

1 **Eco-innovative possibilities for improving the quality of thawed cod fillets using high-**  
2 **power ultrasound.**

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13 **ABSTRACT**

14 In order to improve the quality of thawed cod fillets and minimize the impact of  
15 processing, an extended hydration phase is applied in the fishery product industry in order  
16 to recover the water lost during freezing and thawing. Such long phases not only  
17 compromise productivity, but increase the chances of microbial growth in fish. Ultrasound  
18 (US) is a technology that could reduce these long hydration times, thanks to its capacity to  
19 improve mass-transfer processes, thereby limiting the development of fish microbiota.

20 This investigation studies the effect of different US intensities (25 kHz, 29.4 W/kg to 2.9  
21 W/kg, 113.7 to 15.3 W) on weight gain (WG) in the hydration process of cod fillets. The  
22 influence of the hydration medium's pH (from pH 8.5 to 10.5) in combination with US was  
23 likewise evaluated. Microbiological and sensory analyses were carried out at the end of the  
24 hydration process in order to evaluate its impact.

25 The higher the applied US power, the lower was the WG. US intensities of 2.9 W/kg  
26 produced the highest increments in WG (18.6%), reducing hydration time by 33% and  
27 thereby achieving the same hydration values as in control samples. The combination of US  
28 with a controlled pH of 8.5 permitted to shorten hydration time by an additional day, and  
29 also led to improved microbial quality in comparison with control samples. Sensorial  
30 analyses indicated that after 5 d of hydration, Quality Index Method (QIM) values were  
31 better than those obtained for control samples after 5 and 7 d. Specifically, color and  
32 gaping were the sensorial attributes of cod fillets better protected with the application of  
33 US.

34

35 **Keywords:** Ultrasound; Hydration; Cod fillets; Freezing-thawing; Microbiological quality;  
36 Sensorial quality.

37

38 **1. Introduction**

39 Food preservation through the reduction of temperature below the freezing point is a  
40 **method** commonly applied to maintain the quality of fishing products and maximize their  
41 shelf-life. The **principal** goal of the freezing process is to preserve the nutritional and  
42 organoleptic characteristics of food by slowing down chemical, enzymatic, and  
43 microbiological reactions (FAO and WHO, 2012).

44 When a product is frozen, ice crystals can cause cell damage, even when optimal freezing  
45 conditions are applied. Ice crystal size mainly depends on the speed of the freezing  
46 process. Fast freezing rates result in small ice crystals that are evenly distributed inside and  
47 outside the cells. Slow freezing rates lead to ice crystal formation mainly in the  
48 extracellular areas, and in such a way that they cause tissue damage, producing higher rates  
49 of water loss during the thawing phase (Alizadeh et al., 2007). Therefore, the final quality  
50 of fish fillets, including texture, water retention capacity, drip loss, and microbial quality,  
51 is directly related to freezing parameters and conditions, storage, and thawing (Uyar et al.,  
52 2015). Fish meat is remarkably sensitive to changes during these phases when compared to  
53 other food products (Schubring et al., 2003).

54 In order to **allow fishery products to** recover from those damages, the food industry usually  
55 includes a hydration step after thawing, mainly for products **that are later** sold under  
56 Modified Atmosphere Packaging (MAP). This hydration process **allows for** the recovery of  
57 water lost during thawing, thereby helping to improve texture, flavor, juiciness, and the  
58 appearance of freshness (Huff-Lonergan and Lonergan, 2005). In the case of fishery  
59 products such as fish fillets sold **under** MAP, this type of treatment can last up to 7 d,  
60 which can allow or encourage growth of spoilage microbiota, leading to a decrease in the  
61 shelf-life of the fillets **when they are commercialized. To control microbial growth, it is**  
62 therefore necessary to include additives. **However, since** consumers and the industry prefer

63 that additives be reduced or eliminated, it would be of great interest to find strategies that  
64 help shorten hydration times without affecting the quality of the final product. Ultrasound  
65 (US) could optimize this process by reducing the hydration time for thawed fish fillets.  
66 This is even more interesting in the case of cod, since cod can only be fished during a short  
67 period of the year (from December to February); in order to ensure a constant supply of  
68 cod throughout the entire year, freezing and subsequent thawing are essential steps.

69 It is worth noting that US is included within the technologies considered as “Green Food  
70 Processing” (Chemat et al., 2017a). This new concept covers those technologies (pulse  
71 electric fields, microwaves, supercritical fluid extraction and processing, controlled  
72 pressure drop process, ultrasound) which, compared with traditional processes, allow to  
73 reduce processing time, water and energy consumption, thereby resulting in more  
74 sustainable food processing.

75 Several researchers have pointed out that high-intensity US ( $> 1 \text{ W/cm}^2$ ), which works  
76 within a frequency range of 20-150 kHz, is thoroughly effective in facilitating and  
77 promoting mass transfer in liquid-solid systems, mainly with the purpose of extracting  
78 components and facilitating their entry into the solid (Chemat et al., 2017b; Rodrigues et  
79 al., 2017; Tao and Sun, 2015). The main physical mechanism associated with high-  
80 intensity US in a liquid medium is attributed to the phenomenon of cavitation (Esclapez et  
81 al., 2011; Chandrapala et al., 2012). Cavitation is the consequence of asymmetric  
82 implosions of gas bubbles that are formed during a series of compressions and  
83 decompressions caused by sound waves. When this collapse occurs close to a solid surface,  
84 it generates a microjet with determined characteristics of pressure (100 MPa), temperature  
85 (5000 K), and speed (400 km/s) (Hemwimol et al., 2006). The consequence is an  
86 improvement of mass-transfer, and even the formation of pores that could facilitate the exit  
87 or entry of certain components (Mason and Lorimer, 2002). In addition to microjets, US

88 might also generate micro-agitations in the liquid that could affect mass transfer (Liang,  
89 1993). The predominance of one or the other effect depends on cavitation intensity and,  
90 therefore, **on** the amount of applied US power.

91 The mass transfer effectiveness of US has already been demonstrated for several other  
92 food products, but hardly **at all in** fishery products. Given the need to optimize the  
93 hydration process in the fishing industry, **ultrasound could provide** an opportunity to  
94 shorten processing times while obtaining greater weight gains, thereby increasing the  
95 quality of the final product and reducing the use of additives. This study aimed to  
96 investigate the influence of the application of **varying** ultrasound treatments during the  
97 hydration phase of thawed cod fillets, and to evaluate **their impact on product quality from**  
98 **a sensorial and microbiological point of view.**

99

## 100 **2. Methodology**

### 101 **2.1 Preparation of the raw material and reactives**

102 Frozen skinless cod fillets (*Gadus morhua*) of approximately  $550 \pm 35$  grams per fillet  
103 supplied by Scanfisk Seafood S.L. (Zaragoza, Spain) were stored in a freezing room at -18  
104 °C until use. Prior to the experiment, the cod fillets were subjected to an air-thawing  
105 process in a cold room at 4 °C for 36 h. For each determination, cod fillets were submerged  
106 in a water-based solution for a maximum of 7 d of hydration. The liquid solution used to  
107 apply the treatments during the hydration phase was a commercial solution based on  
108 distilled water (4 °C), **along with a combination** of two food additives: E450 (a mixture of  
109 diphosphates of sodium, potassium, and calcium) and E451 (a mixture of triphosphates of  
110 sodium and potassium) (Carnal 2110, Budenheim Iberica SLU, Spain) NaCl (Panreac,  
111 Spain), and Aquactive 3S, which is a solution based on citrates and hydrogen peroxide  
112 (Aquactive 3S, Budenheim Iberica SLU, Spain) in the proportions of 3.2 g/L, 1.8 g/L, and

113 0.3 mL/L, respectively. The proportion of cod fillets versus hydration solution was 6:11  
114 (2.4 kg of fillets and 4.4 L of water solution). All hydration experiments were carried out  
115 inside a cold chamber at 4 °C.

116 When indicated, the influence of the hydration **medium's pH** was evaluated. To investigate  
117 this point, three pH values (8.5, 9.5 and 10.5) were studied when either applying or not  
118 applying US. To control pH during the hydration phase, a pH-meter (pH-Meter Basic 20+,  
119 Crison Instruments, Spain) was used to monitor the pH, and different quantities of a 1 N  
120 solution of NaOH (Merck KGaA, Germany) were added to adjust it. The monitoring and  
121 adjustment of pH was carried out every 24 h.

122

## 123 **2.2. Ultrasound equipment and treatment conditions**

124 The hydration process with the application of US was carried out in an ultrasound bath  
125 with a capacity of 15 L and with a nominal power of 200 W (Bandelin, M1003, Berlin,  
126 Germany). To investigate the influence of US during the hydration phase, 3 US powers  
127 were investigated: 29.4 W/kg (100%), 14.7 W/kg (50%) and 2.9 W/kg (10%) compared to  
128 control, in which the process was applied without the use of US. Considering **that** the  
129 actual input power from the device is converted to heat which is dissipated in the medium,  
130 calorimetric measurements were performed to assess actual ultrasound power similarly to  
131 the **manner** described by Both et al. (2014). Based on these measurements, the transmitted  
132 powers were 113.7, 64.3 and 15.3 W when using 100 (29.4 W/kg), 50 (14.7 W/kg), and 10  
133 % (2.9 W/kg), respectively.

134 In order to avoid temperature **increase**, and since long hydration times **were being**  
135 **investigated** (up to 7 d), US was applied at the indicated intensities during 20 min,  
136 interrupted by 100-min intervals without US. Under these conditions, the temperature of

137 water and fillets in all treatments was always lower than 14° C, which is the maximum  
138 recommended temperature for a thawing process (Chourot et al., 1996).

139

## 140 2.2 Analysis

### 141 2.2.1 Weight gain of cod fillets

142 Percentage of weight gain (WG) of cod fillets was determined every 24 h. Weight gain was  
143 calculated as follows:  $WG (\%) = [(D1 - D2) / D2] \times 100$ , where D1 is the weight of the  
144 sample after hydration, and D2 the weight of the sample before hydration (day 0). Fillets  
145 were weighed in an analytical balance (Sartorius, TE3102S, Germany).

146

### 147 2.2.2 pH and electrical conductivity of the hydration solution

148 Water samples were taken every 24 h during treatment, and were left to stand at room  
149 temperature. Once tempered, electrical conductivity was measured with a conductivity  
150 meter (Conductivity Probe FY A641LFP1/LFL1, Ahlborn, Germany). As previously  
151 indicated, the pH of the hydration solution was monitored with a pH-meter (pH-Meter  
152 Basic 20+, Crison Instruments, Spain) every 24 h.

153

### 154 2.2.3 Total Volatile Basic Nitrogen (TVB-N) analysis

155 TVB-N content of cod fillets at time 0 and after 5 and 7 d of hydration was evaluated.  
156 TVB-N determination was carried out in a Kjeltec unit UDK 130 D (Velp Scientifica,  
157 Italy) by direct steam distillation over boric acid according to European Regulation CE  
158 1022/2008. Results were reported as the average of two replicates per sample.

159

160 **2.2.4 Microbiological analysis**

161 To evaluate the evolution of microbiota in the hydration water, Total Aerobic Mesophilic  
162 (TAM) counts were determined using LH agar (Long and Hammer Agar) (Broekaert et al.,  
163 2011). Previous results showed no statistical differences among counts when recovery was  
164 carried out at either 25 °C or 7 °C (Antunes-Rohling et al., 2019). Samples (1 mL) of  
165 hydration medium were surface-plated, and plates were then incubated for 48-72 h at 37  
166 °C. Longer incubation times did not modify the obtained counts (Antunes-Rohling et al.,  
167 2019).

168 Cod fillet microbiota was evaluated at time 0 and after 5 and 7 d of hydration by  
169 investigating several microbial groups: Total Aerobic Mesophilic (TAM), Seafood  
170 Spoilage Organisms (SSO), *Enterobacteriaceae*, and Proteolytic Bacteria (PB). Fish  
171 samples (25 g) were transferred aseptically inside a laminar flow cabinet to sterile  
172 Stomacher bags (Stomacher ® 400 classic, Seward), where 225 ml of previously sterilized  
173 Buffered Peptone Water (BPW, Oxoid, Hampshire, UK) was added before homogenizing  
174 for 30 seconds using a mechanical homogenizer (Stomacher Lab Blender 400, Seward).  
175 For microbiological enumeration, ten-fold dilution series of sample homogenates were  
176 prepared, and 0.1 or 1 mL volumes were spread on agar Petri dishes. The different  
177 bacterial groups were enumerated as described in Table 1. After incubation, colony-  
178 forming units (CFU) were counted with an improved automatic colony-counting image  
179 analyzer (Protos, Synoptics, Cambridge, UK), previously described in detail by Condón et  
180 al. (1987). Results were expressed as  $\text{Log}_{10} N_t/N_0$ , where  $N_t$  is the count after a treatment  
181 time and  $N_0$  is the initial count (untreated or control samples).

182



183 **2.2.5 Sensory analysis**

184 Sensory evaluations were carried out using the Quality Index Method (QIM) scheme for  
185 thawed cod fillets described by Seafish (2010). The QIM score was based on texture, odor,  
186 color, blood stains, gaping, and parasites of raw cod fillets. The attributes evaluated and the  
187 demerit scores (0-3 points) are included in the supplementary material. The QIM score was  
188 the sum of the scores given by the sensory panel on individual quality parameters on a  
189 scale from 0 to 16 (the higher the value, the worse the fish freshness). Sensory evaluation  
190 was carried out with a panel of 10 expert sensory assessors who had been previously  
191 trained according to ISO 8586-2: 2008.

192

193 **2.3 Statistical data analysis**

194 Experiments were carried out in triplicate on different days, and the displayed results are  
195 the mean values. Standard deviation ( $p=0.05$ ) was used to show the variability of results.  
196 One-way ANOVA and t-test analyses were performed to analyze results using GraphPad  
197 PRISM® 5.0 software (GraphPad software, Inc., San Diego, CA, USA). Statistical  
198 significance was assigned to comparisons with  $p < 0.05$ . The results of the sensory analysis  
199 were evaluated in the XLSTAT program, Version 2016 (Addinsoft©). First, the data were  
200 evaluated according to a “Panel Analysis”, in order to verify how the judges behaved in  
201 response to the parameters and treatments. The results were then statistically evaluated by  
202 ANOVA ( $p < 0.05$ ) to verify significant differences between the studied treatments.

203

204 **3. Results**

205 In order to study the effect of ultrasound on the hydration process, the investigation was  
206 carried out in several stages. In a first step, the effect of different ultrasound intensities was  
207 evaluated. Secondly, the combined effect of ultrasound and pH of the hydration medium

208 on the weight gain of fillets was investigated. As discussed below, weight gain is highly  
209 dependent on the pH of the hydration medium due to the interaction of water with fish  
210 proteins. Once the ultrasound power and the pH of the hydration medium had been  
211 determined, results of the hydration process were investigated in a 7-day hydration process  
212 simulating the industrial process. In this posterior set of experiments, apart from weight  
213 gain, we also evaluated different quality parameters (TVB-N), microbial counts, and  
214 sensorial quality.

215

### 216 **3.1 Influence of the application of different US intensities during hydration of cod** 217 **fillets**

218 In the first part of this study, the effect of different ultrasound intensities was evaluated  
219 during a 3-day hydration of cod fillets. Figure 1 shows the weight gain (WG) across time  
220 of thawed cod fillets hydrated in a commercial hydration solution, applying US at different  
221 intensities (29.4, 14.7, and 2.9 W/kg) or not applying US at all. As observed, WG  
222 increased with time, and attained the maximum values after 48 h under all investigated  
223 conditions. The effect of US varied with its intensity. Thus, after 48-72 h, the higher the  
224 US intensity (29.4 W/kg; 100%), the lower the weight gain, even in values lower than  
225 control samples: 14.8 vs 12.0%, for control and US treated fillets (29.4 W/kg; 100%),  
226 respectively. When the lowest intensity was applied (2.9 W/kg; 10%), weight gain  
227 increased to 18.6% compared to control samples. At medium intensity (14.7 W/kg; 50%),  
228 weight gain was very similar to control: a WG of around 14.6% after 3 d of hydration was  
229 observed. Similar trends were observed with cod fillets from different batches (data not  
230 shown). Therefore, an intensity of 2.9 W/kg with a frequency of 25 kHz and an application  
231 protocol of 20 min with US and 100 min without US would be the most effective treatment  
232 to obtain greater weight gains for the same hydration time, or to shorten the process with

233 the purpose of achieving a certain hydration percentage. Based on these results, US of 25  
234 kHz and 2.9 W/kg (10%) was used for subsequent experiments.

235 Figures 2A and 2B show the evolution of pH in the hydration solution (2A) and its increase  
236 in electrical conductivity (2B) during the process. The hydration solution that contained  
237 Carnal 2110, Aquactive 3S and NaCl had an initial pH of  $8.35 \pm 0.15$  and an electrical  
238 conductivity of  $38.75 \pm 1.22$  mS/cm. As observed, pH decreased with time, falling to  
239 levels between 7.0 and 7.5 after 3 d of hydration, with no statistically significant  
240 differences observed among treatments. Electrical conductivity values increased with time  
241 and US intensity: after 72 h, electrical conductivity increments of 68%, 22% and 20% for  
242 29.4, 14.7, and 2.9 W/kg, respectively, were measured. The electrical conductivity of the  
243 control hydration solution varied negligibly (5.7%) after 3 d of hydration.

244

### 245 3.2 Influence of pH control during the hydration of cod fillets

246 Since pH varied during the hydration process, and since the interaction of water with  
247 proteins is pH-dependent, the effect of pH was investigated. Figure 3 shows the WG during  
248 the hydration process when applying US (25 kHz, 2.9 W/kg, 10%) or not applying US, in  
249 hydration media of varying pH (8.5, 9.5 and 10.5). In this case, the hydration process was  
250 extended to 5 days. Control samples (non-controlled pH and without the application of US)  
251 showed similar WG than those observed in Figure 1, with final hydration values of 10.2%  
252 observed after 5 d, which would already be achieved after 2-3 d of hydration with any of  
253 the evaluated procedures. When controlling the pH solution (dashed lines) without the  
254 application of US, the final weight gains were of 16.5, 19.8, and 23.2% in media with pH  
255 of 8.5, 9.5, and 10.5, respectively. When US was applied (continuous lines), the gains were  
256 19.8, 28.0, and 27.1%, respectively, thereby representing a 3 to 7% increase compared to  
257 non-US-treated samples hydrated at the same pH level. According to these results, the

258 maximum weight gain percentages attained by the control treatment (10.2%) after 5 d  
259 would be achieved in 24 h or even less with any of the evaluated processes. The highest  
260 effect of US was observed when pH was controlled at 9.5. This process could have been of  
261 interest in terms of WG. However, this pH level was discarded from future investigations,  
262 since it is too **distinct** from that of cod fillets (pH  $6.5 \pm 0.2$ ), and it affected sensorial  
263 properties during shelf-life (data no shown). Treatments at a pH level of 10.5 were  
264 discarded for the same reason. Based on the obtained results, it can also be concluded that  
265 the application of US in a hydration medium with pH controlled at 8.5 could reduce  
266 hydration time by 2-3 d while achieving the same WG compared with the control process.

267

### 268 **3.3 Microbiological analysis**

269 In order to rapidly and dynamically evaluate the total microbiota present in the hydration  
270 solution featured in the experiments shown in Figure 3, the evolution of the total aerobic  
271 mesophilic bacteria count when applying the different treatments (US of 25 kHz and 2.9  
272 W/kg **or no US**, using hydration media of pH 8.5, 9.5, and 10.5) was studied (Figure 4). As  
273 observed, the pH level allowed to control microbial growth, even producing a decrease of  
274 the final microbial loads by 1.3 and 1.8 Log<sub>10</sub> cycles in media of pH 8.5 and 9.5,  
275 respectively, when compared to control after 5 d of hydration. No counts were detected at  
276 pH 10.5. However, the application of ultrasound limited the effect of pH 8.5, leading to  
277 microbial counts (2.2 Log<sub>10</sub> cycles) similar to those of the control process (without US or  
278 pH control).

279

### 280 **3.4. Evaluation of the industrial process at lab scale**

281 Once the ultrasound power and the pH of the hydration medium had been determined,  
282 results of the hydration process were investigated in a 7-day hydration process simulating

283 the industrial process, in the course of which quality parameters such as TVB-N,  
284 microbiota of cod fillets and **impact** on sensorial parameters were evaluated, along with  
285 weight gain. Considering the number of cod fillets that fit inside the US bath and in order  
286 to use fillets of the same batch for all investigated conditions, samples at day 0, 5, and 7  
287 were evaluated. Figure 5 shows the evolution of WG, pH, and TVB-N of cod fillets  
288 hydrated in the previously described commercial solution with and without pH control  
289 (adjusted to pH 8.5), and in commercial solution with pH controlled to 8.5 and the  
290 application of US (USpH, 25 kHz, 2.9 W/kg, 20 min US on, 100 min US off). As  
291 observed, weight gain values similar to those shown in Figures 1 and 3 were obtained  
292 when comparing the same treatment conditions. In the case of control samples, a maximum  
293 WG of 10.7% was achieved after 7 d of hydration. For the same hydration time, pH control  
294 or the application of US made it possible to increase WG, or to reduce hydration time to  
295 achieve the same WG, obtaining better results when US was applied. Thus, pH control or  
296 pH control coupled with the application of US (USpH) made it possible to obtain an extra  
297 4.5 and 7.7% WG compared to control samples after 7 d of hydration. On the other hand,  
298 pH control with or without the application of US reduced hydration time from 7 d to 2 and  
299 5 d, respectively, to obtain the same WG as with the industrial process.

300 As observed in Figure 5B, the pH of the cod fillets varied depending on the process. In the  
301 industrial process, pH was significantly lower than in the others. When pH of the hydration  
302 medium was controlled to 8.5, the pH of the fillets increased: this increment was lower  
303 when US was applied. In the case of TVB-N, all fillets showed values lower than the  
304 maximum limit (35 mg/100g) legally permitted by European Regulation 1022/2008. After  
305 5 d of hydration, no statistically significant differences among treatments were observed.  
306 Only fillets hydrated with the control process resulted in significantly higher values  
307 compared with the other processes.

308 Figure 6 shows the counts of different groups of microorganisms (TAM, SSO, BP and  
309 *Enterobacteriaceae*) present in cod fillets at days 0, 5, and 7 of hydration for the different  
310 evaluated processes. As observed, microbial counts increased along with hydration time,  
311 but in a different manner for each microbial group and process. In control, after 7 d of  
312 hydration, the microbial count of TAM, SSO and *Enterobacteriaceae* lay over the  
313 established or recommended limits: 6 Log<sub>10</sub> CFU/g for TAM (CE 2073/2005), 7 Log<sub>10</sub>  
314 CFU/g for SSO (IFST, 1999; Gram & Daalgard, 2002). and 3 Log<sub>10</sub> CFU/g for  
315 *Enterobacteriaceae* (CE 2073/2005). In the other processes with pH control, better values  
316 were observed; however, after 7 d of hydration, TAM were also over the limit, and SSO  
317 were close to it. It is remarkable that the addition of NaOH made it possible to control  
318 *Enterobacteriaceae* counts to the point of non-detectability. Finally, when US was applied,  
319 the lowest counts were obtained after 5 days for all investigated microbial groups with the  
320 sole exception of BP.

321 Finally, a sensory analysis was carried out in order to quantify the extent to which pH  
322 control and the application of US interfered in sensory parameters as assessed through the  
323 QIM evaluation method. The results of day 5 and day 7 of hydration are represented in  
324 Figure 7. Table 2 shows the mean values and the statistically significant differences  
325 between the treatments for the evaluated parameters as characterized with the QIM  
326 evaluation method (texture, odor, color, blood stains, and gaping). In the evaluated  
327 samples, no presence of parasites was detected because the raw material had been  
328 preselected. Therefore, no statistical evaluation thereof was carried out in the sensorial  
329 analysis phase. A higher QIM signifies low product quality, with the maximum QIM score  
330 being 16 points. As observed, QIM values increased with hydration time. At the end of the  
331 day 5 of hydration, the compared results of the different processes were not statistically  
332 different, whereas, on day 7, hydration without pH control and without applying US

333 (CONTROL7) resulted in higher QIM values, thereby indicating worse quality when  
334 compared with other treatments. However, this treatment was not statistically different, on  
335 the whole, from the treatment that controlled pH at 8.5 and applied US (USpH7) after 7  
336 hydration days. These QIM quality results could reflect the high microbiological counts  
337 observed.

338 Based on the data presented in Table 2, the poorer **quality scores** of cod fillets hydrated  
339 with the industrial process after 7 d (CONTROL7) **were** due to effects in texture, color,  
340 blood stains, and gaping. Cod fillets hydrated after 5 d with the application of US (USpH5)  
341 **achieved** one of the best QIM values; no differences with respect to other treatments in  
342 terms of texture, odor, color, blood stains or gaping were observed.

343

#### 344 **4. Discussion**

345 The process of hydration of thawed cod fillets is an additional step used by companies to  
346 improve the product's sensory quality and texture (Barat, Rodríguez-Barona, Andrés, &  
347 Visquert, 2004). **Traditionally**, to achieve these goals, industries have been using food  
348 additives such as phosphates (Reddy and Finne, 1986; Tenhet et al., 1981) and/or NaCl  
349 (Sutton et al., 2007; Kin et al., 2009).

350 The water gains incurred by the muscle proteins of the meat are directly related with the  
351 space or the extant volume among the muscular filaments. That is to say, capillary forces  
352 keep the water of the muscles inside the myofibrils; when the latter are more open or more  
353 closed, more or less water is retained (Offer and Knight, 1988). The degree of opening is  
354 conditioned by the repulsion or attraction among muscle fibers, and by the changes that  
355 can be intrinsically or extrinsically induced by biochemical or chemical processes  
356 (Sikorski, 2001; Ofstad and Hermansson, 1997). Extrinsic factors that affect WHC, are, for

357 example, the characteristics of the solution, the type of solute used, the temperature, and  
358 the solution's pH (Sikorski, 2001).

359 Regarding the hydration solution's composition, additives are commonly used in the  
360 process, and they play an important role. The main purpose of the phosphate family, with  
361 its strong anionic properties, is to increase the water retention capacity of the fish muscle  
362 (Hamm, 1971; Sutton and Ogilvie, 1968). The effect of phosphates has already been  
363 described in the literature: Lindkvist et al. (2008) obtained positive effects on appearance  
364 and weight gain in the processing of cod. On the other hand, the Cl<sup>-</sup> anions pertaining to  
365 salt have the capacity of uniting with the muscular filaments, thereby causing rejection  
366 among them, which, in turn, results in a greater diffusivity of the water in the muscular  
367 structure, which increases muscular swelling and, consequently, the water's retention  
368 capacity (Ruusunen and Puolanne, 2005; Bocker et al., 2008). However, it is worth noting  
369 that saline solutions with concentrations greater than 1 M (approximately 6%) could exert  
370 the opposite effect (Barat et al., 2000). These specific characteristics of phosphates and salt  
371 would explain the fact that the control treatments used in this investigation resulted in a  
372 weight gain of around 10-15% in 2-3 d of hydration, as shown in Figures 1, 3, and 5. Some  
373 authors have investigated the influence of different types of phosphates and their  
374 concentration with or without the addition of salt during the process of marinating chicken  
375 meat; they have concluded that the combination of the two additives could synergistically  
376 benefit the weight yields (Xiong and Kupski, 1999). Preliminary experiments in cod fillet  
377 hydration using only water indicated that weight gain was minimal or almost non-existent  
378 (data not shown). In other words, when phosphates and salt were added to the solution,  
379 WG increased significantly.

380 Apart from the above-mentioned additives, this study also proposed the use of US to  
381 improve hydration and to reduce processing times with the final objective of opening up



382 the possibility of reducing or eliminating additives. Ultrasound technology is commonly  
383 used to optimize processes based on the increase of mass transfer, such as that which takes  
384 place in extraction, curing, cleaning, brining, pickling, and marinating (Chemat et al.,  
385 2017b; McDonnell et al., 2014).

386 Several studies have pointed out the effectiveness of US in favoring the transport of solutes  
387 to a solid in treatments that use a hypotonic liquid medium, as in meat processing  
388 (Alarcon-Rojo, et al., 2015) and cheese brining (Sánchez et al., 2000). These achievements  
389 are related to the mechanical effects induced mainly by “microjets” (Ozuna et al., 2013)  
390 and by the “sponge effect” (Cárcel et al., 2007), which can encourage the formation of  
391 micro-channels in the liquid-solid interface that facilitate the entry or exit of components  
392 (Fernandes et al., 2008; Yao, 2016). The effectiveness of the application of US is  
393 conditioned by process variables such as frequency and ultrasonic intensity (Vajnhandl and  
394 Marechal, 2005). In the latter case, several authors have reported that the higher the  
395 ultrasonic power applied, the higher the extraction yields (Zou et al., 2010). However,  
396 other authors have indicated that when certain values of ultrasonic power are exceeded,  
397 extraction yields remain constant and, in some cases, even decrease (Lou et al., 2010).

398 In this investigation, the highest ultrasonic intensity (29.4 W/kg, 100%) was not effective  
399 in achieving greater weight gain. This phenomenon could be due to the intense cavitation  
400 brought about by the “microjets” created by US, which could limit the movement of water  
401 towards the surface of the product, or even produce the exit of components from the  
402 cellular interior to the exterior (Antunes-Rohling et al., 2018). The latter circumstance  
403 could explain the decreases in pH (Figure 2A) and the increase in electrical conductivity  
404 (Figure 2B) of the hydration medium observed in this study. This effect, together with the  
405 considerable mechanical action exerted by US, could be responsible for the lower weight  
406 gain of fish fillets at high US intensity.

407 When 2.9 (10%) and 14.7 W/kg (50%) were applied, similar increases in electrical  
408 conductivity were observed, but different variations in pH drop and distinct weight gains  
409 were likewise noted. In the case of 14.7 W/kg, an interaction might be taking place  
410 between the mechanical effect of US, the release of components, and the variation in pH,  
411 which would result in WG similar to the control treatment and a lower pH drop when  
412 compared with other treatments. However, the mechanical effect of US would limit the  
413 flow of water to fish meat, as occurred when 100% US was applied. Based on the obtained  
414 results, the application of US at the lowest intensities (2.9 W/kg) resulted in higher WG or  
415 reduced hydration times to achieve the same level of hydration. Therefore, it was possible  
416 to improve the hydration of cod fillets, which involves a mass transfer process from the  
417 external liquid medium to the interior of the cod fillets. As mentioned above, US generates  
418 microstreaming and microjets (mechanical effects) in the surrounding liquid: they produce  
419 microchannels that enhance the penetration of the solution inside the fillets. Besides, when  
420 US is applied to a solid medium, contractions and expansions occur in the solid (“sponge  
421 effect”) which favor the intake of water to the cod fillets (Cárcel et al., 2007; Ozuna et al.,  
422 2013).

423 As indicated above, the WHC of meat/fish is thoroughly dependent on a series of extrinsic  
424 factors, including the hydration solution’s pH (Sikorski, 2001). The ability to capture water  
425 is due to the interaction of water with proteins and, more specifically, to the proportion of  
426 hydrophilic amino acids that are exposed to the water molecules with which they interact.  
427 This interaction is conditioned by the pH of the medium, so that when the pH moves away  
428 from the isoelectric point of the proteins (approximate pH of 4.5-5.5 in fish meat), the net  
429 charge becomes increasingly positive (pH more acidic) or negative (pH more basic),  
430 thereby producing repulsion among the filaments of proteins that form the fish and thus  
431 leaving more space for water molecules, which, in turn, increases the meat’s water

432 retention capacity (Sikorski, 2001). Due to these circumstances, the variation in pH of the  
433 hydration liquid was evaluated along with weight gain, as shown in Figure 3. **There** was an  
434 additive effect between the pH control of the hydration medium and the application of US,  
435 **and this** effect that had not been previously observed. The combination of both processes  
436 would **therefore** facilitate the interaction of water with proteins, which could have more  
437 space or more bond points to hold more water. The consequence is the possibility of  
438 reducing the hydration process by 2 or 3 d to achieve the same WG.

439 This reduction in time also results in an improved microbial quality of fillets as compared  
440 with customary industrial processes. As observed in Figure 6, the application of US and the  
441 control of pH enabled to reduce the counts of the different investigated microbial groups.  
442 Moreover, no *Enterobacteriaceae* were detected. This would also explain the higher  
443 TVB-N values of fillets from the control (industrial) process after 7 d of hydration, and  
444 their lower quality properties as measured with QIM. Similarly to the hydration process,  
445 the combination of US and pH control would act additively to control the microbial loads.  
446 The NaOH added to the hydration media to control the pH would **allow** to limit or even  
447 inactivate microbiota released from the fillets to the hydration media, as observed in Figure  
448 4. This effect was stronger when pH was higher. Many studies have reported the use of  
449 NaOH for the inactivation of microorganisms, mainly spores, during the sanitation,  
450 cleaning, and elimination of biofilms in the food chain (GE-Healthcare, 2001). According  
451 to Santoro et al. (2011), hydration solutions with alkaline media could serve as a  
452 bactericidal agent and could therefore lengthen hydration times without affecting  
453 microbiological quality. Therefore, the use of NaOH for controlling the pH of the  
454 hydration medium could serve as an alternative to help limit microbial growth, but only up  
455 to a certain concentration, in order not to affect sensorial parameters as observed at pH  
456 10.5 and, to a lesser degree, at 9.5.

457 When US is applied, it can exert a bactericidal effect in itself, or it can improve the effect  
458 of NaOH by facilitating or increasing the contact between bacteria and the chemical agent.  
459 Several previous studies have well described the lethal effect of US due to cavitation,  
460 which results in mechanical shocks, the production of free radicals, and localized heating,  
461 all of which can alter cellular structural and functional components to the point of causing  
462 sublethal or lethal injuries, thereby reinforcing the effect of other antimicrobials  
463 (Bermúdez-Aguirre et al., 2011; Nguyen et al., 2009). For example, it has been shown that  
464 the application of US at an intensity of 20.96 W/cm<sup>2</sup> during 120 min was effective in  
465 lowering the microbial loads of *Escherichia coli* O157: H7 and vegetative cells of *Bacillus*  
466 *cereus* in the meat-curing process (Hajmeer et al., 2006). This could likewise occur in the  
467 case of microorganisms present on the surface of the fillets and, to a lesser extent, in the  
468 case of microorganisms released to the hydration medium when applying US (Figure 4). In  
469 the latter case, the mechanical effect of cavitation through the action of “microjets” is  
470 effective in dragging microorganisms from the fillet to the medium, which could result in a  
471 higher microbiological count in the hydration solution, as has been described in literature  
472 (Gao et al., 2014; Barukčić et al., 2015). In addition to this effect, US could also promote  
473 the release of components into the environment (Figure 2B) and consequently increase the  
474 availability of macromolecular nutrients for microorganisms (Alarcon-Rojo et al., 2015;  
475 Feng et al., 2008), which could, in turn, reduce the effect of antimicrobial compounds  
476 (NaOH, hydrogen peroxide). The main limitation inherent in the release of microorganisms  
477 from the surface of the fish to the hydration media is that a specific hygienization process  
478 (i.e. UV-C light) for the hydration solution would be required in order to limit the re-  
479 contamination of fillets in an industrial application when introducing new fillets in re-used  
480 solution. In any case, and although this effect can indeed occur, the microbial loads of cod  
481 fillets were similar or lower than those observed for the control process as shown in Figure

482 6. This is remarkable when microbial loads are compared for hydrating times of similar  
483 weight gain: 7 d for the control samples with 2 d for the US-assisted process with pH  
484 regulation (Figure 5).

485 The obtained results demonstrate that the combined application of US at low intensities  
486 and the control of pH at 8.5 during the hydration process would allow to reduce process  
487 length by 2-3 d, as compared to the industrial process, thus resulting in a product of better  
488 quality from a microbiological point of view – and also of similar or even better sensorial  
489 quality. As can be observed in Figure 7 and in Table 2, quality parameters of cod fillets  
490 after 5 or 7 d of hydration when using US and pH control, evaluated through the QIM  
491 index, were similar to fillets hydrated with the industrial process during 5 d (CONTROL5)  
492 and better than those hydrated for 7 d (CONTROL7). These results would indicate that the  
493 use of US and the control of pH would also act synergically with the additives present in  
494 the hydration solution, leading to an improvement in the sensory quality properties of cod  
495 fillets. Phosphates are commonly used to improve the textural properties of meat products,  
496 as well as to assist in the stabilization of color, taste, and other sensory characteristics  
497 (Unal et al., 2004). In addition, certain studies have proposed that their use improves color  
498 stability, resulting in less yellow discoloration and higher luminosity by leaving the protein  
499 chains more open, thereby reflecting more light (Kin et al., 2009; Nguyen et al., 2013).  
500 Apart from this, the application of US in this study did not have a negative impact on the  
501 final quality of the product. However, this is not always the case, since the degradation of  
502 food has indeed been observed when applying US, due to physicochemical effects (Pingret  
503 et al., 2013). Pedrós-Garrido et al. (2017) evaluated the final quality of salmon, mackerel,  
504 cod, and hake fillets after applying US surface decontamination treatment (30 kHz, 51.41  
505 W/l) during 45 min. Hardly any degradation was observed in cod and mackerel in terms of  
506 total lipid values, thiobarbituric acid reactive substance (TBARS) values, and color

507 measurements. In contrast, significant reductions of TBARS and lower red and yellow  
508 index values were **observed in salmon samples**. Hake fillets only showed significantly  
509 lower values of yellow index compared to controls. Li et al. (2020) conducted a study of  
510 ultrasound-assisted thawing (28 kHz, 135 W/L) of bighead carp fillets. They did not  
511 observe any effect of US on thawing loss, cooking loss, or texture parameters (hardness,  
512 chewiness, and resilience) compared to water immersion thawing. Likewise, color index,  
513 TBARS values and volatile compounds were similar in control and treated samples. **This**  
514 **means that, depending** on the product or the US conditions, there **might be** impact on food  
515 quality **or not, thereby** indicating the need **for further research** regarding quality-impact-  
516 effect of US.

517

## 518 **5. CONCLUSIONS**

519 In this investigation, the combined effect of the application of ultrasound in conjunction  
520 with the control of the pH of the hydration medium during the industrial hydration process  
521 of cod **fillets was evaluated**. Traditional procedures require up to 7 d of hydration, thereby  
522 necessitating the use of additives to facilitate the hydration process and to control  
523 microbial loads. The application of US at intensities of 2.9 W/kg enabled to increase  
524 weight gains by 5-7% with respect to the industrial process, or to reduce the hydration time  
525 of thawed cod fillets from 3 d to 2 d, achieving the same weight gain.

526 When the influence of the pH control of the hydration medium was studied, it was  
527 observed that weight gain improved when pH of the hydration solution was more basic, i.e.  
528 up to pH 9.5. The combination of pH control (8.5) and US (25 kHz, 2.9 W/kg, 20 min on  
529 and 100 min off) increased the hydration of cod fillets by 4% compared to the pH-control  
530 process and by 17% compared to the industrial process after 5 d of hydration. For a similar  
531 weight gain (10%), the hydration process assisted with US and with pH control at 8.5

532 reduced the hydration process by 5 d, and by 2 d when US was not applied. This not only  
533 led to the highest weight gain, but allowed to control microbial growth, while not  
534 impairing the sensory quality properties of cod fillets. Apart from these results, the  
535 application of US and/or the control of pH during the hydration process could serve as an  
536 interesting strategy to reduce the use of additives in the process, which would be of great  
537 interest for consumers and for the industry. However, more research on the specific effect  
538 and on the interaction of US with different additives would be required.

539

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546

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## Highlights

- 17% hydration improvement of cod fillets by ultrasound.
- Synergistic hydrating effect resulting from mass transfer between pH and ultrasound.
- Sensorial quality of cod fillet maintained with ultrasound treatment.
- Potential additive-free hydration of cod fillets.



**Credit author statement**

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**Ignacio Álvarez:** Conceptualization, Methodology, Writing - Review & Editing, Funding acquisition, Supervision.

**Figure 1.** Influence of ultrasound intensity (25 kHz) on the evolution of the weight gain of cod fillets during hydration. Ultrasound treatments: (□) 29.4 W/kg, (Δ) 14.7 W/kg, (○) 2.9 W/kg and (◆) 0 W/kg (control).

**Figure 2.** Evolution of the pH (2A) and the electrical conductivity (2B) of the hydration solution of cod fillets hydrated when applying ultrasound (25 kHz) at different intensities: (□) 29.4 W/kg, (Δ) 14.7 W/kg, (○) 2.9 W/kg, (◆) 0 W/kg (control).

**Figure 3.** Evolution of the weight gain of cod fillets hydrated in media of different pH (■, □: pH 8.5; ▲, Δ: pH 9.5; ●, ○ pH 10.5) with (solid lines and black markers) and without (discontinued lines and white markers) US (25 kHz, 2.9 W/kg). Control samples (◆): fillets hydrated in the commercial solution.

**Figure 4.** Evolution of the TAM counts of the hydration solution during the hydrating process of cod fillets under different conditions: (□) pH controlled at 8.5, (Δ) pH controlled at 9.5, (■) US-assisted process (25 kHz, 2.9 W/kg) with pH control at 8.5. (◆) Control process: fillets hydrated in the commercial solution.

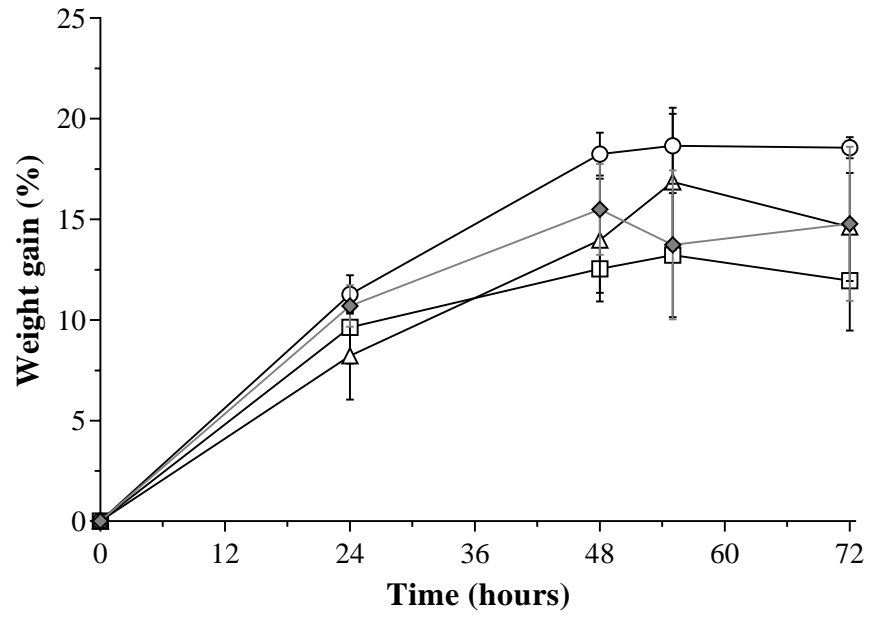
**Figure 5.** Weight gain (5A), pH (5B), and N-BVT (5C) of cod fillets hydrated in commercial solution (CE, ◆, grey bars), in commercial solution with pH controlled at 8.5 (pH, □, white bars), and in commercial solution with pH controlled at 8.5 and the application of US (USpH, ■, black bars) (US treatment: 25 kHz, 2.9 W/kg, 20 min US, 100 min US pause). Capital letters indicate statistically significant differences for the same hydration day and different treatments ( $p \leq 0.05$ ). Lower-case letters indicate statistically significant differences between days for the same treatment ( $p \leq 0.05$ ).

**Figure 6.** Total aerobic mesophilic (TAM) (6A), spoilage seafood organism (SSO) (6B), proteolytic bacteria (PB) (6C) and *Enterobacteriaceae* counts (6D) of cod fillets hydrated in commercial solution (CE, ■, gray bars), in commercial solution with pH controlled at 8.5 (pH, □, white bars), and in commercial solution with pH controlled at 8.5 and the application of US

(USpH, ■, black bars) (US treatment: 25 kHz, 2.9 W/kg, 20 min US, 100 min US pause). Capital letters indicate statistically significant differences for the same hydration day and different treatments ( $p \leq 0.05$ ). Lower-case letters indicate statistically significant differences between days for the same treatment ( $p \leq 0.05$ ).

**Figure 7.** Results of the Quality Method Index (QIM) for cod fillets hydrated during 5 d (white bars) and 7 d (black bars) in a commercial solution without pH control (Control), and in a commercial solution with pH controlled at 8.5 (pH) and the application of US (USpH) (US treatment: 25 kHz, 2.9 W/kg, 20 min US, 100 min US pause). Capital letters indicate statistically significant differences for the same hydration day and different treatments ( $p \leq 0.05$ ). Lower-case letters indicate statistically significant differences between days for the same treatment ( $p \leq 0.05$ ).

**Figure 1**



**Figure 2**

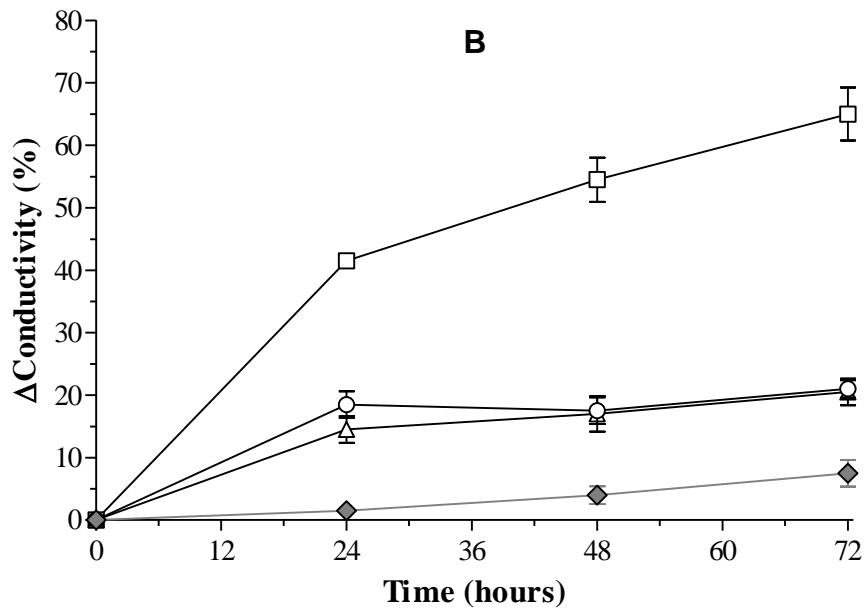
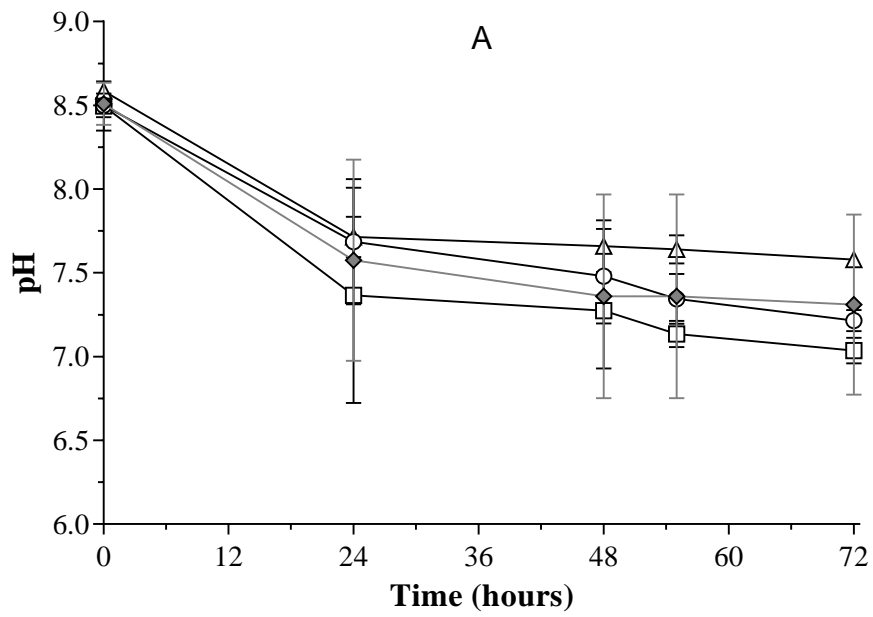


Figure 3

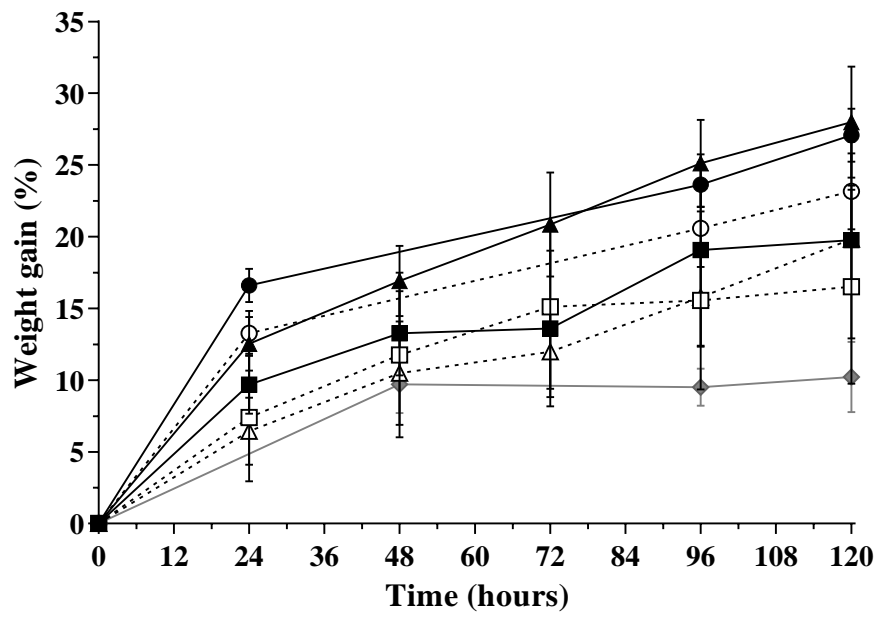


Figure 4

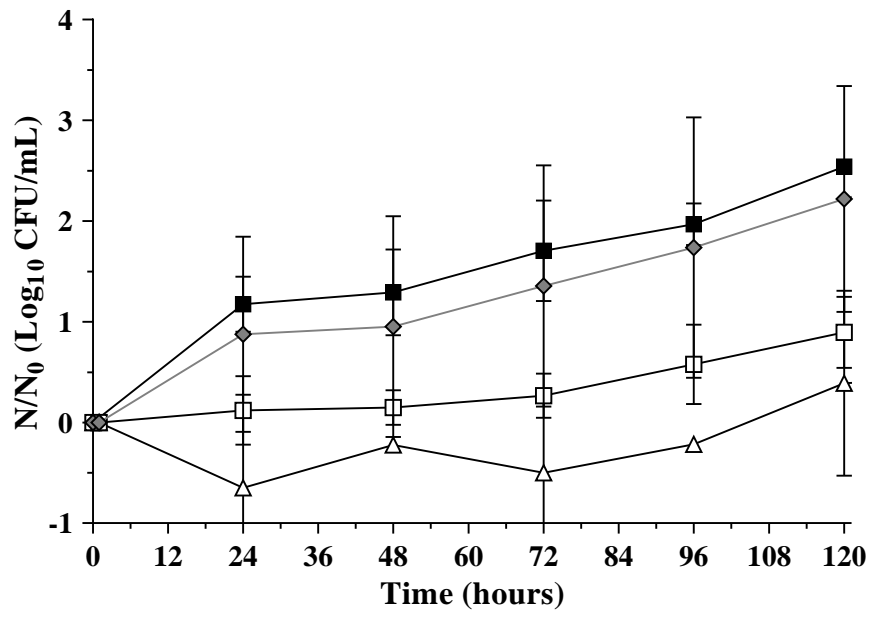
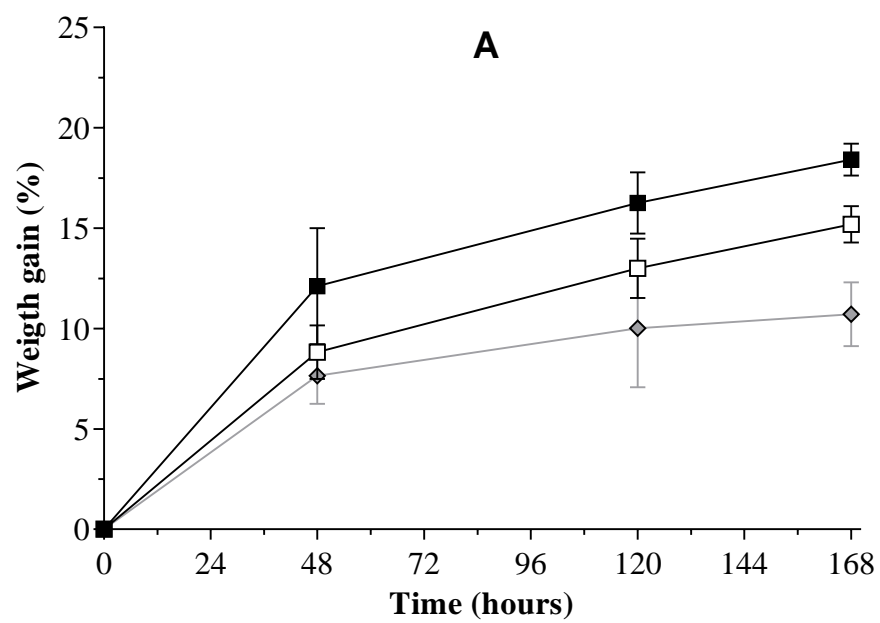


Figure 5





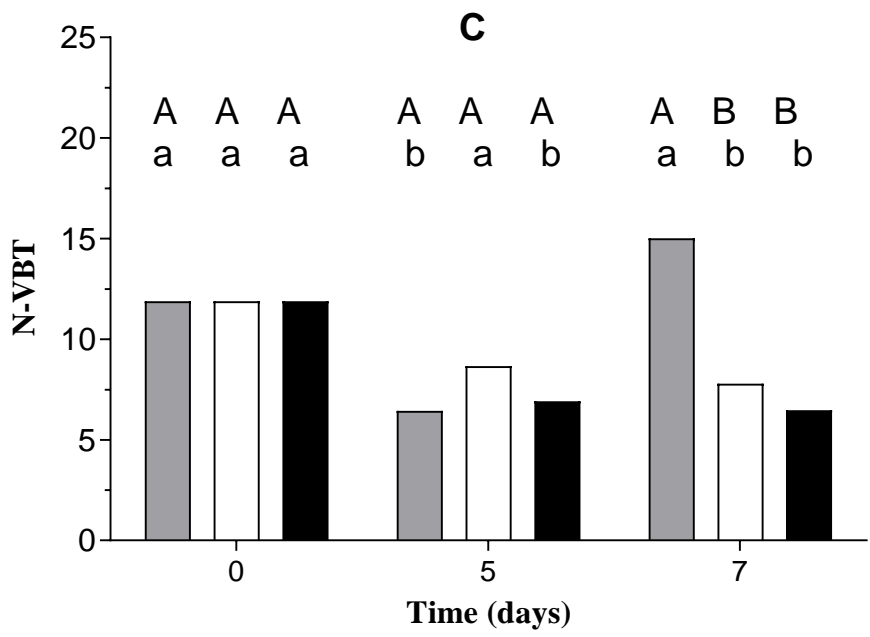
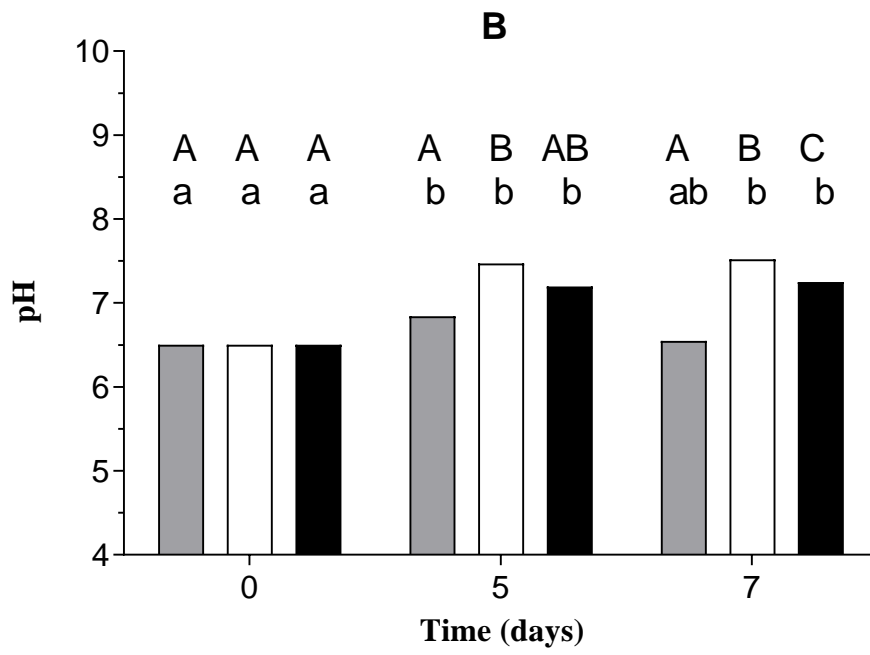
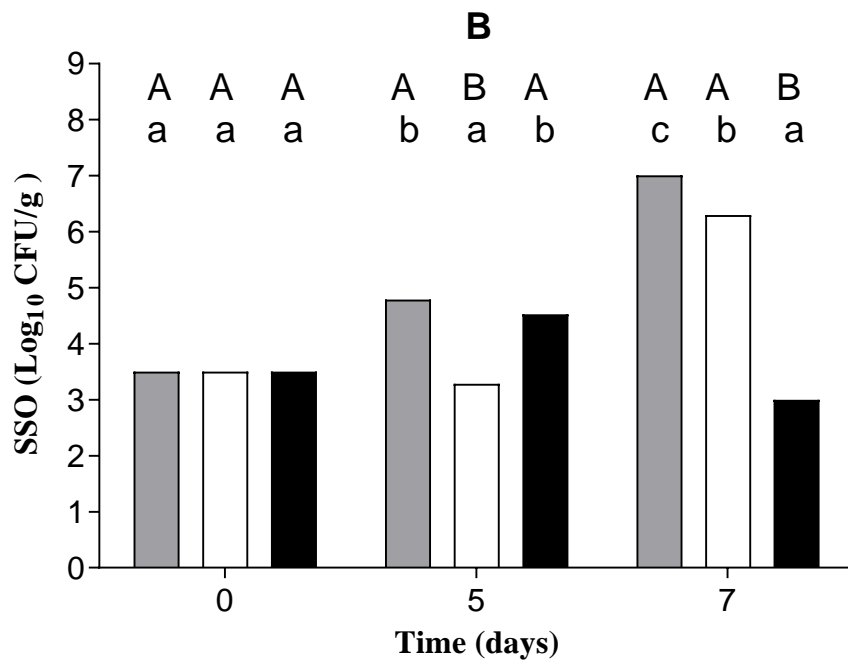
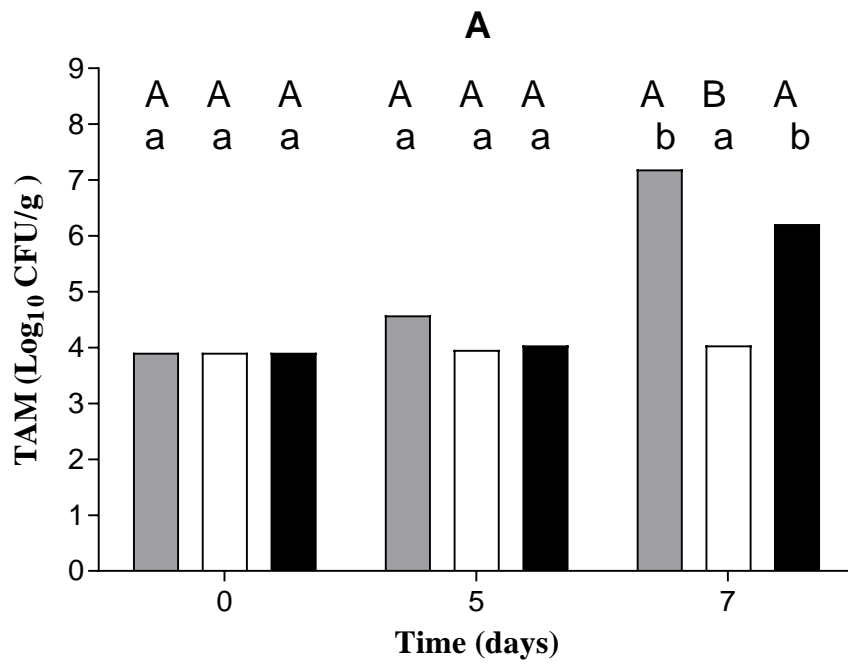
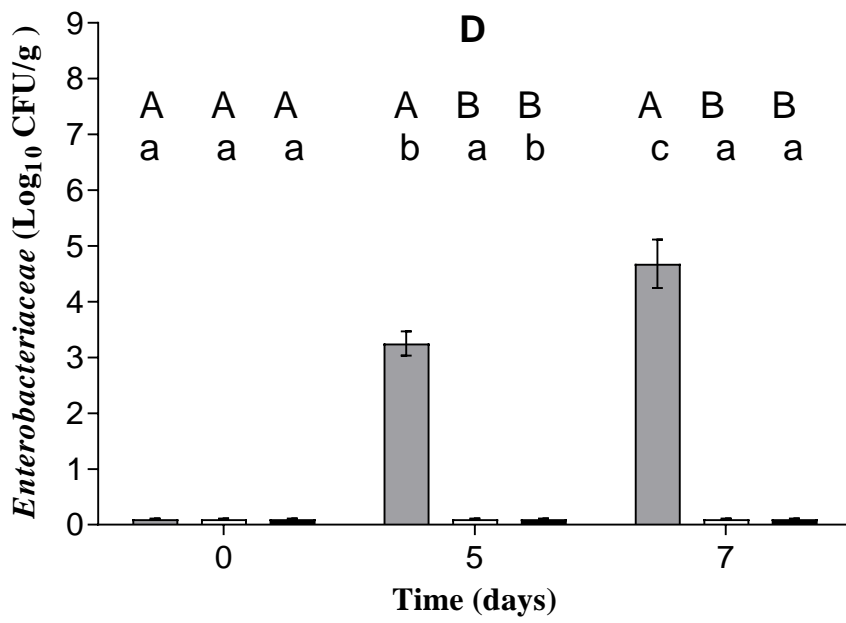
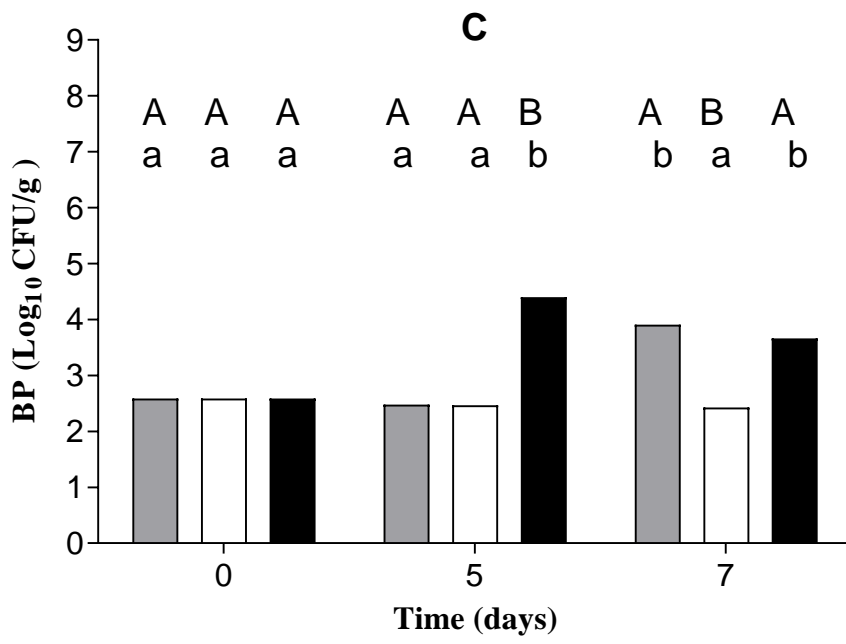
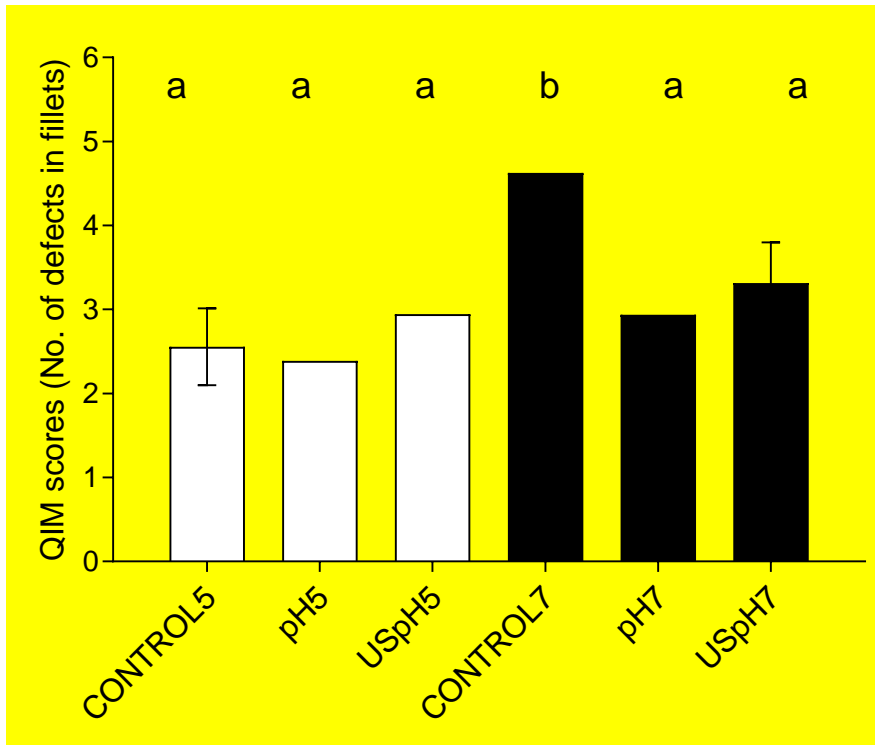


Figure 6





**Figure 7**



**Table 1:** Recovery conditions for the different microbial groups investigated

<b>Microbial Group</b>	<b>Agar</b>	<b>Temp</b>	<b>Time</b>	<b>Atmosphere</b>	<b>Plating</b>
<b>Total Aerobic Mesophilic</b>	LH agar <sup>1</sup>	37 °C	48 h	Aerobic	Spread
<b>SSO<sup>2</sup></b>	Iron agar with L-cysteine <sup>3</sup>	20 °C	72 h	Aerobic	Mass
<b><i>Enterobacteriaceae</i></b>	VRBG agar <sup>4</sup>	37 °C	48 h	Aerobic	Spread
<b>Proteolytic bacteria</b>	MRS agar <sup>5</sup>	30 °C	48 h	Aerobic	Spread

<sup>1</sup>Long and Hammer Agar (Broekaert et al., 2011).

<sup>2</sup>(Lougovois, Kyrana & Kyrana, 2003).

<sup>3</sup>(Gram, Trolle, & Huss, 1987).

<sup>4</sup>Violet Red Bile Glucose Agar (VRBG, Oxoid, United Kingdom).

<sup>5</sup>de Man, Rogosa and Sharpe (ISO 15214:1998).

**Table 2.** Results of the statistical analysis for sensory attributes evaluated by QIM: texture, odor, color, blood stains and gaping in a commercial solution without pH control (Control), and in a commercial solution with pH controlled to 8.5 (pH) and the application of US (USpH) (US treatment: 25 kHz, 2.9 W/kg, 20 min US, 100 min US pause).

		Treatments					
		CONTROL5	pH5	USpH5	CONTROL7	pH7	USpH7
Attributes (QIM)	<b>Texture<sup>NS</sup></b>	0,83	0,78	0,83	1,19	1,31	1,25
	<b>Odor<sup>NS</sup></b>	0,17	0,56	0,33	0,43	0,67	0,63
	<b>Color*</b>	0,22 <sup>ab</sup>	0,17 <sup>a</sup>	0,44 <sup>abc</sup>	0,88 <sup>c</sup>	0,50 <sup>abc</sup>	0,63 <sup>bc</sup>
	<b>Blood stains**</b>	0,50 <sup>a</sup>	0,11 <sup>a</sup>	0,39 <sup>a</sup>	1,00 <sup>b</sup>	0,35 <sup>a</sup>	0,38 <sup>a</sup>
	<b>Gaping**</b>	0,83 <sup>abc</sup>	0,78 <sup>ab</sup>	0,94 <sup>bc</sup>	1,19 <sup>c</sup>	0,88 <sup>bc</sup>	0,44 <sup>a</sup>

Different letters indicate significant differences among the treatments applied.

NS: Non significant

\*:  $p \leq 0.05$

\*\* :  $p \leq 0.01$

1 **Supplementary material: QIM scheme for fillet from thawed cod**

2

Quality Description	Scoring description	Points
Texture	Firm and stiff texture, no wateriness	0
	Slightly soft, initial wateriness	1
	Soft, wateriness noticeable	2
	Very soft and pronounced wateriness	3
Odour	Neutral	0
	Slightly sour, off odour	1
	Very sour off odour	2
Colour	Plain white	0
	Greyish	1
	Grey, starting yellow maybe slightly red	2
	Either yellow or very red, milky surfaces, freeze dried	3
Blood stains	No stains	0
	A single stain (diameter less than 3mm)	1
	Single small stains (1-2 with diameter less than 5mm)	2
	Very discoloured from many stains or totally red	3
Gaping	No gaping, coherent	0
	Slight gaping but still coherent	1
	Gaping noticeable, disrupted	2
	Gaping pronounced, disrupted	
Parasites	No parasites	0
	One parasites	1
	More than one parasite	2
<b>QIM SCORE</b>		0-16

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