

# Design of affordable sustainable energy supply systems for residential buildings: A Case Study

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## Abstract

The residential sector plays an important role to mitigate climate change due to its high energy consumption. Polygeneration systems are a suitable alternative enabling efficient use of natural resources with low environmental impact. However, their deployment depends, among other factors, on the economic cost and the legal restrictions. This work analyses the potential reduction of greenhouse gases emissions, expressed in  $CO_2$ -equivalent emissions ( $CO_2eq$ ), in residential buildings installing polygeneration systems and considering the current Spanish self-consumption regulation. This is achieved through a multiobjective optimization, applying a Mixed Integer Linear Programming model, considering economic, environmental and legal aspects. Obtained results provide interesting replicable lessons, and show the interest of collective installations, in which remarkable  $CO_2eq$  emissions reductions, above 65% with respect to conventional systems, can be achieved at an affordable cost. Technologies such as photovoltaic, reversible heat pumps, biomass and thermal energy storage are competitive when properly integrated. Furthermore, the sale of renewable electricity to the grid under a net-billing scheme, with suitable electricity sale prices, is an appropriate approach, aligned with the European climate and energy policy. Nevertheless, the current Spanish self-consumption regulation is mostly appropriate for small-medium size residential buildings.

**Keywords**— Multiobjective optimization, Self-consumption framework, Affordable polygeneration systems for buildings, Renewable energy, Greenhouse gas emissions reduction.

# 1 Introduction

The residential sector represents about 20% of the final energy consumption and 17% of the greenhouse gases emissions, hereinafter expressed in  $CO_2$  equivalent emissions ( $CO_2eq$ ), of the IEA (International Energy Agency) member countries [1]. Therefore, this sector plays an important role in the policies to mitigate climate change and its impacts [2]. In fact, this is one of the objective sectors in the pathway to limit the global warming according to the special report of the Intergovernmental Panel on Climate Change (IPCC) on the impacts of global warming of 1.5 °C above pre-industrial levels [3].

Consequently, the need of designing buildings with low energy consumption is a matter of research and study since several years ago [4, 5], oriented to reduce the building's energy demand, through the design of high efficient energy buildings with very low energy requirements [6], as well as through the improvement of the energy efficiency of the energy supply systems and the integration of renewable energy technologies in buildings [7, 8]. Recent studies show that the integration of thermal and electrical systems allow to increase the share of renewable energy, and the reduction of  $CO_2eq$  emissions [5]. Hence, the use of polygeneration systems for residential buildings can be a suitable alternative to reduce economic costs and  $CO_2eq$  emissions with respect to the separate production of energy services, thanks to an adequate energy systems integration [9].

Polygeneration in residential buildings generally refers to the combined production of electricity, heat and cooling [10]. They consist of different energy technologies, which convert renewable and non-renewable energy resources into the energy services required in the building along the time. Among them, technologies driven by renewable energies play a key role in the design of sustainable energy supply systems for residential buildings [11, 12]. Moreover, they can cover multiple energy demands directly (e.g. electricity from photovoltaic or wind turbines, or heat from solar thermal collectors or biomass boilers) or indirectly by coupling absorption and/or mechanical heat pumps [13, 14, 15]. Nevertheless, non-dispatchable energy technologies, such as wind or solar energy, are not able of covering alone in a reasonable and competitive way the full demand of energy services of buildings. In this respect the combination of non-dispatchable renewable energy sources with dispatchable energy sources (e.g. biomass and/or conventional fossil fuels) and with the integration of energy storage (e.g. electric batteries, thermal energy storage –hot water tanks for heating or chilled water for cooling) allow to reach a significant fraction of renewable energy, to increase the energy security, to reduce the installed capacity of some technologies, to increase the environmental benefits and to reduce the operation costs [16, 13]. Thus, polygeneration offers potential to fulfil the ambitious target of zero energy building (ZEB) because of its flexibility to accommodate more renewable energy sources in the system [17]. However, the economic feasibility of polygeneration systems is highly dependent on the applied energy policies and legal framework.

Concerning energy policy, the pathway of energy systems aims, according to United Nations resolutions on sustainable development [18] signed by a vast major-

ity of world's countries, to the decarbonisation of the energy sector with an increased fraction of renewable energies [19, 20]. In this respect, for example, Mathiesen et al. [21] proposed the integration of electricity, heating and transport sectors in order to achieve 100% renewable energy supply systems. Thus, current European directives ask for the Member States to establish their policies and investment decisions, which include indicative national milestones and actions for energy efficiency to achieve very ambitious short-term (2030), mid-term (2040) and long-term (2050) objectives. For instance, by 2030 greenhouse gas emissions should be reduced by at least 55% as compared to 1990, and to have at least 32% share of renewable energy [22]. And by 2050 European Union aims to become the World's first climate neutral region [22]. Therefore, the evaluation of potential solutions which enable significant reduction of  $CO_2eq$  emissions at affordable cost is an important task to be carried out by the governments around the world [20].

In this context, the Spanish government has released the Royal Decree RD 244/2019 [23] which establishes the administrative, technical and economic conditions for self-consumption. This decree settles down two categories of self-consumption: i) self-consumption without surplus electricity production, in which electricity injection to the grid is not allowed, and ii) self-consumption with surplus, in which electricity injection to the grid is allowed. Both self-consumption categories can be applied for individual or collective installations. The self-consumption with surplus type is divided in two types: a) surplus subject to compensation in which the primary energy must be renewable and the installed polygeneration system capacity must be equal or lower than 100 kW, and b) surplus no subject to compensation, when do not accomplish the requirements to receive economic compensation or when voluntarily decide do not receive receive it. The later situation can be caused by the additional administrative and technical requirements and the additional fees charged to sell electricity to the grid. Then, if the surplus of electricity is a small amount, it could be more interesting to avoid these technical and administrative issues. Besides, in this way, surplus of electricity can be delivered to the grid, providing more flexibility of operation and avoiding the additional investment in any dissipator or battery required to manage the excess of electricity produced. On the other hand, when the surplus is subject to compensation, according to the current regulation [23], the economic value of surplus electricity sold to the grid should not be greater than the economic value of consumed electricity from the grid in a billing period, which cannot exceed 1 month. In this work the considered billing period is one year, which is less restrictive and allows to reach more general conclusions.

Accordingly, the threefold aim of this work is: i) to evaluate the suitability of the current Spanish legal regulation [23] in the pathway to reach as much  $CO_2eq$  emissions reduction as possible in energy supply systems for residential buildings, ii) establishing guidelines for the optimal design of feasible and affordable polygeneration systems oriented to the transition towards decarbonized energy supply systems, iii) considering also the current European Climate Action objectives and legislation [22]. To do this, a multiobjective optimization considering both economic and environmental aspects was applied to several multifamily residential buildings located in Zaragoza (Spain) in order to find different trade-off solutions. Note that,

although several works have applied multiobjective optimization considering those aspects [24, 25, 26], it is the first time that this kind of analysis is made to analyse the ability of a regulation, more specifically the current Spanish self-consumption regulation as a case study to promote feasible reduction of greenhouse emissions at the path required by the European Union. Therefore, the obtained solutions of this work could be considered as a starting point for different stakeholders for the design of energy supply systems for residential buildings in Spain, which should consider the legal restrictions to achieve the key targets defined by the European Union [27, 28, 29].

In this work, it is only considered the impact of energy supply systems on the  $CO_2eq$  emissions reduction, starting from predefined energy demands of the residential building, which means that the envelope of the building has not been considered.

For the analysis of the energy supply systems, a synthesis problem based on a superstructure which considers different candidate technologies was defined to evaluate suitable configurations for different economic cost/ $CO_2eq$  emissions ratios. These configurations were obtained through the optimization of the polygeneration systems by applying Mixed Integer Linear Programming (MILP).

## 2 Methodology

### 2.1 Description of the system

The proposed system should provide different energy services to cover the energy demands of electricity, heat for space heating and domestic hot water, and cooling for air conditioning of a set of dwellings in a multifamily residential building located in Zaragoza (Spain). Each dwelling has a surface area of  $102.4 m^2$  and an average occupancy of 3 people per dwelling. Three cases of study of residential buildings have been considered namely 12, 24 and 50 dwellings. They establish a relation of the scale to the potential of the current self-consumption regulation to reduce  $CO_2eq$  emissions at affordable cost. The limit of the installed capacity according to the RD 244/2019 [23] is 100 kW. In this way, the investors can evaluate the scale of the project to be both profitable and sustainable, being aware of the legal restrictions. The residential building can sign-up a collective contract to cover all energy services. The expected contracted power is above 10 kW; therefore, the electric tariffs 2.1 DHS and 3.0A will be applied in this case [30] according to the available normalized powers from the electric grid [31].

#### 2.1.1 Energy demands

Space heating and cooling demands per dwelling are about 41 and 11 kWh/( $m^2 \cdot year$ ) [32]. The electricity demand for appliances is about 28.7 kWh/( $m^2 \cdot year$ ) [33]. The domestic hot water (DHW) average consumption is about 28 L/( $person \cdot day$ ) [34]. The procedure to obtain hourly data is briefly described as follows [35]: For space heating and cooling demands the *degree days* method was applied for

obtaining daily data. The considered base temperature for heating and cooling were 15 °C and 21 °C respectively [36] and the ambient temperature was obtained from the meteorology database [37]. An hourly function was applied on daily data to obtain hourly space heating and cooling demands [38]. Domestic hot water volume was monthly distributed by applying a distribution factor [39]. The energy required to heat the monthly volume of water was calculated considering the water network supply temperature [40] and the DHW set temperature of 60 °C according to the Spanish regulation [34]. Monthly energy was divided by the days of the month and distributed by means of an hourly distribution function [38]. This procedure assumes that the hourly DHW demand is the same for each day of the month. Annual electricity demand for appliances and lighting was monthly distributed by applying a distribution factor. Then, monthly values are divided by the days of the month and distributed by an hourly distribution function [41]. The procedures briefly described above, provide the hourly demand data series of heating, cooling and electricity, where heating demand consists of the space heating and the domestic hot water. A detailed description of the procedure applied is presented in the work developed by Pinto E.S [35].

### 2.1.2 Renewable energy production

The hourly photovoltaic energy production per square meter,  $E_{PV}$ , was calculated following the procedure described by [42] as a function of the solar radiation over a tilted surface at 36° and azimuth angle 0° [37]. The hourly solar thermal energy production per square meter,  $E_{ST}$ , was calculated as a function of the solar radiation over a tilted surface at 36° and azimuth angle 0° as well, and the mean difference temperature between the collector temperature 60°C and the ambient temperature. Space restrictions have not been considered in order to explore how much solar energy could be feasible from a technical and economic point of view. The electrical production of a wind turbine,  $E_W$ , depends on the wind speed [37] and was calculated based on the production curve of a wind turbine with nominal capacity of 30 kW [43], following the procedure described by [44].

### 2.1.3 Input data from the grid

Hourly electricity spot prices [45] in some cases of study are required for the system in order to calculate the revenues for selling surplus electricity to the grid. Moreover, hourly  $CO_2eq$  emissions from the grid [46] were considered in order to evaluate the environmental impact of the systems.

## 2.2 Representative days

The optimization of polygeneration systems considering the entire year data when several time series and binary variables are involved in the model is a computationally demanding task. Therefore, representative days have been widely used in

Table 1: Set of representative days  $D_{rep}$

Month	day ( $d$ )	weight ( $\omega$ )	Month	day ( $d$ )	weight ( $\omega$ )	Month	day ( $d$ )	weight ( $\omega$ )
February	37	40	June	162	39	August	235	42
March	62	30	June	177	39	October	298	31
April	112	40	July	208	23	December	339	38
April	116	22	August	221	10	December	352	11

several works to tackle this issue [47, 48]. Taking into account that this work considered time series with high variability, such as wind energy production, the  $k$ M-OPT method [13] was applied. This method merged two methods, the  $k$ -Medoids developed by Domínguez-Muñoz et al. [49] which aims to group the days of the year into clusters so that the cluster members are as similar as possible, and the OPT method developed by Poncelet et al. [50] which consists of fitting the data duration curve obtained from representative periods to the duration curve of the original time series. One of the drawbacks of these methods lies in the non-consecutive order of the selected days, which makes it difficult to carry out monthly analysis in terms of economic billings, therefore, yearly analysis was carried out. Table 1 shows the set of representative days  $D_{rep}$  with 12 elements and their respective weights  $\omega$ . Each representative day consists of a set  $H$  of 24 time periods  $h$  of 1 hour. Two additional days corresponding to cooling and heating peak demands are considered with weight zero, which have influence in the sizing equipment but not on the operational cost.

## 2.3 Superstructure

The superstructure depicted in Figure 1 considers the candidate technologies and the feasible connections between them. The system is made up of an electrical and thermal part. The electrical part consists of the electric grid, photovoltaic modules  $PV$ , wind turbines  $WT$ , inverter  $Inv$ , batteries  $BAT$  and inverter-charger  $InvC$ . The excess of electricity produced by photovoltaic modules or wind turbines that is not sold or stored is wasted by a dissipator. The thermal part consists of biomass boiler  $BB$ , natural gas boiler  $GB$ , solar thermal collectors  $ST$ , single-effect absorption chiller  $ACH$  and thermal energy storage for heating  $TSQ$  and cooling  $TSR$ . Components such as cogeneration module  $CM$  and reversible heat pumps  $HP$  allow the integration of electric and thermal parts.

### 2.3.1 Technical, economic and environmental data

The economic investment of the polygeneration system considers an annual interest rate of 5% and a capital recovery factor  $CRF=0.082 \text{ yr}^{-1}$ . Indirect costs were considered by applying a factor of 20% over the total investment cost. This study provides a pre-design of polygeneration systems, therefore, an average unit cost  $Cu$  was considered for each technology. A factor  $Fm$  was defined to consider the installation and maintenance costs. The VAT (Value-Added Tax) was also applied,

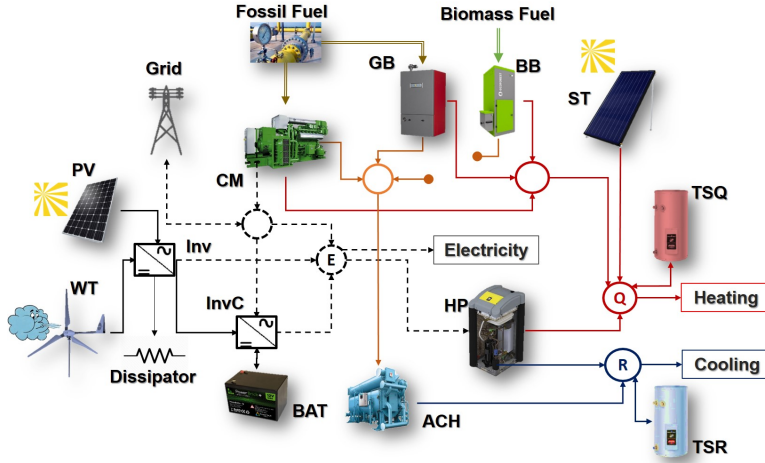


Figure 1: Superstructure

with a value of 21% for Spain (Peninsula). When the lifetime of the component  $n_{comp}$  is below the project lifetime, its net present value factor  $FNPV$  is calculated in order to take into account the total replacements carried out during the lifetime of the installation. The Table 2 presents the main technical and economic data of the technologies.

The electricity time-of-use tariffs were applied in different scenarios based on economic data of the market, considering the electricity tax  $Tax_e = 5.13\%$  and the electricity meter equipment rental cost  $C_{alqe}$  of 16.32 €/yr. In the case of natural gas costs, the contract depends on the annual gas consumption, which is related to the fixed cost  $C_{fg}$ . The variable cost of the natural gas  $C_{vg}$  is proportional to the retail price  $cp_g$ . Besides, the gas meter equipment rental cost  $C_{alqg}$  of 7.2 €/yr has been considered. Concerning biomass fuel, the price of the pellets is about 0.04 €/kWh which includes transportation costs [51]. Table 3 and Table 4 summarize the electricity and fuel tariffs.

In order to evaluate the environmental impact, the  $CO_2eq$  emissions embodied in every component of the superstructure  $CO_{2fix}$  were considered, based on the unit  $CO_2eq$  emissions  $CO_2U$  of every component (Table 2). Concerning the operational  $CO_2eq$  emissions, the  $CO_2eq$  emissions released due to the natural gas and biomass combustion were considered, by applying constant values of 0.203 and 0.063  $kgCO_2eq/kWh$  respectively [52, 53], and the hourly  $CO_2eq$  emissions due to electricity consumption from the grid [46].

## 2.4 Multiobjective optimization

The aim herein, is to find feasible solutions at an affordable cost, and in turn, it looks for identifying the barriers that impede/limit the reduction of greenhouse gas

Table 2: Technical, economic and environmental data

Component	Technical data (Tech)	Economic data (Econ)		Environmental data (Env)		Based on references		
		Cu	Fm	$n_{comp}$	$CO_2U$ [kgCO <sub>2</sub> eq/*]	Tech	Econ	Env
CM	$\alpha_w^a = 0.28$ $\alpha_q^b = 0.56$ $PL^c = 0.15$	1150 €/kW <sub>e</sub>	0.7	10	65 kgCO <sub>2</sub> eq/kW <sub>e</sub>	[54]	[55]	[56]
PV (Polycrystalline)	255 Wp; $\eta_{mp,sc}^d = 15.66\%$ ; $\mu^e = 0.32\%/^{\circ}C$	113.4 €/m <sup>2</sup>	0.9	20	161kgCO <sub>2</sub> eq/m <sup>2</sup>	[57]	[58]	[59, 60]
WT	30 kW Manufacturer curve	2330 €/kW	0.9	20	720 kgCO <sub>2</sub> eq/kW	[43]	[61]	[62, 63]
ST	$a_0^f = 0.81$ $a_1^g = 3.188W/m^2 \cdot K$ $a_2^h = 0.011W/m^2 \cdot K^2$	257 €/m <sup>2</sup>	1.5	20	95 kgCO <sub>2</sub> eq/m <sup>2</sup>	[64, 65]		[66]
BB	$\eta_{BB}^i = 0.90$	240 €/kW <sub>t</sub>	0.5	20	10 kgCO <sub>2</sub> eq/kW <sub>t</sub>		[67]	
GB	$\eta_{GB}^j = 0.96$	80 €/kW <sub>t</sub>	0.5	20	160 kgCO <sub>2</sub> eq/kW <sub>t</sub>	[68, 69]		[56]
HP	COP <sup>k</sup> =3.0 EER <sup>l</sup> =4.0	400 €/kW <sub>t</sub>	0.5	20	165 kgCO <sub>2</sub> eq/kW <sub>t</sub>		[70]	
ACH	COP <sup>m</sup> =0.7	485 €/kW <sub>t</sub>	1.5	20	31 kgCO <sub>2</sub> eq/kWh <sub>t</sub>	[67, 69]		[71, 72, 73]
TSQ	$\lambda_{TSQ}^n = 1\%$	212 €/kW <sub>ht</sub>	0.1	15	62 kgCO <sub>2</sub> eq/kWh <sub>t</sub>			
TSR	$\lambda_{TSR}^o = 1\%$	257 €/kW <sub>ht</sub>						
BAT	$\eta_{r^p} = 95\%$ DOD <sup>q</sup> =90% $N_{s, failure}^r = 2000$ $\lambda_{BAT}^s = 0.0042\%$	370 €/kWh	0.25	12	160 kgCO <sub>2</sub> eq/kWh	[74]		[75]
Inv	$\eta_{Inv}^t = 98\%$	400 €/kW	0					
InvC	$\eta_{InvC}^u = 94\%$	774 €/kW	0.25	15	191 kgCO <sub>2</sub> eq/kW	[76, 77]		[59, 60]

<sup>a</sup>Electrical generation efficiency

<sup>b</sup>Exhaust heat recovery ratio

<sup>c</sup>Partial load

<sup>d</sup>Standard conditions maximum power point efficiency

<sup>e</sup>Temperature coefficient of open circuit voltage

<sup>f</sup>Optical efficiency

<sup>g</sup>First-Order Loss Coefficient

<sup>h</sup>Second-Order Loss Coefficient

<sup>i</sup>Efficiency BB

<sup>j</sup>Efficiency GB

<sup>k</sup>Coefficient of performance HP

<sup>l</sup>Energy efficiency ratio

<sup>m</sup>Coefficient of performance ACH

<sup>n</sup>Hourly energy loss factor for TSQ

<sup>o</sup>Hourly energy loss factor for TSR

<sup>p</sup>Round trip efficiency

<sup>q</sup>Deep of discharge

<sup>r</sup>Number of cycles to failure

<sup>s</sup>Hourly self-discharge

<sup>t</sup>Efficiency Inv

<sup>u</sup>Efficiency InvC



Table 3: Electricity tariffs in Spain (Peninsula)[78, 30].

Time-of-use tariff (I)	Contracted power [kW]	Time period (i)	Winter (h)	Summer (h)	cPct [€/kW yr]	cp[€/kWh]
Tariff 2.1 DHS	10<Pct<15	P1	14-23	14-23	50.187	0.187157
		P2	1;8-13;24	1;8-13;24		0.11527
		P3	2-7	2-7		0.082849
Tariff 3.0 A	15<Pct<30	P1	19-22	12-15	41.951	0.192699
		P2	9-18;23-24	9-11;16-24	25.17	0.172904
		P3	1-8	1-8	16.78	0.129289
	30<Pct<50	P1	19-22	12-15	41.951	0.188567
		P2	9-18;23-24	9-11;16-24	25.17	0.168758
		P3	1-8	1-8	16.78	0.125166
	50<Pct<100	P1	19-22	12-15	41.951	0.185322
		P2	9-18;23-24	9-11;16-24	25.17	0.165525
		P3	1-8	1-8	16.78	0.121922
	100<Pct<250	P1	19-22	12-15	41.951	0.183892
		P2	9-18;23-24	9-11;16-24	25.17	0.164085
		P3	1-8	1-8	16.78	0.120491

Table 4: Natural gas [30] and pellets [51] tariffs.

Fuel	Tariff	$C_{fg}$ [€/yr]	$cp_g$ [€/kWh]	Annual consumption limit [kWh/yr]
Natural gas	3.1	61.8	0.063125	$\leq 5000$
	3.2	112.2	0.05845	5000–50000
	3.3	650.64	0.050523	50000–100000
	3.4	971.64	0.046843	$>100000$
Pellets	N/A	N/A	0.04	N/A

emissions. To do this, two objective functions have been considered for the optimization of the polygeneration system: minimization of total annual economic costs and/or total annual  $CO_2eq$  emissions. Thus, multiobjective optimization is used to pursue both criteria simultaneously [79]. The optimization of the polygeneration system is carried out by solving a MILP model developed in the optimizer software Lingo [80]. The optimization model is presented below.

Objective functions:

$$\text{Min } TAC = \text{Min}(CIA + C_{ope}) \quad (1)$$

$$\text{Min } TCE = \text{Min}(CO2_{fix} + CO2_{ope}) \quad (2)$$

Regarding the economic objective,  $TAC$  is the total annual cost,  $CIA$  is the investment annual cost and  $C_{ope}$  is the operational cost. Concerning the environmental objective,  $TCE$  is the total annual  $CO_2eq$  emissions, composed of a fixed part  $CO2_{fix}$  corresponding to the annual  $CO_2eq$  emissions embodied in the components and the variable part  $CO2_{ope}$  corresponding to the annual  $CO_2eq$  emissions due to the fossil fuels and pellets combustion, and/or electricity consumption from the grid.

The operational annual cost  $C_{ope}$  is the sum of the annual electricity bill cost  $C_e$  and the annual fuel consumption cost  $C_g$ .

$$C_{ope} = C_e + C_g \quad (3)$$

Subscript  $_e$  indicates electricity and subscript  $_g$  indicates conventional and/or biomass fuels.

The objective functions are subject to:

The electricity bill  $C_e$  is composed of a fixed part  $C_{fix_e}$ , and the variable cost  $C_{v_e}$  (Eq. 4). The  $C_{fix_e}$  is proportional to the contracted power that must be lower or equal to the hourly purchased and sold power (Eq. 5).  $C_{v_e}$  (Eq. 6) is calculated based on the electricity consumption  $E_{pch}$  at  $cp_e$  price and the sale electricity  $E_s$  at  $cs_e$  price. Values of  $cp_e$  and  $cs_e$  in €/kWh depend on the time-of-use electricity tariff.

$$C_e = ((C_{fix_e} + C_{v_e}) \cdot (1 + Tax_e) + C_{alq_e}) \cdot (1 + VAT) \quad (4)$$

For each electric tariff period  $i$ :

$$Pct(i) \geq E_{pch}(i, d, h) + E_s(i, d, h) \quad \forall i \in I, d \in D_{rep} \wedge h \in H \quad (5)$$

$$C_{v_e} = \sum_{d \in D_{rep}} \omega(d) \cdot \left( \sum_{h=1}^{24} (cp_e(i, d, h) \cdot E_{pch}(i, d, h) - cs_e(i, d, h) \cdot E_s(i, d, h)) \right) \quad (6)$$

$C_g$  represents the natural gas bill cost which is composed of a fixed part related to the annual natural gas consumption  $C_{fix_g}$ , and a variable part proportional to the fuel consumption  $C_{v_g}$ .

$$C_g = ((C_{fix_g} + C_{alq_g}) + C_{v_g}) \quad (7)$$

$$C_{v_g} = \sum_{d \in D_{rep}} \omega(d) \cdot \left( \sum_{h=1}^{24} cp_g \cdot F_g(d, h) \right) \quad (8)$$

Regarding the  $CO_2eq$  emissions, the operational  $CO_2eq$  emissions (Eq. 9) encompass the annual  $CO_2eq$  emissions associated to the combustion of each fuel  $CO_2_g$  (Eq. 10), and the  $CO_2eq$  emissions associated to the electricity from the grid  $CO_2_{gc}$  (Eq. 11).

$$CO2_{ope} = \sum_{d \in D_{rep}} \omega(d) \left( \sum_{h=1}^{24} (CO2_g(d, h) + CO2_{gc}(d, h)) \right) \quad (9)$$

$$CO2_g(d, h) = \sum_{j \in J} (CO2(j) \cdot F(j, d, h)) \quad \forall d \in D_{rep} \wedge h \in H \quad (10)$$

$$CO2_{gc}(d, h) = uCO2_{grid}(d, h) \cdot (E_{pch}(d, h) - E_s(d, h)); \quad \forall d \in D_{rep} \wedge h \in H \quad (11)$$

**Installation of technologies:** The Installation of the components is determined by the binary variable  $Y_{ins}$  taking into account the maximum capacity of each component  $max\ Cap$ .

$$Cap(j) \leq Y_{ins}(j) \cdot max\ Cap(j) \quad \forall j \in J \quad (12)$$

**Energy balance:** Energy balance is carried out in each node of the superstructure for every day  $d$  and hour  $h$ . The variable  $u$  represents the energy (electricity  $E/W$ , heating  $Q$  or cooling  $R$ ) value in/out in each time step.

$$\sum u^{in}(\Gamma, d, h) - \sum u^{out}(\Gamma, d, h) = 0 \quad \forall \Gamma \in \{W/E, Q, R\}, d \in D_{rep}, h \in H \quad (13)$$

**Equipment efficiency:** Efficiency of every component of the superstructure has been considered.  $F$  represents the fuel consumption of the component.

$$BB : \eta_{BB} \cdot F_{BB} - Q_{BB} = 0 \quad (14)$$

$$GB : \eta_{GB} \cdot F_{GB} - Q_{GB} = 0 \quad (15)$$

$$HPQ : Q_{HP} - W_{HPQ} \cdot COP = 0 \quad (16)$$

$$HPR : R_{HP} - W_{HPR} \cdot EER = 0 \quad (17)$$

$$CM : \alpha_w \cdot F_{CM} - W_{CM} = 0 \quad (18)$$

$$CM : \alpha_q \cdot F_{CM} - Q_{CM} = 0 \quad (19)$$

$$ACH : R_{ACH} - COP_{ACH} \cdot Q_{ACH} = 0 \quad (20)$$

**Energy storage:** In the case of energy storage, the stored energy at the beginning of the day ( $h = 1$ ) must be equal at the end of the day ( $h = 24$ ) (Eq. 21), due to the use of representative days.

$$S(d, 1) = S(d, 24) \quad (21)$$

The energy stored  $S$  is evaluated in each time step taking into account their energy loss factor  $\lambda$  to consider the hourly energy losses. In the case of batteries,  $\lambda$  corresponds to the self-discharge value. For each energy storage technology  $j$ :

$$S(j, d, h) = S(j, d, h - 1) \cdot \lambda + u^{in}(j, d, h) - u^{out}(j, d, h) \quad \forall d \in D_{rep} \wedge h \in H \quad (22)$$

The model of capacity used for the batteries is described by Diorio [81]. Besides the hourly energy losses, the round trip efficiency  $\eta_{rt}$  is also considered. In addition, the number of cycles must be lower or equal to the cycle life of the battery  $N_{\phi, failure}$  [82].

**Renewable energy technologies:** For the renewable energy production technology, the aim is to find the surface areas of the PV modules  $A_{PV}$  and solar thermal collectors  $A_{ST}$ , and the number of wind turbines  $N_{WT}$ .

$$PV : W_{PV} = E_{PV} \cdot A_{PV} \quad (23)$$

$$ST : Q_{ST} = E_{ST} \cdot A_{ST} \quad (24)$$

$$WT : W_W = E_W \cdot N_{WT} \quad (25)$$

**Installed capacity:** For each component, the energy production is equal or lower than its nominal capacity. In the case of energy storage, its stored energy must be equal or lower to their nominal capacity

$$u(\Gamma, d, h) \leq Cap(j) \forall \Gamma \in \{W/E, Q, R\}, j \in J, d \in D_{rep}, h \in H \quad (26)$$

$$S(j, d, h) \leq Cap(j) \forall j \in J, d \in D_{rep}, h \in H \quad (27)$$

**Operational restrictions:** Partial load  $PL$  of the engine in the case of the cogeneration module is considered by applying a binary variable  $Y_{ON}$  along with the  $BigM$  number. In this way, the engine can modulate according to the expression:

$$W_{CM} - PL \cdot Cap_{CM} \geq -BigM \cdot (1 - Y_{ON}) \quad (28)$$

$$W_{CM} \leq BigM \cdot Y_{ON} \quad (29)$$

### 3 Results

The multiobjective optimization of the polygeneration system was carried out for buildings consisting of 12, 24 and 50 dwellings for three different scenarios: scenario 1 in which electricity sale is not allowed, corresponding to the case of self-consumption type 1; scenario 2 in which electricity sale is allowed at spot price; and scenario 3 in which electricity sale is allowed at 80% purchase price. Scenarios 2 and 3 are proposed as particular examples of the self-consumption type 2 [23]. For comparison purposes, a conventional energy system in which electricity is purchased from the electrical grid, a gas boiler (GB) attends heating demands and a mechanical chiller (MCh) covers only cooling demands, was considered as a reference scenario (Table 5).

The results of the reference scenarios have been taken into account to calculate the potential reduction in terms of economic cost and  $CO_2eq$  emissions as well as the payback for different optimal configurations along the trade-off solutions of the Pareto curve. The payback is calculated as:

$$Payback[yr] = \frac{CI_{trade-off} - CI_{Reference}}{C_{opeReference} - C_{opetrade-off}} \quad (30)$$

Table 5: Results of the optimization of the reference systems for 12, 24 and 50 dwellings.

Technology	12 dwellings			24 dwellings			50 dwellings		
	Install Cap	CIA [€/yr]	CO <sub>2</sub> fix [kgCO <sub>2</sub> eq/yr]	Install Cap	CIA [€/yr]	CO <sub>2</sub> fix [kgCO <sub>2</sub> eq/yr]	Install Cap	CIA [€/yr]	CO <sub>2</sub> fix [kgCO <sub>2</sub> eq/yr]
<i>Pch</i> <sub>1,2,3</sub> [kW]	24.2 <sub>1,2</sub> -17.3 <sub>3</sub>	-	-	43.5 <sub>1,2</sub> -17.3 <sub>3</sub>	-	-	110.9 <sub>1,2</sub> -17.3 <sub>3</sub>	-	-
HP	70 kWt	5528	562	141kWt	11055	1125	325 kWt	23031	2343
GB	66 kWt	918	33	131 kWt	1836	66	274 kWt	3824	137
	<i>CIA</i> / <i>CO</i> <sub>2</sub> <i>fix</i>	<i>6445</i>	<i>595</i>	<i>CIA</i> / <i>CO</i> <sub>2</sub> <i>fix</i>	<i>12891</i>	<i>1190</i>	<i>CIA</i> / <i>CO</i> <sub>2</sub> <i>fix</i>	<i>26855</i>	<i>2480</i>
Annual operational costs									
Fuel	12 dwellings			24 dwellings			50 dwellings		
	Consumption [kWh/yr]	Energy cost [€/yr]	CO <sub>2</sub> ope [kgCO <sub>2</sub> eq/yr]	Consumption [kWh/yr]	Energy cost [€/yr]	CO <sub>2</sub> ope [kgCO <sub>2</sub> eq/yr]	Consumption [kWh/yr]	Energy cost [€/yr]	CO <sub>2</sub> ope [kgCO <sub>2</sub> eq/yr]
Electricity	38759	10755	8002	77518	20208	16004	161495	42681	33341
Natural Gas	72901	5253	14901	145813	9449	29804	303757	18401	62088
	<i>C</i> <sub>ope</sub> / <i>CO</i> <sub>2</sub> <i>ope</i>	<i>16008</i>	<i>22903</i>	<i>C</i> <sub>ope</sub> / <i>CO</i> <sub>2</sub> <i>ope</i>	<i>29748</i>	<i>45808</i>	<i>C</i> <sub>ope</sub> / <i>CO</i> <sub>2</sub> <i>ope</i>	<i>61082</i>	<i>95429</i>
	TAC/ TCE	<b>22453</b>	<b>23498</b>	TAC/ TCE	<b>42638</b>	<b>46998</b>	TAC/ TCE	<b>87938</b>	<b>97909</b>

Where,  $CI$  is the total investment cost of the equipment in €, and  $C_{op}$  is the annual operational cost in €/yr.

### 3.1 Multiobjective optimization of the polygeneration systems for residential buildings under legal restrictions

Figures 2-4 show the Pareto curves for the cases of 12, 24 and 50 dwellings and the Table 6 presents the different configurations corresponding to the trade-off solutions along the Pareto curves.

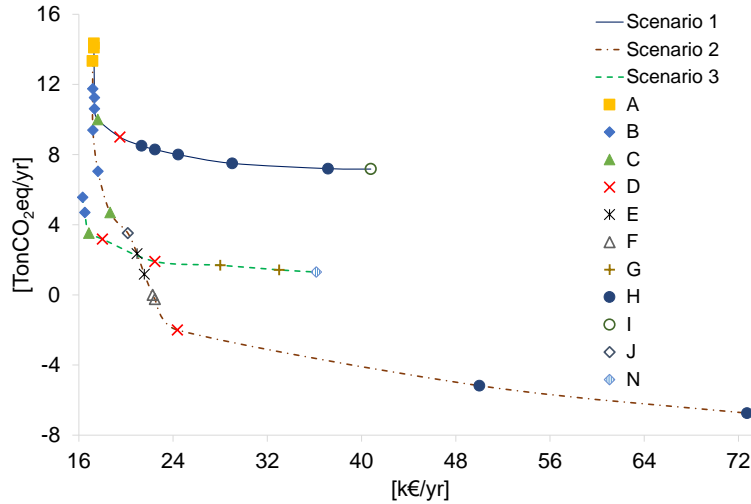


Figure 2: Pareto curves of the different scenarios for 12 dwellings case.

The highest reduction in  $CO_2eq$  emissions was obtained in scenarios where selling of electricity was allowed. The more electricity produced with renewable energy was sold, the higher was the reduction of  $CO_2eq$  emissions. Note that in all the analysed cases, when it was not allowed the electricity sale, i.e. scenario 1, it was not possible to reach zero  $CO_2eq$  emissions. Therefore, in a horizon oriented to achieve zero greenhouse gases emissions (or lower values), selling of electricity produced from renewable energy sources should be allowed. It is noteworthy to remark the Pareto curves shown in figure 4 for the case of 50 dwellings, in which the maximum reduction of  $CO_2eq$  emissions is the same for scenarios 2 and 3, because under the current self-consumption regulation [23] the installed capacity of renewable energy technology is limited up to 100 kW. Therefore, the current self-consumption regulation, oriented to foster the implementation of decentralized

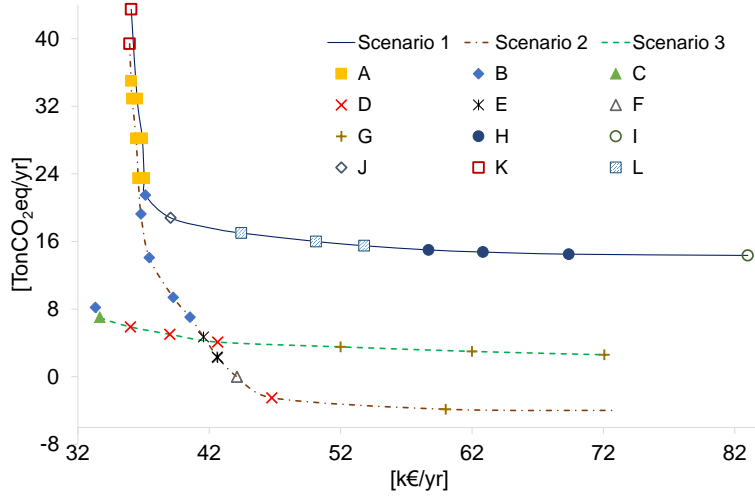


Figure 3: Pareto curves of the different scenarios for 24 dwellings case.

energy supply systems based on renewable energy in buildings, which is also oriented to reach zero  $CO_2eq$  emissions, is targeted to small or medium size residential buildings with less than 24 dwellings (based on the considered energy demands). If the regulation would allow higher power capacities than 100 kW [23], the potential of more significant reduction of  $CO_2eq$  emissions in big residential buildings would be higher. Therefore, the current self-consumption regulation is not enough to reach long term EU environmental targets on climate neutral by 2050 [28]. Nevertheless, note that as shown in the figures 2-4 in the three scenarios of the three analysed cases there is a sharp reduction of  $CO_2eq$  emissions with a relative small increase of economic cost, showing the feasibility of reducing very significantly in an affordable way the greenhouse gas emissions in residential buildings, as it is analysed in more detail in the next subsection. Consequently, the current Spanish self-consumption regulation [23] is nowadays aligned with EU 2030 climate and energy framework targets [28], but from the results obtained in this study the current regulation is not appropriate for reaching long term objective of carbon neutral energy supply systems. Therefore, in the mid-term it would be necessary to modify this regulation when more ambitious objectives on greenhouse gas emissions reduction would be established.

The higher electricity prices encourage electricity sale from renewable energy, which means reducing  $CO_2eq$  emissions. However, in the frame of the current regulation [23], there is a point from which the achieved reduction of  $CO_2eq$  emissions

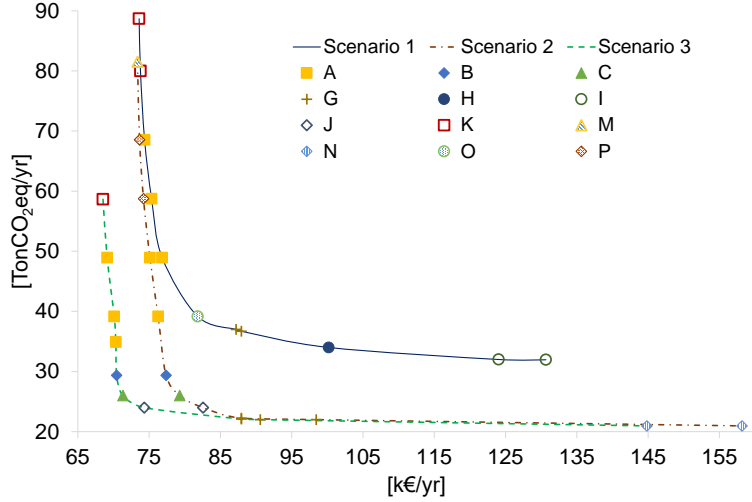


Figure 4: Pareto curves of the different scenarios for 50 dwellings case.

in scenario 2 are higher than those obtained through scenario 3 (Figures 2-3). This is due to the billing time restriction, which establishes that the economic value of surplus electricity cannot be greater than the economic value of consumed electricity from the grid in a billing time, which has been considered a year in this study. Therefore, when net billing restriction is applied, the lower the electricity sale price, the higher the potential amount of electricity produced with renewable energy that could be sold to the grid and the higher the potential of reducing  $CO_2eq$  emissions. Obviously, the decentralized electricity produced with renewable energy will be sold to the grid when profitable. From the results shown in figures 2-3, it can be concluded that electricity sold at spot price could be a reasonable and feasible approach. Based on these results, it can be considered that nowadays the scenario 2, providing interesting economic savings with respect to the reference scenario and with the highest potential of  $CO_2eq$  emissions reduction, among the considered scenarios, is an adequate approach combining economic profitability and a good alignment with current EU environmental and energy targets [28].

The installed capacities of technologies for the different trade-off solutions are presented below. Among the renewable energy technologies, the PV technology is considered in every trade-off solution in all cases that demonstrate the importance of this technology in the energy transition (Figures 5a, 5c, 5e). On the other hand, solar thermal collectors and wind turbines are the less competitive and therefore, they appear in a limited number of trade-off configurations (Table 6), when approaching



Table 6: Different configurations of the trade-off solutions obtained along the Pareto curves.

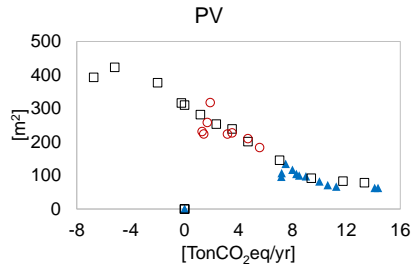
Configuration	CM	PV	WT	ST	HP	GB	BB	ACH	TSQ	TSR	BAT
<b>A</b>	x	x			x	x	x				x
<b>B</b>		x			x	x	x				x
<b>C</b>		x		x	x	x	x				x
<b>D</b>		x		x	x		x		x	x	
<b>E</b>		x			x		x				x
<b>F</b>		x		x	x		x				x
<b>G</b>		x	x	x	x		x		x	x	
<b>H</b>		x	x	x	x		x		x	x	x
<b>I</b>		x	x	x	x		x	x	x	x	x
<b>J</b>		x		x	x	x	x		x	x	
<b>K</b>	x	x			x	x					x
<b>L</b>		x	x	x	x	x	x		x	x	x
<b>M</b>	x	x			x	x			x	x	
<b>N</b>		x	x	x	x		x	x	x	x	
<b>O</b>		x	x	x	x	x	x		x	x	
<b>P</b>	x	x			x	x	x		x	x	

the environmental optimum and significant reduction of  $CO_2eq$  emissions must be achieved. Therefore the graphic of their installed capacity is omitted. In the case of biomass, biomass boiler represents a very interesting alternative to gas boiler and it is selected in most of the configurations (Table 6). Gas boiler technology is the main boiler in the economic optimum. Nonetheless, biomass boiler becomes the main boiler whereas gas boiler tends to disappear in the pathway to reach the environmental optimum (Figure 5b-5f, 6b-6h).

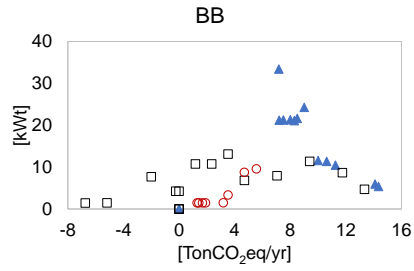
Cogeneration module is not very appropriate in residential buildings for the analysed cases when high  $CO_2eq$  emissions reduction must be achieved (Table 6). It appears in configurations close to the economic optimum and its feasibility is higher in bigger collective installations (Figure 6f,6i). In fact, in the case of 12 dwellings, the capacity of the installed cogeneration module is very small (1 kW), and although there are commercial cogeneration module with such a low capacity [83, 84], its feasibility for few dwellings is questionable (Figure 6c).

The heat pump appears in all trade-off solutions (Table 6) with a very significant capacity in the three considered scenarios (Figures 6a, 6d, 6g). It plays a very interesting role in the production of cooling and heating, as well as in the reduction of greenhouse gas emissions because its installation allows the reduction of capacity of gas boiler and cogeneration module. In contrast, the interest of absorption chiller is very limited compared to HP since it is barely selected, and when it does, it is negligible in most of the solutions, therefore the graphic of the its installed capacity is omitted.

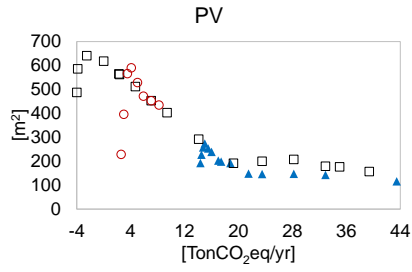
The installation of energy storage allows i) the match among energy production and energy consumption when energy resources are non-dispatchable, as it is the



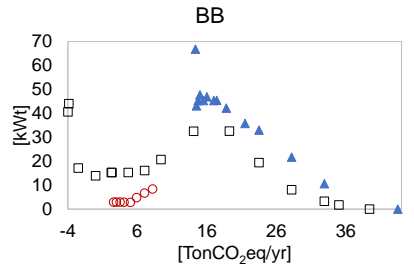
(a)



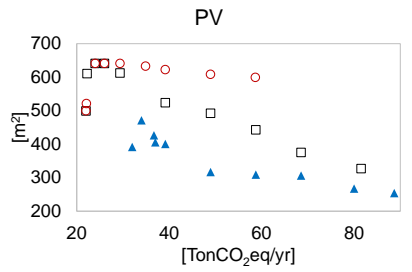
(b)



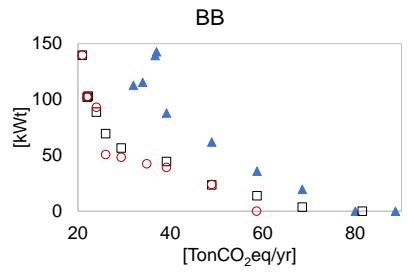
(c)



(d)



(e)



(f)

Figure 5: Sizing of the renewable energy technologies, PV and BB, in the trade-off solutions for 12 dwellings (a,b), 24 dwellings (c,d) and 50 dwellings (e,f). Scenario 1 (triangle), scenario 2 (square) and scenario 3 (circle).

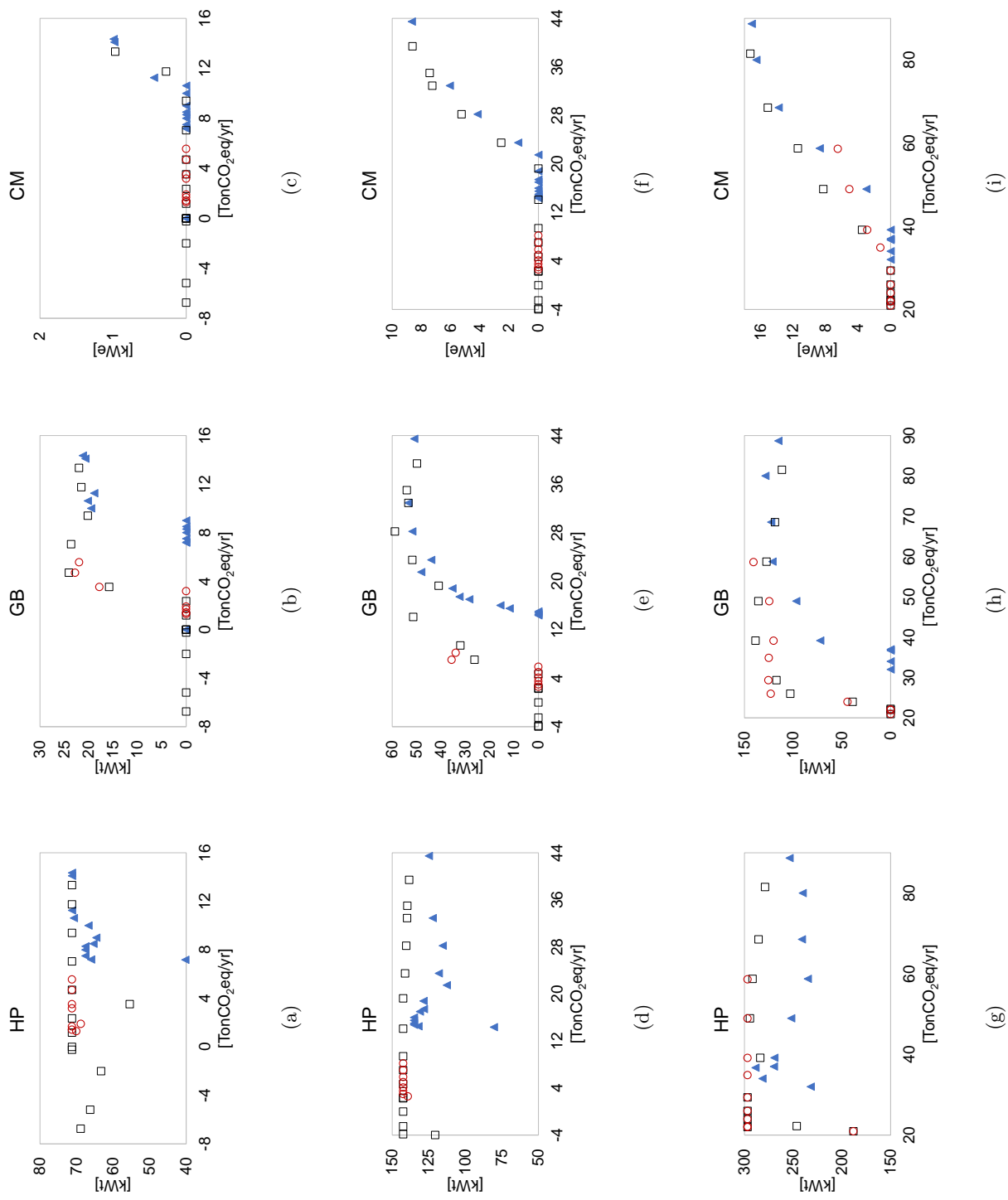


Figure 6: Sizing of the electricity/heating/cooling production technologies in the trade-off solutions for 12 dwellings (a-c), 24 dwellings (d-f) and 50 dwellings (g-i). Scenario 1 (triangle), scenario 2 (square) and scenario 3 (circle).

case of solar (PV and/or ST) and wind energy (WT), and ii) the reduction of the installed capacity of some energy production pieces of equipment, such as heat pump or cogeneration module that thanks to the availability of storing energy they can operate at a high load with lower installed capacity during a longer time period, even when there is not energy consumption, storing the energy produced in order to consume it in the moment where there is a high or peak demand. In this respect batteries appears in a few configurations, due to its high investment cost, when approaching the environmental optimum with a significant production of electricity from non-dispatchable renewable resources (WT and PV) and there is not the possibility of selling it to the grid, as it is the case of scenario 1. In the case of 12 dwellings, batteries are also installed close to the environmental optimum of scenario 2 when there is also installed a significant capacity of photovoltaic modules and wind turbine, and the electricity is sold at spot price, which is a relatively low price. When the electricity price is higher than the spot price (scenario 3), it is more profitable to sell electricity than to store it in the electric batteries (Figure 7c, 7f, 7i). The thermal energy storage for heating, TSQ, which is a quite common technology with a lower investment cost than thermal energy storage for cooling, TSR, appears in less configurations than the latter (Table 6), due to the various different available technological options for the heat production. Furthermore, TSQ is selected in the trade-off solutions close to the environmental optimum (Figures 7a, 7d, 7g). TSR appears in all configurations (Table 6) because its operation is closely coupled with the heat pump, allowing the reduction of the installed capacity, with the corresponding reduction of its investment cost (Figures 7b, 7e, 7h).

Selling to the grid electricity produced with renewable energy technologies offsets greenhouse gas emissions allowing additional  $CO_2eq$  emissions reductions. Therefore, the contracted power tends to increase in the pathway towards the environmental optimum in order to enable higher injection of renewable energy to the grid.

### 3.2 Evaluation of cost and $CO_2eq$ emissions reduction for different trade-off solutions

Different trade-off solutions were obtained along the Pareto curves. Tables 7-9 present, for the different trade-off solutions, the configuration (CFG), payback (PB), cost reduction (CR) and  $CO_2eq$  emissions reduction (CO2R) with respect to the reference scenario. Among the trade-off solutions, those at an annual cost equal to the reference scenario are highlighted. In the scenario 1,  $CO_2eq$  emissions reductions up to about 65% were achieved, whereas in scenarios 2 and 3, were about 75% - 100%. These results show that nowadays, with the available technology and the current Spanish self-consumption regulation [23], it is possible to achieve remarkable  $CO_2eq$  emissions reduction with respect to the conventional systems at an affordable cost. The payback of the aforementioned trade-off solutions is around 10 - 12 years, which could be a reasonable time to recover the investment, taking into account the benefits in the operational costs and environmental aspects. High shares of renewable energy can be considered as an advantage, since the uncertainty

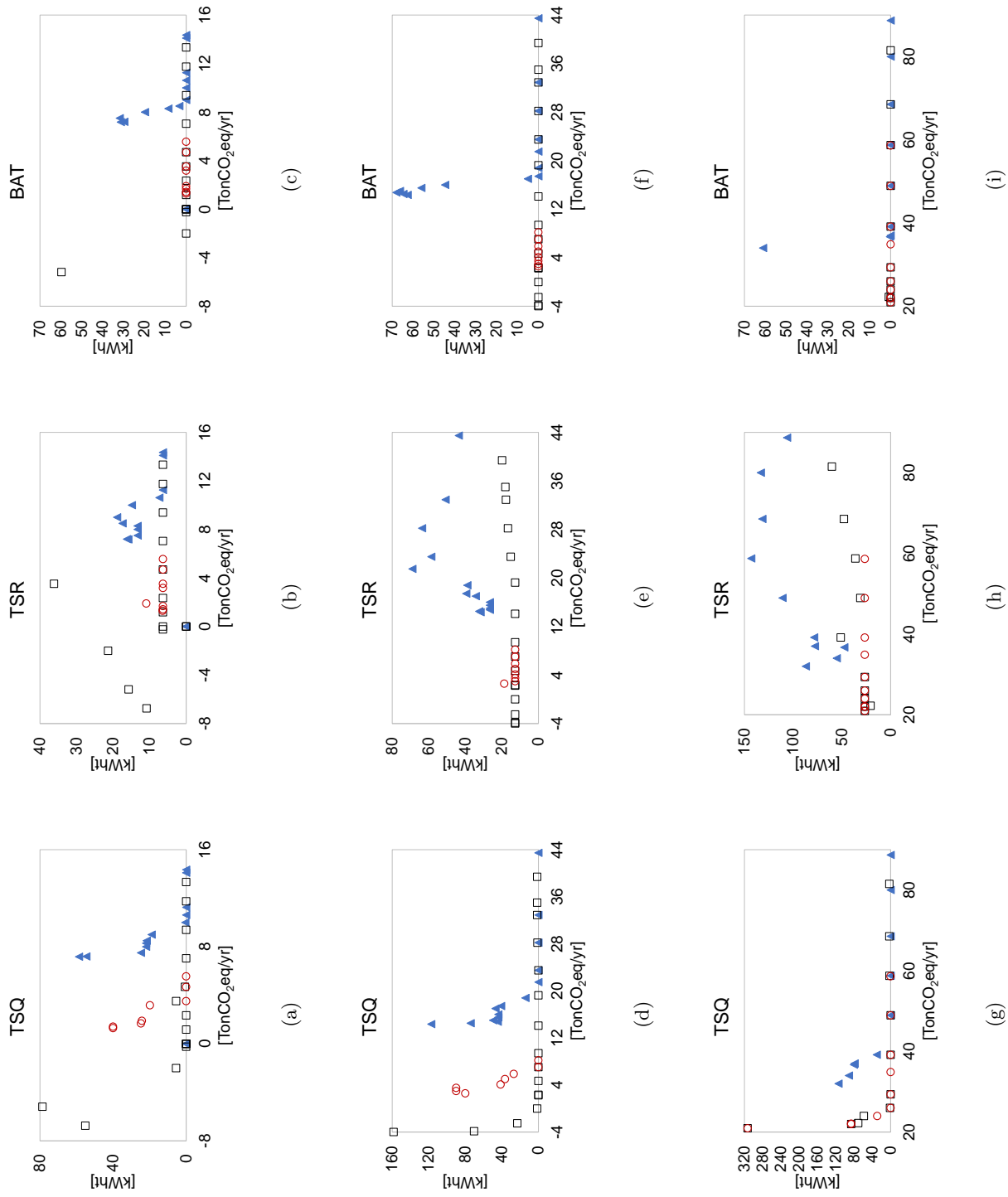


Figure 7: Sizing of the energy storage technologies in the trade-off solutions for 12 dwellings (a-c), 24 dwellings (d-f) and 50 dwellings (g-i). Scenario 1 (triangle), scenario 2 (square) and scenario 3 (circle).

Table 7: Configuration, payback, total annual cost and  $CO_2eq$  emissions reductions for trade-off solutions with respect to the reference scenario in the 12 dwellings case.

Scenario 1				Scenario 2				Scenario 3			
CFG	PB [yr]	CR	CO2R	CFG	PB [yr]	CR	CO2R	CFG	PB [yr]	CR	CO2R
A	3.3	-23%	-39%	A	3.9	-24%	-43%	B	5.8	-27%	-76%
A	3.3	-23%	-40%	B	3.8	-23%	-50%	B	6.4	-26%	-80%
B	3.3	-23%	-52%	B	4.0	-23%	-60%	C	7.1	-25%	-85%
B	3.3	-23%	-55%	B	5.8	-22%	-70%	D	8.2	-20%	-86%
C	4.1	-22%	-57%	C	7.6	-17%	-80%	<b>D</b>	<b>12.5</b>	<b>0%</b>	<b>-92%</b>
D	7.1	-13%	-62%	J	9.2	-10%	-85%	G	17.8	25%	-93%
H	9.5	-5%	-64%	E	9.4	-7%	-90%	G	22.5	47%	-94%
<b>H</b>	<b>10.8</b>	<b>0%</b>	<b>-65%</b>	E	10.2	-4%	-95%	N	25.6	61%	-94%
H	12.9	9%	-66%	F	11.6	-1%	-100%	-	-	-	-
H	17.4	29%	-68%	<b>F</b>	<b>11.8</b>	<b>0%</b>	<b>-101%</b>	-	-	-	-
H	24.9	65%	-69%	D	13.6	8%	-109%	-	-	-	-
I	28.2	82%	-69%	H	38.8	123%	-122%	-	-	-	-
-	-	-	-	H	60.5	224%	-129%	-	-	-	-

on the energy system investment is reduced, due to the lower consumption of fossil fuels, which experience a high variability of their market prices. On the other hand, in the three analysed cases, the payback period corresponding to 50%  $CO_2eq$  emissions reduction is significantly lower thanks to the cost reduction with respect to the reference scenario, reinforcing the economic and environmental interest of such systems for the energy supply of buildings.

### 3.3 Feasible configuration to achieve remarkable reduction of $CO_2eq$ emissions at affordable cost

The simplicity of the configuration is an advantage to be considered as investment criteria. In this sense, among the different trade-off solutions, configuration B (Figure 8) has been chosen for its simplicity and convenience in the transition to achieve sustainable energy systems, since allows the gradual replacement of the conventional fuels (natural gas, electricity from the grid) by renewable energy sources. Besides, this configuration was one of the optimal configurations selected in almost all Pareto curves (the only exception is the scenario 1 for 50 dwellings as shown in Table 9) and it allows, in some cases, very significant  $CO_2eq$  emissions reductions up to 82%, with a little increase of cost, about 13%, with respect to the economic optimum (see figures 2-4). For the scenario 3, in the case of 24 dwellings, the economic optimum corresponds to the configuration B.

The Table 10 presents the results of the design of the configuration B for the different number of dwellings and scenarios, corresponding to the lowest  $CO_2eq$  emission value of configuration B within the Pareto curves (Figures 2-4). In the particular case of the 50 dwellings for the scenario 1, it is presented the economic optimum of the configuration B, which is very close to the Pareto curve. In all the selected designs of configuration B shown in the Tables 7-9, the additional

Table 8: Configuration, payback, total annual cost and  $CO_2eq$  emissions reductions for trade-off solutions with respect to the reference scenario in the 24 dwellings case.

Scenario 1				Scenario 2				Scenario 3			
CFG	PB [yr]	CR	CO2R	CFG	PB [yr]	CR	CO2R	CFG	PB [yr]	CR	CO2R
K	6.2	-15%	-8%	K	6.7	-16%	-16%	B	7.2	-22%	-83%
A	5.9	-14%	-30%	A	6.7	-15%	-26%	C	7.8	-21%	-85%
A	5.7	-13%	-40%	A	6.7	-15%	-30%	D	9.1	-16%	-87%
A	4.8	-13%	-50%	A	6.7	-15%	-40%	D	10.6	-9%	-89%
B	4.4	-13%	-54%	A	5.8	-14%	-50%	<b>D</b>	<b>12.4</b>	<b>0%</b>	<b>-91%</b>
J	7.1	-8%	-60%	B	4.9	-14%	-59%	G	17.5	22%	-92%
<b>O</b>	<b>10.1</b>	<b>0%</b>	<b>-63%</b>	B	6.7	-12%	-70%	G	23.1	45%	-94%
L	11.4	4%	-64%	B	8.7	-8%	-80%	G	28.9	69%	-94%
L	15.0	18%	-66%	B	9.7	-5%	-85%	-	-	-	-
L	17.0	26%	-67%	E	10.5	-3%	-90%	-	-	-	-
H	19.5	38%	-68%	<b>E</b>	<b>11.2</b>	<b>0%</b>	<b>-95%</b>	-	-	-	-
H	21.6	47%	-69%	F	12.3	3%	-100%	-	-	-	-
H	24.9	63%	-69%	D	13.7	10%	-105%	-	-	-	-
-	-	-	-	G	21.2	41%	-108%	-	-	-	-
-	-	-	-	N	28.9	71%	-108%	-	-	-	-

Table 9: Configuration, payback, total annual cost and  $CO_2eq$  emissions reductions for trade-off solutions with respect to the reference scenario in the 50 dwellings case.

Scenario 1				Scenario 2				Scenario 3			
CFG	PB [yr]	CR	CO2R	CFG	PB [yr]	CR	CO2R	CFG	PB [yr]	CR	CO2R
K	6.1	-16%	-9%	M	6.5	-17%	-17%	K	6.7	-22%	-40%
K	6.3	-16%	-18%	P	6.7	-16%	-30%	A	6.2	-21%	-50%
A	6.1	-15%	-30%	P	6.7	-16%	-40%	A	6.1	-20%	-60%
A	5.7	-14%	-40%	A	6.9	-15%	-50%	A	6.0	-20%	-64%
A	5.1	-13%	-50%	A	6.7	-13%	-60%	B	5.8	-20%	-70%
O	7.4	-7%	-60%	B	6.9	-12%	-70%	C	6.4	-19%	-73%
G	9.7	-1%	-62%	C	7.8	-10%	-73%	J	7.1	-15%	-75%
<b>G</b>	<b>10.0</b>	<b>0%</b>	<b>-63%</b>	J	8.9	-6%	-75%	<b>G</b>	<b>10.6</b>	<b>0%</b>	<b>-77%</b>
H	14.0	14%	-65%	<b>G</b>	<b>10.0</b>	<b>0%</b>	<b>-77%</b>	G	11.3	3%	-78%
I	20.5	41%	-67%	G	13.3	12%	-78%	N	26.6	65%	-79%
I	22.5	49%	-67%	N	37.9	80%	-79%	-	-	-	-

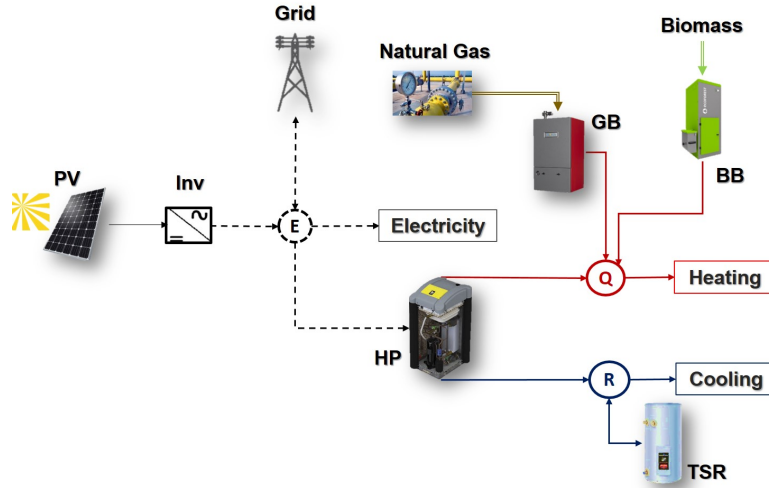


Figure 8: Energy system technologies corresponding to the configuration B.

investment in the polygeneration system (with respect to the reference scenario) is compensated with a remarkable reduction in the operational cost which leads to a total annual cost reduction. The operational cost reduction is proportional to the reduction of electricity consumption from the grid and the fossil fuel consumption. Hence, a remarkable reduction of  $CO_2eq$  emissions is also achieved. In the case of 12 dwellings, the gas boiler technology was selected only to cover heating peak demands, i.e. it was selected as an auxiliary boiler. In these cases, the natural gas cost corresponds to the fixed cost of the natural gas contract, so this is the cost for the availability of this service. In the case of 24 dwellings, the natural gas consumption by the GB technology is always lower than the biomass consumption, therefore, gas boiler works as an auxiliary boiler as well. A similar situation occurs in the case of 50 dwellings for scenarios 2 and 3; however, in scenario 1 does not. For this scenario, as aforementioned, the economic optimum of the configuration B is presented, which is close to the economic point of this pareto curve. In this particular case, gas boiler is the main boiler, since the natural gas consumption is higher than the biomass consumption. This is due to the natural gas tariff is lower than the biomass price. Note that the natural gas tariff depends on the natural gas consumption and its unit cost decreases when the gas consumption increases. This fact impedes to achieve higher  $CO_2eq$  emissions reduction [85].



Table 10: Results of the design of the configuration B for residential buildings of 12, 24 and 50 dwellings.

Technology	12 dwellings residential building											
	Scenario 1				Scenario 2				Scenario 3			
	Install Cap	CIA [€/yr]	CO <sub>2</sub> fix [kgCO <sub>2</sub> eq/yr]	CO <sub>2</sub> fix [kgCO <sub>2</sub> eq/yr]	Install Cap	CIA [€/yr]	CO <sub>2</sub> fix [kgCO <sub>2</sub> eq/yr]	CO <sub>2</sub> fix [kgCO <sub>2</sub> eq/yr]	Install Cap	CIA [€/yr]	CO <sub>2</sub> fix [kgCO <sub>2</sub> eq/yr]	CO <sub>2</sub> fix [kgCO <sub>2</sub> eq/yr]
Pct	13.9 kW	-	-	-	13.9 kW	-	-	-	13.9 kW	-	-	-
PV	71 m <sup>2</sup>	1789	574	3654	146 m <sup>2</sup>	3654	1172	3654	210 m <sup>2</sup>	5272	1691	1691
Inv	13 kW	921	255	1881	27 kW	1881	520	1881	39 kW	2713	751	751
HP	71 kWt	4944	566	4980	71 kWt	4980	570	4980	71 kWt	4980	570	4980
GB	20 kWt	282	10	330	24 kWt	330	4	330	23 kWt	319	11	319
BB	11 kWt	477	6	333	8 kWt	333	4	333	9 kWt	367	4	367
TSR	7 kWt	358	45	310	6 kWt	310	39	310	6 kWt	310	39	310
	<i>CIA / CO<sub>2</sub>fix</i>	<i>8770</i>	<i>1456</i>	<i>11488</i>	<i>CIA / CO<sub>2</sub>fix</i>	<i>11488</i>	<i>2317</i>	<i>13961</i>	<i>CIA / CO<sub>2</sub>fix</i>	<i>13961</i>	<i>3067</i>	<i>3067</i>
Annual operational costs												
<b>Fuel</b>	<b>Consumption [kWh/yr]</b>	<b>Energy cost [€/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>	<b>Consumption [kWh/yr]</b>	<b>Energy cost [€/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>	<b>Consumption [kWh/yr]</b>	<b>Energy cost [€/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>
Electricity (Purchased)	35236	7131	7389	7389	30044	6201	3436	3436	2760	5609	4	4
Electricity (Sold)	-	-	-	-	14784	-1131	0	0	28537	-4387	0	0
Natural Gas	0	71	0	0	0	71	0	0	0	71	0	0
Biomass	27939	1352	1760	994	20547	994	1294	1294	25847	1251	1628	1628
	<i>C<sub>ope</sub> / CO<sub>2</sub>ope</i>	<i>8554</i>	<i>9159</i>	<i>4731</i>	<i>C<sub>ope</sub> / CO<sub>2</sub>ope</i>	<i>6137</i>	<i>4731</i>	<i>1632</i>	<i>C<sub>ope</sub> / CO<sub>2</sub>ope</i>	<i>2543</i>	<i>1632</i>	<i>1632</i>
	<b>TAC / TCE</b>	<b>17324</b>	<b>10615</b>	<b>17625</b>	<b>TAC / TCE</b>	<b>17625</b>	<b>7048</b>	<b>16504</b>	<b>TAC / TCE</b>	<b>16504</b>	<b>4689</b>	<b>4689</b>
24 dwellings residential building												
Scenario 1												
<b>Technology</b>	<b>Install Cap</b>	<b>CIA [€/yr]</b>	<b>CO<sub>2</sub>fix [kgCO<sub>2</sub>eq/yr]</b>	<b>CO<sub>2</sub>fix [kgCO<sub>2</sub>eq/yr]</b>	<b>Install Cap</b>	<b>CIA [€/yr]</b>	<b>CO<sub>2</sub>fix [kgCO<sub>2</sub>eq/yr]</b>	<b>CO<sub>2</sub>fix [kgCO<sub>2</sub>eq/yr]</b>	<b>Install Cap</b>	<b>CIA [€/yr]</b>	<b>CO<sub>2</sub>fix [kgCO<sub>2</sub>eq/yr]</b>	<b>CO<sub>2</sub>fix [kgCO<sub>2</sub>eq/yr]</b>
<i>Pct</i> <sub>1,2,3</sub> [kW]	20.8 <sub>1,2,3</sub>	-	-	-	43.6 <sub>1,2,3</sub>	-	-	-	34.6 <sub>1,2,3</sub>	-	-	-
PV	148 m <sup>2</sup>	3727	1195	11369	453 m <sup>2</sup>	11369	3646	3646	435 m <sup>2</sup>	10909	3498	3498
Inv	28 kW	1918	531	5852	85 kW	1619	5852	5852	81 kW	5615	1554	1554
HP	113 kWt	7875	901	9961	142 kWt	9961	1140	9961	142 kWt	9961	1140	9961
GB	48 kWt	672	24	366	26 kWt	366	13	366	34 kWt	474	17	17
BB	36 kWt	1501	18	676	16 kWt	676	8	676	8 kWt	352	4	4
TSR	69 kWt	3363	427	13 kWt	13 kWt	620	79	620	13 kWt	620	79	79
	<i>CIA / CO<sub>2</sub>fix</i>	<i>19056</i>	<i>3096</i>	<i>28843</i>	<i>CIA / CO<sub>2</sub>fix</i>	<i>28843</i>	<i>6505</i>	<i>6292</i>	<i>CIA / CO<sub>2</sub>fix</i>	<i>27893</i>	<i>6292</i>	<i>6292</i>
Annual operational costs												
<b>Fuel</b>	<b>Consumption [kWh/yr]</b>	<b>Energy cost [€/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>	<b>Consumption [kWh/yr]</b>	<b>Energy cost [€/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>	<b>Consumption [kWh/yr]</b>	<b>Energy cost [€/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>
Electricity (Purchased)	48536	12122	10331	10331	51304	14375	-2594	-2594	56972	15070	-196	-196
Electricity (Sold)	-	-	-	-	68461	-5158	0	0	62795	-11354	77	17
Natural Gas	3752	358	767	7293	0	71	0	0	83	77	17	17
Biomass	115754	5603	7293	49812	49812	2411	3138	3138	33188	1606	2091	2091
	<i>C<sub>ope</sub> / CO<sub>2</sub>ope</i>	<i>18082</i>	<i>18390</i>	<i>17700</i>	<i>C<sub>ope</sub> / CO<sub>2</sub>ope</i>	<i>17700</i>	<i>544</i>	<i>544</i>	<i>C<sub>ope</sub> / CO<sub>2</sub>ope</i>	<i>5400</i>	<i>1912</i>	<i>1912</i>
	<b>TAC / TCE</b>	<b>37138</b>	<b>21486</b>	<b>40543</b>	<b>TAC / TCE</b>	<b>40543</b>	<b>7049</b>	<b>8204</b>	<b>TAC / TCE</b>	<b>33831</b>	<b>8204</b>	<b>8204</b>
50 dwellings residential building												
Scenario 1												
<b>Technology</b>	<b>Install Cap</b>	<b>CIA [€/yr]</b>	<b>CO<sub>2</sub>fix [kgCO<sub>2</sub>eq/yr]</b>	<b>CO<sub>2</sub>fix [kgCO<sub>2</sub>eq/yr]</b>	<b>Install Cap</b>	<b>CIA [€/yr]</b>	<b>CO<sub>2</sub>fix [kgCO<sub>2</sub>eq/yr]</b>	<b>CO<sub>2</sub>fix [kgCO<sub>2</sub>eq/yr]</b>	<b>Install Cap</b>	<b>CIA [€/yr]</b>	<b>CO<sub>2</sub>fix [kgCO<sub>2</sub>eq/yr]</b>	<b>CO<sub>2</sub>fix [kgCO<sub>2</sub>eq/yr]</b>
<i>Pct</i> <sub>1,2,3</sub> [kW]	43.6 <sub>1,2,3</sub>	-	-	-	43.6 <sub>1,2,3</sub>	-	-	-	43.6 <sub>1,2,3</sub>	-	-	-
PV	309 m <sup>2</sup>	7764	2490	15377	613 m <sup>2</sup>	15377	4931	4931	641 m <sup>2</sup>	16092	5160	5160
Inv	58 kW	3906	1106	7914	115 kW	7914	2190	2190	120 kW	8283	2292	2292
HP	236 kWt	16513	1890	20751	297 kWt	20751	2375	2375	297 kWt	20751	2375	2375
GB	167 kWt	2331	83	1638	117 kWt	1638	59	1638	125 kWt	1754	63	63
BB	292	292	3	2373	57 kWt	2373	28	2373	48 kWt	2025	24	24
TSR	141 kWt	6865	873	1292	27 kWt	1292	164	164	27 kWt	1292	164	164
	<i>CIA / CO<sub>2</sub>fix</i>	<i>37761</i>	<i>6444</i>	<i>49845</i>	<i>CIA / CO<sub>2</sub>fix</i>	<i>49845</i>	<i>9747</i>	<i>10078</i>	<i>CIA / CO<sub>2</sub>fix</i>	<i>50196</i>	<i>10078</i>	<i>10078</i>
Annual operational costs												
<b>Fuel</b>	<b>Consumption [kWh/yr]</b>	<b>Energy cost [€/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>	<b>Consumption [kWh/yr]</b>	<b>Energy cost [€/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>	<b>Consumption [kWh/yr]</b>	<b>Energy cost [€/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>	<b>CO<sub>2</sub>ope [kgCO<sub>2</sub>eq/yr]</b>
Electricity (Purchased)	100897	24229	12142	12142	103127	25041	9530	9530	104686	25311	6210	6210
Electricity (Sold)	-	-	-	-	62260	-4756	207	207	81330	-14692	1341	1341
Natural Gas	189521	11926	38738	38738	1012	149	207	207	6560	608	1341	1341
Biomass	48184	2332	3036	3036	156804	7589	9879	9879	186390	9021	11743	11743
	<i>C<sub>ope</sub> / CO<sub>2</sub>ope</i>	<i>38487</i>	<i>63016</i>	<i>28023</i>	<i>C<sub>ope</sub> / CO<sub>2</sub>ope</i>	<i>28023</i>	<i>19615</i>	<i>19615</i>	<i>C<sub>ope</sub> / CO<sub>2</sub>ope</i>	<i>20249</i>	<i>19294</i>	<i>19294</i>
	<b>TAC / TCE</b>	<b>76248</b>	<b>69460</b>	<b>77368</b>	<b>TAC / TCE</b>	<b>77368</b>	<b>29362</b>	<b>29362</b>	<b>TAC / TCE</b>	<b>70446</b>	<b>29372</b>	<b>29372</b>

## 4 Conclusions

The potential of reduction of  $CO_2eq$  emissions in residential buildings has been studied thanks to a Mixed Integer Linear Programming (MILP) multi-objective optimization model applied to find the optimal configuration of different polygeneration systems covering the energy demands of different multifamily buildings.

The legal restrictions in accordance to the current Spanish self-consumption regulation [23] was taken into account to evaluate the potential of reduction of  $CO_2eq$  emissions at an affordable cost, as well as to check its alignment with the European objectives on greenhouse gases emissions reduction [28]. Different scenarios were studied in residential buildings (12, 24 and 50 dwellings) located in Zaragoza (Spain), with and without selling of electricity to the electric grid. It was demonstrated in all the analysed cases, the feasibility of using polygeneration systems for the energy supply for buildings to reduce both the economic costs and the environmental impact. It is highlighted the remarkable  $CO_2eq$  emissions reduction (above 65% with respect to conventional systems) at an affordable cost.

Although under a self-consumption scheme only, without allowing electricity sale to the electrical grid, was achieved a significant reduction of  $CO_2eq$  emissions, it was not possible to offset 100% of greenhouse gas emissions. This objective, zero  $CO_2eq$  emissions, only could be reached allowing also the sale of electricity produced with renewable energy sources.

The effect of implementing, in addition to the self-consumption possibility, a net billing mechanism for the sale of electricity produced from renewable energy was analysed. In this respect, although high prices of electricity sale foster the investment in renewable energy technologies, the potential reduction of  $CO_2eq$  emissions is limited because of the net billing restriction. Therefore, good signals of electricity sale prices must be considered to overcome this issue. In the analysed cases, the sale of electricity at spot price provided high potential of  $CO_2eq$  emissions reduction obtaining also economic benefit. This means that the production of electricity from renewable energies can be competitive, without any subsidy, allowing a very important reduction of  $CO_2eq$  emissions in buildings at an affordable cost and aligned with short term European Union objectives on climate change. On the other hand, the obtained results have shown that, due to the power limitation established by the current Spanish regulation, the highest potential of  $CO_2eq$  emissions reductions is achieved for small or medium size residential buildings, being limited the potential of  $CO_2eq$  emissions reduction for big residential buildings. This limitation should be progressively removed in coordination with the technological improvement of the electrical network as well as with the proposed objectives to combat global warming.

A detailed analysis was carried out on an energy system made up of the following technologies: photovoltaic panels, heat pump, gas boiler, biomass boiler and thermal energy storage for cooling. This configuration  $B$  was one of the most selected along the Pareto curves obtained from the different scenarios analysed. Besides it was demonstrated as an interesting alternative to evaluate the transition to achieve remarkable  $CO_2eq$  emissions reductions with respect to conventional sys-

tems. Note that this configuration  $B$  has shown to be feasible and very appropriate for the different scenarios and cases of study analysed in this work. Its interest is beyond the analysed cases and could be considered an appropriate configuration for different Mediterranean countries. Obviously the capacity of each installed technology and design criteria should be determined for each specific place considering the climatic conditions, energy demands, socio-economic and legal framework.

Based on the obtained results, a concluding remark from the lessons learnt from the Spanish self-consumption regulation is that the installation of i) polygeneration energy supply systems based on photovoltaic panels, heat pumps, thermal energy storage for cooling, and biomass, properly integrated, under ii) self-consumption scheme, with iii) net billing of renewable electricity sale, iv) at an appropriate electricity price (spot market price in the analysed cases), with v) an adequate limitation of the installation of renewable electrical power in vi) collective energy supply systems, is an interesting approach properly oriented to reach carbon neutral energy supply for buildings.

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## Nomenclature

### Acronyms/abbreviations

<b>ACH</b> Absorption chiller	<b>IEA</b> International Energy Agency
<b>BAT</b> Battery (Ion Lithium)	<b>Inv</b> Inverter
<b>BB</b> Biomass boiler	<b>InvC</b> Inverter-Charger
<b>CFG</b> Configuration	<b>IPCC</b> Intergovernmental Panel on Climate Change
<b>CM</b> Cogeneration module	<b>MILP</b> Mixed integer linear programming
<b>CRF</b> Capital recovery factor	<b>PV</b> Photo-voltaic
<b>DHW</b> Domestic hot water	<b>RD</b> Royal decree
<b>EU</b> European Union	<b>ST</b> Solar thermal
<b>GB</b> Gas boiler	<b>TAC</b> Total annual cost
<b>GHG</b> Green-house gas	<b>TSQ</b> Thermal energy storage for heating
<b>HP</b> Heat pump	<b>TSR</b> Thermal energy storage for cooling
<b>HPQ</b> Heat pump for heating	<b>WT</b> Wind turbine
<b>HPR</b> Heat pump for cooling	<b>VAT</b> Value-added tax

### Latin symbols

$A$  Surface area,  $m^2$   
 $a_0$  Optical efficiency, –  
 $a_1$  First heat loss coefficient,  $W/(m^2 \cdot K)$   
 $a_2$  Second heat loss coefficient,  $W/(m^2 \cdot K^2)$   
 $BigM$  Very large number (i.e  $10^6$ )  
 $C$  Cost €  
 $CIA$  Investment annual cost  $e/yr$   
 $CI$  Investment cost €  
 $cp$  Purchase energy price €/kWh  
 $cs$  Sale energy price €/kWh  
 $Cap$  Installed capacity, \*  
 $Cu$  Average unit cost, €/\*  
 $COP$  Coefficient of performance, –  
 $CO_2$   $CO_2$  emissions  $kgCO_2$   
 $CO_2R$   $CO_2$  emissions reduction  $kgCO_2$   
 $CO_2U$  Unit embodied,  $CO_2$  emissions/\*  
 $CR$  Annual total cost reduction, €/yr  
 $d$  Day  
 $D$  Set of days  
 $DOD$  Allowable depth of discharge, %  
 $E$  Energy/Electricity, kWh  
 $EER$  Energy Efficiency Ratio, –  
 $F$  Fuel/natural gas consumption kWh  
 $Fm$  Installation costs, €  
 $h$  Hour  
 $H$  Set of hours  
 $I$  Intensity, A  
 $N$  Number, –  
**PB** Payback  $yr$   
 $Pct$  Contracted power, kW  
 $PL$  Partial load, %  
 $Q$  Heating, kWh  
 $R$  Cooling, kWh

$S$  Stored energy, kWh  
 $uCO_2$  Unit  $CO_2$  emissions,  $kgCO_2/kWh$   
 $V$  Voltage, V  
 $W$  Electricity, kWh  
 $Y$  Binary variable, [0,1]

### Greek symbols

$\alpha$  Efficiency, %  
 $\eta$  Efficiency, %  
 $\lambda$  Energy loss factor, %  
 $\mu$  Open-circuit voltage coefficient, %/K  
 $\omega$  Weight of a representative day

### Subscripts

$alq$  rental equipment  
 $\emptyset$  cycle  
 $ch$  charge  
 $dis$  discharge  
 $dc$  direct current  
 $e$  electrical  
 $fix$  fixed  
 $g$  conventional fuel/natural gas  
 $ins$  install  
 $oN$  operation mode ON/OFF  
 $ope$  operational  
 $pch$  purchased  
 $q$  thermal  
 $rep$  representative  
 $rt$  round trip  
 $s$  sale  
 $v$  variable  
 $w$  electrical

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