

1 **Assessment of peripheral serotonin, cortisol and dehydroepiandrosterone**
2 **in aggressive dogs**

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24 **Abstract**

25 Canine aggression directed towards people is the most frequent reason for referral to
26 behaviour practices. In order to provide new and improved diagnostic and therapeutic
27 approaches for this problem, it is necessary to make an in-depth investigation of the
28 biological basis of aggression in this species. The serotonergic system and the
29 hypothalamic-pituitary-adrenal (HPA) axis are believed to play an important role in
30 controlling aggression. The aim of the present study was to investigate both systems in
31 aggressive (n=80) and control non-aggressive (n=19) dogs through the assessment of
32 peripheral parameters, namely serum serotonin (5-HT), and plasma cortisol and
33 dehydroepiandrosterone (DHEA). Moreover, the effect of the category of aggression
34 and gender was investigated. Aggressive dogs showed significantly lower serum
35 concentrations of 5-HT than non-aggressive dogs (278.5 vs. 387.4 ng/ml, $P < 0.01$).
36 The lowest 5-HT concentrations were found in the group of dogs showing defensive
37 forms of aggression. Aggressive animals showed significantly higher plasma
38 concentrations of cortisol than non-aggressive dogs (21.4 vs. 10.6 ng/ml, $P = 0.05$).
39 Finally, males as a whole showed significantly higher plasma concentrations of DHEA
40 and DHEA/cortisol ratio values than did females (DHEA: 90.9 vs. 29.8 ng/ml, $P < 0.05$;
41 ratio: 9.5 vs. 3.8, $P < 0.01$). The present results suggest that aggressive dogs might
42 differ from non-aggressive dogs in the activities of the serotonergic system and the
43 HPA axis.

44 **Keywords:** dog, aggression, stress, serotonin, cortisol, dehydroepiandrosterone

45

46 **1. Introduction**

47 Canine aggression, particularly when directed towards humans, is the most frequent
48 behaviour problem presented at referral behaviour practices (Bamberger and Houpt,
49 2006; Fatjó et al., 2007). Moreover, it represents a problem concerning both public
50 health and animal welfare issues since a high number of people are bitten by dogs
51 every year (Overall and Love, 2001; Palacio et al., 2005) and a significant proportion of
52 these animals end up being euthanized or abandoned (Hunthausen, 1997; Mikkelsen
53 and Lund, 2000). Creating new tools for diagnosis and treatment of aggression in dogs,
54 as well as improving existing therapeutic approaches, represents a major challenge for
55 animal behaviour medicine today.

56 The understanding of the biological mechanism of canine aggression remains
57 fragmentary. Serotonin and steroid hormones have shown to be critically involved in
58 the control of this behaviour in several species.

59 Several studies in human as well as nonhuman primates show an inverse relationship
60 between the concentration of the main 5-HT metabolite 5-hydroxyindoleacetic acid (5-
61 HIAA) in cerebrospinal fluid (CSF) and aggression and impulsivity (Howell et al., 2007;
62 Mehlman et al., 1994; Stanley et al., 2000). This finding has also been reported in
63 canine species by one study which found that dominant aggressive dogs, especially
64 those that did not display warning signals prior to biting (i.e. impulsive aggression),
65 showed lower concentrations of CSF 5-HIAA than a group of non-aggressive dogs
66 (Reisner et al., 1996). Others did not find this association (Lentz, 2000; Mertens, cited
67 by Overall, 2005).

68 It is well known that blood 5-HT does not cross the hematoencephalic barrier.
69 However, a correlation between blood and CSF serotonergic parameters has been
70 found in humans (Sarrias et al., 1990). In addition, blood 5-HT content has been shown
71 to be altered in some psychopathologies (Kovacic et al., 2008; Muck-Seler et al., 2004)

72 and to be affected by drugs that act upon the central serotonergic system
73 (Castrogiovanni et al., 2003; Fisar et al., 2008). In dogs, it has been recently reported
74 that serum concentrations of 5-HT were lower in a group of aggressive animals
75 compared with a control group (Çakiroglu et al., 2007).

76 Glucocorticoids also play an important role in aggression. Findings are contradictory
77 and both high and low cortisol concentrations have been related to abnormal forms of
78 aggression in humans (Haller et al., 2005). In animals, research on stress and
79 aggression often focuses on issues related to social status. Among canids, in
80 particular, higher faecal cortisol concentrations have been detected in dominant
81 individuals (Creel et al., 1997; Sands and Creel, 2004). Several behaviourists highlight
82 that a great proportion of privately-owned dogs displaying aggressive behaviour also
83 show signs of stress and anxiety (Bamberger and Houpt, 2006; Reisner et al., 2007).

84 Along with glucocorticoids, adrenals also produce dehydroepiandrosterone (DHEA)
85 and its sulfate derivative DHEAS, two neuro-active steroid hormones with
86 antiglucocorticoid properties affecting the brain (Maninger et al., 2009). They are also
87 produced in the brain (neurosteroids) (Baulieu and Robel, 1998) and a correlation has
88 been found between CSF and circulating levels (Guazzo et al., 1996). The production
89 of neurosteroids may be a mechanism to counteract the negative effects of stress and
90 return organisms to homeostasis (Engel and Grant, 2001). Several recent studies have
91 looked at DHEA and aggression (see review by Soma et al., 2008) but to the authors'
92 best knowledge, there are not scientific evidences of the role of DHEA in canine
93 aggression or stress.

94 A previous work by the authors showed the suitability of serum samples for the
95 determination of 5-HT in aggressive and non-aggressive dogs (León, 2006; León et al.,
96 2008). The aim of the present study was to assess the activities of the serotonergic
97 system and the hypothalamic-pituitary-adrenal (HPA) axis in canine aggression
98 directed towards humans. To this end, the concentrations of serum 5-HT and plasma

99 cortisol and DHEA were analyzed in a group of aggressive and non-aggressive dogs.
100 The influence of the category of aggression and the gender of the animals was
101 moreover addressed.

102 **2. Material and methods**

103 *2.1. Aggressive animals*

104 A multicentric study was designed where two Spanish veterinary teaching hospitals
105 (Universidad de Zaragoza and Cardenal Herrera-CEU, Valencia) contributed to the
106 collection of cases from April 2004 to June 2008. Dogs included in the present study
107 were referred to the Companion Animal Behaviour Services within the respective
108 hospitals owing to problems of aggression towards people. Dogs showing play-related
109 and predatory aggression directed to people were excluded. Displaying any other type
110 of behavioural problem did not constitute an exclusion criterion.

111 In total, 80 dogs (52 males and 28 females) were included in the aggressive group. The
112 mean age was 4.9 years old (ranging from 3 months to 14 years). The group consisted
113 of dogs of 23 different breeds and their crosses and 10 small-medium mongrels.

114 Diagnosis of aggression was carried out by means of a detailed standard questionnaire
115 on the dogs' behaviour and daily routine. Clinical classification of aggression was
116 established in accordance with three main diagnostic criteria: target, context and dog's
117 communicative signals (based on Fatjó et al., 2007). Three main pre-established
118 diagnostic categories were then considered:

119 (1) SCA: Social Conflict-related Aggression directed towards family members. This
120 might occur during status-related interactions and competitive or conflict situations. The
121 dog might show defensive and/or offensive signals.

122 (2) DA: Defensive Aggression towards unfamiliar people. This might occur when
123 approaching or manipulating the dog. The dog might show defensive signals.

124 (3) OA: Offensive Aggression towards unfamiliar people. This might occur when
125 approaching or manipulating the dog. The dog might show offensive signals.
126 If a diagnosis did not fit into any of the previous categories, then it was labeled as (4)
127 “other forms”, including aggression problems related to medical causes and/or
128 pain/irritability conditions. Finally, if a dog showed more than one form of aggression,
129 the one related to the reason for consultation was considered the main diagnostic
130 category and the remaining as secondary diagnostic categories.

131 In order to detect any underlying causative or contributory medical condition to the
132 aggression problem, all dogs were screened through physical examination, complete
133 blood count, serum biochemistry and thyroid hormone measurement at the time of
134 admission.

135 *2.2. Control animals*

136 The control group was made up of 19 dogs (8 males and 11 females) of 9 different
137 breeds. The mean age was 4.3 years old (ranging from 11 months to 9.3 years). They
138 were selected from a random sample of dogs from the hospital’s database
139 (Universidad de Zaragoza) after behavioural and physical examination. The selected
140 animals were healthy and lacked any history of aggression towards people and/or other
141 dogs.

142 *2.3. Sample collection and biochemical analyses*

143 Blood samples (6 ml) were drawn from the jugular or cephalic vein into EDTA and
144 anticoagulant-free tubes and centrifuged at 4500xg at 4°C for 10 min. Aliquots of
145 plasma and serum were frozen and stored at -30°C and -80°C, respectively. A part of
146 serum aliquots was set aside for clinical analysis before freezing.

147 Serum 5-HT was measured in duplicate with a commercial EIA technique (Serotonin-
148 ELISA, DLD Diagnostika GMBH, Hamburg, Germany). The intra and interassay

149 coefficients of variations were 3.9-5.4% and 6%, respectively. Concentrations were
150 expressed in ng/ml.

151 Plasma cortisol and DHEA were determined in duplicate using two home EIA
152 techniques (Chacón, 2004). In the cortisol EIA validation test, the intra and interassay
153 coefficients of variation were 3.5-6% and 3.9-9.9%, respectively. Regarding DHEA, the
154 intra and interassay coefficients of variation were 7.4-8.8% and 8.3-9.05%,
155 respectively. Concentrations were expressed in ng/ml. The DHEA/cortisol ratio was
156 calculated.

157 *2.4. Statistical analysis*

158 Serotonin, cortisol, DHEA and DHEA/cortisol ratio were defined as dependent
159 variables. A multifactorial multivariate analysis of variance was carried out to assess
160 the effect of the factors “aggression” and “gender” on the concentrations of all
161 biochemical parameters. In addition, a unifactorial multivariate analysis of variance was
162 carried out to assess the effect of the factor “category of aggression”. Finally,
163 correlations between all parameters in both groups of study were analyzed using the
164 Pearson test.

165 Calculations were carried out using the statistical program SPSS 14.0. for Windows
166 (SPSS, Inc, Chicago, USA). We considered that $P \leq 0.05$ denoted statistical
167 significance.

168 **3. Results**

169 *3.1. Description of aggression cases*

170 The measurement of thyroid hormones suggested hypothyroidism in one dog (TSH
171 5.27 ng/ml and total T4 0.88 µg/dl; reference range: TSH 0.30-4.40 ng/ml and total T4
172 1.10-3.60 µg /dl) which was included in the diagnostic category SCA. Two dogs
173 showed episodes of aggression related to epileptic seizures which were labelled as
174 “other forms” of aggression (one as the main diagnosis and the other as a secondary

175 diagnostic category). Animals showing pain and/or irritability-motivated aggression
176 made up the rest of the individuals within the category “other forms”. In the rest of the
177 animals, no detectable physical alterations contributing to aggression were found as a
178 result of the tests.

179 SCA was the main diagnostic category in 71.25% of all aggressive dogs. Secondary
180 diagnostic categories were detected in 35% of the dogs (Table 1). In addition, 63.6% of
181 the dogs also displayed aggression directed towards other dogs. Finally, other
182 concomitant behavioural problems were detected in 77.5% of the animals. The
183 frequency of these problems was as follows: noise phobias (thunderstorms, fireworks,
184 pitch noises, etc.) (41%); anxiety related problems (anxiety separation and generalized
185 anxiety) (14.7%); inappropriate urination and/or defecation (11.6%), social fear
186 (towards people or other dogs) (9.5%), compulsive disorders (9.5%), overactivity
187 (6.3%), excessive attention-seeking behaviours (5.3%) and others (2.1%).

188 *3.2. Analysis of biochemical parameters*

189 The multifactorial multivariate analysis of variance showed a significant effect of the
190 factors “aggression” ($P < 0.01$) and “gender” ($P < 0.05$) on the studied parameters. A
191 non-significant interaction was detected between both factors. Mean concentrations of
192 all biochemical parameters are shown in Table 2. Aggressive dogs showed significantly
193 lower serum concentrations of 5-HT and higher plasma concentrations of cortisol than
194 control dogs. Males showed significantly higher plasma concentrations of DHEA and
195 DHEA/cortisol ratio mean values than females. No gender differences were detected
196 for the rest of parameters.

197 The unifactorial multivariate analysis of variance showed a significant effect of the
198 factor “category of aggression” ($P < 0.05$). Mean concentrations of all biochemical
199 parameters for each category of aggression are depicted in Table 3.

200 DHEA was positively correlated with the DHEA/cortisol ratio both in the control (0.692;
201 $P < 0.01$) and in the aggressive group (0.448; $P < 0.01$). Only in the aggressive group
202 cortisol was negatively correlated with the ratio (-0.364; $P < 0.01$) and positively
203 correlated with DHEA (0.298; $P < 0.01$).

204 **4. Discussion**

205 An important step in diagnosing behaviour problems is to rule out underlying organic
206 causes. In the present study, medical examination and behavioural history revealed
207 three causative or contributory types of medical conditions related to the aggression
208 problem, namely hypothyroidism (one dog), epileptic seizures (two dogs), and pain
209 and/or irritability (four dogs). Canine hypothyroidism may increase the likelihood of
210 aggression by reducing the threshold for this behaviour, rather than be the direct cause
211 (Fatjó et al., 2002). No strong support for a causative relationship exists, but
212 hypothyroidism has been found to affect the turnover of 5-HT (Bauer et al., 2002). The
213 relationship between epileptic seizures, 5-HT and aggression also remains unclear
214 (Keele, 2005) but interestingly, an activation of the anterior thalamic nuclei seems to
215 occur during aggressive motivation in rats, an area traditionally linked to seizure
216 genesis (Ferris et al., 2008). Finally, it is suggested that a reduced serotonergic activity
217 produces a generalized state of hyperirritability, lowering the threshold at which an
218 organism responds to provocative stimuli (Berman et al., 1997).

219 Regarding the rest of categories, SCA accounted for most of the human-directed
220 aggression diagnoses, followed by DA and OA. In spite of the varying terminology
221 found in the literature, aggression directed towards owners, is reported to be the most
222 common form of aggression directed towards humans by several animal behaviourist
223 (Bamberger and Houpt, 2006; Fatjó et al., 2007; Landsberg et al., 1991). It is
224 interesting to note that in more than one third of the cases, several forms of aggression
225 towards people were detected simultaneously. Moreover, a large percentage of dogs
226 (65%) also showed different forms of intraspecific aggression. In this regard, a recent

227 study carried out in the Companion Animal Behaviour Service of the Universidad
228 Autónoma de Barcelona found a significant association between defensive aggression
229 towards people and defensive aggression towards dogs as well as between offensive
230 aggression towards people and intrasexual aggression, suggesting a shared basic
231 motivation mechanism for, respectively, defensive and offensive aggressive behaviour
232 (Fatjó et al., 2007).

233 Serum concentrations of 5-HT in the control group (387.4 ng/ml) were similar to
234 previously published data from whole blood in canine species (Chen et al., 1993;
235 Ferrara et al., 1987; LaRosa et al., 1989). The aggressive group as a whole was
236 characterized by significantly lower serum concentrations of 5-HT (278.5 ng/ml). This
237 finding aligns with previous studies that find an inverse relationship between the
238 concentration of 5-HIAA in CSF and aggression in several species, including dogs
239 (Howell et al., 2007; Mehlman et al., 1994; Reisner et al., 1996; Stanley et al., 2000).
240 With regards to the determination of peripheral 5-HT, a recent study also reported
241 lower serum concentrations of 5-HT in a group of 33 dogs displaying aggression
242 towards people and/or other dogs (12 ng/ml), as compared with 18 normal dogs (32.5
243 ng/ml) (Çakiroglu et al., 2007). Despite the same finding, it is worth mentioning that 5-
244 HT concentrations in this study were very low in comparison with the present results,
245 which may be explained in terms of methodological differences. Finally, the present
246 results also support a preliminary study by the authors, where lower concentrations of
247 5-HT were simultaneously found in plasma, serum and platelets of 28 dogs that were
248 aggressive towards people and/or other dogs compared with 10 non-aggressive dogs
249 (León, 2006; León et al., 2008).

250 When considering the different categories of aggression, only dogs within the category
251 SCA (277.7 ng/ml) and DA (235.8 ng/ml) showed significantly different serum
252 concentrations of 5-HT to those in the control group. Since the lowest concentrations
253 were detected in animals showing defensive forms of aggression, it could be argued

254 that it is fear motivation in particular –rather than aggression motivation in general– that
255 is linked with a low serotonergic activity. A previous study by DeNapoli et al. (2000) did
256 not detect differences in plasma 5-HT between dogs showing dominance (mainly
257 equivalent to SCA diagnosis) and territorial aggression (mainly equivalent to OA
258 diagnosis). However, this study did not include a comparison with a group of non-
259 aggressive dogs.

260 It has been consistently reported by animal behaviourists that male dogs are more
261 frequently referred due to aggression problems than do females (APBC, 2005;
262 Bamberger and Houpt, 2006; Fatjó et al., 2007). In fact, 65% of the total dogs recruited
263 for the present study were males. Male and female dogs, however, did not significantly
264 differ in serum concentrations of 5-HT. Despite the limited literature focusing on gender
265 differences in the serotonergic system, sexual dimorphisms have been reported in the
266 human brain. Several Positron Emission Tomography (PET) studies have shown that
267 healthy women have higher 5-HT_{1A} receptor and lower 5-HT transporter binding
268 potentials (Jovanovic et al., 2008; Parsey et al., 2002) as well as lower rates of 5-HT
269 synthesis (Nishizawa et al., 1997; Sakai et al., 2006) than healthy men. A recent CSF
270 study in healthy volunteers, however, showed no differences in 5-HIAA concentrations
271 between males and females (Nilsson et al., 2007). Serotonergic status in the blood of
272 healthy humans was addressed in one study and differences between sexes were
273 detected including plasma 5-HT and whole blood 5-HT (both higher in women), and
274 plasma 5-HIAA (higher in men) (Ortiz et al., 1998). In spite of all of these findings,
275 discussion of the current results remains difficult since none of the studies cited above
276 specifically focus on sex differences in central or peripheral serotonergic measures of
277 aggressive individuals. More studies with this aim, and also considering the role of
278 sexual hormones, should be carried out in order to clarify this issue.

279 Plasma concentrations of cortisol in the control group (10.6 ng/ml) were within the
280 normal range for canine species (Chacón, 2004). The aggressive group showed

281 significantly higher plasma concentrations of cortisol (21.4 ng/ml). High cortisol
282 concentrations have been associated with affective (hostile-reactive) aggression in
283 different human subpopulations (serum, Soderstrom et al., 2004; saliva, van Bokhoven
284 et al., 2005) as opposed to non-affective (instrumental-proactive) aggression, which is
285 characterized by chronic cortisol deficiency (urine, Virkkunen, 1985; saliva, McBurnett
286 et al., 2000). Affective aggression, either offensive or defensive, is characterized by an
287 intense autonomic activation (Haller et al., 2005; Nelson and Trainor, 2007). It has
288 been reported that many dogs that show aggression towards household members
289 show ambivalent body language before an attack, which is believed to be an indicative
290 of high arousal (Luescher and Reisner, 2008). Finally, it is interesting to note that a
291 great proportion of the aggressive dogs also displayed concomitant behaviour
292 problems, most of them related with fear/phobias and anxiety. This may also be related
293 with a hyperactivity of the HPA axis in aggressive dogs.

294 Plasma concentrations of cortisol were significantly higher in the SCA group (23.2
295 ng/ml). Traditionally, canine aggression towards the owners has been related to a
296 hierarchical conflict, thus receiving the name of "dominance aggression", where the
297 dog responds aggressively in contexts related with competition for a resource (food,
298 toy, resting place, etc.) and physical manipulation or punishment by the owner
299 (Borchelt and Voith, 1996). Higher concentrations of faecal cortisol have been detected
300 in dominant individuals in African wild dogs (Creel et al., 1997) and wolves, but this
301 finding was not associated with high rates of aggression or agonistic interaction in the
302 latter (Sands and Creel, 2004). Most probably higher plasma concentrations of cortisol
303 found in aggressive dogs in the present study were related to a stress status rather
304 than to a dominance status.

305 Males as a whole (both in the aggressive and control groups) showed significantly
306 higher plasma concentrations of DHEA and values of DHEA/cortisol ratio (90.9 ng/ml
307 and 9.5, respectively) than did females (29.8 ng/ml and 3.8, respectively). These

308 results may fit with the observed fact that, in humans, women suffer more stress-
309 related disorders such as anxiety and depression than men (Solomon et al., 2009). The
310 mechanism underlying gender differences in DHEA(S) levels, however, is not known;
311 some studies suggest sex steroids may be involved. Thus, testosterone seems to have
312 a stimulatory effect and estradiol an inhibitory effect on adrenal androgen levels,
313 consistent with higher levels in men than in woman (Laughlin and Barrett-Connor,
314 2000).

315 One possible limitation to the present work is the use of clinical data to classify
316 aggression which can hinder accurate categorization of the basic aggressive motivation
317 at the neurobiological level. Difficulties for clinical categorization have been
318 acknowledged since it relies heavily on records of the context and the dog's signals
319 during aggressive episodes, which are ultimately based on the owner's report. This is
320 particularly true for cases in which ambivalent signals are observed or alternating
321 offensive and defensive signals are shown by the animal. To counteract this inherent
322 limitation, the authors complemented the diagnosis with data from the clinical history
323 (mainly the origin and evolution of the problem). Another limitation is related to the
324 unbalanced distribution of animals within the different categories of aggression. A
325 larger number of individuals within the categories OA and "other forms" would allow a
326 better assessment of the role of gender in the different groups of aggressive animals.

327 **5. Conclusions**

328 The present results suggest that dogs showing a problem of aggression towards
329 people may differ from non-aggressive dogs in the activities of the serotonergic system
330 and the HPA axis. The aggressive group, particularly those showing defensive forms of
331 aggression towards unfamiliar people, was characterized by lower serum
332 concentrations of 5-HT. In addition, aggressive animals showed higher plasma
333 concentrations of cortisol. Regardless of the group, males showed higher plasma
334 concentrations of DHEA and DHEA/cortisol ratios than females.

335 The determination of serum 5-HT may have important clinical applications in the future.
336 For example, it could be used for deciding which animals might benefit from a given
337 pharmacological treatment as well as for monitoring the response. The determination of
338 plasma cortisol, and probably better, the determination of the DHEA/cortisol ratio, may
339 be used for objectively assessing stress in aggressive animals. Regarding DHEA
340 results, more studies should be performed in order to further explore the mechanisms
341 underlying gender differences, as well as the role of this neuro-active steroid in canine
342 aggression and stress.

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504

505 **Table captions**

506 **Table 1.** Number of dogs (percentage) displaying aggression within the different
507 categories of aggression.

508 **Table 2.** Mean (standard error) concentrations of biochemical parameters according to
509 the group and the gender of the animals.

510 **Table 3.** Mean (standard error) concentrations of biochemical parameters for each
511 category of aggression and comparison with those in the control group.

512