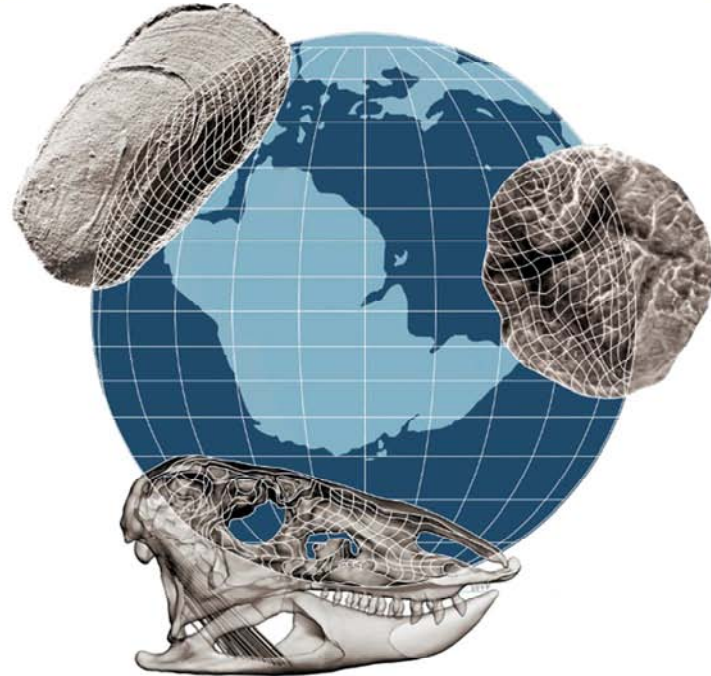




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**MAASTRICHTIAN MICROFOSSILS OF THE SHALLOW MARINE UMIR
FORMATION, NORTHEASTERN COLOMBIA**

**MICROFÓSILES DEL MAASTRICHTIANO EN AMBIENTES MARINOS
SOMEROS DE LA FORMACIÓN UMIR (NORESTE DE COLOMBIA)**

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Running Header: PATARROYO *ET AL.*: MAASTRICHTIAN MICROFOSSILS FROM
COLOMBIA

Short Description: Marine microfossils (foraminifers, calcareous nannofossils,
ostracods) recorded a gradual shallowing trend in the Umir Formation (northern
Colombia) during the Maastrichtian.

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Abstract. During the Late Cretaceous, northern South America was characterized by broad epicontinental seas, with variable surface productivity and changing bottom-water oxygenation. However, global sea-level fluctuations and local tectonic shifts caused their disappearance in the latest Cretaceous. We present an integrated micropaleontological (foraminifers, calcareous nannofossils, ostracods) and geochemical study of a section comprising the Umir Formation and its lower stratigraphic contact with the La Luna Formation, in the Middle Magdalena Valley (MMV), northeastern Colombia. Foraminiferal assemblages were moderately diverse and mainly dominated by benthic taxa, characterizing the local assemblage biozones *Siphogenerinoides bramlettei* and *Ammobaculites colombiana* (Maastrichtian). Planktonic foraminiferal assemblages were less diversified, being species assigned to Heterohelicidae and scarce keeled forms (*Globotruncana* spp.) the most recurrent taxa. Ostracod recovery was very scarce, and we could only identify the genus *Actinocythereis*. In contrast, calcareous nannofossil assemblages were moderately diversified along the section, and composed of typical Late Cretaceous low-latitude taxa such as *Micula staurophora*, *Cribrosphaerella ehrenbergii*, *Gartnerago segmentatum*, among others. The identified microfossils generally indicate a transition from middle-inner shelf conditions, with moderately oxygenated bottom waters within the La Luna Formation, to a shallower marine setting within the Umir Formation. This interpretation is supported by Sr/Ba and log(Fe/Ca) ratios measured in bulk sediment, which indicate increased continental runoff and terrigenous input in the upper part of the section. Moreover, a significant biotic turnover was identified at the base of the section, suggesting the presence of a xenoconformity at the La Luna-Umir stratigraphic contact. The xenoconformity between those units has been previously described and proposed as a regional stratigraphic feature.

53 **Keywords.** Paleoenvironments. Late Cretaceous. Colombia. Micropaleontology.

54 MICROFÓSILES DEL MAASTRICHTIANO EN AMBIENTES MARINOS

55 SOMEROS DE LA FORMACIÓN UMIR (NORESTE DE COLOMBIA)

56 El Cretácico Tardío del norte de América del Sur estuvo dominado por mares

57 epicontinentales de gran extensión, con fluctuaciones de la productividad superficial y

58 la oxigenación en los sedimentos de fondo. Sin embargo, cambios en los regímenes

59 tectónicos locales y descensos globales en el nivel del mar, llevaron a la desaparición de

60 dichos ambientes hacia el Cretácico terminal.

61 Se presentan los resultados del estudio micropaleontológico (foraminíferos, nanofósiles

62 calcáreos, ostrácodos) y geoquímico en una localidad de la Formación Umir y su

63 contacto basal con la Formación La Luna en el Valle Medio del Magdalena (VMM),

64 nororiente de Colombia. Las asociaciones de foraminíferos fueron principalmente

65 formas bentónicas moderadamente diversas, que definen las biozonas de asociación

66 locales *Siphogenerinoides bramlettei* y *Ammobaculites colombiana* (Maastrichtiano).

67 Las formas planctónicas, menos diversas, comprenden ejemplares de Heterohelicidae y

68 escasas formas quilladas (*Globotruncana* spp.). El registro de ostrácodos fue muy

69 escaso, comprendiendo el género *Actinocythereis*. En contraste, las asociaciones de

70 nanofósiles calcáreos fueron moderadamente diversas y comprendieron formas típicas

71 de bajas latitudes del Cretácico Tardío como *Micula staurophora*, *Cribrosphaerella*

72 *ehrenbergii*, *Gartnerago segmentatum*, entre otras.

73 En general, las asociaciones de microfósiles indican una transición de condiciones de

74 plataforma interna a media, moderadamente oxigenada en la Formación La Luna, hacia

75 un entorno más restringido en la Formación Umir. Esta interpretación es corroborada

76 por las relaciones de Sr/Ba y log(Fe/Ca) de las muestras de roca estudiadas, las cuales

77 indican un aumento en el aporte de terrígenos vía esorrentía continental hacia la parte

78 superior de la sección. De igual forma, la variación significativa en las asociaciones de
79 microfósiles hacia la base sugiere la presencia de una xenoconformidad en el contacto
80 La Luna-Umir. Dicha xenoconformidad ha sido previamente reportada como de carácter
81 regional.

82 **Palabras clave.** Paleoambientes. Cretácico Tardío. Colombia. Micropaleontología.

NORTHERN SOUTH AMERICA underwent a series of geological reorganizations at the end of the Mesozoic era. For instance, the onset of the Andean orogeny likely occurred during the Campanian-Maastrichtian, causing significant shifts of regional sedimentary regimes, in progressively shallowing widespread epicontinental seas, with high surface productivity and the occurrence of recurrent anoxic episodes (Martinez and Hernandez, 1992; Villamil and Pindell, 1998; Erlich et al., 1999; Sarmiento-Rojas, 2019; Montes et al., 2019; Bayona et al., 2020). In addition, the latest Cretaceous was characterized by a global sea-level fall, which has been associated with the possible occurrence of intermittent ice sheets at high latitudes (Hardenbol et al., 1998; Kominz et al., 2008; Huber et al., 2018). Consequently, Campanian-Maastrichtian sedimentary successions of northern South America record a myriad of tectonic (basin deformation, local uplifts), stratigraphic (xenconformities, diachronism of units), and sedimentary (abrupt lateral facies shifts, retrogradational stacking) features that make their depositional evolution highly complex (Ayala-Calvo et al., 2009; Bayona et al., 2011, 2020; Sarmiento et al., 2015; Montaña et al., 2016; Sarmiento-Rojas, 2019; Terraza, 2019; Valencia-Gómez et al., 2020; Gramal-Aguilar et al., 2021).

Marine microfossils have enabled paleoenvironmental reconstructions and chronostratigraphic constraints of the Upper Cretaceous sedimentary successions of northern South America (Bürgli and Dummit, 1954; Petters, 1955; Sole de Porta, 1972; Martínez, 1989, 2003; Sarmiento, 1992; Vergara, 1997; Guerrero et al., 2000; Yepes, 2001; Tcheglikova and Mojica, 2001; Garzón et al., 2012; Patarroyo et al., 2017, 2022; Carvalho et al., 2021; De La Parra et al., 2022). This is the case for the Maastrichtian Umir Formation, cropping out in the Middle Magdalena Valley (MMV), northeastern Colombia (Fig. 1), where depositional and chronostratigraphic interpretations have been traditionally based on microfossils, and eventually, integrated with sedimentological

studies (Tchegliakova, 1993, 1995, 1996; Santos, 2012; Montaña et al., 2016; Navarrete et al., 2018; Terraza, 2019). However, since most of these studies have focused on economically relevant coal-bearing strata of the middle and upper part of this unit, there is still debate on whether the lower part of the Umir Formation was deposited in a marine setting, and on the nature of its boundary with the underlying La Luna Formation. Previous works suggested the presence of an unconformity or a condensed section between the La Luna and Umir formations, as well as in coeval units in more proximal areas, such as in the Catatumbo and Cesar-Rancheria basins (Ward et al., 1973; Sarmiento et al., 2015; Terraza, 2019).

Here we present a micropaleontological (foraminifers, ostracods, and calcareous nannofossils) and geochemical (sediments elemental ratios) survey for a stratigraphic section that spans the lowermost segment of the Umir Formation (Maastrichtian) and its contact with the underlying La Luna Formation. Our goals are to reconstruct the lower Umir Formation paleoenvironmental setting and assess the possible occurrence of a basal unconformity with the La Luna Formation.

Institutional abbreviations

UNISINOS, Universidade do Vale do Rio dos Sinos, São Leopoldo, Brasil; **itt**
OCEANEON, Instituto Tecnológico de Paleocianografia e Mudanças Climáticas, São Leopoldo, Brasil; **UIS**, Universidad Industrial de Santander, Bucaramanga, Colombia.

MATERIAL AND METHODS

Studied section

We sampled sediments from the Aguablanca Creek section in the Middle Magdalena Basin (7°7' N, 73°18' W; Eastern Colombian Cordillera). This locality was previously studied by Terraza (2019), and we focused on the uppermost interval investigated by

this author (320.8-344.2 m stratigraphic height), which comprises the uppermost part of the La Luna Formation (La Renta Formation *sensu* Terraza, 2019) and the lower segment of Umir Formation (Figs. 1-3). The La Luna Formation is composed mainly of homogeneous limestones (mainly packstones) and a ~25 cm thick greenish glauconitic sandstone at its top. The Umir Formation is composed of a monotonous succession of dark grey marlstones, mudstones, and siltstones. The La Luna-Umir contact was proposed at 322.8 m by Terraza (2019).

Methods

Micropaleontological samples (n=28; interval 320.8-344.2 m stratigraphic height) were prepared according to standard methods at itt OCEANEON (UNISINOS).

Approximately 30 g of dried rock were soaked into a 5% hydrogen peroxide (H₂O₂) solution for about 36 hours, washed over 63 and 150 µm sieves, and dried at 40°C (Sohn, 1961). Due to moderate and poor abundance, respectively, all foraminifers and ostracods were handpicked. Planktonic foraminiferal taxonomy followed Caron (1985), Nederbragt (1991), Georgescu (2005), Premoli Silva and Verga (2011), among others. Benthic foraminiferal taxonomy followed previous studies of Maastrichtian units in Colombia (Cushman and Hedberg, 1930, 1941; Martínez, 1989; Tchegliakova 1993, 1995; Tchegliakova and Mojica, 2001; Patarroyo et al., 2017, 2022), and regional literature for the tropics and the US Western Interior (Sellier de Civrieux, 1952; Frizzell, 1954; Bolli et al., 1994). Ostracod suprageneric classification follows Scott (1961). Selected foraminiferal specimens were imaged using an EVO MA15 Zeiss scanning electron microscope (SEM), and all studied specimens are archived in the micropaleontological collections at itt OCEANEON. Total microfossil counts and their diversity estimations are given in Supplementary Table S1.

Nannofossil samples (n=27; interval 321.2-344.2 m) were also prepared at itt
OCEANEON following the smear-slide technique detailed by Bown and Young (1998),
and studied using a Zeiss Axio Imager A2 petrographic microscope, at 1000x
magnification. In order to achieve a quantitative analysis of the assemblages, 700 fields
of view (FOV) were counted per slide. Preservation of calcareous nannofossils was
evaluated under a light microscope using qualitative criteria: “Good” indicating
specimens with little or no etching and/or overgrowth; “moderate” for specimens
exhibiting some degree of etching and/or overgrowth, but still easily recognizable; and
“poor” for specimens exhibiting extreme etching and/or overgrowth (Roth, 1983). Total
calcareous nannofossil counts are given in Supplementary Table S2.

Diversity (Shannon-H), richness (S) and evenness (E) estimations were calculated using
the software Past version 2.15 (Hammer et al., 2001), in addition to an SHEBI (“SHE
Analysis for Biozone Identification”) analysis, which is defined by the natural logarithm
(ln) of the evenness estimations. SHEBI analysis searched general trends in ln(E) values
in order to identify different assemblage intervals in the section (Buzas and Hayek,
1998, 2005).

A geochemical survey was conducted with bulk sediment samples from the section.
Sample aliquots of 5 to 10 g ground rock (n = 29; interval 320.8-344.2 m height) were
measured with a PanAlytical Epsilon 1 X-ray fluorescence (XRF) spectrometer at itt
OCEANEON, with elemental concentrations reported as raw counts per second (cps).
Results were normalized to a median-scaled (NMS) following Lyle et al. (2012) and
interpreted as simple and logarithmic elemental ratios. We used the log(Fe/Ca) ratio as a
proxy for terrigenous sediments input versus carbonate production (*e.g.* Govin et al.,
2012), and the Sr/Ba ratio as a salinity proxy (*e.g.* Wei and Algeo, 2019). Bottom water
oxygenation was evaluated with the NMS intensities of redox-sensitive trace metals

(TM) Ni, Cu, V, and Zn normalized by NMS Al intensities (*e.g.* Dummann et al., 2021).
XRF measurements are provided in Supplementary Table S3.

RESULTS

Microfossil occurrences

The micropaleontological record of the Aguablanca Creek section yielded heterogeneous assemblages. Foraminiferal assemblages of the La Luna Formation and of the glauconitic level at the contact with the Umir Formation yielded few specimens of *Lenticulina* spp., undetermined rotaliids and planktonic foraminifera. Abundance and diversity increased along the succession of the Umir Formation (Figs. 2, 3), where at least two intervals can be recognized based on the composition of foraminiferal assemblages: (1) a lower interval (329-338.2 m stratigraphic height) mainly composed of benthic taxa such as *Haplophragmoides excavatum*, *Gavelinella* spp., *Gyroidinoides depressus*, *Lenticulina muensteri*, *Orthokarstenia cretacea*, *Praebulimina* spp., *Pyramidina triangularis*, and *Siphogenerinoides bramletti*, while the planktonic assemblages were mainly composed of biserial taxa (*Planoheterohelix* spp., *Pseudoguembelina* spp., *Spiroplecta americana*) and scarce trochospiral forms such as *Archaeoglobigerina* spp., *Rugoglobigerina* spp., *Muricohedbergella* spp., and *Globotruncana aegyptiaca* (Fig. 3); and (2) an upper interval (340-344.2 m) mainly dominated by undetermined textulariids and few specimens of *Ammobaculites colombiana* (sample 343 m; Figs. 2, 3).

Nannofossil assemblages, in contrast, were equally abundant in the La Luna and Umir formations, though species such as *Cervisella operculata*, *Cribrosphaerella ehrenbergii*, *Micula staurophora*, or *Reinhardtites levis* were more common in the La Luna Formation (Figs. 4,5). *Chiastozygus synquadriperforatus*, *Cylindralithus biarcus*,

207 *Cylindralithus serratus*, and *Predicosphaera stoveri* were only present in the Umir
 208 Formation, but with low abundances. The interval 340-344.2 m was barren of
 209 calcareous nannofossils, though samples at 340 m and 340.5 m yielded abundant (>300
 210 fragments/sample) ascidian spicules (Fig. 4).
 211 Other microfossils recovered from the Aguablanca Creek section include fish teeth,
 212 gastropod fragments, and ostracods (Fig. 2). Poor preservation and the scarcity of
 213 ostracods hampered taxonomic descriptions, and only a single specimen of
 214 *Actinocythereis?* sp. at 337.6 m was identified.
 215 Diversity (Shannon-H), evenness (E), and richness (S) estimations for foraminiferal and
 216 the calcareous nannofossil assemblages varied throughout the section. In the interval
 217 with better foraminiferal recovery (329.9-338.2 m), richness was relatively high ($S > 10$),
 218 while the Shannon-H index was > 2 for most samples (Figure 6). Calcareous nannofossil
 219 richness was also higher in this interval ($S > 10$), and some other specific levels in the
 220 Umir Formation such as 331 and 331.3 m (reaching $S > 35$). Regarding calcareous
 221 nannofossil diversity, the Shannon-H index ranged from 1.2 to 2.0, with the highest
 222 values ($H > 2$) at 322.5 m and 335.5 m, while the smallest value ($H < 1.1$) was recorded in
 223 the lower part of the section (321.2-321.6 m). Evenness estimations in the calcareous
 224 nannofossil assemblages also presented a wide range of values along the section (0.1 to
 225 0.7), though samples of the Umir Formation presented mostly values around 0.6 in the
 226 upper part of the section. Finally, SHEBI analysis, based on $\ln(E)$ values of calcareous
 227 nannofossil assemblages, suggests the occurrence of at least two assemblage intervals:
 228 (1) a lower assemblage (321.2-331.3 m) with most of the samples having values of
 229 $\ln(E) > -1.2$, and (2) an upper assemblage, comprising samples of the Umir Formation
 230 (333.9-339 m) with values of $\ln(E) < -1.0$ (Fig. 6).

231 **Geochemical proxies**

Log(Fe/Ca) presents an upward-increasing trend at the Aguablanca Creek section, with values ranging between -0.1 and 1.8 . Conversely, the Sr/Ba ratio presents a decreasing-upward trend, with values ranging from 130 to 90 in the lower part of the section and values <30 for most of the samples of the Umir Formation (Fig. 6). Most of the trace metals (TM)/Al ratios exhibit similar trends throughout the section, presenting a steady decrease above the contact between the La Luna and Umir formations. For instance, the Ni/Al, Cu/Al, and Zn/Al ratios present higher values in the La Luna Formation ($5 \cdot 10^{-7}$ in the Ni/Al and Cu/Al, $>38 \cdot 10^{-7}$ in the Zn/Al) and drop to average values of $4 \cdot 10^{-7}$ and $25 \cdot 10^{-7}$, respectively, within the Umir Formation. The V/Al ratio presents relatively high values in the La Luna Formation ($19\text{-}26 \cdot 10^{-7}$), drops abruptly at the contact between the two formations, and shows an upward-increasing trend across the Umir Formation (Fig. 6).

DISCUSSION

Biostratigraphic constraints for Maastrichtian strata at the Aguablanca Creek section

Micropaleontological evidence supports a latest Cretaceous (Campanian-Maastrichtian) age for the studied interval in the Aguablanca Creek section (Figs. 2, 4, 7). Most of the recovered planktonic foraminiferal taxa have wide chronostratigraphic ranges (*Planoheterohelix globulosa*, *Muricohedbergella* spp.), but there are some species such as *Spiroplecta americana*, *Pseudoguembelina excolata*, *Rugoglobigerina macrocephala* and *Globotruncana aegyptiaca* with late Campanian-late Maastrichtian ranges. Benthic foraminiferal assemblages of the Umir Formation can be assigned to the *Siphogenerinoides bramletti* (329.9-337.6 m) and *Ammobaculites colombiana* (338.2-344.2 m) assemblage zones, according to the definitions of Cushman and Hedberg

(1941) in the more proximal Catatumbo basin. Following these authors, as well as the chronostratigraphic update of Martinez (1989) based on planktonic foraminifera and new micropaleontological data for the Cesar-Ranchería and Catatumbo basins (Patarroyo et al., 2017; 2022), the *S. bramletti* and *A. colombiana* assemblage zones comprise the late Maastrichtian (*Abathomphalus mayaroensis* Zone to probably *Plummerita hantkeninoides* Zone). In general, foraminiferal assemblages from the Aguablanca Creek section present the same sequence of biostratigraphic markers reported by Martinez (1989) and Patarroyo et al. (2017, 2022) for the coetaneous Colón Formation, located in the Catatumbo and Cesar-Ranchería basins (Fig. 7). The absence of diagnostic foraminifers in the upper part of the La Luna Formation hampered an age assignment for this unit.

The Umir Formation was originally dated as a Campanian-Maastrichtian by Petters (1955), using benthic foraminiferal assemblages that were also recognized in Western Venezuela. That scheme used the same biozones as Cushman and Hedberg (1941), with a difference in the definition of the oldest biozone (*Orthokarstenia cretacea* instead of *Pullenia cretacea*). A key aspect in the biostratigraphic zonation of Petters (1955) was the calibration with ammonite markers. For instance, in the southern MMV, the Campanian ammonite *Stantonoceras* occurred within the *O. cretacea* zone. Ammonite genera such as *Coahuilites* and *Sphenodiscus* within the *S. bramlettei* and *A. colombiana* foraminiferal zones confirmed a Maastrichtian age for the upper part of the Umir Formation. However, the precise location and detailed description of that material remained unclear, hampering more detailed comparisons (e.g. Ward et al., 1973). Later, a series of studies by Tchegliakova (1993, 1995, 1996) in the La Julia Creek section (northeastern MMV) and the Honda-Guaduas area (southern MMV) discussed the Campanian age assignment for the lower segment of the Umir Formation. At the La

282 Julia Creek section, where the La Luna-Umir formations contact occurs, Tchegliakova
 283 (1995) reported the occurrences of *A. colombiana* and the planktonic species
 284 *Rugoglobigerina macrocephala*, constraining the age of the Umir Formation to middle-
 285 late Maastrichtian, and probably an early Maastrichtian age for the upper part of the La
 286 Luna Formation. Moreover, in localities from the Honda-Guaduas area, Tchegliakova
 287 (1996) identified the planktonic foraminiferal biozones *Gansserina gansseri* and
 288 *Abathomphalus mayaroensis*, extending the chronostratigraphic range of the lower
 289 Umir Formation from the middle Maastrichtian to the uppermost Campanian (Fig. 7).
 290 Considering our interpretation of a Maastrichtian age for the Umir Formation, based
 291 exclusively on the record of the foraminiferal assemblages, the absence of diagnostic
 292 elements of the *O. cretacea* assemblage zone (e.g. *Pullenia cretacea*) in the studied
 293 material, and the location of the Aguablanca Creek section (middle part of the MMV), it
 294 is plausible that the lower boundary of the formation is diachronous along the MMV.
 295 However, it must be also considered that the lowermost segment of the Umir Formation
 296 is covered in the Aguablanca Creek section (324.1-329.9 m).
 297 Considering these uncertainties, one of our goals was to explore an integrated approach
 298 (calcareous nannofossils, ostracods) in order to get higher accuracy for the age span of
 299 the studied section. Studies on calcareous nannofossils from the Late Cretaceous
 300 successions of Colombia are scarce, being Pérez-Panera et al. (2018) the only published
 301 work. That study focused mainly on the La Luna Formation and the lowermost part of
 302 the Umir Formation from an exploratory well in the northernmost MMV, and reported
 303 most of the species we recovered at the Aguablanca Creek section. Calcareous
 304 nannofossil assemblages in our locality were mainly composed of taxa with long
 305 chronostratigraphic ranges (e.g. Aptian-Maastrichtian). However, species such as
 306 *Arkhangelskiella cymbiformis*, *Ceratolithoides aculeus*, *Perch Nielsenella stradneri* and

Prediscosphaera stoveri, which ranged from Campanian to Maastrichtian, were present in low abundances along the section. The last occurrence of *Reinhardtites levis* at 331 m could be used as a boundary between the early and late Maastrichtian (top of UC18 zone), though its rare occurrence, and the presence of benthic foraminifer *S. bramletti* in this interval, could be interpreted as a product of reworking. Using the calcareous nannofossil record, an age not older than early Maastrichtian could be assigned to the lowermost part of the Umir Formation (324.1-331 m).

Though calcareous nannofossil assemblages in the La Luna Formation were more abundant and diversified than foraminiferal assemblages, and the SHEBI analysis suggests a floristic turnover, taxa of the La Luna Formation consist of species with long chronostratigraphic ranges and Campanian-Maastrichtian markers. Therefore, based on the calcareous nannofossils assemblages we suggest a Campanian to early Maastrichtian age for the top of the La Luna Formation (Fig. 7). Our interpretation slightly modifies the original conclusions of Terraza (2019), who assigned a Campanian age to the upper part of the La Luna Formation (=La Renta Formation) in the Aguablanca Creek section. That age assignment was based on the presence of Santonian ammonites such as *Plesiotexanites*, *Texanites* and *Cocuyites* in underlying strata of the section (middle part of the La Luna Formation; Galembo Formation *sensu* Terraza, 2019), and the common presence of the benthic foraminifer *O. cretacea* in thin sections from the upper part of the La Luna Formation (=Galembo Formation). Additionally, the lithological contrast between the La Luna and Umir formations suggests the presence of an unconformity according to that author. The paleoenvironmental nature of the La Luna-Umir contact will be discussed in the following section.

Our biostratigraphic interpretation for the uppermost part of the Aguablanca Creek section (340-344.2 m) is tentative, considering the absence of calcareous nannofossils

above 339 m, and the presence of scarce ostracods and benthic foraminifers, the latter represented mostly by agglutinated taxa. So far, we can only assign an age not older than late Maastrichtian, based on the first occurrence of the benthic foraminiferal species *A. colombiana* at 343 m, which defines the base of its homonym assemblage zone (Cushman and Hedberg, 1941). New micropaleontological data in the Cesar-Rancheria Basin and the identification of the Cretaceous-Paleogene (K-Pg) boundary in that area have provided a better age control for the *A. colombiana* assemblage zone (De La Parra et al., 2022; Patarroyo et al., 2022).

Considering the expected abundance decrease of marine microfossils in the uppermost strata at our section, palynological analyses could improve the chronostratigraphy of the middle and upper parts of the Umir Formation. Maastrichtian strata from the Umir Formation were originally studied by Van der Hammen (1954, 1957), who proposed three palynological zones (Maastrichtian A-C). However, since most of the morphotypes described by Van der Hammen (1954, 1957) have been revised and assigned to other genera, new biostratigraphic schemes have been proposed since then for Maastrichtian strata in Colombia (Sarmiento, 1992; Yepes, 2001; Santos, 2012; De La Parra et al., 2022).

Paleoenvironmental settings recorded at the Aguablanca Creek section

The stratigraphic description of Terraza (2019), as well as the micropaleontological and geochemical data from our study at Aguablanca Creek section, highlight the differences between the La Luna Formation and the Umir Formation. Contrasting sedimentological and stratigraphical characteristics have been traditionally interpreted as evidence of a regional unconformity, related to the first pulses of the Andean orogeny (*e.g.* Ward et al., 1973; Sarmiento et al., 2015). However, the nature of this boundary and its presence in other basins have been intensively debated in recent years. Based on

sedimentological studies in the Cocuy region, Bayona et al. (2020) defined it as a
xenoconformity, considering that it reflects a shift in the depositional regime (from
dominant carbonate deposition to the onset of synorogenic terrigenous-dominated
deposition), instead of a major hiatus within the Maastrichtian sedimentary successions.
Petrographic, paleontological (microfossils), geochemical (total organic carbon, TOC),
and seismic data also evidence marked differences between the La Luna Formation and
the Colón Formation (coeval to the Umir Formation) in the Catatumbo and Cesar-
Ranchería basins (Ayala-Calvo et al., 2009; Cooney and Lorente, 2009; Bayona et al.,
2011; Patarroyo et al., 2017).

The dominance of carbonate rocks and the presence of calcareous nannofossils in the
Aguablanca Creek section suggest open marine conditions, probably in a middle shelf
setting, for the upper part of the La Luna Formation (Fig. 7). This is also supported by
our geochemical results, since sediment Sr/Ba values of the uppermost La Luna
Formation indicate higher paleosalinity in comparison with the overlying strata.
Strontium tends to be absorbed on fine-grained sediments deposited under high-salinity
waters, whereas barium content is higher in freshwater delivered by continental runoff
(Wei and Algeo, 2019). Additionally, increased concentrations of redox-sensitive TM,
such as V, Ni, Cu and Zn, suggest that sediments were deposited under the influence of
oxygen-depleted bottom waters (Fig. 6; *e.g.* Dummann et al., 2021). Previous studies in
the upper part of the La Luna Formation in the MMV and other basins have reported an
overall high total organic carbon (TOC) content, with values ranging from 3 to 7 %
(Patarroyo et al., 2021). The low abundance of benthic foraminifers in this part of the
section could be, in fact, a consequence of poorly oxygenated bottom waters. However,
taphonomic controls are not discarded as a possible cause of low foraminiferal
abundances, since some of the analyzed samples were collected from the greenish

glaucinitic sandstone below the La Luna-Umir contact. Special caution must additionally be taken for interpreting this interval, since reworked intraclasts were reported by Terraza (2019) and by studies in other localities recording the same glauconitic level in the MMV (*e.g.* Ward et al., 1973; Sarmiento et al., 2015). In fact, glauconite precipitation supports our paleoenvironmental interpretation for the La Luna Formation, since glauconite has been reported from shallow marine poorly oxygenated settings in the Maracaibo area (*e.g.* Erlich et al., 1999; Parra et al., 2003). In contrast to the La Luna Formation, the microfossil content and geochemical proxies (Sr/Ba and log(Fe/Ca) ratios) of the uppermost part of our section indicate a progressive transition from an inner platform to sublittoral settings within the Umir Formation (Fig. 6). Foraminiferal distributions depict two intervals that illustrate this transition: a lower assemblage (329-338.2 m) dominated by calcareous benthic foraminifers (mostly elongated and planispiral forms) and some planktonic taxa (mostly biserial forms); and an upper assemblage (340-344.2 m), characterized by lower recovery, but dominated by agglutinated benthic taxa, which tend to increase in abundance at relatively shallow conditions (Figs. 2, 7; *e.g.* Cetean et al., 2011). Like in other studies on latest Cretaceous foraminifera from Colombia, the disappearance of keeled planktonic taxa (only present in the interval 334.9-336 m), the predominance of the benthic foraminifera, and the increase in the proportion of textulariids upward in the succession, suggest a regressive pattern for this unit (Bürgl and Dummit, 1954; Martínez, 1989; 2003; Vergara, 1997; Guerrero et al., 2000; Tchegliakova and Mojica, 2001; Patarroyo et al., 2022). This regressive stacking trend was previously documented with foraminiferal assemblages within the Umir Formation, but without a broad stratigraphic control (Petters, 1955; Tchegliakova 1993, 1995). In the La Julia Creek section,

Tchegliakova (1993, 1995) reported assemblages mostly composed of agglutinated forms (*Haplophragmoides*, *Bathysiphon*, *A. colombiana*), unidentified ostracods, calcareous algae, and high proportions of amorphous organic matter, suggesting paralic environments with intermittent influence of freshwater input.

Other micropaleontological evidence of this shallowing-upward trend include the disappearance of the calcareous nannofossil above 339 m, as well as the abundance of ascidian spicules (>300 specimens/sample) at 340 m and 340.5 m at the Aguablanca Creek section (Fig. 4). Considering that some species are temperature and salinity-sensitive (Shenkar and Swalla, 2011), future studies on these microfossils of the Umir Formation could provide new insights into its paleoenvironmental evolution.

Proxy records at the Aguablanca Creek section also provided clues about the shallowing trend recorded by the Umir Formation (Fig. 6). For instance, fluctuations in the log(Fe/Ca) ratio can be used as a tracer of terrigenous input relative to carbonate sedimentation (Govin et al., 2012). The log(Fe/Ca) at the Aguablanca Creek section indicates a steady increase upward in the section, in comparison with the lower values within the La Luna Formation, implying increased supply of terrigenous sediments likely associated with progressively more proximal settings. Relatively lower sediment Sr/Ba values at the uppermost Aguablanca Creek section also suggest increased freshwater input (Wei and Algeo, 2019), which is consistent with more proximal depositional settings.

At a regional scale, Maastrichtian sea-level fluctuations within the Umir Formation include at least five maximum flooding surfaces over a major retro-gradational sequence (Montaño et al., 2016). However, that study was mostly focused on the middle to upper interval of the unit, which yields economically relevant coal-bearing strata. The geological evolution of Maastrichtian coastal environments in northern South America

was highly dynamic and was not solely affected by sea level fluctuations, but also deeply influenced by the onset of tectonic activity in the Central and Eastern Cordilleras during the Campanian-Maastrichtian (Fig. 7; Villamil and Pindell, 1998; Sarmiento-Rojas, 2019; Montes et al., 2019; Bayona et al., 2020).

Finally, our micropaleontological and geochemical results suggest moderate oxygenation of bottom waters for the lower part of the Umir Formation. In general, there is a high proportion of benthic infaunal taxa along the Aguablanca Creek section, comprising elongated (*e.g. Siphogenerinoides, Orthokarstenia, Stilostomella*), ovoidal (buliminids) and elongated biserial (*e.g. Coryphostoma, Loxostomum*) forms. These morphotypes are usually interpreted as indicative of poorly oxygenated bottom water conditions, related to water mass eutrophication (Van der Akker et al., 2000; Jorissen et al., 2007). However, there were still moderate abundance and richness of epifaunal or shallow infaunal taxa (*e.g. Gavelinella, Gyroidinoides, Lenticulina*) within this interval at the Aguablanca Creek section. Overall, benthic foraminiferal assemblages at the Aguablanca Creek section contrast with those described for the La Luna Formation and coeval units in Colombia, which recorded relatively high primary productivity, recurrent anoxic episodes, and yielded benthic foraminiferal assemblages dominated by deep infaunal forms (*e.g. buliminids*; Vergara, 1997; Guerrero et al., 2000; Tchegliakova and Mojica, 2001; Martinez, 2003; Parra et al., 2003; Patarroyo et al., 2017).

Values of TM/Al ratios within the Umir Formation are low in comparison to those of the La Luna Formation (Fig. 6), suggesting improved bottom water oxygenation (*e.g. Dumann et al., 2021*). Nevertheless, fine-grained sediments of the lower part of the Umir Formation show TOC contents below 1.7 %, while the organic carbon-rich levels from the middle and upper part of this unit have TOC values ranging from 12 to 40%

(Rangel et al., 2000). An exception among the overall trends of TM/Al proxies at the Aguablanca Creek section is the V/Al ratio, which depicts a trend similar to that of the log(Fe/Ca) ratio, and in contrast to the remaining TM (Fig. 6). One likely explanation for the V/Al trend would be the input of V-rich terrigenous sediments.

CONCLUSIONS

The integrated micropaleontological (foraminifers, calcareous nannofossils, and ostracods) and geochemical (log(Fe/Ca), Sr/Ba, and redox-sensitive TM/Al ratios) study of the Maastrichtian stratigraphic succession at Aguablanca Creek section revealed a major paleoenvironmental shift between the La Luna and Umir formations. Based on the common presence of calcareous nannofossils and higher concentrations of redox-sensitive trace metals such as Ni, Cu, and Zn, we interpret the upper part of the La Luna Formation as a middle shelf setting, with poorly oxygenated bottom water conditions. In contrast, benthic foraminiferal, log(Fe/Ca), and Sr/Ba records depict a shallowing-upward trend for the studied interval of the Umir Formation in a retro-gradational inner platform setting. This interval is characterized by a gradual decrease of the planktonic biota (heterohellicids, keeled foraminifers, calcareous nannofossils), and the transition from moderately diverse calcareous benthic foraminifers (buliminids, elongated uniserial, trochospiral forms) to poorly diversified assemblages, composed of agglutinated benthic forms (*Ammobaculites*, unidentified textulariids). This interpretation is also supported by bulk sediment Sr/Ba and log(Fe/Ca) ratios, which indicate increased continental runoff and terrigenous input in the upper part of the section, likely associated with more proximal depositional settings. The recorded paleoenvironmental turnover suggests the presence of a xenoconformity at the La Luna-Umir stratigraphic contact. Our biostratigraphic results suggest an early Maastrichtian

age (base of the regional foraminiferal zone *Siphogenerinoides bramletti*) for this
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Figure captions

Figure 1. **1.** Location of the Middle Magdalena Valley Basin. **2.** Map showing the location of the Aguablanca Creek section, northeastern Colombia. **Abbreviations:** **CC**, Central Cordillera; **EC**, Eastern Cordillera; **UMB**, Upper Magdalena basin; **MMB**, Middle Magdalena basin; **LMB**, Lower Magdalena basin; **CB**, Catatumbo basin; **RSZ**, Romeral suture zone; **ESFS**, Espiritu Santo fault system; **BSFS**, Bituima and La Salina fault system; **BSMF**, Bucaramanga-Santa Marta fault system.

Figure 2. Stratigraphic distribution of foraminiferal taxa at Aguablanca Creek section. Biozones identification follows the local foraminiferal assemblage zones of Cushman and Hedberg (1941). Stratigraphic column based on descriptions of Terraza (2019). Gray dots indicate samples barren in foraminifers; red dashed line indicates the xenoconformity between the La Luna and Umir formations.

Figure 3. Selected foraminifers from Aguablanca Creek section. SEM Images. Scale bars represent 100 μ m. **1.** *Spiroplectammina spectabilis* (337.6 m); **2.** *Haplophragmoides walteri* (334.9 m); **3.** *Ammobaculites colombiana* (343 m); **4.** *Haplophragmoides excavatum* (338.2 m); **5.** *Haplophragmoides glaber* (333.9 m); **6.**

753 *Gaudryina quadrans* (329.9 m); **7.** *Lenticulina muensteri* (337.6 m); **8.** *Lenticulina* cf.
754 *williamsoni* (329.9 m); **9.** *Gavelinella henbesti* (334.9 m); **10-11.** *Gyroidinoides*
755 *depressus* (336 m); **12.** *Marginulinopsis texasensis* (331.3 m); **13.** *Dentalina wimani*
756 (331 m); **14.** *Stilostomella stimea* (329.9 m); **15.** *Nodosaria paupercula* (337.6 m); **16.**
757 *Praebulimina* spp. (334.9 m); **17.** *Pyramidina proluxa* (335.5 m); **18.** *Spiroplecta*
758 *americana* (336 m); **19.** *Pseudoguembelina costulata* (336.9 m); **20.** *Pseudoguembelina*
759 *excolata* (330.3 m); **21.** *Siphogenerinoides bramletti* (334.9 m); **22.** *Orthokarstenia*
760 *cretacea* (331.3 m); **23.** *Globotruncana aegyptiaca* (336 m); **24.** *Rugoglobigerina*
761 *macrocephala* (331.3 m); **25.** *Muricohedbergella* cf. *holmdelensis* (334.9 m).

762

763 **Figure 4.** Stratigraphic distribution of calcareous nannofossil taxa at Aguablanca Creek
764 section. Biozones identification follows the local foraminiferal assemblage zones of
765 Cushman and Hedberg (1941). Stratigraphic column based on descriptions of Terraza
766 (2019). Gray dots indicate samples barren in calcareous nannofossils; red dashed line
767 indicates the xenoconformity between the La Luna and Umir formations.

768

769 **Figure 5.** Selected calcareous nannofossils from Aguablanca Creek section. Scale bar
770 represents 5 µm for all images. Petrographic microscopy images. **1-2.** *Arkhangelskiella*
771 *cymbiformis* (321.2 m); **3-4.** *Gartnerago segmentatum* (329.9 m); **5-6.** *Micula*
772 *staurophora* (323.4 m); **7-8.** *Quadrum gartneri* (321.2 m); **9-10.** *Reinhardtites levis*
773 (331 m); **11-12.** *Watznaueria barnesiae* (321.2 m); **13-14.** *Zeugrhabdotus*
774 *bicrescenticus* (321.2 m); **15-16.** Ascidian spicule (340 m).

775

776 **Figure 6.** Records of foraminiferal and calcareous nannofossil distribution of diversity
777 and richness estimates, and geochemical proxies at Aguablanca Creek section. **1.**

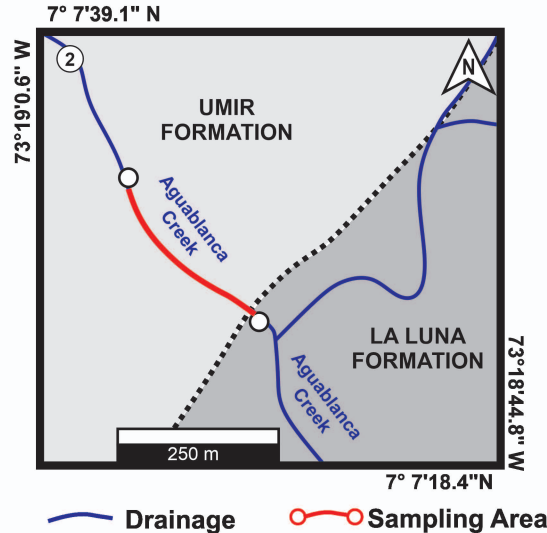
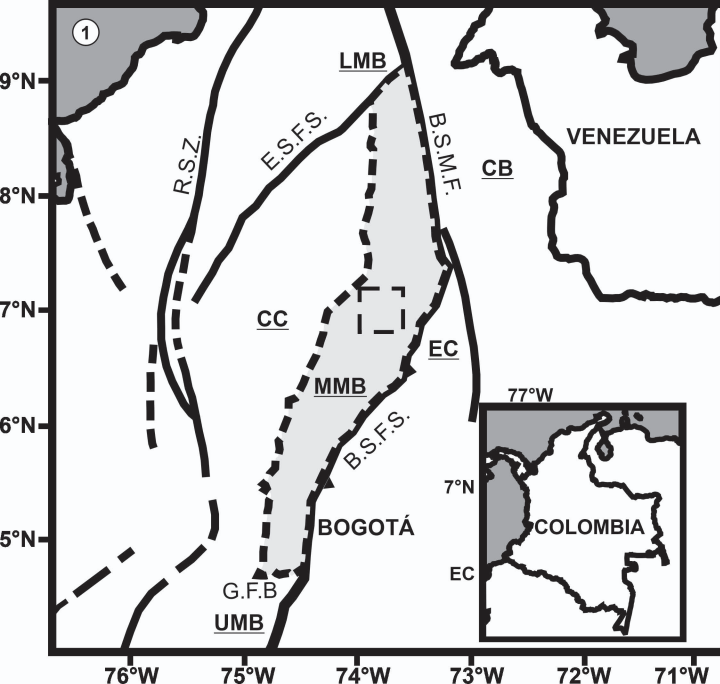
Species richness of foraminiferal and calcareous nannofossil assemblages. **2.** Shannon-H diversity of foraminiferal and calcareous nannofossil assemblages. **3.** Ln (E) estimates for calcareous nannofossil assemblages. **4.** Log (Fe/Ca) as a proxy for terrigenous input. **5.** Sr/Ba ratio as a proxy for paleosalinity. **6.** Stratigraphic distribution of the redox-sensitive trace metals Ni, Cu, V, and Zn normalized to Al content. Red dashed line indicates the xenconformity between the La Luna and Umir formations.

Figure 7. Interpreted paleoenvironmental settings of Maastrichtian successions in northern South America. **1.** Paleogeographic map adapted from Bayona (2018), indicating the location of the Aguablanca Creek section (AC) and additional localities with foraminiferal data numbers 1-5). **2.** Depositional setting and age assignments for latest Cretaceous sedimentary successions of northeastern Colombia. Age and paleoenvironmental assignments follow: 1-2 Tchegliakova (1993, 1995, 1996); 3 Navarrete *et al.* (2018) and Pérez-Panera *et al.* (2018); 4-5 Patarroyo *et al.* (2017, 2022). The horizontal length of each bar indicates the proposed depositional setting range for each locality.

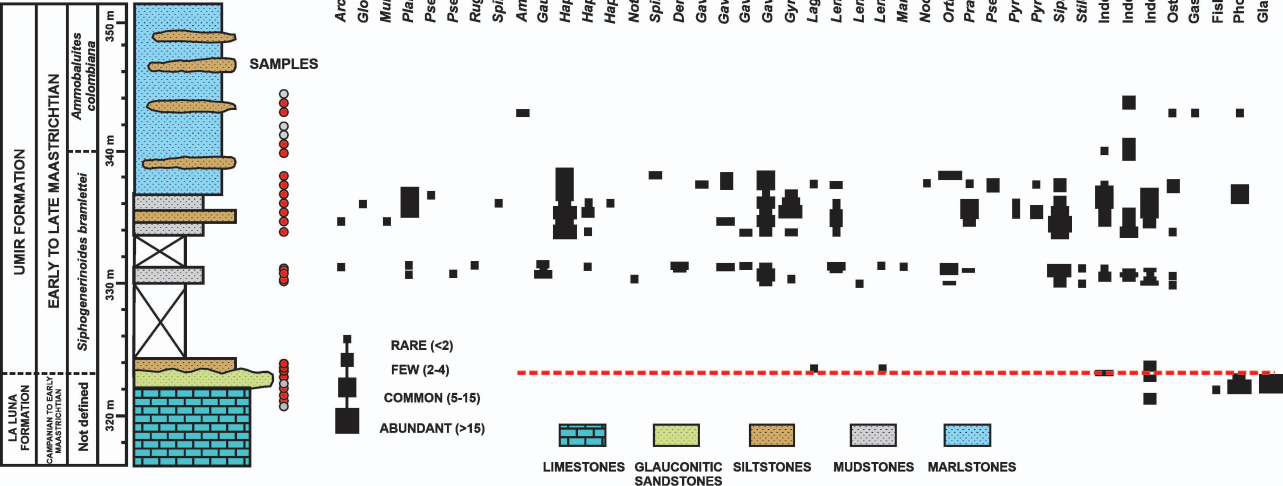
Table S1. Total foraminifer counts at Aguablanca Creek section.

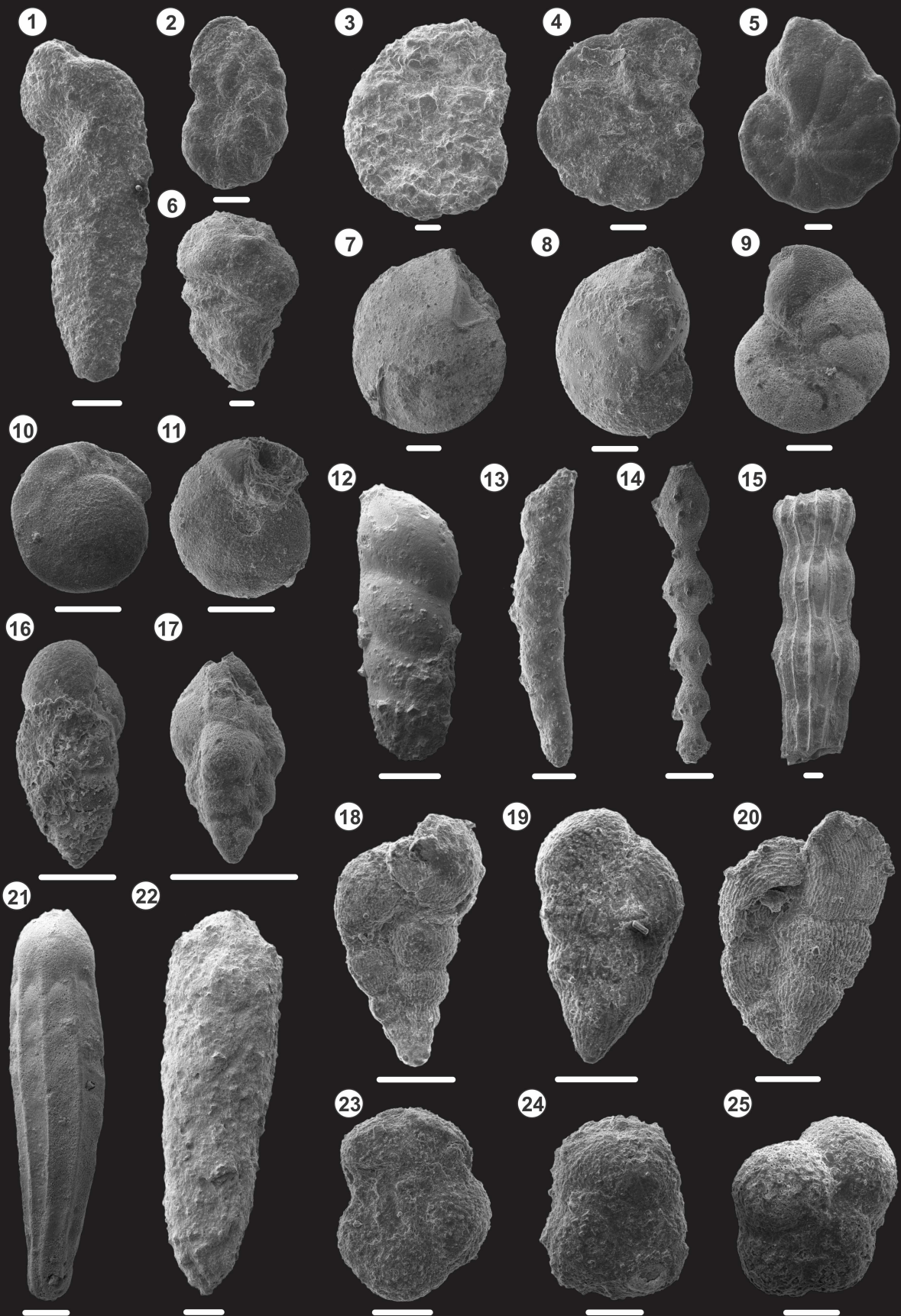
Table S2. Total calcareous nannofossil counts at Aguablanca Creek section.

Table S3. Sediments geochemical data (XRF analysis) at Aguablanca Creek section.



AGUABLANCA CREEK

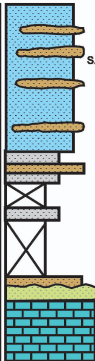




AGUABLANCA CREEK

LA LUNA FORMATION	UMIR FORMATION
CAMPANIAN TO EARLY MAASTRICHTIAN	EARLY TO LATE MAASTRICHTIAN
Not defined	<i>Siphonotrypa noides bramlettei</i>
	<i>Ammobaculites colombiana</i>

LITHOLOGY

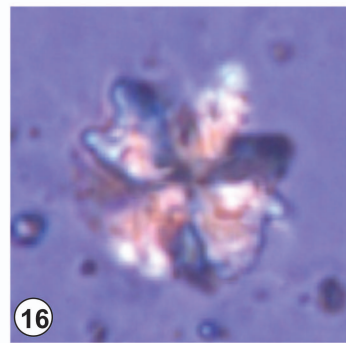
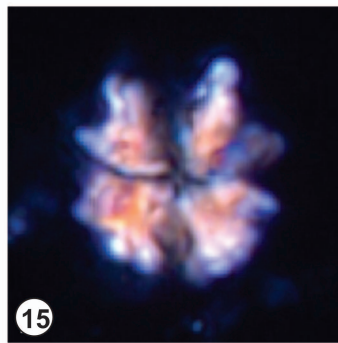
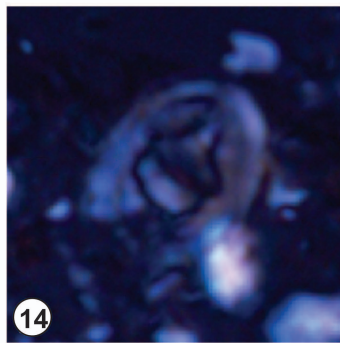
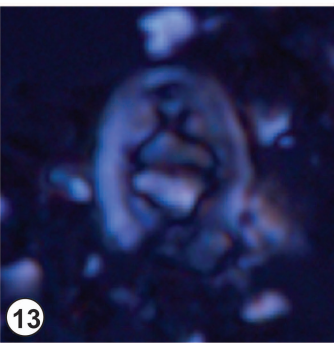
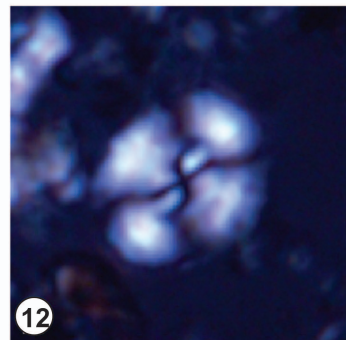
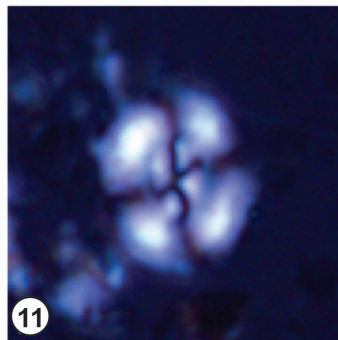
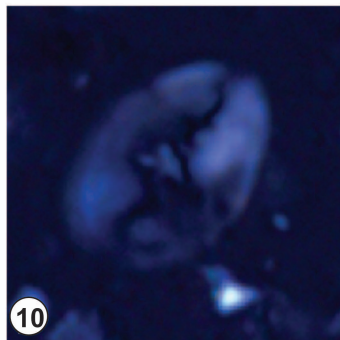
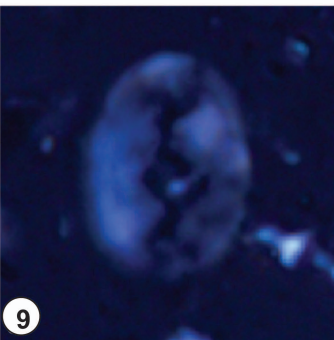
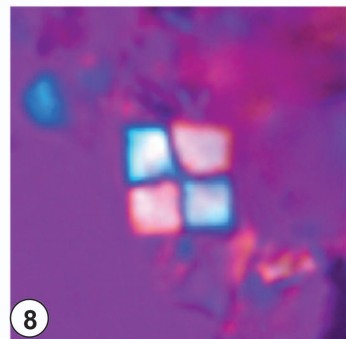
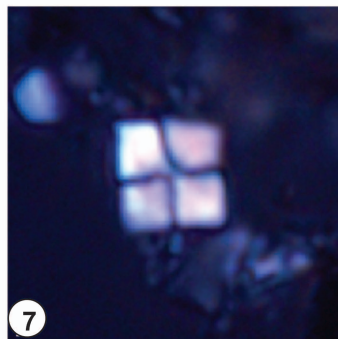
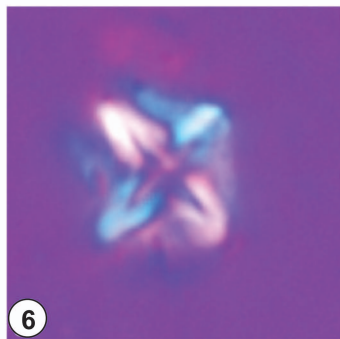
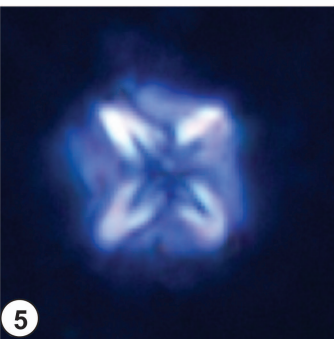
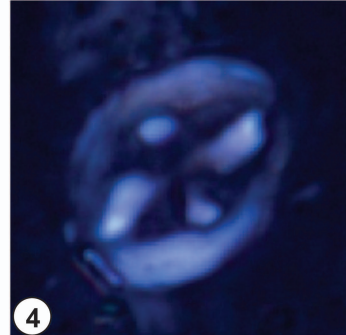
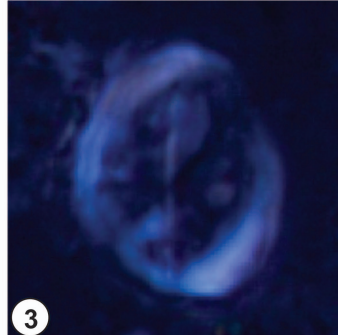
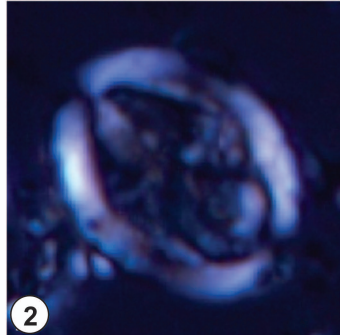
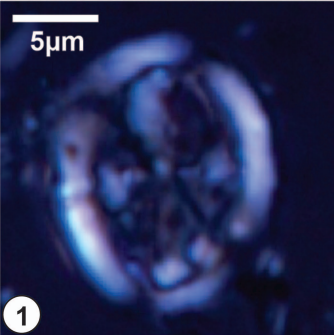


SAMPLES

RARE (<2) FEW (2-4) COMMON (5-15) ABUNDANT (>15)

LIMESTONES GLAUCONITIC SANDSTONES SILTSTONES MUDSTONES MARLSTONES

Ahmuelerella octoradiata
Arkhangelskiella confusa
Arkhangelskiella cymbiformis
Biscutum constans
Cervisiella operculata
Cervisiella saxea
Ceratolithoides aculeus
Chiastozygus litterarius
Chiastozygus synquadriperforatus
Corollithion madagaskarensis
Cretarhabdus conicus
Cribrosphaerella ehrenbergii
Cylindralithus biarcus
Cylindralithus serratus
Eiffellithus eximius
Eiffellithus gorkae
Eiffellithus turriselfelli
Gartnerago segmentatum
Helicolithus trabeculatus
Kamptnerius magnificus
Lapideacassis sp.
Loxolithus armilla
Manivitella pemmatoidea
Microrhabdulus decoratus
Micula concava
Micula cubiformis
Micula staurophora
Micula swastica
Perch Nielsenella stradneri
Placozygus fibuliformis
Prediscosphaera cretacea
Prediscosphaera grandis
Pprediscosphaera spinosa
Prediscosphaera stoveri
Quadrum gartnerii
Reinhardtites levis
Retecapsa angustiforata
Retecapsa crenulata
Retecapsa surirella
Rhagodiscus angustus
Rhagodiscus asper
Rhagodiscus reniformis
Rhagodiscus splendens
Staurolithites laffittel
Tetrapodorhabdus decorus
Watznaueria barnesiae
Watznaueria biporta
Watznaueria fossacincta
Watznaueria ovata
Zeugrhabdotus bicrescenticus
Zeugrhabdotus embergeri
Zeugrhabdotus erectus
Zeugrhabdotus sigmoides
Undetermined
Ascidians spicules



AGUABLANCA CREEK

