

1 **Disaggregated anisotropy of the upper and lower crust and deformation** 2 **style in the southeast margin of Tibet**

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10

11 **Abstract**

12 The arrival times of the converted P-to-S phase at an intracrustal discontinuity (Pis) or at
13 the Moho (Pms) provide a powerful diagnostic tool for detecting anisotropy with
14 horizontal symmetry axis. In this study, we use Pis and Pms arrival times extracted from P
15 receiver functions to determine **by shear wave splitting** the anisotropy of the upper and
16 lower crust **separately** in the southeast margin of Tibet. As far as our knowledge is
17 concerned, it is the first time that **such a** study deals with disaggregating the anisotropy of
18 the upper crust from the lower one in the SE of Tibet. The instrument network consisted of
19 285 temporary broadband stations plus 3 permanent stations deployed in Yunnan and
20 adjacent areas, which recorded 278 teleseismic events with $M_s \geq 5.8$. Once the receiver
21 functions were calculated, we follow a two-stage work scheme: first we measure the
22 splitting parameters of the upper crust by fitting the Pis-phase arrival time, and then, after
23 correcting for the effect of the anisotropic upper crust on the Pms arrival, we adjust the

24 Pms-phase arrival to obtain the splitting parameters of the lower crust. In this way, we
25 achieved 75 double-layer splitting measurements. In the upper crust, the delay times vary
26 between 0.05 s and 1.34 s with an average of $0.53 \text{ s} \pm 0.29 \text{ s}$, while they range from 0.06 s
27 to 1.42 s with an average of $0.62 \text{ s} \pm 0.33 \text{ s}$ in the lower crust. In addition to the existence
28 of a wide intracrustal low-velocity zone, the results confirm that the upper crust and the
29 lower crust are decoupled anisotropic structures in the SE margin of Tibet. In the upper
30 crust, the fast wave polarization directions show a clockwise rotation around the Eastern
31 Himalayan Syntax, suggesting that the extensional fluid-saturated microcracks induced by
32 rigid extrusion from central Tibet are mostly responsible for the observed anisotropy. In
33 contrast, the lattice preferred orientation of anisotropic minerals induced by a channel flow
34 seems to be the main cause of the detected anisotropy in the lower crust.

35 Keywords: Ps-wave splitting; double-layer crustal anisotropy; layer-stripping technique;
36 crustal deformation mechanism; southeast Tibet.

37

38 1. Introduction

39 The India-Eurasia continental collision occurred ~70Ma ago is the most spectacular
40 example of mountain building and plateau development, which has caused at least a
41 shortening of the crust of about 1400 km (Yin & Harrison, 2000). The southeast margin of
42 Tibet is particularly important for understanding the expansion of the Tibetan Plateau. The
43 area is located at the transition zone between the heartland of the plateau (average
44 elevation of 4500 m) and the South China block (Fig. 1a). The crustal thickness varies
45 dramatically from ~60 km in the Songpan-Ganzi fold system and the northern part of the
46 Sichuan-Yunnan diamond-shaped block (SYDSB), near the Eastern Himalayan Syntax

47 (EHS), to ~33 km in southern Yunnan (Hu et al., 2018). This feature reveals that the crust
48 in the southeast margin of Tibet has undergone a strong tectonic deformation during the
49 Indo-Asian collision (Molnar & Tapponnier, 1975; England & Molnar, 1997; England &
50 Houseman, 1988; Yin, 2000). This process of continental deformation seems to
51 accommodate by a lateral rigid extrusion along great strike-slip faults, such as the
52 Xianshuihe-Xiaojiang fault, Jinshajiang-Red River fault and Sagaing fault (Molnar &
53 Tapponnier, 1975; Tapponnier et al., 1982, 1990, 2001; Yin & Harrison, 2000). These
54 faults divide the study region into the Tengchong-Baoshan Block (TBB), the Central
55 Yunnan Block (CYB), the Eastern Yunnan Block (EYB) and the Lanping-Simao Block
56 (LSB) (Wang et al., 1998, 2014) (Fig. 1b).

57 GPS observations have confirmed that crustal materials are moving southeastward and
58 undergoing a clockwise rotation around the Eastern Himalayan Syntax (Zhang et al., 2004;
59 Gan et al., 2007). Undoubtedly, the surface geological features and the GPS data provide
60 direct constraints on the surface deformation, even on the shallow crust, but not for the
61 whole crust, in particular for the middle-to-lower crust. Two end-member models including
62 lateral extrusion of rigid blocks and lower crust flow have been proposed to explain the
63 mechanism responsible for the expansion and uplift in the southeast margin of Tibet
64 (Molnar & Tapponnier, 1975; Tapponnier et al., 1982; Royden et al., 1997; Clark &
65 Royden, 2000). The lower crustal flow model is a popular model because it offers a
66 reasonable interpretation of the surface deformation and mountain building in eastern Tibet
67 (Royden et al., 1997; Clark & Royden, 2000). The hypothesis is that lower crustal flow
68 inflated the crust by injecting mechanically weak lithospheric material coming from central
69 Tibet, so that there are no major crustal thrust faults in the SE margin of Tibet (Klemperer,

2006; Royden et al., 2008). However, this still raises some controversy and is the subject of lively debate due to the lack of definitive evidence. For instance, the lateral extrusion model of rigid blocks proposed that deformation occurred primarily along strike-slip faults that bound the blocks (Molnar & Tapponnier, 1975; Tapponnier et al., 1982); but it cannot give a reasonable interpretation to the earthquakes occurred inside of blocks. The lower crustal flow model assumed that there exists a mechanically weak layer extended widely within the middle-to-lower crust (Royden et al., 1997; Clark & Royden, 2000); however, later studies (Bai et al., 2010; Bao et al., 2015) argued that the lower crustal flow runs along two arc-shaped channels along the Xianshuihe-Xiaojiang fault and Jiali-Nujiang fault (Fig. 1a). On the other hand, some researchers disagree that the lower crustal flow has penetrated through the Jinshajiang-Red River fault and has extended to the Indochina block (Zheng et al., 2017; Hu et al., 2018).

In this study, we address the problem from the point of view of the anisotropy structure. Since the pervasive deformation of the rocks can produce anisotropy at the seismic wavelength scale (Mainprice & Nicolas, 1989), the seismic anisotropy can in turn provide important constraints on the geodynamic models for the lifting and lateral expansion of the Tibetan Plateau. Many studies based on SKS/SKKS splitting have focused on the anisotropy and deformation of the crust and mantle in eastern Tibet (Flesch et al., 2005; Lev et al., 2006; Sol et al., 2007; Levin et al., 2008; Wang et al., 2008; Chang et al., 2015). Nevertheless, shear wave splitting (hereafter SWS) offers excellent lateral resolution but poor vertical resolution (Savage, 1999). Unlike the converted phase at the core-mantle boundary, the Moho converted Pms phase is strictly confined in the crust and therefore can provide valuable knowledge about the deformation of the crust (Chen et al.,

2013). In the SE margin of Tibet, Pms splitting parameters obtained from receiver functions recorded by sparse seismic networks have provided valuable information on the structure and deformation of the crust (Sun et al., 2012, 2015; Chen et al., 2013; Yang et al. 2015; Cai et al., 2016; Kong et al., 2016). However, there are considerable discrepancies in both the fast wave polarization direction and the delay time. In addition to these discrepancies, another issue arises in relation to the source of anisotropy, since there are results that speculate that the source causing Pms splitting in the SE margin of Tibet should be attributed mainly to the middle and lower crust flow, in any case below 15 km depth (Cai et al., 2016; Sun et al., 2012, 2015).

We are interested in investigating the anisotropy of the upper crust separately from that of the lower crust; but the reality is that Pms-wave splitting is due to the anisotropy of the upper crust or the lower crust, or of these two layers together. Pms splitting provides only one pair of splitting parameters (fast wave polarization direction and delay time) concerning the entire crust, which must be considered as apparent values to the extent that they are the result of the superposition of the effects of the individual layers rather than a simple sum of splitting parameters (Rümpker et al., 2014). In order to investigate the deformation mechanism of the crust in the southeast margin of Tibet, we use the layer-stripping technique (Rümpker et al., 2014) to obtain the anisotropic parameters corresponding to the upper and lower crust from P receiver functions (PRFs) recorded at the same array stations used by Cai et al (2016) and in three permanent stations more.

113

114 2. Data and method

115 2.1. Data acquisition and routine operations

116 We use seismic data recorded by 285 seismographs deployed in Yunnan and adjacent
117 areas in the framework of the ChinArray program and 3 other permanent stations (Fig. 1b).
118 These stations were equipped with seismometers CMG-40T or CMG-3ESPC and installed
119 with an average spacing between stations of ~ 35 km by the China Earthquake
120 Administration and the Nanjing University. They were in operation from June 2011 to
121 November 2013 and are the same stations used by Cai et al. (2016) to obtain Pms wave
122 splitting measurements. We collected 278 teleseismic events with $M_s \geq 5.8$ and epicentral
123 distances between 30° and 95° (Fig. 1c) for further computation of PRFs.

124 In practice, the ZNE displacement components are rotated to the LQT ray-coordinate
125 system, so that the longitudinal Pp wave is polarized on the L component while the
126 transversal Ps wave is polarized on the Q component. Theoretically, the effects due to the
127 seismic source and seismic path traveled by the waves can be removed from the
128 seismograms by deconvolution (Vinnik, 1977; Langston, 1977, 1979). We use a
129 controlled-bandwidth Gaussian filter to low-pass filter the signal components through the
130 parameter that controls the bandwidth of the filter that we set to be 2.0. (Ammon, 1991).
131 Next, we isolate the converted Ps phase from PRFs by iterative time-domain deconvolution
132 of the L component from the Q component (Ligorria & Ammon, 1999; Peng et al., 2019).
133 To ensure receiver functions with high signal-to-noise ratio (SNR), we discard the
134 waveforms with $SNR < 5$. Finally, we gathered 43060 PRFs.

135 For a given discontinuity, the arrival time of the converted Ps wave is a function of the
136 incidence angle controlled by the slowness. In order to eliminate the dependence of the

137 arrival time on the epicentral distance and the slowness, all individual PRFs were moveout
 138 corrected to a reference epicentral distance of 67° (Dueker et al., 1997, 1998) using the
 139 IASP91 model (Kennett & Engdahl, 1991) and then stacked in a single trace to obtain the
 140 Ps arrival time.

141 2.2. Two-layer anisotropy versus single-layer anisotropy

142 **Let's reproduce the theory briefly.** Assuming a single anisotropic layer with horizontal
 143 symmetry axis, the converted Ps-phase arrival time varies systematically with the
 144 back-azimuth of the seismic source and **can be expressed as follow** (Rümpker et al., 2014):

$$145 \quad t_{Ps} = t_0 + \Delta t = t_0 - \frac{\delta t}{2} \cos[2(\theta - \varphi)] \quad (1)$$

146 where t_0 is the Ps arrival time in the isotropic case and Δt is the offset caused by crustal
 147 anisotropy along the raypath; δt and φ are the splitting parameters, i.e. the delay time
 148 between the fast and slow waves and the fast-wave polarization direction (hereafter
 149 abbreviated by FPD), respectively; θ is the back-azimuth of the incident ray measured
 150 clockwise from the north. This equation indicates that the anisotropy obeys to a
 151 characteristic degree-2 (180°-periodic) back-azimuth pattern in travel times (Vera & Mahan,
 152 2014). The classical splitting parameters can be obtained by fitting the Ps arrival time using
 153 the grid-search scheme, which consists of finding the optimal combination of t_0 , δt and
 154 φ that gives the minimum difference between the observed and predicted arrival times:

$$155 \quad S(\delta t, \varphi) = \sum_{i=1}^N (t_{Ps}^{(i)}(\delta t, \varphi) - t_{Obs}^{(i)})^2 \quad (2)$$

156 where N is the total number of PRFs, and $t_{Obs}^{(i)}$ and $t_{Ps}^{(i)}$ denote respectively the observed
 157 and predicted Ps arrival times in the i-th waveform. When $S(\delta t, \varphi)$ reaches the minimum
 158 value on the solution surface, the values of δt and φ become the optimal splitting

parameters. Uncertainties affecting the splitting parameters can be estimated from the flatness of $S(\delta t, \varphi)$ around the minimum, as described by [Zhu & Kanamori \(2000\)](#).

In the case of two anisotropic layers with different splitting parameters, we assume that the Ps_1 phase is generated at the base of the upper layer, while the Ps_2 phase is generated at the base of the lower layer. For a weakly anisotropic medium, the combined effect of the two layers can be approximated by the summation of moveouts in both layers ([Rümpker et al., 2014](#)):

$$\Delta t_{1,2} = \Delta t_1 + \Delta t_2 \quad (3)$$

where the subscripts of 1 and 2 denote upper layer and lower layer, respectively. The total moveout for the Ps_2 phase can be expressed by:

$$\Delta t_{1,2} = -\frac{\delta t_{1,2}}{2} \cos[2(\theta - \varphi_{1,2})] \quad (4)$$

where $\delta t_{1,2}$ and $\varphi_{1,2}$ denote the apparent splitting parameters of the two anisotropic layers, whose respective expressions are:

$$\delta t_{1,2} = \sqrt{\delta t_1^2 + \delta t_2^2 + 2\delta t_1 \delta t_2 \cos[2(\varphi_1 - \varphi_2)]} \quad (5)$$

$$\tan(\phi_{1,2}) = \frac{\delta t_1 \sin(2\varphi_1) + \delta t_2 \sin(2\varphi_2)}{\delta t_1 \cos(2\varphi_1) + \delta t_2 \cos(2\varphi_2)} \quad (6)$$

These equations indicate that the total effect of the two anisotropic layers is given by the effects of the individual layers, instead of a simple summation of splitting parameters. We obtain the splitting parameters of the upper layer by fitting the Ps_1 phase arrival time (as in the single-layer case described above); then we remove the effect of the upper layer on the Ps_2 phase arrival time by subtracting Δt_1 in equation (3). After correcting for the anisotropy of the upper layer, the anisotropic parameters of lower layer are obtained by fitting the Ps_2 phase arrival time. This procedure is called layer-stripping technique.

182 3. Results

183 3.1. Double-layer crustal anisotropy

184 In Fig. 2 we show the receiver functions obtained at station 53133 (Fig. 2a) together
185 with an enlarged view of the arrival times of the Pms peaks (Fig. 2b). Based on previous
186 knowledge of the crustal thickness (Bao et al., 2015; Hu et al., 2018), the Pms phase
187 should occur at ~ 5 s in southern Yunnan. To obtain the anisotropic parameters of the crust,
188 the arrival time t_0 was set to 4.8 s in equation (1) to then allow a sweeping within the
189 interval from $t_0 - 0.5$ s to $t_0 + 0.5$ s with step of 0.1 s. So, for a given value of t_0 , a candidate
190 solution can be obtained by following the aforementioned grid-search scheme. After
191 repeating this procedure, the optimal solution given by the smallest squared difference (2)
192 is selected from the series of candidate solutions. Thus we obtained the pair of splitting
193 parameters 0.66 ± 0.08 s and $-66 \pm 4^\circ$ (Fig. 2c). The theoretical arrival times computed from
194 the splitting parameters fit well the observed arrival times of the Pms phase (Fig. 2b).
195 Unfortunately, although there is a converted P-to-S phase at an intracrustal interface in the
196 azimuthal gather of receiver functions (Fig. 2a), it cannot be used to estimate the
197 anisotropy of the upper crust due to the poor coherence in polarity.

198 To obtain robust two-layer crustal anisotropy measurements, the following
199 preconditions must be met: (1) existence of an intracrustal discontinuity with a marked
200 contrast of seismic velocity; (2) clear and azimuthally varying Pis arrival times; (3)
201 high-quality receiver functions with good azimuthal coverage. Now we present the results
202 obtained at station 53216 installed near to the Xianshuihe-Xiaojiang fault, where a big
203 Ms=8 magnitude earthquake occurred in 1833, suggesting a strong deformation of the crust.
204 We can see two converted phases with distinct polarity (Fig. 3a): one negative (the Pis

205 phase) at ~ 2.1 s and another positive (the Pms phase) at ~ 5.0 s that does not exhibit
 206 azimuthal dependence. The average arrival time t_0 was set to 5.1 s, and we obtained the
 207 pair of Pms splitting parameters 0.25 ± 0.06 s and $57 \pm 6^\circ$ (Fig. 3b). In principle, this result
 208 reveals a weak anisotropic crust. However, the intracrustal phase after the direct P-wave (at
 209 ~ 2.1 s) exhibits a significant azimuthal dependence (Fig. 3a), so that we fitted the arrival
 210 time for obtaining the anisotropy in the upper crust and obtained the splitting parameters
 211 0.97 ± 0.09 s and $-34 \pm 2^\circ$ (Fig. 3c). As can be seen, the theoretical Pis-phase arrival time
 212 computed from the previous anisotropic parameters fits well the observed arrival time (Fig.
 213 3c). In this case we can remove the effect of the anisotropic upper crust on the Moho
 214 converted Pms phase by subtracting the time Δt_1 from the observed total moveout $\Delta t_{1,2}$
 215 of the Pms phase. After correcting for upper crust anisotropy by layer stripping, the Pms
 216 arrival time exhibits a characteristic degree-2 back-azimuth pattern (Fig. 3d). Then we
 217 fitted again the Pms arrival time and we finally obtained the splitting parameters 1.27 ± 0.10
 218 s and $55 \pm 2^\circ$ (Fig. 3d), implying that the lower crust is highly anisotropic. This example
 219 demonstrates that the apparent splitting parameters do not reflect the crustal anisotropy
 220 correctly when FPD (55°) in the lower crust is almost perpendicular to FPD (-34°) in the
 221 upper crust.

222 As another example of two-layer crustal anisotropy measurement, we present the
 223 results at station 51010. In contrast with station 53216, the Pis phase shows a positive
 224 polarity and exhibits a very weak azimuthal dependence, while the Pms phase exhibits an
 225 obvious azimuthal dependence (Fig. 3e). The splitting parameters 0.76 ± 0.09 s and $48 \pm 3^\circ$
 226 obtained by fitting the original Pms-phase arrivals (Fig. 3f), suggest that the crust is
 227 apparently highly anisotropic. Adjusting the Pis-phase arrival time, the splitting parameters

of the upper crust are 0.47 ± 0.07 s and $48 \pm 4^\circ$ (Fig. 3g); then, after removing the anisotropy of the upper crust, we finally obtained the splitting parameters of the lower crust 0.21 ± 0.06 s and $44 \pm 7^\circ$ (Fig. 3h). This result makes clear that the upper crust is comparatively more anisotropic than the lower crust, so we should not simply attribute the anisotropy source causing the Pms phase splitting to the lower crust as in previous studies (Sun et al., 2012, 2015; Cai et al., 2016).

Lastly, we obtained 275 pairs of Pms-splitting-values for crustal anisotropy (Fig. S1). The delay times vary between 0.08 s and 1.44 s, with an average of 0.50 ± 0.27 s. For the set of stations that met the established preconditions, we applied the disaggregation method described above and obtained a total of 75 disaggregated anisotropy parameters estimated by Pis and Pms splitting for the upper and lower crust (Table 1). In the upper crust, the delay time ranges from 0.05 s to 1.34 s, with an average of 0.53 ± 0.29 s, while in the lower crust the splitting time varies from 0.06 s to 1.42 s, with an average of 0.62 ± 0.33 s. This suggests the existence of a relevant shear zone in the lower crust. The averaged SWS parameters that characterize the disaggregated anisotropy of the upper and lower crust in different tectonic units are listed in Table 2.

3.2. Upper crust thickness

The Pis-phase splitting delay time in relation to the direct P-wave provides essential information to tightly constraint the depth of the intracrustal discontinuity where the phase originates. For a given discontinuity at a depth d , the arrival time of the converted Ps-phase is given by (Dueker et al., 1997, 1998):

$$T_{Pds} = \int_{-d}^0 (\sqrt{V_s^{-2} - p^2} - \sqrt{V_p^{-2} - p^2}) dz \quad (7)$$

250 where V_p and V_s denote the velocities of the P and S waves, respectively, z is depth, and p
251 is the ray parameter. In this equation, the arrival time obviously depends on the slowness
252 (or epicentral distance). Based on the IASP91 model (Kennett & Engdahl, 1991), we can
253 calculate the curve that defines the relationship between the conversion depth and the
254 arrival time of the converted P-to-S wave for given a ray parameter, so that the depth of the
255 upper crust can be obtained from this curve once the Pis-phase arrival time is given.

256 We must emphasize that our interest is focused on the first converted phase behind the
257 direct P-wave; and the reason is that the reverberations at the intracrustal interface can
258 mask other converted phases and hinder its identification. The recognition or not of the
259 Pis-phase depends on the following criteria: (1) the coherence of the first converted phase
260 arriving behind the direct P-wave in the individual receiver functions and in the stacked
261 trace; (2) the amplitude of the converted phase in the stacked trace that must be above the
262 95% confidence limit, i.e. must exceed the $\pm 2\sigma$ error limit (see online supplementary
263 material and Fig. S2). We obtained 153 Pis-phase delay time measurements in the range of
264 1.66-3.08 s with an average value of 2.42 s. Based on the IASP91 model (Kennett &
265 Engdahl, 1991), we converted the time data into depth data (Dueker et al., 1997, 1998) to
266 obtain the upper crust thickness in the study area. Fig. 4 shows the results mapped by
267 isolines drawn at 3 km intervals. The upper crust thickness varies from ~15 km in TBB and
268 LSB to ~21 km in CYB, and decreases rapidly eastward up to 15-18 km in EYB across the
269 Xiaojiang fault.

270 The Pis-phase polarity (which in Fig. 4 can be distinguished by the color of the
271 triangles that indicate the locations of the array stations) also provides an important
272 constraint on the seismic impedance below the intracrustal discontinuity. Thus, the

273 observed negative polarity indicates that a wide low-velocity zone seems to spread from
274 CYB to EYB across the Xiaojiang fault, and toward LSB and TBB across the
275 Jinshajiang-Red River fault.

276 3.3. Pis and Pms splitting vectors and stress field

277 In Fig. 5a, we present the focal mechanism solutions calculated for earthquakes with
278 $M_s \geq 4.0$ that have occurred in the southeast of Tibet since 1965 AD to 2017. This allows
279 us to determine the maximum horizontal compression stress by stress-field inversion for
280 different tectonic blocks and compare with the FPDs given by splitting analysis. Fig. 5b
281 shows the maximum compression stress for different tectonic blocks (black rose diagrams)
282 together with the Pis and Pms splitting vectors and the respective rose diagrams. What first
283 draws attention is that the SWS directions in the upper crust estimated by Pis splitting (red
284 bars) clearly differ from those of the disaggregated anisotropy in the lower crust measured
285 by Pms splitting (blue bars), which means two layers with a differentiated anisotropy
286 regime.

287

288 4. Discussion

289 4.1. About the splitting measurements

290 Four wide-angle seismic profiles reveal that the crust in the Yunnan region can be
291 roughly divided into upper, middle and lower crust (Zhang and Wang, 2009), but this
292 stratification is only observed in some stations (Fig. S2). For this reason and for the sake of
293 simplicity we have considered a two-layer crustal model for our analysis. Nonetheless, the
294 fact that only one robust Pis phase is observed in most stations suggests the existence of a
295 fairly defined intracrustal interface in Yunnan.

296 On the other hand, it is true that there is an event gap in the azimuthal range 190°-260°
297 (Fig. 1c), so this gap could yield uncertainty in the results. Even so, the azimuthal coverage
298 of incident seismic rays does not involve any impediment to gather a sufficient number of
299 clear intracrustal phases and reliable SWS parameters.

300 Unlike previous studies (Sun et al., 2012, 2015; Chen et al., 2013; Cai et al., 2016;
301 Kong et al., 2016), this study is aimed to quantify the upper and lower crustal anisotropy
302 separately under the assumption of a horizontal symmetry axis and a flat interface. The
303 periodic variation of the Ps arrivals with the back-azimuth reveals as a useful diagnostic
304 tool for azimuthal anisotropy (Vera & Mahan, 2014). The technique employed in this study
305 assumes that an anisotropic structure with a horizontal axis of symmetry causes Ps-wave
306 splitting. Synthetic results indicate that the tilted axis (30°) has a clear effect on the radial
307 and transverse components of the Ps phase; however, its influence on the arrival time of
308 the radial component is insignificant (Zheng et al., 2018). Furthermore, the Ps arrivals are
309 easily picked up in the PRFs, so they can provide a robust method to adjust arrival times
310 and obtain splitting parameters.

311 The FPDs deduced from the (non-disaggregated) Pms splitting parameters are quite
312 similar to those obtained by Cai et al. (2016) using the same array (Fig. S1); but the
313 average Pms splitting time of 0.28 s is smaller than ours. However, the average splitting
314 time of ~0.50 s agrees with the delay time obtained at permanent stations (Sun et al., 2012,
315 2015; Yang et al., 2015; Wu et al., 2015; Wang et al., 2016; Zheng et al., 2018). The
316 consistency of the crustal anisotropy estimations suggests that the assumption of horizontal
317 axis is likely valid. The reason for the previous discrepancy in splitting time can simply be
318 attributed to the method of analysis.

319 We assume that a single anisotropic layer with a horizontal axis of symmetry causes
320 the converted P-to-S phase arrivals to show azimuthal variation as the cosine function.
321 Theoretically, an azimuthal range of 180° can meet the adjustment conditions due to the
322 presence of a degree-2 (180°-periodic) azimuthal variation in arrival times, even though the
323 seismic events do not occur uniformly around the station. This does not avoid low SNR in
324 the stacked trace along some particular azimuth. To obtain reliable division parameters,
325 good azimuthal coverage of the incident rays is required. In addition, small-scale azimuthal
326 variations in crustal velocity and a dipping Moho may affect the arrival times of the
327 converted seismic phases. Therefore, it may be inappropriate to compare our results
328 directly with those provided by previous studies, because there are many discrepancies in
329 the data or stations.

330 Some previous studies used transverse receiver functions (Chen et al., 2013; Cai et al.,
331 2016). The fact that the Pms arrivals on the transverse receiver functions have a much
332 lower SNR than on the radial component, suggests that it is practically impossible to
333 remove the anisotropy completely when the energy in the transverse component is
334 corrected to its minimum value.

335 The splitting delay times in the lower crust vary from 0.06 s to 1.42 s with an average
336 of 0.62 ± 0.33 s. If we assume an average S-wave velocity of 3.6 km/s (Bao et al., 2015)
337 and a ~20-km-thick layer, a supposed lower crust with 11% of azimuthal anisotropy could
338 explain the splitting time of 0.62 s. Okaya et al. (1995) reported a high degree of
339 anisotropy like this in lower crust schists. Mica and amphibole are also two candidate
340 minerals to originate a strongly anisotropic crust; Tatham et al. (2008) found that
341 amphibole can generate up to 13% of seismic anisotropy under strong shear conditions.

342 4.2. The Pis-phase and two-layer anisotropy

343 A strong contrast of seismic impedance, i.e. an abrupt jump in P- and S-wave velocity,
344 as well as in density, is a clue of an intracrustal interface. A negative velocity gradient will
345 generate a negative polarity phase at the top of the surface in the case of a near-vertically
346 incident P wave, and vice versa. Similar to the results obtained from seismic soundings
347 (Zhang and Wang, 2009), the observation of clear Pis arrivals in at least 153 stations
348 suggests the existence of a generalized intracrustal interface, at least in Yunnan. In the
349 other 125 stations, both positive and negative polarities at the same time window are
350 observed along the azimuthal variation, so that the stacked amplitude of Pis is too weak to
351 be distinguished (see Fig. 2S). GPS velocities (Zhang et al., 2004; Gan et al., 2007), strong
352 shallow earthquakes caused by subsurface tectonic movements (Hu et al., 2018), shear
353 wave splitting coming from local earthquakes confined in the depth range of 5-15 km (Shi
354 et al., 2012), are features that suggest that the upper crust is remarkably anisotropic in the
355 SE of Tibet. Although we achieved only 75 pairs of double-layer splitting measurements at
356 153 stations, the average splitting time of 0.53 s and 0.62 s in the upper and lower crust,
357 respectively, demonstrates that both the upper and lower are highly anisotropic. Leaving
358 aside the two-layer model, the fact is that the PRFs sample laterally a wide area, depending
359 on the incident angle and azimuth of the ray. So a laterally heterogeneous structure would
360 generate a converted phase, so that the Pis phase would have an inverse polarity when an
361 incident P-wave coming from different azimuth arrives at the same station (Bao et al,
362 2015). In this case, we can use the Pis delay time relative to the direct P-wave to estimate
363 the depth of the upper crust, but we cannot fit the arrival times to obtain the anisotropy
364 parameters of the upper crust. Therefore, only with coherent and azimuthally varying Pis

arrival times and a clear stacked trace, it is possible to apply the layer-stripping technique to obtain two-layer anisotropy. The existence of two-layer anisotropy is a questionable fact, as long as we do not have a method to isolate the anisotropy of both layers.

4.3. Upper crust anisotropy and compressional stress

A series of processes, such as fluid-filled fracture zones, vertical foliation planes containing anisotropic minerals, and mid/lower crustal flow that aligns anisotropic minerals, can result in crustal anisotropy. In general, it is believed that azimuthal anisotropy in the continental upper crust is mainly the result of the preferential orientation of fluid-saturated vertical cracks, and that the FPDs are sub-parallel to the direction of maximum horizontal compression (Crampin, 1981). The strength of anisotropy depends on the tectonic setting and thickness of the anisotropic layer.

The upper crust in the SE margin of Tibet has undergone severe cracking due to the eastward extrusion of the Tibetan plateau (Tapponnier et al., 1982) and numerous active faults have developed (Allen et al., 1991; King et al., 1997). The upper crust is relatively hard and fragile, and most local earthquakes have occurred in the upper crust since 1965. Hence, the anisotropy of the upper crust can be the sum of comprehensive effects of major faults, crustal earthquakes and surface tectonic movements (Yang et al., 2015). For the upper layer of the crust, we have found delay times varying from 0.05 s to 1.34 s, with an average of 0.53 ± 0.29 s, suggesting a remarkable anisotropy of the upper crust in the SE of Tibet. Previous studies suggest that the splitting time resulting from the preferred shape and orientation of fluid-saturated vertical cracks is normally less than 0.2 s (Crampin, 1994). Even for stations close to a fault zone, it is ~ 0.5 s (Savage et al., 1990), while the average splitting time for the upper crustal in the study area is 0.53 s, which seems to be

388 too large. Shi et al. (2012) gave an average delay time of 1.8 ± 1.2 ms/km from local SWS
389 in the Yunnan area. Based on the fact that 93% of the earthquakes occurred in Yunnan are
390 above a depth of 15 km, Cai et al. (2016) argued that the average cumulative splitting time
391 within the top 15 km of the crust should be less than 0.045 s. Now, local SWS
392 predominantly reflects the variation of the anisotropy along the ray path rather than the
393 vertical variation. Zheng et al. (2018) performed 7 double layer anisotropy measurements
394 and found a splitting time of 0.64 s for the upper crust in eastern Tibet, which is a value
395 similar to ours. Unfortunately, there are few similar measurements to comparison with
396 ours.

397 Mineral physics revealed that schists and gneisses, which are dominant in the
398 exhumed middle continental crust, consist of flat, sheet-like minerals such as biotite,
399 muscovite, chlorite, sericite, amphibole, talc and graphite; all of them have been
400 considered as examples of typical mineral that cause anisotropy (Brocher and Christensen,
401 1990; Burlini and Fountain, 1993). In the SE margin of Tibet, schist, felsic gneiss and
402 amphibolite are three major rocky constituents that occupy more than 95% of the
403 metamorphic terranes of west Yunnan (Leloup et al., 1995; Tapponnier et al., 1982, 1990;
404 Ji et al., 2000). A previous study (Ji et al., 2015) suggests that the crust, which contains
405 15-25 km thick schists, can contribute as much as 0.3-0.5 s to the observed delay times
406 caused by shear wave splitting, which are comparable to the results obtained from Pms
407 splitting.

408 The averaged FPDs in the upper crust vary from 103° in CYB to 98° in EYB (Table 2)
409 indicating mainly direction E-W. A sharp contrast in FPDs arises in the Indochina block
410 across the Jinshajiang-Red River fault, such that FPD goes from 103° in CYB to 77° in

411 TBB (Table 2), in line with the commonly accepted clockwise rotation around EHS (Fig.
412 5b). The regional compression stress field appears divided into two branches: one mainly
413 oriented NW-SE east of the Jinshajiang-Red River fault and another that has rotated to N-S
414 or NE-SW across the Jinshajiang-Red River fault in the Indochina block (Fig. 5b). This
415 stress pattern is fully consistent with GPS vectors (Zhang et al., 2004; Gan et al., 2007). In
416 the SE margin of Tibet, the FPDs in the upper crust are inconsistent with the strike of the
417 active faults, but they are comparable to the maximum horizontal compression stress (Fig.
418 5b) and the GPS vectors. This last spatial consistency of the FPDs suggests that
419 extensional fluid-saturated microcracks associated with the regional compression induced
420 by the rigid extrusion of central Tibet, are primarily responsible for the observed
421 anisotropy in the upper crust. In addition to the fluid-saturated microcracks, the
422 contribution to anisotropy of the upper crust of mica- and amphibole-bearing rocks, such as
423 schist, amphibolite, gneiss and mylonite, cannot be excluded.

424 SWS and the Pis-phase analysis can provide the anisotropy of the upper crust, but the
425 origin of the anisotropy should be confined within the Fresnel zones along the geometric
426 paths traveled by the analyzed shear waves. Thus, exactly, the fast polarization direction is
427 the proxy of the anisotropy between source and the receiver, while that of the Pis-phase is
428 between the conversion point that generated it and the receiver.

429 4.4. Evidence for lower crustal flow on the east side of the Jinshajiang-Red River fault

430 The high seismicity and thickening of the upper crust in CB (Fig. 4) indicate this area
431 is very deformed and is the only pathway for the anticipated lower crustal flow from
432 central Tibet (Royden et al., 1997; Clark & Royden, 2000). A large-scale intracrustal low
433 velocity zone (ICLVZ) (Yao et al., 2010; Bao et al., 2015, Peng et al., 2017, 2019), high

434 electrical conductivity (Bai et al., 2010) and high Poisson's ratio (Wen et al., 2019) support
435 the existence of a lower crustal flow system. The Pis-phase polarity illustrates the presence
436 of an extensive ICLVZ (Fig. 4). However, there is certain discrepancy regarding the
437 position and seismic impedance of this ICLVZ due to the non-uniqueness of the data
438 inversion scheme. Nonetheless, its position is not limited to two arc-shaped channels
439 described in previous studies (Bai et al., 2010; Bao et al., 2015), but is more consistent
440 with the spatial distribution of Poisson's ratio (Wen et al., 2019). Most of the big
441 earthquakes ($M_s > 7.0$) in the Yunnan region since 500 AD to 2017, such as the 1833
442 Songming earthquake (M_s 8.0) on the Xiaojiang fault, are located in the transition zone
443 between high- and low-speed anomalies, while most of the more moderate events ($M_s \sim$
444 6.0) are in zones of low-speed anomalies (Fig. 4). This feature agrees with a previous study
445 based on Pn anisotropic tomography (Lei et al., 2014), supports the Pis-phase negative
446 polarity (Fig. 4, stations in red) and is reliable evidence of the existence of a wide ICLVZ
447 in the Yunnan region.

448 The average splitting time of 0.72 s for the lower crust in CYB is the largest among all
449 the tectonic units (Table 2); combined with the aforementioned ICLVZ suggests the
450 existence of a strong shear zone associated with the lower crustal flow. The Pn anisotropic
451 tomography-based study performed by Lei et al. (2014) has revealed an approximate N-S
452 trend of the fast Pn-wave velocity and large-scale low-speed anomalies below CYB,
453 indicating a high temperature near the Moho. High-temperature at crustal depth suggests
454 that the lattice preferred orientation (LPO) of amphibole is Type II or Type III (Ko & Jung,
455 2015; Kong et al., 2016), being the FPDs sub-parallel to the flow direction. Thus, the FPDs
456 predominantly oriented NW-SE (Fig. 5b) and the ICLVZ in CYB provide additional

457 evidence for the existence of a lower crustal flow channel. The predominant orientation
458 NW-SE of the FPDs relative to the more or less thick upper crust of ~21 km (Fig. 4) is
459 consistent with the lower crustal flow anticipated by Clark & Royden (2000) and Clark et
460 al. (2005). This may be the result of resistance to the expansion of the flow to the southeast
461 due to the tectonically stable South China block.

462 There are also other possible anisotropy-generating mechanisms, namely: fluid flow in
463 the lower crust, vertical structures that cut internal shear zones, or vertically aligned rock
464 volumes with alternating hydration levels, specifically alternating amphibolite and
465 granulite rock masses (Zheng et al., 2018). Besides the aforementioned contributors,
466 shear-related mineral lineation may be a possible contributor to the anisotropy observed in
467 CYB due to the existence of great strike-slip faults.

468 To the north of EYB, previous studies (Wang et al., 2014) revealed that the south
469 margin of the Sichuan basin experienced right-lateral shearing over a wide zone along the
470 Huayinshan fault (F1 in Fig. 1). The shear velocity structure (Peng et al., 2017, 2019) and
471 the results obtained in this study demonstrate that there is a small-scale ICLVZ and none
472 earthquake with $M_s > 6.0$ (Fig. 4). The reason may be that the ICLVZ is too thin to
473 accumulate enough seismic energy. We have 24 two-layer anisotropy measurements: the
474 average splitting time of 0.70 s for the lower crust (Table 2) implies the existence of strong
475 shearing, while the fault-parallel FPDs (Fig. 5b) indicate a tight relation between the
476 observed anisotropy and the fractures in the shear zone. Thus, shearing in the fault zone
477 may be the main source of anisotropy in the lower crust. Nonetheless, the dominant
478 NW-SE orientation of FPDs in the upper crust could be attributed to the orthogonal
479 compression stress induced by the southeastward extrusion from eastern Tibet.

480 4.5. Deformation of the lower crust on the west side of the Jinshajiang-Red River fault

481 The Indochina block began to extrude towards the southeast in the early stages of the
482 continental collision between the Indian and Eurasian plates (Tapponnier et al., 1982,
483 2001), and during the Oligo-Miocene it moved southeastward at least 500 km along the
484 Jinshajiang-Red River fault (Tapponnier et al., 1990). In the lower crust, a sharp contrast in
485 FPDs is observed between LSB and TBB, with polarization directions oriented
486 predominantly NW-SE (142°) in LSB and N-S (57°) in TBB (Figure 5b, Table 2). In LSB,
487 the FPDs are inconsistent with the maximum horizontal compression stress, but are
488 consistent with the strike of the faults, so the lower crust anisotropy may be the result of
489 fractures in the faulting zone. Moreover, the FPDs oriented NW-SE in LSB (which were
490 determined at 6 stations) seem to reflect the early extrusion of Tibet to the southeast,
491 resulting in a lower crustal flow from central Yunnan toward the Indochina block, rotating
492 clockwise around EHS, as anticipated by Bai et al. (2010) and Bao et al. (2015).

493 In TBB, other studies (Bao et al, 2015; Peng et al., 2017, 2019) and also this study reveal a
494 wide ICLVZ in the Tengchong volcano area (Fig. 4), whose last eruption occurred in 1603
495 and gave rise to a high heat flow of 110 mW/m² (Hu et al., 2000). A high value of
496 Poisson's ratio is also observed (Hu et al., 2018; Wen et al., 2019). The combination of
497 these features might involve partial melting of the crust. Nonetheless, recent studies reveal
498 that the source of the Tengchong volcano comes from the mantle transition zone, about
499 100-200 km to the east (Zhang et al., 2017; Xu et al., 2018). The formation of the
500 Tengchong volcano is not only related to the northeastward subduction of the Indian plate
501 and the upwelling of partially melt mantle materials, but is also controlled by the rifting
502 process due to the trench rollback of the Indian plate (Wang et al., 1998; Lei et al., 2009).

503 The comparatively small splitting times for the lower crust (0.43 s, Table 2) suggest the
504 absence of shearing, and similar FPDs in the upper and lower crust (77° and 57°
505 respectively, Table 2) indicate that both layers may be coupled to each other. Because the
506 average FPD in this area (57°, Table 2) is roughly sub-parallel to the northeastward
507 subduction of the Indian plate below Burma, we speculate that the principal cause of the
508 observed anisotropy in the lower crust is the LPO of anisotropic minerals associated with
509 the plastic flow induced by the trench rollback. Shear-related mineral lineation can also be
510 a possible contributor to anisotropy due to the existence of major faults such as the
511 Lancangjiang fault, the Jiali-Nujiang fault and the Sagaing fault.

512

513 5. Conclusions

514 P-to-S converted phases at the Moho and an intracrustal discontinuity are applied to
515 study the structure and seismic anisotropy of the crust in the southeast margin of Tibet. Our
516 results revealed the existence of a widespread of ICLVZ and two-layer crust structure in
517 the study area. Furthermore, both the upper and lower crust are remarkably anisotropic, so
518 that it may be inappropriate to simply attribute the anisotropy source causing the Pms
519 splitting to the lower crust. In addition, the crustal anisotropy results show significant
520 differences between the upper and lower crust, the FPDs in the upper crust are dominantly
521 consistent with the regional compress fields, suggesting that the extensional fluid-saturated
522 microcracks induced by the rigid extrusion from the central Tibet are mostly responsible
523 for the observed upper crustal anisotropy. On the east side of the Jinshajiang-Red River
524 fault, a widespread of ICLVZ and the FPDs oriented dominantly NW-SE in the lower crust
525 beneath the CYB provide additional evidence for the existence of a lower crustal channel

526 flow. On the west side of the Jinshajiang-Red River fault, a sharp contrast in the
527 anisotropic parameters indicates that the lower crustal anisotropy in the LSB is mostly
528 related to the NW-SE fractures in fault zone, while the LPO of anisotropic minerals
529 associated with the plastic flow mostly contributor to the lower crustal anisotropy in the
530 TBB.

531 The observed lower crustal anisotropy and widespread ICLVZ in the study area
532 support the existence of the southeastward lower crustal flow, while this flow is not limited
533 into two arcuate channels, furthermore, it did not penetrate the Jinshajiang-Red River fault
534 from the CYB to the Indochina block. We conclude that the in-block rigid extrusion of the
535 upper crust and the lower crustal flow may not be irreconcilable modes of crustal
536 deformation. Nonetheless, it is difficult to accurately visualize the flow shape due to the
537 small number of stations located in the Central Yunnan block and the available data
538 collected in the course of one or two years.

539

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548

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- 745 Zhu, L., Kanamori, H., 2000. Moho depth variations in southern California from
746 teleseismic receiver functions. *Journal of Geophysical Research* 105(B2), 2969-2980.
747

749 Table 1. Disaggregated anisotropy parameters estimated by Pis and Pms splitting for the
 750 upper and lower crust.

Station code	δ_1	Uncertainty in δ_1	ϕ_1	Uncertainty in ϕ_1	δ_2	Uncertainty in δ_2	ϕ_2	Uncertainty in ϕ_2
HLT	0.71	0.08	20	3	1.13	0.09	-86	3
YIM	0.38	0.05	90	2	0.79	0.08	-17	3
TOH	0.41	0.07	-82	5	0.42	0.07	-2	5
53057	1.34	0.1	-11	2	1.09	0.1	73	2
53224	1.25	0.1	-22	2	0.65	0.08	51	3
53028	1.15	0.1	74	2	0.87	0.09	-16	2
52032	1.1	0.09	-46	3	1.2	0.1	48	2
53173	1.09	0.1	9	2	0.76	0.08	-79	3
53230	1	0.1	-54	0	0.5	0.1	39	3
51051	0.97	0.09	-63	3	0.06	0.09	-9	3
53216	0.97	0.09	-34	2	1.27	0.1	55	2
53236	0.97	0.09	-34	2	1.27	0.1	55	2
53105	0.87	0.09	60	3	1.06	0.09	-39	3
53164	0.82	0.09	-46	3	0.71	0.08	42	4
53160	0.81	0.09	-25	3	0.59	0.08	59	4
53227	0.78	0.09	41	3	0.89	0.09	-56	3
51030	0.76	0.08	-34	3	1.13	0.1	39	3
53231	0.74	0.08	-8	3	1.26	0.1	59	2
53042	0.72	0.08	65	3	0.86	0.09	-14	3
53090	0.72	0.08	58	3	0.86	0.12	23	2
53223	0.71	0.08	-84	3	1.39	0.11	0	2
51019	0.7	0.08	4	3	0.95	0.09	76	3
52026	0.65	0.07	-54	4	0.88	0.08	1	2
51055	0.63	0.1	-68	2	1.1	0.11	24	2
51020	0.61	0.08	-74	4	1.14	0.1	28	2
53213	0.6	0.08	23	4	0.86	0.09	-66	3
51058	0.59	0.08	-22	4	0.75	0.08	81	4
53081	0.58	0.09	78	3	0.53	0.08	14	4
51014	0.57	0.08	85	4	0.66	0.08	-6	3
53228	0.56	0.08	-92	4	0.5	0.07	1	4
53083	0.55	0.07	40	5	0.49	0.07	49	4
51035	0.55	0.08	-60	4	0.45	0.07	57	5
51048	0.53	0.07	-74	4	0.54	0.08	-13	4
51057	0.53	0.08	-80	4	1.19	0.1	12	2
53063	0.52	0.07	56	4	0.28	0.07	-45	7
51034	0.51	0.08	-8	4	0.99	0.09	85	2
53235	0.51	0.07	55	4	0.95	0.09	9	3
51011	0.5	0.08	-31	4	0.96	0.09	63	3
53058	0.49	0.1	89	0	0.33	0.07	-29	6

53172	0.48	0.07	61	4	0.21	0.07	-25	9
53005	0.47	0.07	-62	4	0.48	0.08	-38	3
53065	0.47	0.07	-55	5	0.74	0.08	53	3
53099	0.47	0.07	-69	5	0.54	0.07	41	5
53107	0.47	0.07	22	5	0.44	0.07	-52	5
51010	0.47	0.07	48	4	0.21	0.06	44	7
53222	0.46	0.07	62	5	0.29	0.07	-69	7
53097	0.45	0.07	-63	5	0.35	0.07	36	6
53061	0.43	0.07	33	5	0.17	0.07	2	10
53002	0.4	0.07	-30	3	0.55	0.08	-55	2
53046	0.39	0.07	36	5	0.67	0.08	-53	4
53233	0.39	0.07	-12	5	0.38	0.07	72	5
53034	0.37	0.07	-75	5	0.95	0.09	-73	3
53015	0.36	0.06	-15	5	0.07	0.06	28	21
51026	0.35	0.07	-63	6	0.23	0.06	46	9
53159	0.34	0.07	55	6	0.23	0.07	57	8
53084	0.33	0.08	75	5	0.48	0.07	14	5
53121	0.33	0.07	27	6	0.13	0.06	-56	14
53098	0.32	0.07	-71	7	0.24	0.07	61	8
53011	0.32	0.06	-88	6	0.82	0.08	-22	3
53232	0.32	0.07	-73	6	0.68	0.08	8	3
51006	0.31	0.07	-81	7	0.3	0.07	-21	6
53237	0.3	0.07	10	7	0.57	0.08	69	4
53049	0.29	0.06	-42	8	0.64	0.09	81	3
51007	0.25	0.07	81	8	0.3	0.07	11	7
53120	0.24	0.06	-67	8	0.37	0.07	-67	8
51004	0.2	0.07	-30	9	0.44	0.07	-65	5
51009	0.18	0.07	-86	10	0.48	0.08	9	4
51012	0.18	0.06	-61	10	0.67	0.08	55	3
52037	0.18	0.05	63	10	0.71	0.07	-25	3
51003	0.15	0.06	11	13	0.29	0.07	87	7
53100	0.12	0.07	61	15	0.42	0.08	76	4
53221	0.11	0.06	-74	18	0.56	0.08	-38	4
51054	0.08	0.07	79	22	0.34	0.07	-45	6
51008	0.07	0.07	80	26	0.55	0.08	33	4
51050	0.05	0.07	-50	36	1.14	0.1	64	2

751 δt is delay time in seconds; φ is fast wave polarization direction. The subscripts 1 and
752 2 refer to the upper crust and the lower crust, respectively.

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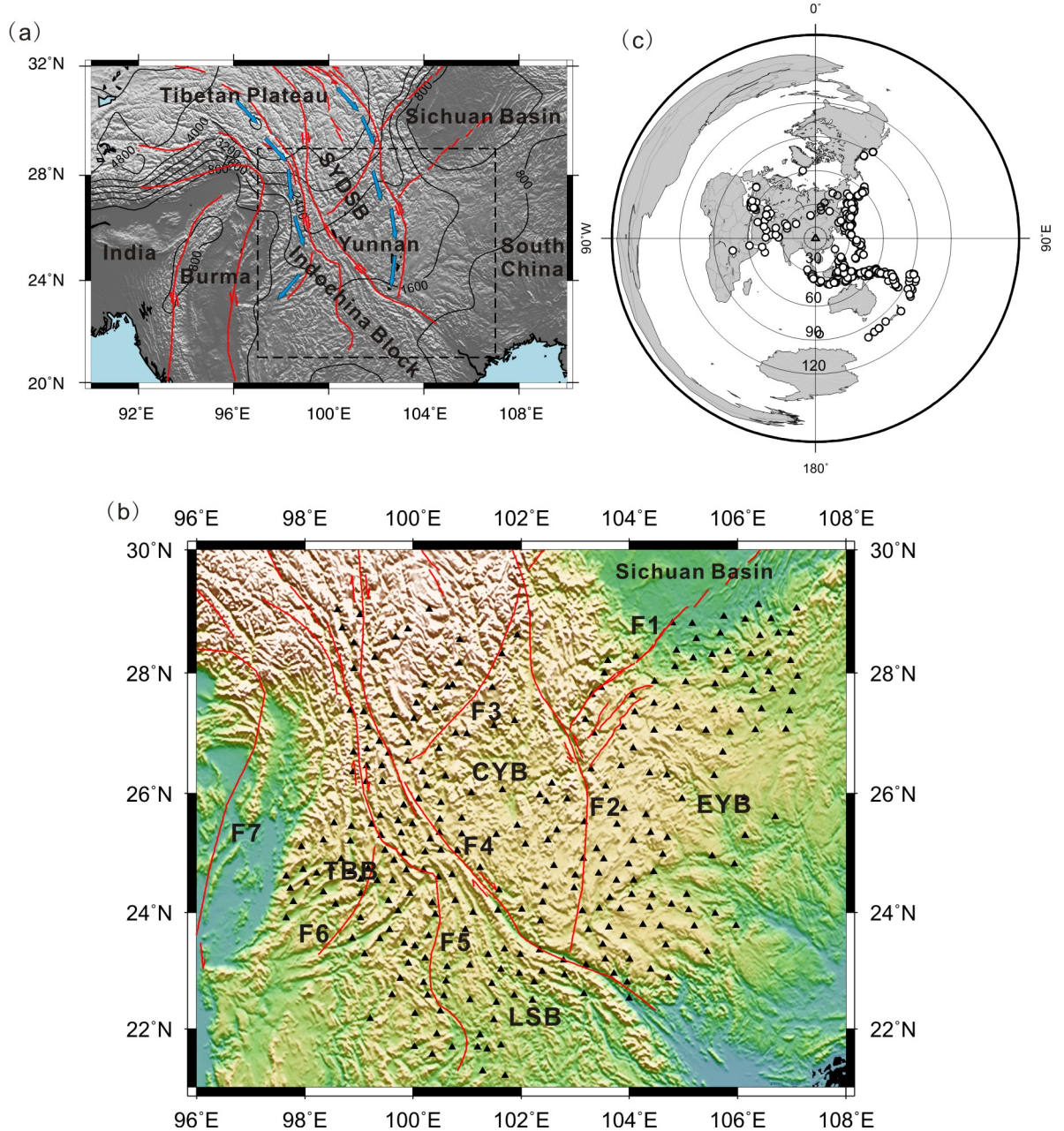
756 Table 2. Averaged shear wave splitting parameters (fast polarization direction and delay time)
 757 that characterize the disaggregated anisotropy of the upper and lower crust in different tectonic
 758 blocks.

Tectonic block	Anisotropy of the upper crust		Anisotropy of the lower crust	
	FPD (°)	Splitting time (s)	FPD (°)	Splitting time (s)
EYB	98±48	0.55±0.29	70±50	0.70±0.33
CYB	103±40	0.51±0.31	102±50	0.72±0.34
LSB	83±48	0.63±0.27	142±16	0.63±0.31
TBB	77±33	0.43±0.15	57±42	0.43±0.20

759 EYB, Eastern Yunnan block; CYB, Central Yunnan block; LSB, Lanping-Simao block; TBB,
 760 Tengchong-Baoshan block.

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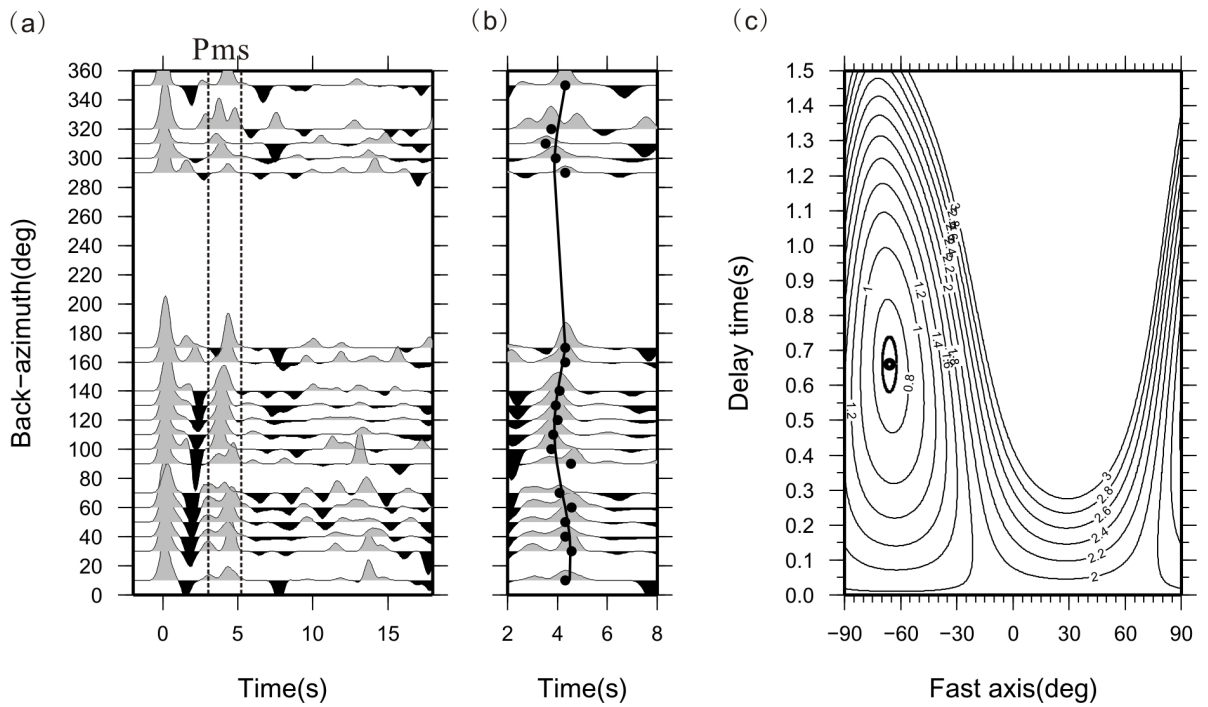
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765 Figure 1. (a) Geographic map of South Asia where the study region is contoured by a
 766 rectangle and the terrain elevation is indicated by isolines drawn at intervals of 800 m. The
 767 acronym SYDSB denotes the Sichuan-Yunnan diamond-shaped block. Blue arrows
 768 indicate possible crustal flow channels (Bai et al., 2010). (b) Major active faults (red lines)
 769 and broadband stations (triangles) deployed in southeast Tibet and nearby areas. Key to
 770 symbols: F1, Huayinshan fault; F2, Xianshuihe-Xiaojiang fault; F3, Lijiang-Jinhe fault; F4,
 771 Jinshajiang-Red River fault; F5, Lancangjiang fault; F6, Jiali-Nujiang fault; F7, Sagaing
 772 fault; TBB, Tengchong-Baoshan block; CYB, Central Yunnan block; EYB, Eastern
 773 Yunnan block; LSB, Lanping-Simao block. (c) Locations of the earthquakes used in this
 774 study on a worldwide map. The small triangle in the center of the figure marks the location
 775 of the study region.

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779 Figure 2. Receiver functions at station 53133 and fit of the Pms-wave splitting parameters.

780 (a) Azimuthal gather of receiver functions; two vertical dotted lines mark Pms-wave

781 arrivals. (b) Enlarged view of the arrival times of the Pms peaks (black dots); the thick line

782 marks the theoretical arrival times calculated from the splitting parameters. (c) Traveltime

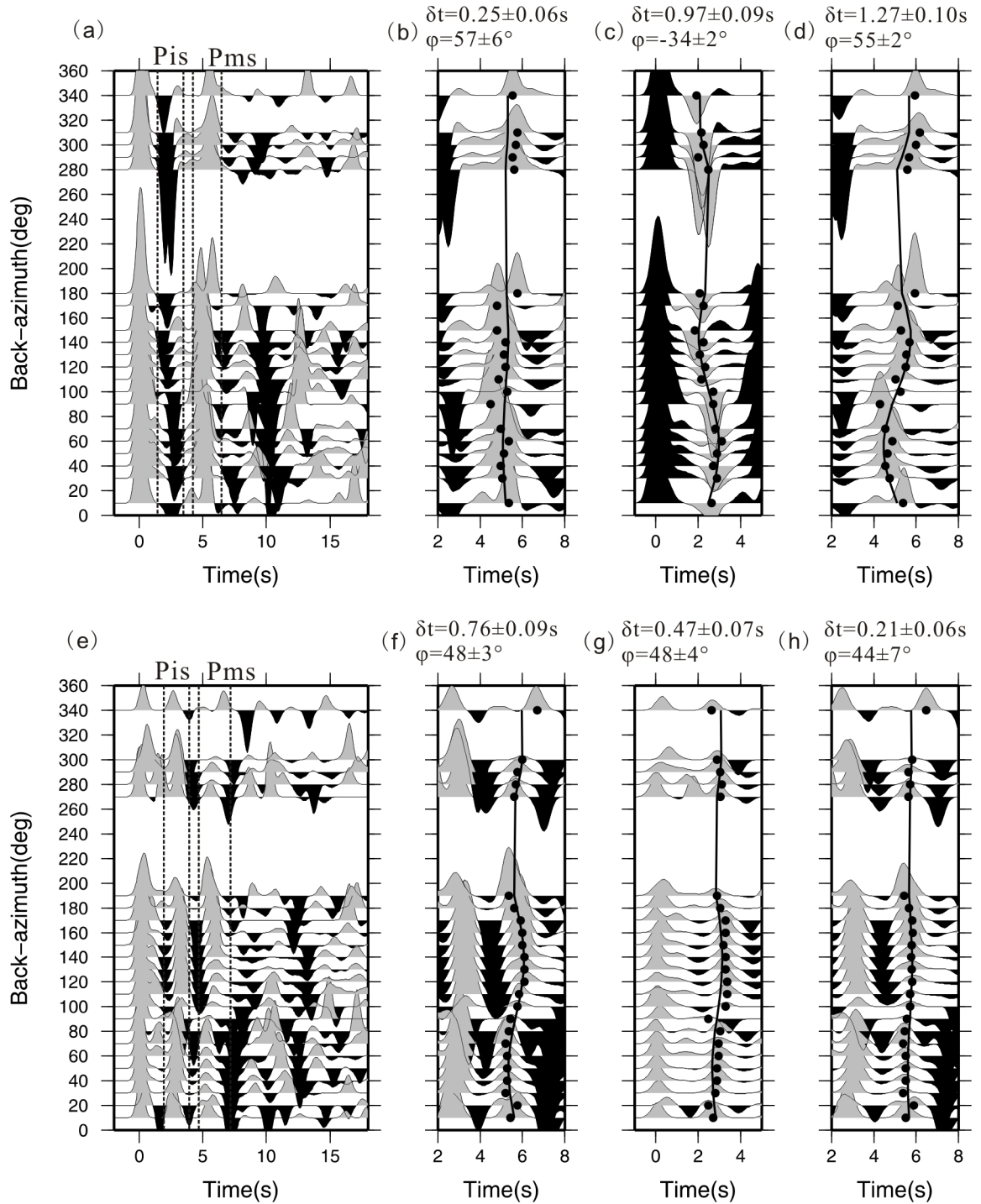
783 variance diagram on the solution surface of splitting parameters; the black dot marks the

784 solution for the splitting parameters given by the minimum variance of traveltime, while

785 the small ellipse provides an estimation of the uncertainty in the splitting parameters.

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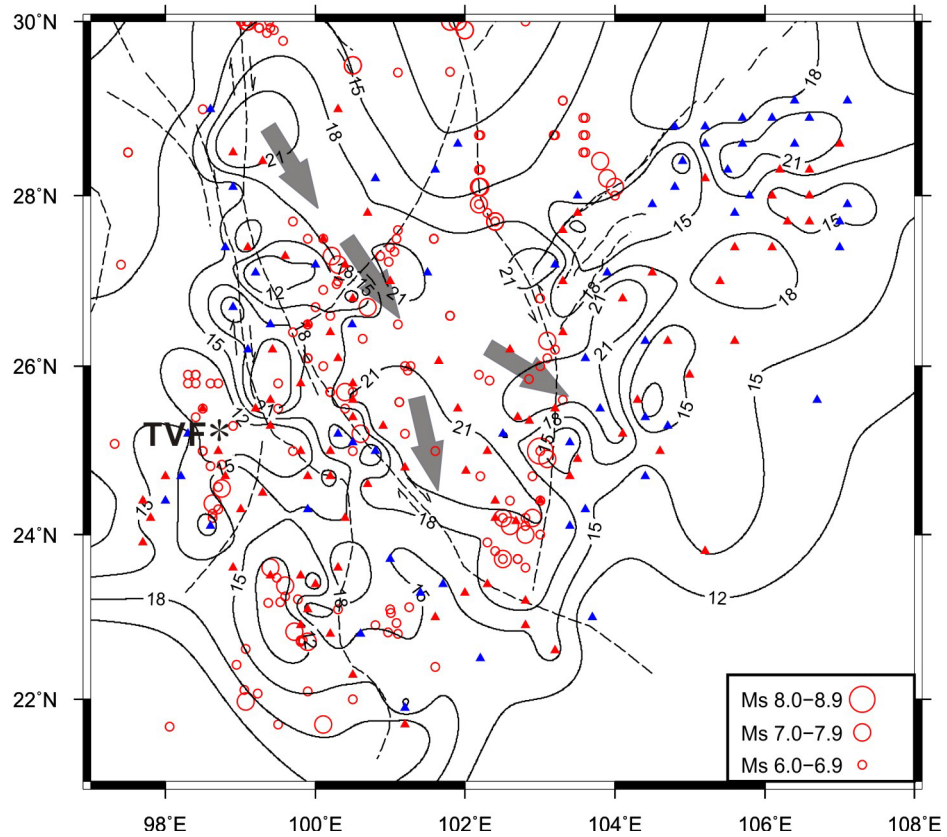
790 Figure 3. Double-layer crustal anisotropy measured at stations 53216 (a - d) and 51010 (e
 791 - h). (a and e) Plots showing respectively the 10° bin-averaged receiver functions versus
 792 the backazimuth, and the *Pis* and *Pms* arrivals (delimited by vertical dotted lines). (b and f)
 793 Enlarged views of the arrival times of the *Pms* peaks (black dots); the thick lines mark the
 794 theoretical arrival times calculated using the optimal anisotropy parameters obtained from
 795 the *Pms* phase. (c and g) Enlarged views of the arrival times of the *Pis* peaks (black dots);

796 now the thick lines mark the theoretical arrival times calculated using the optimal
797 anisotropy parameters obtained from the Pis phase. (d and h) Pms arrival times (black dots);
798 now the wavy lines mark the theoretical arrival times computed using the optimal
799 anisotropy parameters obtained from the Pms phase after correcting for the upper crust
800 anisotropy. Splitting delay times (δt) and polarization directions (φ) with 1σ -deviations
801 are given on top of the corresponding plots.

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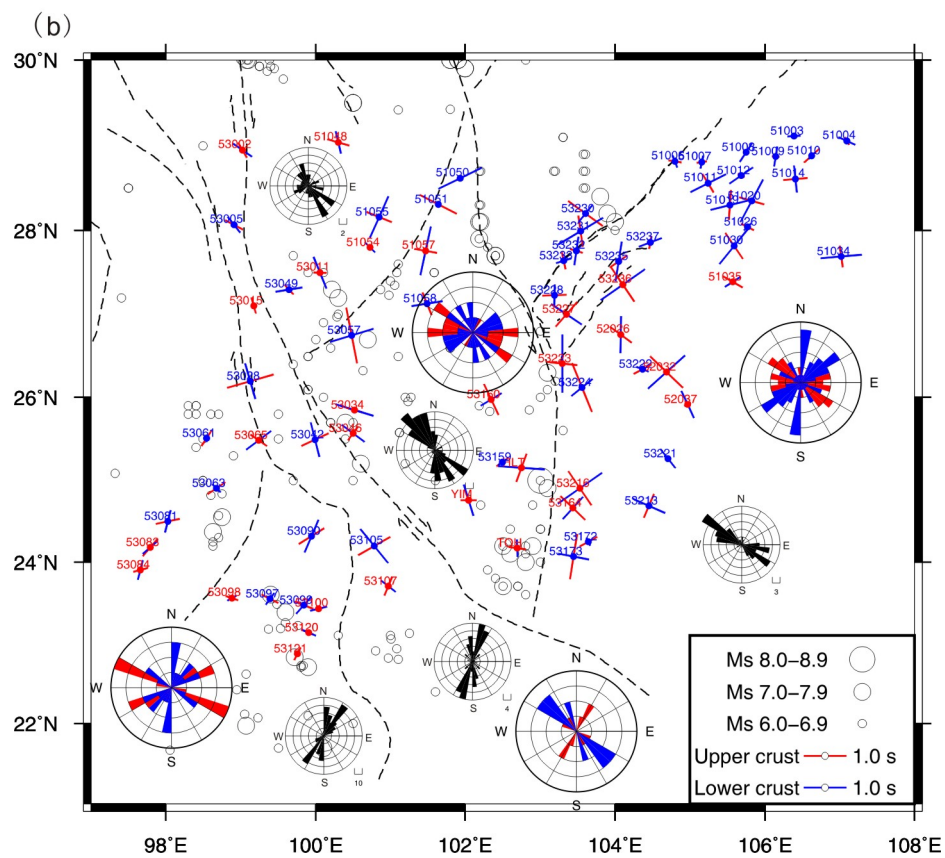
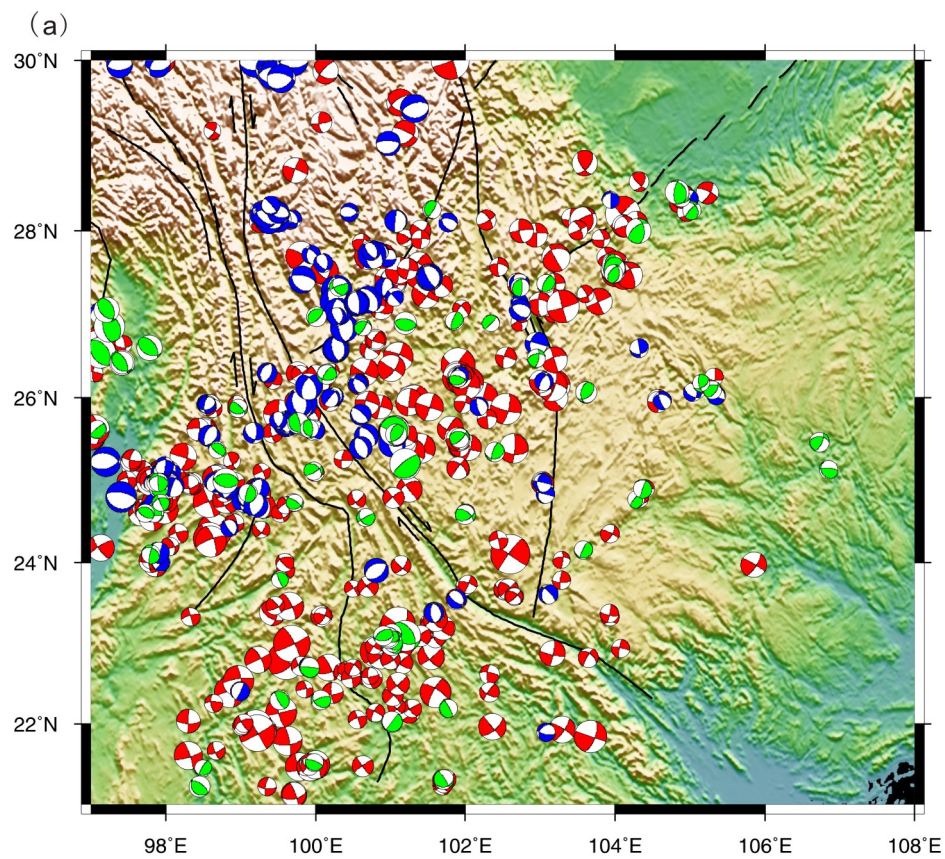


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806 Figure 4. Upper crust thickness mapped by spline interpolation using the GMT software
 807 ([Wessel & Smith, 1998](#)); the contour lines are drawn at 3 km intervals. The small triangles
 808 indicate the locations of the broadband stations used in this study: red triangles mean that
 809 there is an interface with negative seismic velocity contrast below the corresponding
 810 station, while blue triangles mean a positive velocity contrast. The red circles represent
 811 earthquakes with $M_s \geq 6.0$ (bottom right corner) that have occurred in the southeast of
 812 Tibet since 500 AD to 2017. The gray arrows denote the lower crustal flow. TVF is the
 813 acronym for Tengchong volcano field.

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818 Figure 5. (a) Focal mechanism solutions calculated for earthquakes with $M_s \geq 4.0$ that have
819 occurred in the southeast of Tibet since 1965 AD to 2017. (b) Comparison between upper
820 crust anisotropy estimated by Pis splitting (red bars) and lower crust anisotropy measured
821 from Pms splitting after correcting for the upper crust anisotropy (blue bars). The scale for
822 splitting times is the same in both cases (bottom right corner). The dots show the locations
823 of the seismic stations and the numbers are their respective station codes; blue or red colors
824 indicate positive or negative polarity of the Pis phase, respectively. The circles represent
825 earthquakes with $M_s \geq 6.0$ (bottom right corner) since 500 AD to 2017. The black rose
826 diagrams show the maximum horizontal compression stress for different tectonic blocks,
827 while the red and blue rose diagrams show the fast wave polarization directions in the
828 upper and lower crust, respectively. The dashed lines represent major faults.

829