

Mimicking Pathogenic Invasion with the Complexes of Au(SG)-Engineered Assemblies and Folic Acid

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ACS Nano, **Just Accepted Manuscript** • DOI: 10.1021/acsnano.8b00196 • Publication Date (Web): 03 May 2018

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4 **Mimicking Pathogenic Invasion with the Complexes of**
5 **Au₂₂(SG)₁₈-Engineered Assemblies and Folic Acid**
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3 **Abstract:** Biological systems provide the richest spectrum of sophisticated design for
4 materials engineering. We herein provide a paradigm of Au₂₂(SG)₁₈(SG, glutathione
5 thiolate)-engineered and hydrogen bonds engaged assemblies for mimicking capsid
6 protein self-assembly. The water-evaporation-induced self-assembly method allows
7 discrete ultrasmall gold nanoclusters (GNCs) to be self-assembled into super-GNCs
8 assemblies (SGNCs) ranging from nano-, meso- to microscale in
9 water-dimethylsulfoxide binary solvents in a template-free manner. After removing
10 free and hydration layer water molecules, the formation of SGNCs is engaged by the
11 collective cohesion of hydrogen bonds between glutathione ligands of gradually
12 approaching GNCs. Then, a series of tightly orchestrated cellular events induced by
13 the complexes of Au₂₂(SG)₁₈-engineered assemblies and folic acid are demonstrated
14 to mimic the invasion of eukaryotic cells by pathogens. Firstly, the activation of
15 macropinocytosis mimics the macropinocytic entry used by the pathogens to invade
16 host cells. Then the cytoplasmic vacuolization is a mimicry of vacuolating effects
17 induced by the oligomeric vacuolating toxins secreted by some bacteria. Lastly, the
18 escaping from macropinosomes into cytosol is in a vacuolating toxin's strategy. The
19 findings demonstrate the capabilities of artificial pathogens to emulate the structures
20 and functions of natural pathogens.
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36 **Keywords:** biomimetic materials, cytoplasmic vacuolization, gold nanoclusters,
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39 hydrogen bonds, macropinocytosis, self-assembly
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3 As both biological processes understanding and material engineering capabilities have
4 dramatically increased, the tools from the biology community are enabling the
5 bioinspired materials design to mimic biological functionality.^{1,2} However, how to
6 precisely control the interactions between cells and bioinspired materials within a
7 concerted design methodology remains a grand challenge.³ Specifically, bacterial and
8 viral pathogens have evolved a wealth of distinctive molecular solutions to infiltrate
9 into host cells in terms of the structure and functions, representing the biomimetic
10 engineering strategies to the design of bioinspired materials.^{4,5} The bottom-up
11 self-assembly strategy, referring to the process of constructing stable and
12 hierarchically-ordered aggregates from building units, has been explored at large
13 among the biological structures of pathogens.^{6,7} Some bacterial proteinaceous toxins
14 self-assemble multimeric pores on the plasma membrane to invade host cells.⁸
15 Besides, capsids, the protective protein shell of viruses designed to enclose the viral
16 genome, are self-assembling structures of capsomeres, relying on the combination of
17 weak attractive or repulsive forces that, in concert, provide the capsids with
18 noteworthy thermodynamic and mechanical properties.^{6, 9} Therefore, chemical
19 mimicry of capsid self-assembly can be exploited to construct nanostructures with
20 biological functionality.^{1,10} Structurally well-defined gold nanoclusters (GNCs), which
21 connects the yawning gap between atoms and colloidal nanoparticles with dimensions
22 reaching the Fermi wavelength of an electron (ca. 0.7 nm),¹¹ are ideal building blocks
23 to be scaled up into superstructures *via* self-assembly.¹²

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Cytoplasmic vacuolization has been widely observed in mammalian cells after
exposure to bacterial or viral pathogens as well as to a variety of amine-containing
weakly basic lipophilic substances.^{4,13-15} Specifically, the actions of inducing
irreversible cellular vacuolization by *Helicobacter pylori* vacuolating toxin,
Mycoplasma pneumoniae CARDS toxin, and *Vibrio cholerae* haemolysin represent
important examples to investigate the pleiotropic effects of bacterial toxins on
mammalian cells.^{4,13,14} Though different molecular mechanisms of vacuole formation
have been proposed,^{4,14} the physicochemical properties of inducers underlie the action

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3 of transient and irreversible vacuolization. Similarly, insights into the
4 physicochemical interactions between nanomaterials and biological systems are of
5 paramount importance in the process of laboratory to the clinical practice.¹⁶ The
6 interactions essentially exemplified by interacting with biological molecules (*i.e.*,
7 small biological molecules and biological macromolecules) and cells. To achieve the
8 necessary control over the formation of protein coronas, particle membrane wrapping
9 and engulfment, internalization pathways, and fate of internalized cargo, fully
10 understanding of ‘nano-bio’ interface and establishing fundamental principles of the
11 nanomaterial-cell interactions has become critical important and urgent.¹⁶ More data
12 on the vacuole formation obtained in this work prompt us to revisit working models
13 for the vacuolization mechanism.

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16 In addition to the self-assembled structures, pathogens opportunistically exploit
17 some strategies for binding to cell surface and entering the cytosol from endosomes.¹⁷
18 Macropinocytosis, distinguished by non-selectively bulk uptake of solute
19 macromolecules and fluid, is exploited by some pathogens to promote their entry into
20 host cells through receptor-dependent means.⁵ Moreover, by using macropinocytosis,
21 the pathogens can escape the endosomal pathways involved in antigen presentation.
22 Thus, the macropinocytic pathway can be utilized as an avenue to deliver anti-cancer
23 drugs specifically into cytosol.¹⁸ After entering the host cells, the limitation arises
24 from the translocation of the bacterial toxins and viral genes into the cytosol.
25 Nevertheless, by the means of pore formation on the endosomal membrane, the proton
26 sponge effect, as well as the fusion with the endosomal membrane, bacterial and viral
27 pathogens provide the richest strategies to the problem of endosomal escape.¹⁷ To
28 achieve the necessary control over the internalization pathways and the fate of
29 endocytosed cargo, developing bio-inspired nanostructures mimicking biological
30 functionality are substantially challenging but indispensable in nano-biochemistry.

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33 Herein, we developed a synthetic control strategy for constructing Au₂₂(SG)₁₈(SG,
34 glutathione thiolate)-engineered super-assemblies to mimic capsid protein
35 self-assembly. The glutathione (GSH), a naturally occurring and readily available
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3 tripeptide, has been widely chosen as the thiolate ligand for the synthesis of GNCs
4 with broad application prospects in cancer imaging and therapy and some other fields
5 of nanomedicine.¹⁹⁻²¹ However, since the first synthesis of Au₂₂(SG)₁₈ clusters with
6 red emission around 665 nm and a quantum yield of ~ 8% in 2003 by Xie and
7 co-workers, very few reports on the applications of Au₂₂(SG)₁₈ clusters are available
8 while amount of work are still focused on Au₂₅ clusters.^{22,23} By controlling the
9 dynamic intermolecular forces among Au₂₂(SG)₁₈ clusters, spherical super-assemblies
10 with size ranging from nano-, meso- to microscale can be constructed in
11 water-dimethylsulfoxide (DMSO) binary solvents in a template-free manner.
12 Moreover, the complexes of Au₂₂(SG)₁₈-engineered super-GNCs assemblies and folic
13 acid (abbreviated as SGNCs-FA), morphologically being similar to a sphere virion,
14 can mimic the virus entry into host cells by macropinocytosis in a receptor-dependent
15 manner. Subsequently, the vacuolating effects and the entry to the cytosol from
16 macropinosomes are similar to what occurs with oligomeric vacuolating toxins. The
17 artificial pathogens constructed from the bottom-up self-assembly strategy can mimic
18 the biological structures of pathogens as well as their biological functions.
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33 **Results and Discussion**

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35 **Virion-like assemblies formation.** Firstly, atomically precise Au₂₂(SG)₁₈ clusters
36 were prepared, identified, and characterized (Figures S1-S3). The Au₂₂(SG)₁₈ clusters
37 in water luminesce with a maximum at ~665 nm, and exhibit two characteristic bands
38 at 450 and 515 nm in the UV-vis spectrum (Figure 1a). TEM image of the Au₂₂(SG)₁₈
39 shows the mean core size distribution around 1.3 nm (Figure 1b). For the sake of
40 clarity, the ellipsoidal Au₂₂(SG)₁₈ clusters, consisted of a theoretically predicted
41 bitetrahedron Au₇ core, an Au₆ ring, and three Au₃ staple motifs,^{22, 24} can be
42 considered as the subunit of capsid-capsomeres with a molecular weight of 9.8 kDa
43 (Figure S3). Inspired by the peptides/proteins-engineered self-assembly, strategies of
44 effectively engaging hydrogen bonds (H-bonds) networks among the GSH-capped
45 GNCs by obstructing the fierce H-bonds competition to water molecules should be
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feasible to engineer Au₂₂(SG)₁₈-based biomimetic assemblies.^{25,26} In this regard, after adding widely used cosolvent DMSO to GNCs aqueous solution to disrupt the H-bonds networks in water, we apply a vacuum-rotary evaporation procedure to control the self-assembly process of GNCs. Under the heating temperature of 50 °C and 0.095 MPa vacuum pressure for 40 min, 20 mL of GNCs solution (0.1 and 0.2 mg/mL, 18 mL H₂O + 2 mL DMSO, pH ~7) was concentrated into ~4 mL, respectively. Then the samples were collected and examined by transmission electron microscope (TEM) and dynamic light scattering (DLS). Highly compact spherical super-GNCs assemblies (SGNCs) with diameters of 169.2 ± 39.6 and 490.2 ± 113.9 nm were formed, respectively (Figure 1c, d). When adjusting the pH values of the initial GNCs aqueous solution to 3.5 and 10, the electrokinetic ζ-potential of GNCs was ~-0.5 and -26.8 mV, respectively. Subsequently, the SGNCs with diameters of 1480.0 ± 299.0 and 62.9 ± 14.5 nm were formed, respectively (Figure 1e, f). When the lower of the pH value led the greater proportion of protonated carboxyl groups in GSH ligands, elevated H-bonds and depressed electrostatic repulsive force among GSH ligands contributed to the formation of much larger SGNCs (Figure S4). Changing the pH back to 10.0 was followed by a disassembled process into individual building blocks-Au₂₂(SG)₁₈ clusters, a similar reversible behavior in formation of protein assemblies (Figure S5).²⁷ When compared with core size, the enlarged hydrodynamic diameters of SGNCs could be contributed to the extended hydration layer (Figure S6).

Although the molecular arrangement of the hydration layer remains elusive, the existence of hydration water surrounding protein surfaces has been universally acknowledged.²⁸ Similarly, the high stability of GNCs in high salt aqueous solution implies the existence of a short-range hydration force, arising from H-bonds and ion hydration of water-interactive groups of GSH ligands (Figure S7). Therefore, the water molecules in the above self-assembly system can be subdivided into three categories: hydration layer water, free water, and DMSO H-bonded water. In this water-evaporation-induced self-assembly process, the preferential evaporation of free

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3 water was followed by the hydration water molecules deprived from the GNCs
4 surfaces, making the GNCs come in close proximity. Then the H-bonds networks
5 were engaged among GSH ligands, starting the self-assembly process (Figure 1g).
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7 Thus, the integrated structural system of SGNCs in final binary solvents can be
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9 rationalized by arranging hydration layer to surround SGNCs and the outermost
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11 1DMSO:2H₂O layer to stabilize hydration layer (Figure S8).²⁹
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15 Considering the substantial influence of the water-DMSO binary solvents on the
16 formation of SGNCs, we envision that regulating the composition range of binary
17 solvents through dialysis could constitute an alternative. Specifically, the SGNCs with
18 diameters of 1092.8 ± 224.8 and 228.6 ± 40.1 nm were formed when GNCs aqueous
19 solution was dialyzed against DMSO and *N,N*-dimethylformamide (DMF),
20 respectively (Figure 2). Previous investigations have confirmed that the dielectric
21 constant values of water-DMSO cosolvents are larger than those of water-DMF
22 cosolvents at the same fractions over the entire composition range.³⁰ Thus, a larger
23 dielectric constant value could more efficiently screen the repulsive electrostatic
24 forces between negatively charged GNCs, so the SGNCs formed in water-DMSO
25 cosolvents are much larger than those formed in water-DMF cosolvents. The dialysis
26 induced self-assembly accords substantially with water-evaporation-induced
27 self-assembly on the engagement of H-bonds among GSH ligands by displacement of
28 hydration water molecules.
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32 To impart the Au₂₂(SG)₁₈-engineered SGNCs with a biological identity, modulation
33 of surface characteristics of SGNCs (core size around 62.9 nm) with folic acid (FA)
34 was carried out. As an amphiphilic molecule, the hydrophobic pteroyl moiety of FA
35 forms H-bonds and hydrophobic interactions with folate receptors (FR), whereas the
36 extremely hydrophilic glutamate moiety engages H-bonds with FR.³¹ In the
37 as-prepared non-covalent bonds combined SGNCs-FA complexes, the extremely
38 hydrophilic glutamate moiety of FA forms H-bonds with SGNCs, whereas the
39 hydrophobic pteroyl moiety tends to stick out. The SGNCs-FA complexes exhibited
40 the characteristic absorption peak of FA at 280 and 350 nm,³² as well as the
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3 characteristic absorption peak of GNCs at 515 nm (Figure S9).
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5 **Cytoplasmic vacuolization induced by SGNCs-FA complexes.** Folate receptor α
6 (FR α), typically over-expressed in cancerous cells, mediates the entry of FA into cells
7 through endocytosis.³³ Human gastric (MGC-803), breast (MCF-7) and lung (A549)
8 cancer cell lines with different FR α expression levels were studied for interactions
9 with the SGNCs-FA complexes (Figure 3a). When exposed to the SGNCs-FA
10 complexes, nascent vacuoles initially originated from peri-nuclear region and
11 increased in size over time (Figure S10). However, neither FA nor SGNCs or the basic
12 mixtures of GNCs + FA induce cytoplasmic vacuoles (data not shown). Then, the
13 neutral red uptake (NRU) assay, mainly based on the amounts of the neutral red
14 (acidotropic dye) accumulated within cells,³⁴ was performed to depict the extent of
15 vacuolization. As shown in Figure 3b, the staining of vacuoles indicated their acidic
16 environment. More specifically, the uptake amounts of SGNCs-FA complexes
17 indicated by flow cytometry and the extent of vacuolization at indicated time points
18 were coincident with the expression levels of FR α (Figure 3c, d). These results
19 identified the decisive role of FR α -mediated endocytosis in determining vacuolating
20 effects.
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34 To explore the ultrastructure of cytoplasmic vacuoles and the intracellular
35 trafficking pathways of SGNCs-FA complexes, the time- and space-detailed analysis
36 was performed by Bio-TEM. As shown in Figure 4a-c, the vacuoles were mostly
37 round and electron-clear at TEM. Additionally, ultrastructure observation
38 demonstrated that a substantial number of SGNCs-FA complexes were internalized in
39 the endocytic vesicles in proximity of the plasma membrane after 30 min of
40 co-incubation (Figure 4d). Subsequently, endocytic vesicles moved deeper into the
41 cytosol towards nucleus at 1 h (Figure 4e). Meanwhile, the SGNCs-FA complexes
42 appeared to be fleeing from the intra-lumen into the cytosol by permeabilizing the
43 membrane of endocytic vesicles, at which point the transformation from endocytic
44 vesicles into inclusion-free vacuoles took place. And then vacuole-vacuole fusion
45 became widespread, giving rise to larger vacuoles at 2 h (Figure 4f). In contrast, gold
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3 nanoparticles and nanorods were mainly endocytosed in endosomes and eventually
4 accumulated in endolysosomes incapable of reaching cytosol.^{35,36}
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7 **Activation of macropinocytosis by the SGNCs-FA complexes.** Given the huge
8 size and irregular shape of the endocytic vesicles, macropinocytosis may already be
9 occurring.³⁷ To elucidate whether macropinocytosis, characterized by internalizing
10 large quantities of solute and fluid phase,^{38,39} is the primary endocytosis mechanisms
11 of SGNCs-FA complexes, lucifer yellow (LY) uptake assay was performed.⁴⁰ As
12 shown in Figure 5a and Figure S11, the extracellular fluid-phase tracers LY, once
13 co-ingested into the cells, was clearly discernible within vacuoles with no signs of
14 leakage into the cytosol. Furthermore, pharmacological inhibition studies
15 demonstrated that the uptake of SGNCs-FA complexes and cytoplasmic vacuoles
16 formation was greatly suppressed when the cells were pretreated with cytochalasin D
17 (Cyto D) for perturbing actin filament polymerization and amiloride for interfering
18 actin remodeling (Figure S6).⁴⁰ On the basis of the above data, we elucidated that the
19 vacuoles were derived from macropinosomes and the membranes integrity was
20 preserved in this transformation process. Considering the intraluminal acidic pH, the
21 ontogeny of the vacuoles might be closely connected with acidic
22 endosomal-lysosomal organelles. Therefore, the distribution of organelle markers in
23 vacuolated cells was examined. Immunofluorescent staining results indicated that
24 membranes of vacuoles were enriched in Rab7 (Figure 5b and Figure S12), a late
25 endosome marker in regulating early-to-late endosomal maturation.⁴¹ In contrast, the
26 early endosomes marker EEA1 was not co-localized well with vacuolar membranes
27 (Figure S13).⁴² These results demonstrated that the macropinosomes recruit protein
28 from late endosomes during maturation process.
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47 **The connection between macropinosomal escape and cytoplasmic**
48 **vacuolization.** Furthermore, immunostaining of vacuolated cells confirmed the
49 enrichment of the vacuolar-type H⁺-ATPase (V-ATPase) around the vacuolar
50 membranes (Figure 5b and Figure S14). Accordingly, pharmacological inhibition
51 study demonstrated that the selective V-ATPase inhibitor bafilomycin A1 (Baf-A1)
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3 can effectively suppressed the cytoplasmic vacuolization (Figure S15).⁴³ We
4 concluded that V-ATPase was responsible for the intraluminal acidic environment and
5 a pH gradient was necessary for the formation of vacuoles. Interestingly, the
6 formation of vacuoles was also efficiently blocked by chloride anions (Cl^-) channel
7 inhibitor (Figure S15). The most likely explanation was that endocytosed SGNCs-FA
8 complexes would promote the influx of Cl^- , thereby stimulating the H^+ pumping
9 activity of the V-ATPase to maintain the acidic conditions of vacuoles.⁴⁴ In this case,
10 the entry of Cl^- and H^+ represents the driving force for transport of Na^+ or K^+ and leads
11 to a net uptake of NaCl or KCl, resulting in a high intraorganellar osmotic pressure
12 and driving water move into the vacuoles.⁴⁴ Furthermore, to identify the role of water
13 influx in the cytoplasmic vacuolization, the co-localization of aquaporins (AQP),
14 specific regulators of water homeostasis in crossing biological membranes,⁴⁵ with
15 vacuoles was examined. Immunostaining of vacuolated cells showed that aquaporin-1
16 (AQP1) was present on the membranes of vacuoles (Figure 5b). Additionally,
17 vacuoles formation was almost completely suppressed by a potent AQP1 inhibitor
18 HAuCl_4 , whereas the uptake efficacy of SGNCs-FA complexes was not affected
19 (Figure 6).⁴⁶ We reasoned from these data that the V-ATPase, Cl^- channel, and AQP-1
20 were co-responsible for water diffusion into the vacuoles.
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36 To further investigate the connection between macropinosomal escape and water
37 influx-induced cytoplasmic vacuolization, we again used ultrastructure observation
38 after pharmacological inhibition studies. Ultrastructures of V-ATPase, Cl^- channel,
39 and AQP-1 inhibitors pretreated MGC-803 cells indicated no apparent signs of water
40 influx into the macropinosomes. Meanwhile, the SGNCs-FA complexes were still
41 entrapped within the macropinosomes (Figure S16). These data favor the possibility
42 that the influx of water into the macropinosomes facilitates the macropinosomal
43 escape. However, irreversible vacuolization resulted cell death through
44 hyper-activated macropinocytosis is mainly observed in a variety of secreted protein
45 toxins of bacteria and enveloped virus.⁴⁷ But the notable elevated reactive oxygen
46 species (ROS) level after exposure to the SGNCs-FA complexes had no appreciable
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3 cytotoxicity (Figure S17). Collectively, we depicted the process of how the
4 SGNCs-FA complexes mimicking pathogenic invasion (Figure 7). The promoted
5 cytosolic cargo delivery by the transient vacuolization of the SGNCs-FA complexes
6 indicates the development of applicable therapeutic approaches.
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10 11 **Conclusions**

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13 In summary, by using the water-DMSO binary solvents to control the dynamic
14 intermolecular interactions among GSH-capped GNCs, we have constructed
15 Au₂₂(SG)₁₈-engineered spherical super-assemblies with size spanning three orders of
16 magnitude in a template-free manner. The self-assembly process relies on the
17 engagement of multiple H-bonds among GSH ligands *via* displacing hydration water
18 molecules surrounding Au₂₂(SG)₁₈ clusters, which will provide insights into the
19 natural protein self-assembling mechanism when the molecular basis for how proteins
20 detect specific stimuli and assemble into protein self-assemblies are still poorly
21 understood. More specifically, by transferring biological molecules FA on the surface
22 of SGNCs to obtain a biological identity, the complexes of SGNCs-FA activate
23 FR α -mediated macropinocytosis in cancer cells, analogous to the endocytosis
24 mechanism exploited by some viruses to invade host cells. Subsequently, as the
25 macropinosomes rapidly mature into phase-lucent cytoplasmic vacuoles, the
26 endocytosed SGNCs-FA complexes are escaping from macropinosomes into the
27 cytosol, which can be paralleled with the cytoplasmic vacuolization induced by the
28 oligomeric vacuolating toxins. The biomimetic approaches revealed in our work could
29 aid future ‘architecture-by-design’ nanomedicine with configurable geometries and
30 functions. Although we accomplished this work by using SGNCs with core size
31 around 62.9 nm, some other nano to micron-sized SGNCs can be harnessed to extend
32 biomimetic design including protein-, exosome-, leukocyte-, erythrocyte-, and
33 platelet-like nanomaterials to explore basic biological mechanisms and cellular
34 therapies.
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54 55 **Materials and Methods**

Materials. Gold (III) chloride trihydrate ($\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$, 99%), folic acid (FA) were obtained from Sinopharm Chemical Reagent Co., Ltd. (Shanghai, China). Neutral red, Baf-A1, Cyto D, amiloride hydrochloride hydrate ($\geq 98\%$), DMSO, and DMF were purchased from Aladdin Reagent Co. Ltd. (Shanghai, China). Borane *tert*-butylamine complex (97%) was obtained from Energy Chemical Reagent Co. Ltd. (Shanghai, China). Lucifer Yellow CH dipotassium salt, 4',6-diamidino-2-phenylindole (DAPI), 5-Nitro-2-(3-phenylpropylamino)benzoic acid (NPPB), and 2',7'-dichlorofluorescein diacetate (DCFH-DA) were purchased from Sigma-Aldrich (St. Louis, MO, USA). Rabbit monoclonal anti-EEA1 antibody (2411) and anti-Rab7 antibody (2094) were purchased from Cell Signaling Technology (Danvers, MA, USA). Rabbit polyclonal anti-AQP1 antibody (ab15080) was purchased from Abcam (Cambridge, UK). Rabbit polyclonal anti-folate receptor alpha antibody and anti-V-ATPase antibody were purchased from Abgent (San Diego, California, USA). Human gastric (MGC-803), breast (MCF-7) and lung (A549) cancer cell lines were available in the Cell Bank of Type Culture Collection of Chinese Academy of Sciences. All solutions were prepared using highly purified water (18.2 M Ω m) taken from an ELGA LabWater system (PURELAB™ ELGA LabWater, UK).

Preparation, purification, and separation of $\text{Au}_{22}(\text{SG})_{18}$ clusters. The red-emitting GNCs were synthesized from a modified method reported by our group.³² The details of synthesis procedures, purification by fractional precipitation, and native PAGE separation of the $\text{Au}_n(\text{SG})_m$ compounds were demonstrated in supporting information.

Self-assembly of gold nanoclusters. A. Vacuum-rotary water-evaporation-induced self-assembly. Self-assembly reaction was conducted in 50 mL round-bottom flasks on a vacuum-rotary evaporator. Firstly, 18 mL GNCs aqueous solution (containing 2 mg or 4 mg $\text{Au}_{22}(\text{SG})_{18}$ NCs) and 2 mL DMSO were mixed together and sonicated for 5 min, followed by adjusting the pH to 7 by 1M HCl. Thus, the concentration of GNCs in water-DMSO binary solvents was 0.1 and 0.2 mg/mL, respectively. Afterward, the sample flasks are swirled at 100 rpm on a water bath at 50 °C under 0.095 MPa vacuum pressures. An external recirculating chiller was used to cool the trap and was controlled to -5°C. At indicated time points (~40 min), when the total volume was reduced to about 4 mL, the evaporation procedure was stopped and let the samples restore to room temperature (RT) before next treatment. The pH values of water-DMSO binary solvents had great influence on the size of super-assemblies. For

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3 samples (GNCs, 0.1 mg/mL) with the pH values of initial water-DMSO solution were
4 adjusted to 3.5 and 10 with 1N HCl or 1N NaOH, the use of a rotary evaporator in this
5 process was identical as mentioned earlier. **B. Dialysis-induced self-assembly.** Firstly,
6 the 2 mL of the Au₂₂(SG)₁₈ NCs aqueous solution (1 mg/mL, pH~7) was placed in a
7 regenerated cellulose dialysis bag (MWCO: 1.0 kDa) and dialyzed against 200 mL of
8 DMSO or DMF at 25 °C for 1 h, respectively. Within about 1 h, the solution in the
9 dialysis bag became turbid with some precipitate precipitated out. Then the solution
10 and precipitate in the dialysis bag was collected and resuspended in water. The
11 formation of SGNCs in the dialysis process against DMSO or DMF was confirmed by
12 electron microscopy characterization and DLS.
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19 **Formation of SGNCs-FA complexes.** The final volume of as-assembled SGNCs
20 (with core size around 62.9 nm) solution was about 4 mL (containing 2 mL DMSO).
21 Then 0.5 mg FA (50 mg/mL, dissolved in DMSO) was added to the SGNCs solution
22 followed by stirring overnight at RT. The mixture solution was transferred into a
23 dialysis bag (MWCO 3500) and dialyzed against ultrapure water for two days. The
24 final product was precipitated out by addition a certain amount of NaCl (to 20 mM)
25 and methanol (to 60%, v/v). The SGNCs-FA complexes were dissolved in water at a
26 concentration of 4 mg/mL.
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32 **Observation of cell ultrastructure.** For the TEM sample preparation, three types
33 of cells were seeded on 35 mm culture dish. The cells were grown to 80% confluence
34 and exposed to 0.3 mg/mL SGNCs-FA dissolved in serum-free DMEM medium for
35 30 min, 1.5 h, 2 h, and 3 h. At the indicated time points, cells were washed three times
36 with 0.01M PBS and harvested using trypsin-EDTA, centrifuged, and fixed in 2.5%
37 (wt/vol) glutaraldehyde in 0.01 M PBS (pH 7.4) overnight at 4°C. Cells were next
38 rinsed in 0.01M PBS and centrifuged. Pellets were post-fixed in 1% (wt/vol) osmium
39 tetroxide in 0.01M PBS (pH 7.4) for 2 h. Dehydration was achieved by sequential
40 treatments with 25, 50, 75, 95 and 100% ethanol followed by acetone. The samples
41 were then transferred to propylene oxide, and embedded in epoxy resin. Ultrathin
42 sections were prepared by a Leica EM UC 6 ultramicrotome and stained with uranyl
43 acetate followed by lead citrate. Then the ultrathin sections were mounted on copper
44 grids and examined with FEI Tecnai G2 Spirit BioTwin electron microscope at 120
45 kV using Gatan 832 CCD camera.
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54 **Lucifer yellow staining of cytoplasmic vacuoles.** Localization of extracellular
55 fluid-phase marker lucifer yellow (LY) was monitored by incubating cells in the
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3 presence of 0.15 mg/mL SGNCs-FA and 0.5 mg/mL lucifer yellow in serum-free
4 DMEM medium for 3 h at 37°C. Cells were washed twice with 0.01M PBS, fixed in
5 4% paraformaldehyde, and visualized with inverted microscope (IX 71, Olympus)
6 equipped with a 100× objective and Rolera-MGI Plus back-illuminated EMCCD
7 camera and an Olympus U-MWIBA3 filter set (BP460-495, DM505, BA510-550).
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11 **Immunofluorescent staining of vacuolated cells.** Cells were plated in 4-chamber
12 glass-bottom 35 mm dish at 60% confluence per chamber 24 h before treatment. Then,
13 cells were incubated with 0.3 mg/mL SGNCs-FA in serum-free DMEM medium for 3
14 h at 37°C. After washing twice with 0.01 M PBS, cells were fixed in 4%
15 paraformaldehyde for 10 min at 37°C, and then permeabilized in PBS containing
16 0.2% Triton-X-100 for 5 min and blocked with PBS containing 10% goat serum for 1
17 h at RT. Next, cells were incubated with primary antibodies diluted to 1:150 (EEA1,
18 Rab7, V-ATPase, and AQP1) in PBS with 1% goat serum overnight at 4°C. Cells were
19 washed twice with PBS containing 1% goat serum, incubated with secondary
20 antibodies diluted to 1:250 (Alexa Fluor 488 goat anti-rabbit) in PBS with 1% goat
21 serum for 1 h at RT. The nuclei of the cells were stained with DAPI (1 µg/mL in PBS)
22 for 5 min at RT. Finally, the cells were observed with Confocal Quantitative Image
23 Cytometer CQ1 (Yokogawa Electric Corporation, Tokyo, Japan). The blue channel
24 (excitation 405 nm, emission 447/60 nm) was used for the acquisition of DAPI, green
25 channel (excitation 488 nm, emission 525/50 nm) was used for the acquisition of
26 immunofluorescence. Images were processed using CQ1 software and ImageJ 1.50
27 (NIH, Bethesda, ML).
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38 **Flow cytometry analysis.** All flow cytometry analyses were performed on a BD
39 FACSCalibur (BD Biosciences, Mountain View, CA) and the data were processed
40 with FlowJo 7.6 software. To analyze the uptake of SGNCs-FA complexes in cells,
41 the cells were plated in 6-well plates and then allowed to adhere overnight. The cells
42 were incubated with 0.3 mg/mL SGNCs-FA complexes in serum-free DMEM medium,
43 then collected and immediately analyzed by flow cytometry at 3 h. FL-3 (λ_{em} ,
44 650-700 nm) channel was selected to collect the fluorescence signal of cells using a
45 488 nm argon laser as an excitation source.
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51 **Pharmacological inhibition studies.** To evaluate the pharmacological inhibition
52 effects of vacuolization, the cells were by pretreated with macropinocytosis inhibitors
53 (amiloride 100µg/mL + Cyto D 2 mM, 2 h), AQP1 inhibitor (HAuCl₄ 200 µM, 6 min),
54 V-ATPase inhibitor Baf-A1 (100 nM, 30 min), and chloride ion (Cl⁻) channel blocker
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3 NPPB (100 μ M, 1 h), respectively. Subsequently, the cells were co-incubated with 0.3
4 mg/mL SGNCs-FA complexes for 3 h and then analysed by NRU assay and flow
5 cytometry. Cells without inhibitors pretreating were taken as control groups.
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8 **Statistical Analysis.** The statistical analysis was performed in quintuplicate unless
9 otherwise indicated. The data were expressed as mean values \pm standard deviation
10 (SD). Statistical difference was calculated with paired sample using Student's *t*-test
11 comparison at a significance level of $p < 0.05$.
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14 ASSOCIATED CONTENT

15 Supporting Information

16 The Supporting Information is available free of charge on the ACS Publications
17 website.
18

19 Additional figures of materials characterization for GNCs and SGNCs; figures of
20 cytoplasmic vacuolation assay, lucifer yellow staining and immunofluorescent
21 staining of vacuolated cells.
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29 Notes

30 The authors declare no competing financial interest.
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33 ACKNOWLEDGMENTS

34 This work is supported by National Key Basic Research Program of China (Grant Nos.
35 2010CB933901, and 2015CB931802), The National Key Research and Development
36 Program of China (Grant No. 2017FYA0205301), China Postdoctoral Science
37 Foundation (Grant No. 2016M601602), the National Natural Science Foundation of
38 China (Grant No.81571835). We thank Dr. Lei Feng from Instrumental Analysis
39 Center of Shanghai Jiao Tong University and Dr. Hui Wang from Waters Technologies
40 (Shanghai) Ltd. for help with ESI-MS experiment.
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23 **Figure 1.** Water-evaporation-induced $\text{Au}_{22}(\text{SG})_{18}$ clusters self-assembly. (a) UV-vis
24 absorption and photoluminescence (PL) spectra of $\text{Au}_{22}(\text{SG})_{18}$ clusters. (b) TEM image
25 and core size distribution of $\text{Au}_{22}(\text{SG})_{18}$ clusters. (c-f) TEM images of four SGNCs
26 samples formed in the water-DMSO binary solvents at 50 °C under 0.095 MPa
27 vacuum pressures for 40 min with different conditions: (c) 0.1 mg/mL GNCs, pH ~7;
28 (d) 0.2 mg/mL GNCs, pH ~7; (e) 0.1 mg/mL GNCs, pH ~10; (f) 0.1 mg/mL GNCs,
29 pH ~3.5. (g) Schematic representation of the dynamic water-evaporation-induced
30 GNCs self-assembly process in the water-DMSO binary solvents.
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40 **Figure 2.** Dialysis-induced and solvent-dependent SGNCs formation. TEM images of
41 the formed SGNCs when GNCs aqueous solution dialyzed against (a) DMSO and (b)
42 DMF, respectively. (c-d) The core size distributions (histogram and Gaussian fitting)
43 from TEM measurements of (a) and (b), respectively. Scale bars, 1 μm (a); 200 nm (b).
44 (e) Schematic representation of the dialysis process of GNCs aqueous solution against
45 DMSO solvent: (1) solvents diffusion, (2) H-bonds engaged, (3) SGNCs formed, (4)
46 SGNCs aggregated. When the turbid solution in the dialysis bag was collected,
47 precipitated, and resuspended in water, the SGNCs rehydrated and dispersed in water.
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5 **Figure 3.** (a) Western blot analysis of FR α expression in MGC-803, MCF-7, and
6 A549 cells. (b) Neutral red accumulated in MGC-803, MCF-7, and A549 cells after
7 exposure to 0.15 mg/mL SGNCs-FA complexes for 2 h, respectively. Arrowheads
8 point at vacuoles completely stained with the neutral red. (c) Flow cytometry analysis
9 of the cellular uptake of SGNCs-FA complexes. Red and cyan histograms represent
10 fluorescence signals of the untreated cells, the cells treated with 0.15 mg/mL
11 SGNCs-FA complexes for 2 h, respectively. (d) The dose-response and time-course of
12 cytoplasmic vacuolization induced by SGNCs-FA complexes. Neutral red
13 accumulated in cells was quantified by OD₅₅₀ nm. The extent of cellular vacuolization
14 was expressed as percent of the maximal observed uptake within the same experiment
15 at the indicated time. All values shown were the average of quintuplicates \pm SD.
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27 **Figure 4.** Ultrastructure observation of cytoplasmic vacuoles in (a) MGC-803, (b)
28 MCF-7, and (c) A549 cell after co-incubation with 0.3 mg/mL SGNCs-FA complexes
29 for 3 h, respectively. The formation process of cytoplasmic vacuoles in MGC-803
30 cells at (d) 30 min, (e) 1 h, and (f) 2 h after co-incubation with 0.3 mg/mL SGNCs-FA
31 complexes. Red arrows in (a-c) point at the vacuoles; red triangles in (d) point at
32 endocytic vesicle; red and blue arrows in (e) indicate the endocytic vesicles which are
33 transforming into vacuoles and SGNCs-FA complexes which are escaping from
34 vesicles into cytosol, respectively; red arrows in (f) indicate the fusion behaviors of
35 cytoplasmic vacuoles. Abbreviation: N, nucleus. Scale bars, 1000 nm (a-c); 2 μ m (d);
36 1 μ m (inset of d, e, f).
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48 **Figure 5.** Fluorescent analysis of the cytoplasmic vacuoles. (a) Localization of LY in
49 vacuolated MGC-803 cells induced by SGNCs-FA complexes. Arrowhead points at a
50 subpopulation of LY-containing vacuoles. (b) Immunofluorescent staining of
51 vacuolated MGC-803 cells for Rab7, AQP1, and V-ATPase. From right to left panel,
52 representative DAPI blue fluorescence images of the nucleus, green fluorescence
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3 images of specific protein markers, brightfield microscopy images, and the merged
4 images are presented. Arrowheads points at vacuoles. Scale bars, 20 μm .
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6 Abbreviations: LY, lucifer yellow; V-ATPase, vacuolar H^+ -ATPase; AQP1,
7 Aquaporin 1; DAPI, 4'-6-diamidino-2-phenylindole.
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13 **Figure 6.** Pharmacological inhibition of macropinocytosis and AQP1. (a)
14 Flow-cytometry-based analysis of the cellular uptake of SGNCs-FA complexes after
15 pretreated with inhibitors. The red, green, cyan, and orange histograms represented
16 fluorescence signals of the untreated cells, the cells with macropinocytosis inhibitors
17 (amiloride 100 $\mu\text{g}/\text{mL}$ + Cyto D 2 mM, 2 h) pretreating and subsequent SGNCs-FA
18 complexes exposure, the cells with AQP1 inhibitor (HAuCl_4 200 μM , 6 min)
19 pretreating and subsequent SGNCs-FA complexes exposure, and the cells with
20 SGNCs-FA complexes exposure. (b) The extent of SGNCs-FA-induced vacuolization
21 in cells with or without inhibitors pretreating was determined by NRU assay. Cells
22 without inhibitors pretreating but expose to SGNCs-FA complexes were taken as the
23 control groups (set as 100% vacuolization efficiency). The pharmacological inhibitors
24 pretreating and SGNCs-FA complexes exposure conditions in (b) were the same as
25 used in (a). All values shown were the average of quintuplicates \pm SD. Statistical
26 differences were determined by Student's *t*-test, * significant against the control group,
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44 **Figure 7.** Schematic representation of how the SGNCs-FA complexes mimicking
45 pathogenic invasion by the activation of macropinocytosis, the use of
46 macropinosomal escape and inducing cytoplasmic vacuolization.
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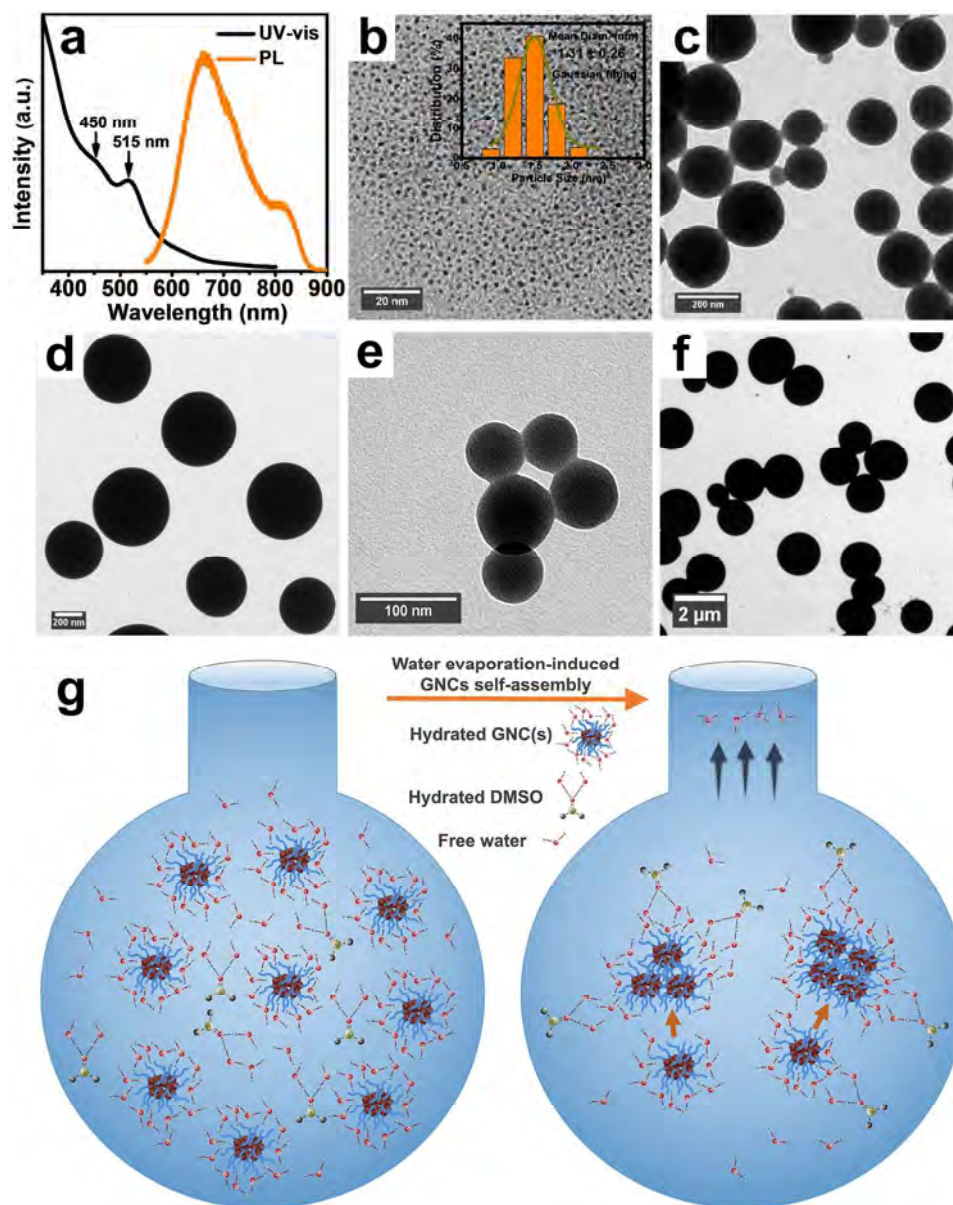


Figure 1. Water-evaporation-induced Au₂₂(SG)₁₈ clusters self-assembly. (a) UV-vis absorption and photoluminescence (PL) spectra of Au₂₂(SG)₁₈ clusters. (b) TEM image and core size distribution of Au₂₂(SG)₁₈ clusters. (c-f) TEM images of four SGNCs samples formed in the water-DMSO binary solvents at 50 °C under 0.095 MPa vacuum pressures for 40 min with different conditions: (c) 0.1 mg/mL GNCs, pH ~7; (d) 0.2 mg/mL GNCs, pH ~7; (e) 0.1 mg/mL GNCs, pH ~10; (f) 0.1 mg/mL GNCs, pH ~3.5. (g) Schematic representation of the dynamic water-evaporation-induced GNCs self-assembly process in the water-DMSO binary solvents.

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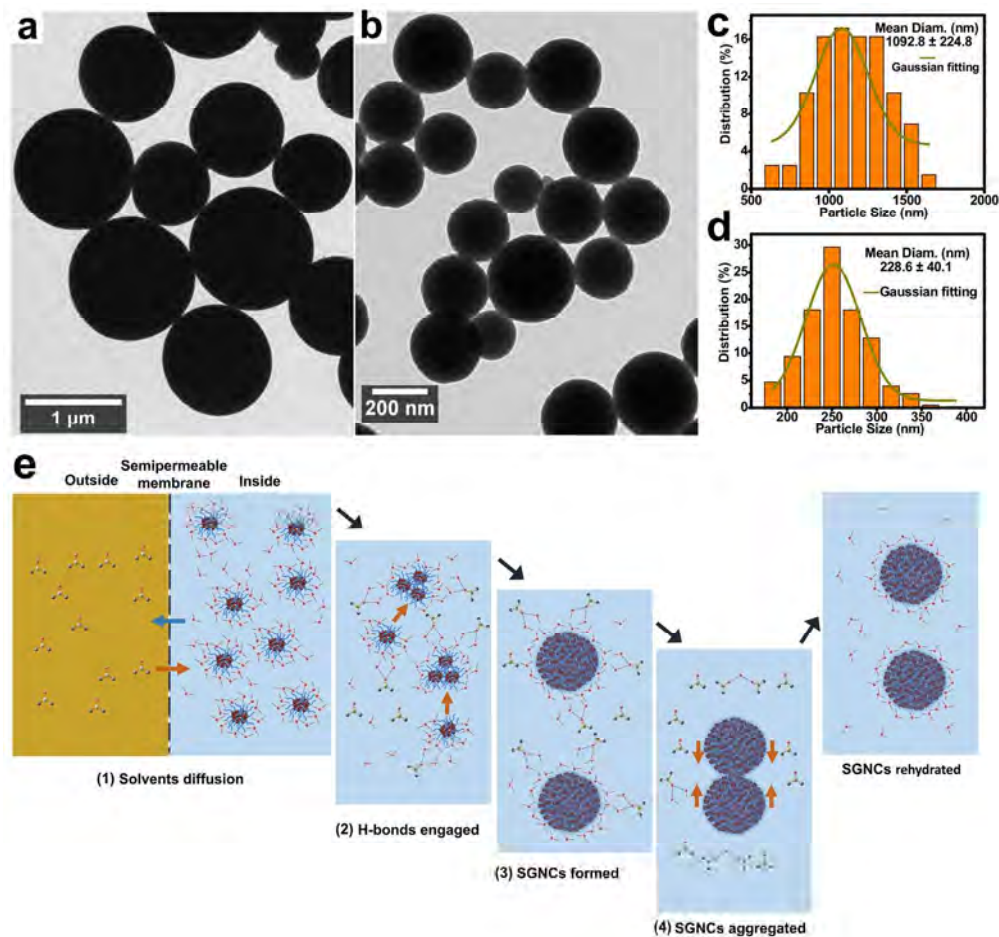


Figure 2. Dialysis-induced and solvent-dependent SGNCs formation. TEM images of the formed SGNCs when GNCs aqueous solution dialyzed against (a) DMSO and (b) DMF, respectively. (c-d) The core size distributions (histogram and Gaussian fitting) from TEM measurements of (a) and (b), respectively. Scale bars, 1 μm (a); 200 nm (b). (e) Schematic representation of the dialysis process of GNCs aqueous solution against DMSO solvent: (1) solvents diffusion, (2) H-bonds engaged, (3) SGNCs formed, (4) SGNCs aggregated. When the turbid solution in the dialysis bag was collected, precipitated, and resuspended in water, the SGNCs rehydrated and dispersed in water.

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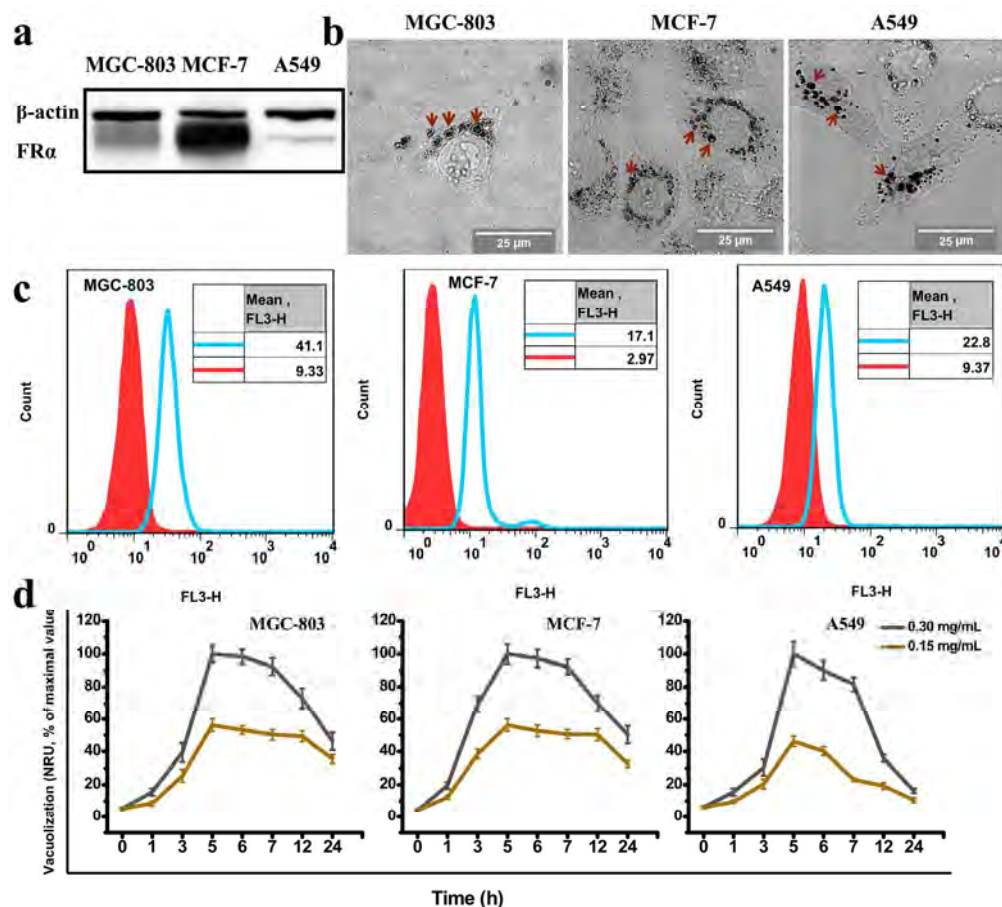


Figure 3. (a) Western blot analysis of FR α expression in MGC-803, MCF-7, and A549 cells. (b) Neutral red accumulated in MGC-803, MCF-7, and A549 cells after exposure to 0.15 mg/mL SGNCs-FA complexes for 2 h, respectively. Arrowheads point at vacuoles completely stained with the neutral red. (c) Flow cytometry analysis of the cellular uptake of SGNCs-FA complexes. Red and cyan histograms represent fluorescence signals of the untreated cells, the cells treated with 0.15 mg/mL SGNCs-FA complexes for 2 h, respectively. (d) The dose-response and time-course of cytoplasmic vacuolization induced by SGNCs-FA complexes.

Neutral red accumulated in cells was quantified by OD₅₅₀ nm. The extent of cellular vacuolization was expressed as percent of the maximal observed uptake within the same experiment at the indicated time. All values shown were the average of quintuplicates \pm SD.

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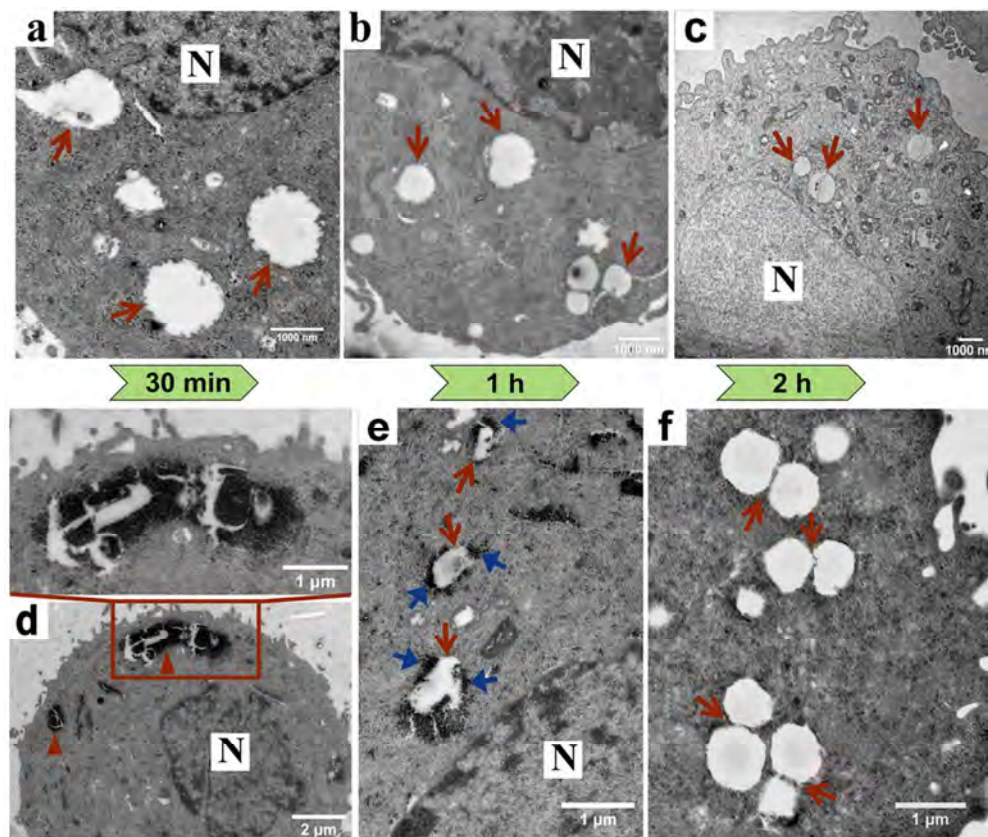


Figure 4. Ultrastructure observation of cytoplasmic vacuoles in (a) MGC-803, (b) MCF-7, and (c) A549 cell after co-incubation with 0.3 mg/mL SGNCs-FA complexes for 3 h, respectively. The formation process of cytoplasmic vacuoles in MGC-803 cells at (d) 30 min, (e) 1 h, and (f) 2 h after co-incubation with 0.3 mg/mL SGNCs-FA complexes. Red arrows in (a-c) point at the vacuoles; red triangles in (d) point at endocytic vesicle; red and blue arrows in (e) indicate the endocytic vesicles which are transforming into vacuoles and SGNCs-FA complexes which are escaping from vesicles into cytosol, respectively; red arrows in (f) indicate the fusion behaviors of cytoplasmic vacuoles. Abbreviation: N, nucleus. Scale bars, 1000 nm (a-c); 2 μm (d); 1 μm (inset of d, e, f).

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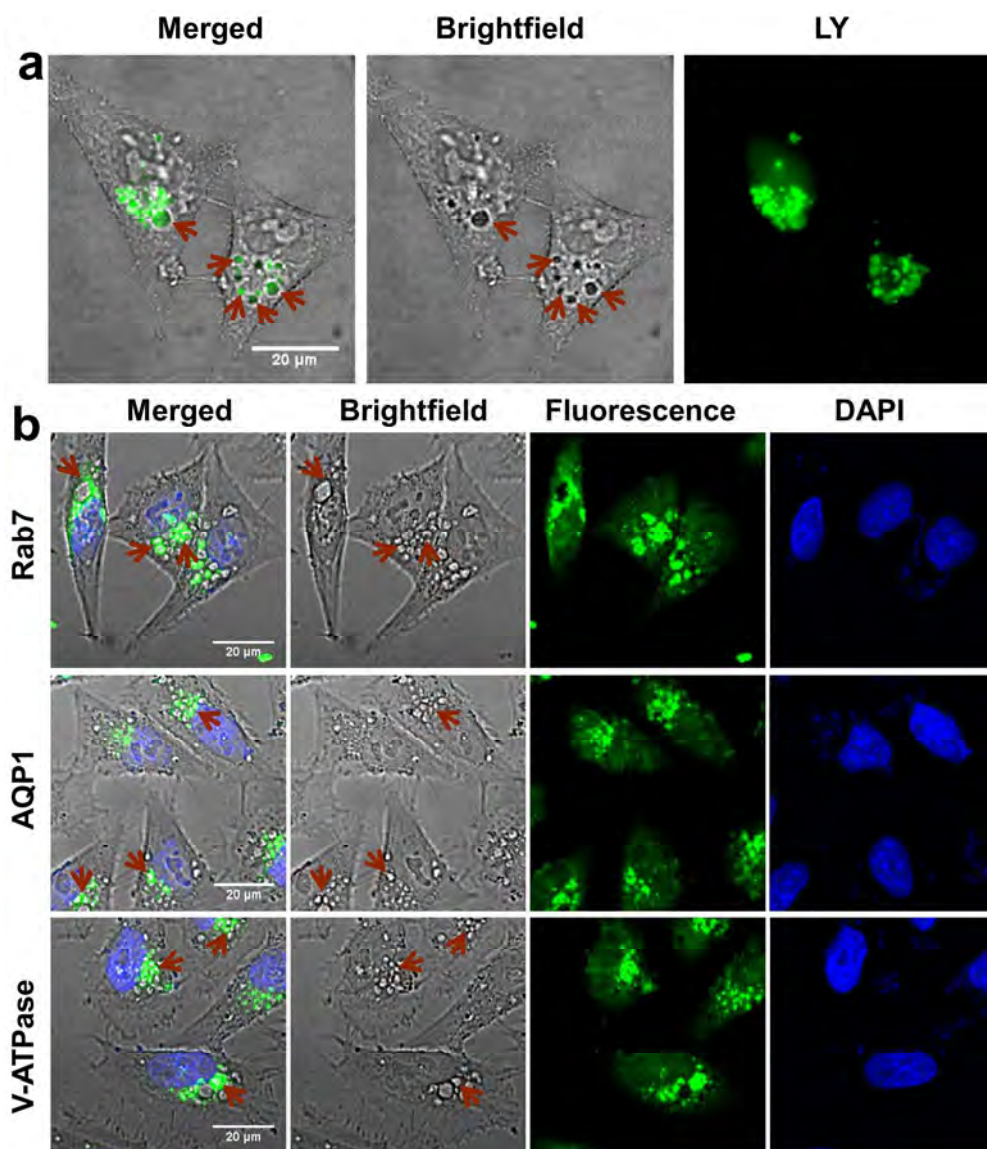


Figure 5. Fluorescent analysis of the cytoplasmic vacuoles. (a) Localization of LY in vacuolated MGC-803 cells induced by SGNCs-FA complexes. Arrowhead points at a subpopulation of LY-containing vacuoles. (b) Immunofluorescent staining of vacuolated MGC-803 cells for Rab7, AQP1, and V-ATPase. From right to left panel, representative DAPI blue fluorescence images of the nucleus, green fluorescence images of specific protein markers, brightfield microscopy images, and the merged images are presented. Arrowheads points at vacuoles. Scale bars, 20 μm . Abbreviations: LY, lucifer yellow; V-ATPase, vacuolar H^+ -ATPase; AQP1, Aquaporin 1; DAPI, 4'-6-diamidino-2-phenylindole.

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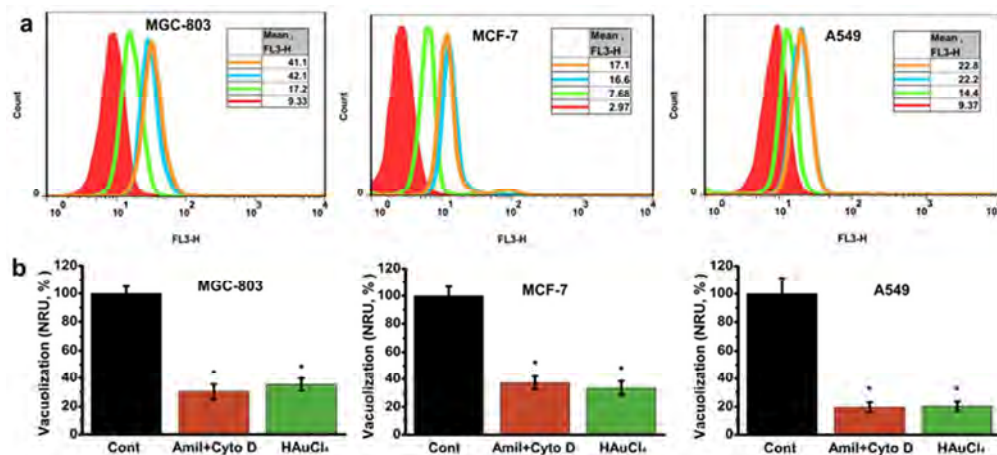


Figure 6. Pharmacological inhibition of macropinocytosis and AQP1. (a) Flow-cytometry-based analysis of the cellular uptake of SGNCs-FA complexes after pretreated with inhibitors. The red, green, cyan, and orange histograms represented fluorescence signals of the untreated cells, the cells with macropinocytosis inhibitors (amiloride 100 $\mu\text{g}/\text{mL}$ + Cyto D 2 mM, 2 h) pretreating and subsequent SGNCs-FA complexes exposure, the cells with AQP1 inhibitor (HAuCl₄ 200 μM , 6 min) pretreating and subsequent SGNCs-FA complexes exposure, and the cells with SGNCs-FA complexes exposure. (b) The extent of SGNCs-FA-induced vacuolization in cells with or without inhibitors pretreating was determined by NRU assay. Cells without inhibitors pretreating but expose to SGNCs-FA complexes were taken as the control groups (set as 100% vacuolization efficiency). The pharmacological inhibitors pretreating and SGNCs-FA complexes exposure conditions in (b) were the same as used in (a). All values shown were the average of quintuplicates \pm SD. Statistical differences were determined by Student's *t*-test, * significant against the control group, $P < 0.001$.

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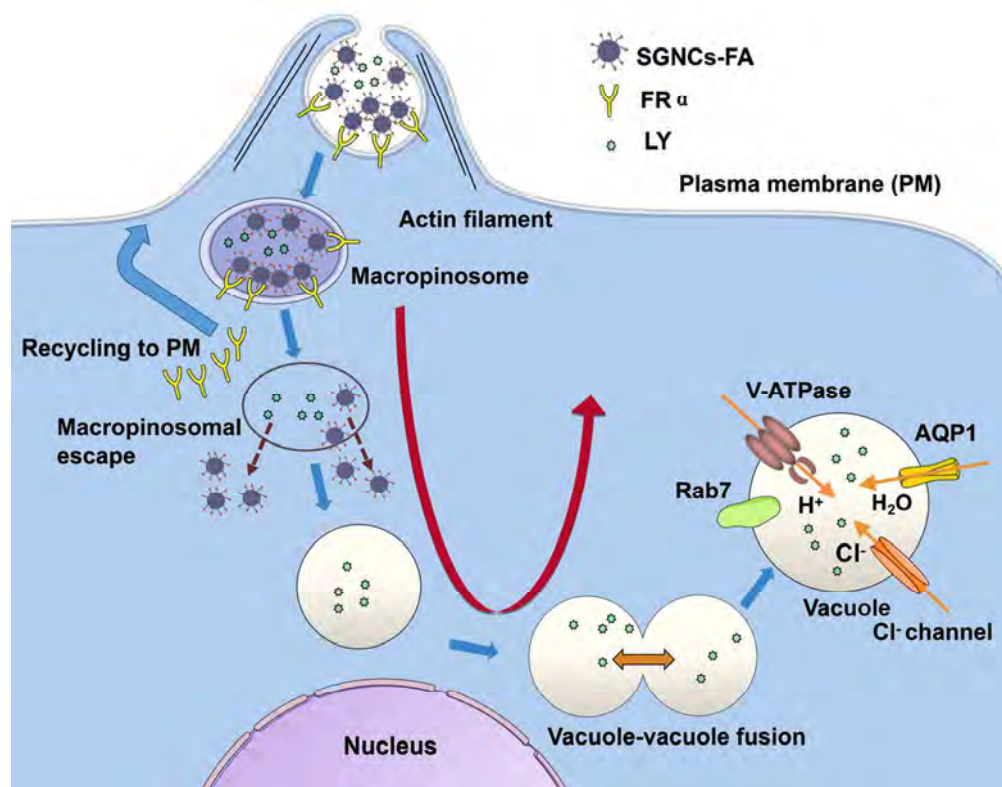


Figure 7. Schematic representation of how the SGNCs-FA complexes mimicking pathogenic invasion by the activation of macropinocytosis, the use of macropinosomal escape and inducing cytoplasmic vacuolization.

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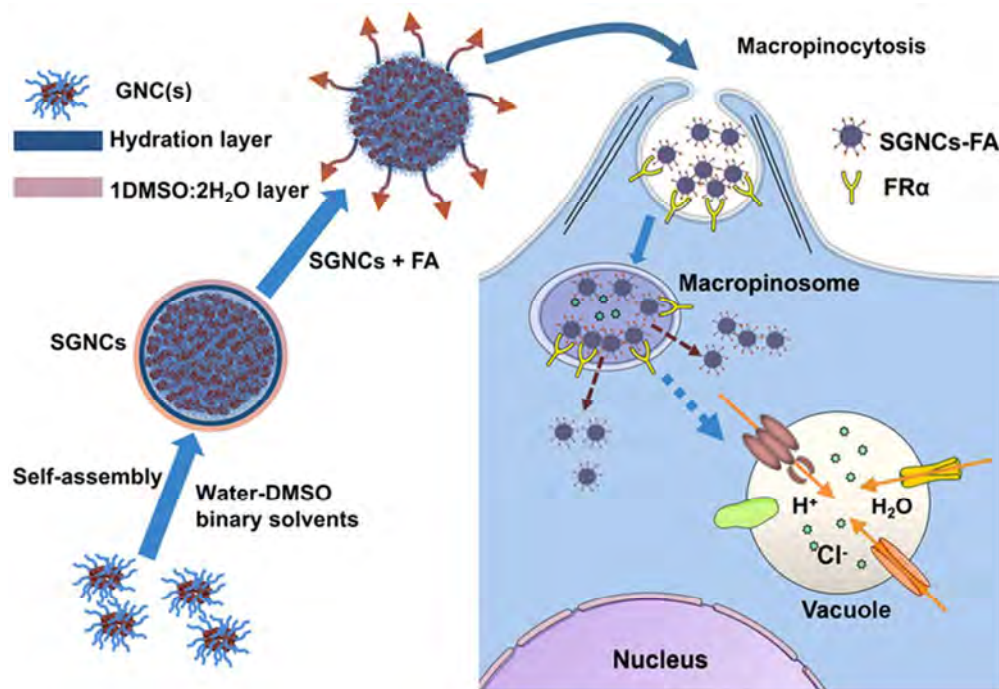


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