve thermal comfort. The lighting requirements are also
 539 considerably higher than expected for the same reason. Furthermore, it should be kept in mind

540 that this Research building has several laboratories whose equipment cannot be implemented
541 in the simulation software. Similarly, R1 is a pure Research building with numerous auxiliary
542 equipment, clean rooms (with strictly controlled parameters such as temperature, humidity, air
543 renovations, etc.), IT equipment, internet servers, etc., which cannot be implemented in the
544 simulation tool. As consequence of all the above, the real electricity consumption of R1 is
545 around 7 times higher than estimated (see Table 5 and Figure 5).

546 Another software limitation found for the simulation of research buildings is the impossibility
547 of implementing specific equipment, typical in this type of buildings. For example, in R2
548 there is an important deviation both in natural gas and in electricity consumptions due to the
549 furnaces, forge, sculpture equipment and other installations available in the different workshops
550 of the building. In this line, R3 building does not have natural gas consumption according to the
551 simulation results, but the actual building has a natural gas bill. The reason is that natural gas is
552 required for the stoves located in the laboratories, but this consumption cannot be implemented
553 in the software (see Table 5 and Figure 5).

554 It can be concluded that the study of the differences between estimated (by simulation) and
555 actual energy consumption allows the identification of not only potential mistakes made
556 during the building's energy modelling, but also software limitations which increase the
557 energy performance gap.

558 **3.4 Potential improvements in the simulation software**

559 Bearing in mind all previous results and the software limitations found throughout the present
560 study, some possible improvements in the simulation tool are proposed to shorten the energy
561 performance gap existent between the real and the estimated (simulated) energy consumption
562 in Faculty buildings:

- 563 ▪ *Improvement in the surface area graphic implementation:* the impossibility of defining
564 curve shapes in building surfaces (only polygons can be introduced) entail an error in the
565 surface area to simulate. Other issues to be improved are the definition of stairwell zones,
566 communication centres and installation cabinets to avoid the consideration of these spaces
567 are conditioned zones.
- 568 ▪ *Default usage profiles,* to properly define in the simulation tool the operation schedules of
569 buildings, as this is crucial to achieve reliable results. As sometimes it is difficult to
570 precisely know the building operation schedules, it is believed that a broaden database that
571 includes different operation schedules in diverse spaces, buildings, installations, etc.,
572 should be integrated in the software.
- 573 ▪ *Data implementation:* as commented in previous sections, one of the difficulties in the
574 Energy Performance Certification of Buildings is the data collection due to the significant
575 amount of data to be gathered. To facilitate this process, it is proposed to establish a
576 database with typical constructive solutions of façades (according to regulations,
577 construction type, climatic zone, etc.), interior partitions, roof or any other envelope part.
578 Besides, for installations' implementation, the establishment of a link to the manufacturers'
579 catalogue will ease the data introduction process.
- 580 ▪ *Possibility of implementing the energy consumption of specific equipment:* as observed in
581 the results shown previously, usually Faculty buildings have a significant energy
582 consumption in specific equipment such as IT equipment, engines, serves, fridges, etc.,
583 which cannot be implemented in an Energy Performance Certification software. However,
584 if the simulation tool allowed an easy estimation of the energy consumption of these

585 equipment, it would provide more realistic results, reducing the energy performance gap
586 and adding another interesting indicator in the Building Energy Performance Certificate.

587 **3.5 Potential energy efficiency measures to improve the building's performance**

588 Finally, as part of the Energy Performance Certification of Buildings, the Spanish regulations
589 [7] indicate that the Certificate should incorporate a proposal of technical and economically
590 viable energy efficiency measures to decrease the energy consumption of the buildings. As an
591 example, this paper presents the application of two different energy efficiency measures to
592 reduce the thermal energy consumption and another two to reduce the electricity consumption
593 of the building. The potential implementation of the energy efficiency measures proposed
594 depends on two main factors, the technical easiness of implementation, subject to each
595 specific building due to its different features, and the energy performance results obtained in
596 the simulation, which provide interesting energy efficiency indicators.

597 **3.5.1 Reduction of the thermal energy consumption of the building**

598 The two main alternatives to reduce the thermal energy consumption of a building are, first of
599 all, to improve the building's envelope to reduce the thermal energy demand and secondly to
600 improve the energy efficiency of the heating system to reduce the energy consumption.

601 The building's envelope plays a major role in regulating the indoor environment, as it controls
602 the flow of energy between the interior and exterior of the building. A well-designed envelope
603 allows the building to provide comfort for the occupants and respond efficiently to heating,
604 cooling, ventilating, and natural lighting needs.

605 One option to improve the building's envelope is to increase the insulation in walls through
606 the implementation of a thermal insulation material in the interior of the façade, reducing the

607 useful surface of the habitable areas. This measure is especially interesting in some of the
608 buildings considered in this work as in some of them it is not allowed to act on their exterior
609 appearance due to their historical value. The other possibility is to incorporate the insulation
610 in the exterior, without occupying useful surface and removing the potential thermal bridges
611 of the building.

612 In this case, it is proposed to improve the building's envelope insulation of R1 building. Due
613 to the façade composition, ventilated with detachable metal panels, it is possible to increase
614 the thermal insulation without losing useful surface, without disrupting users' work and in an
615 economical way. The solution proposed consists in the implementation of an extra XPS layer
616 of 5 cm (0.029 W/K-m) between the metal structure and the precast concrete panels. The
617 results show that it is possible to achieve around 1.5% energy savings after the application of
618 this measure, which is expected to cost around 7.3 €/m². Consequently, the discounted
619 payback period associated is notably high, around 40 years (considering an electricity price of
620 0.13 €/kWh, a natural gas price of 0.05 €/kWh and an interest rate of 5%). It should be noted
621 that this low percentage of energy savings is due to the already good thermal transmittance of
622 R1 building (U-value around 0.4 W/m²K). As all buildings considered in this research have a
623 similar U-value, this building was selected because it allows an easier implementation of an
624 extra insulation layer due to the ventilated façade, and therefore the investment required is
625 lower.

626 On the other hand, when it is not possible or feasible (both economically and/or technically)
627 to reduce the building's thermal demand, the improvement of the heating system installed
628 should be considered. In particular, in several of the Faculty Buildings considered, which
629 have installed low efficiency heating systems (usually conventional boilers with an efficiency
630 of 75-85%), it is especially interesting to replace them for high efficient systems available in

631 the market, such as the condensing boiler, with an efficiency up to 98% [42] (or beyond
 632 100%, as Ref. [43] states, when it is measured on the lower heating value). With this upgrade,
 633 both the final energy consumption and the associated CO₂ emissions are reduced.

634 For example, it has been identified that in A8 building the energy consumption due to heating
 635 accounts for 45% of the total energy consumption and this is provided by a conventional
 636 boiler. Therefore, potential energy savings are expected if the heating system is improved.
 637 Table 6 shows that it is possible to achieve nearly 25% of energy savings if the actual
 638 conventional boiler is replaced by a condensing boiler. Considering an estimated investment
 639 of around 5 €/m², the discounted payback period of this energy efficiency measure is less than
 640 8 years (considering a natural gas price of 0.05 €/kWh and an interest rate of 5%), value much
 641 lower than the one obtained with the previous energy efficiency measure.

642 *Table 6. Energy consumption before and after the replacement of the conventional boiler by a*
 643 *condensing boiler in A8 Building, energy savings achieved and investments necessary.*

Building	Energy Indicator (kWh/m ² -year)		Final Energy Consumption (kWh/m ² - year)		Energy Savings	Investment
	Final	Primary	Electricity	Natural Gas	%	€/m ²
Actual	95.6	181	52.96	42.66		-
Improved	85.1	170.4	52.96	32.16	24.6%	4.74 €/m ²

644 3.5.2 Reduction of the net electricity consumption of the building

645 Similarly as before, the two main options to reduce net electricity needs of a building are:
 646 firstly to decrease its electricity consumption, and secondly to generate electricity within the
 647 building to reduce the amount of energy to be imported from the grid.

648 As Figure 3 shows, the type of buildings analysed have a significant share of electricity
 649 consumption for lighting, due to the specific requirements of Faculty Buildings in this regard
 650 (Academic and Research environments need high illuminance values). For instance, in the
 651 case of R5 building, the electricity consumption of lighting accounts for 81% of the total
 652 electricity consumption and 40% of the total energy consumption, so it is believed that energy
 653 efficiency measures applied to this item may lead to important energy savings. Hence, the
 654 first energy efficiency measure proposed is the replacement of the actual lighting system,
 655 composed by tubular fluorescent lamps of 36 W, by LED lamps of 18 W, reducing the total
 656 installed capacity by 50%.

657 As shown in Table 7, the replacement of the actual lighting system allows energy savings of
 658 around 40% per year which, together with the reduction in maintenance costs and in lights
 659 replacement (as the lifespan of LED technology is significantly higher than for fluorescent
 660 lamps), leads to a discounted payback period of around 6 years (considering an electricity
 661 price of 0.13 €/kWh, and an interest rate of 5% for electricity and 2% for O&M costs).

662 *Table 7. Energy consumption before and after the replacement of the actual lighting system*
 663 *in R5 Building, energy savings achieved and investments necessary.*

Building	Energy Indicator (kWh/m ² -year)		Final Energy Consumption (kWh/m ² -year)		Energy Savings	Investment
	Final	Primary	Final	Primary	%	€/m ²
Actual	35.6	64.1	17.66	45.97	-	-
Lighting upgrade	30.7	47.9	10.60	27.59	40%	20 €/m ²
Integration PV system	35.6	61.6	17.66	43.54	5.3%*	2 €/m ²

664 *Primary energy savings

665 On the other hand, to reduce the amount of electricity bought from the grid, it is proposed to
666 integrate a Photovoltaic (PV) system in the building's roof with a peak capacity of 5.2 kW. As
667 shown in Table 7, the investment required per square meter is significantly lower than before
668 (around 10 times lower) but the energy savings achieved are also lower, around 5% (of
669 primary energy per year). As consequence, the discounted payback period of this option is
670 about 7 years, slightly higher than for the former case. It should be considered that this
671 measure does not reduce the energy consumption of the building; the primary energy needs
672 decrease thanks to the generation in-situ of renewable energy.

673 This Section 3.5 demonstrates that an adequate assessment of the information provided by the
674 simulation software allows detecting the main energy consumption points and inefficiencies
675 of a building in which there is more potential to achieve energy savings through the
676 application of feasible energy efficiency measures.

677 **4 CONCLUSIONS**

678 Within the present study, a method has been established to obtain the Energy Performance
679 Certification of Faculty Buildings. To this end, and according to the Spanish Regulations, the
680 Spanish official software, CALENER GT, was used. The results provided by this tool have
681 been analysed in detail to extract as much information as possible about the building's energy
682 performance as well as to identify limitations of the software and propose potential
683 improvements to shorten the difference between real and estimated energy consumption.

684 With this method, the Faculty Buildings' stock of the University of Zaragoza has been
685 characterised, and the Energy Performance Certificate of each building has been obtained.
686 The characterisation undertaken shows that, even though the stock has been divided between
687 Academic and Research buildings, the useful area and the construction year significantly vary

688 for each of them, with most of them in the range of 800 to 27600 m² useful area and a
689 construction year (or refurbishment year when applicable) between 1990 and 2013 (except
690 A12 Building).

691 The Certification results show that 62% of the buildings have an energy efficiency label C
692 and 24% have a D label (in an A to G scale); therefore it can be concluded that most of the
693 Faculty buildings studied are within the average of CO₂ emissions. Regarding the final energy
694 consumption, the simulation results show that both Academic and Research buildings have a
695 similar energy performance with an average of 83 kWh/m²-year. These results suggest that all
696 these buildings were designed and constructed with similar patterns regarding HVAC and
697 lighting needs.

698 The singularities of these buildings represented a significant effort in their implementation in
699 the software, due to both the particularities of each building, difficult to simulate in the tool,
700 and the limitations inherent to the existent Certification software. The following restrictions
701 were found in the official Energy Performance Certification software (CALENER GT): the
702 graphic implementation of buildings is complex, buildings' operation schedules available in
703 the simulation tool are considerably generic and the inputs required regarding the building's
704 envelope, materials and installations are substantially exhaustive.

705 Similarly as in other studies, the actual energy consumption of the majority of the buildings
706 studied is higher than what it is estimated in the Certification software. An average deviation
707 of 30% is found, value in accordance with previous studies, being, as expected, the
708 discrepancies for Research buildings significantly higher than for Academic buildings (45%
709 vs. 23% on average). One of the main reasons attributed to these discrepancies is that standard
710 operating conditions are considered in the simulation tool instead of the real ones. This issue

711 makes it very difficult to identify to what extent the discrepancies found are due to this
712 deviation or to other specific issues associated with the building construction. In this line,
713 other subsequent consequence is that the energy efficiency measures which should be
714 proposed in the Energy Performance Certificate are estimated considering standard conditions
715 instead of real operating conditions and therefore they may not actually produce the expected
716 results to comply with the Building Regulation requirements.

717 Another factor that notably increases this energy performance gap is the energy consumption
718 of IT and laboratory equipment, especially in the case of Research buildings, as these
719 equipment cannot be implemented in the software, even though in these type of buildings an
720 important share of the total energy consumption is due to their energy consumption. In this
721 regard, the simulation results for Research buildings (such as R2 and R3) show how the
722 impossibility of implementing specific equipment, such as furnaces, forge, sculpture equipment
723 and other workshops' installations, typical in this type of buildings, notably intensifies this gap.
724 Therefore it can be concluded that, if a realistic representation of the building's energy
725 performance is required, IT and laboratory equipment should be considered.

726 One important factor that cannot be implemented in the simulation tool but has proved to
727 significantly affect the real energy consumption of the building is the user behaviour. The
728 influence of the user in the actual energy consumption was especially discerned in buildings
729 A2, A6, A9 and A14, which have a significant number of offices with usually high occupancy
730 levels. As consequence, although the IT equipment increases notably and hence the energy
731 performance gap is expected to increase; the user behaviour becomes more important. The
732 reason for this is the manual management of the installations in these offices, which in most
733 cases do not coincide with the operation schedules set in the simulation tool. In fact, during
734 the field visits it was observed that, in many cases, the office equipment (computers, printers,

735 etc.) is not turned off when not in used and the users confirmed that they do not usually
736 disconnect computers at night. Consequently, the real energy consumption of the building is
737 significantly higher than expected. Even though the user behaviour is very difficult to
738 implement in a simulation tool, mainly due to their unpredictable nature, it is believed that the
739 discrepancies between the estimated and the real energy consumption could be reduced by
740 implementing more realistic operation schedules.

741 Bearing in mind all the previous software limitations and restrictions, a series of potential
742 improvements in the simulation tool are proposed in this research, such as the improvement in
743 the surface area graphic implementation, for example through the importation of an AutoCAD
744 3D model; the definition of default usage profiles and a more detailed database within the
745 software or the possibility of implementing the energy consumption of IT equipment.

746 To complement the present study, and in accordance with the Spanish regulations, a proposal
747 of various technical and economically viable energy efficiency measures to decrease the
748 energy consumption of the buildings has been undertaken. Four different measures to reduce
749 the thermal (two of them) or the electrical (the other two) energy consumption are proposed,
750 applied to three Faculty buildings. These measures cover from simple actions such as lighting
751 replacement to refurbishment actions like envelope insulation improvement. The results show
752 that the investment required for energy efficiency improvements as well as the payback period
753 significantly vary depending on the specific building and the measure, therefore general rules
754 cannot be established. However, some guidelines can be defined:

- 755 ▪ *The energy consumption breakdown by uses should be carefully studied before making*
756 *any decision:* the items with higher energy consumption share should be first considered
757 as a small change in them can lead to significant energy savings.

758 ▪ *The reduction of the energy demand should be prioritised:* for example, the reduction of
759 the lighting energy consumption by lamps replacement should be considered before
760 installing a PV system to satisfy this energy demand. However, in some cases, the high
761 investment required to reduce the energy demand may not outweigh the energy savings,
762 especially when a more affordable measure to reduce the final energy consumption can
763 be implemented. For instance, the improvement of the building's envelope insulation
764 proposed for R1 building has a high investment cost (over 7 €/m²) and payback period (40
765 years), while the replacement cost of a conventional boiler by a condensing boiler,
766 proposed for A8 building, is significantly lower (less than 5 €/m²) as well as its associated
767 payback period (less than 8 years).

768 Finally, an energy efficiency measure that should be always considered in the first place is to
769 raise the user behaviour awareness. It is believed that by teaching building users good
770 practices as well as by increasing the public awareness in this matter, potential energy savings
771 can be achieved.

772 **NOMENCLATURE**

773 (A) Building – Academic Building

774 BPIE – Buildings Performance Institute Europe

775 DHW – Domestic Hot Water

776 EPBD – Energy Performance of Buildings Directive

777 EPC – Energy Performance Certification

778 EPCB – Energy Performance Certification of Buildings

779 EU – European Union

780 HVAC – Heating Ventilation and Air Conditioning

781 IT – Information and Technology
782 NG – Natural Gas
783 O&M – Operation and Maintenance
784 MS – Member States
785 (R) Building – Research Building
786 RITE –Thermal Installation Regulations of Buildings

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