

Raman spectroscopy, electronic microscopy and SPME-GC-MS to elucidate the mode of action of a new antimicrobial food packaging material

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Abstract

One critical challenge when developing a new antimicrobial packaging material is to demonstrate the mode of action of the antimicrobials incorporated into the packaging. For this task, several analytical techniques as well as microbiology are required. In this work, the antimicrobial properties of benzyl isothiocyanate, allyl isothiocyanate and essential oils of cinnamon and oregano against several moulds and bacteria have been evaluated. Benzyl isothiocyanate showed the highest antimicrobial activity and it was selected for developing the new active packaging material. Scanning electron microscopy and Raman spectroscopy were successfully used to demonstrate the mode of action of benzyl isothiocyanate on *Escherichia coli*. Bacteria exhibited external modifications such as oval shape and the presence of septum surface, but they did not show any disruption or membrane damage. To provide data on the in vitro action of benzyl isothiocyanate and the presence of inhibition halos, the transfer mechanism to the cells was assessed using solid-phase microextraction–gas chromatography–mass spectrometry. Based on the transfer system, action mechanism and its stronger antimicrobial activity, benzyl isothiocyanate was incorporated to two kinds of antimicrobial labels. The labels were stable and active for 140 days against two mould producers of ochratoxin A; *Penicillium verrucosum* is more sensitive than *Aspergillus ochraceus*. Details about the analytical techniques and the results obtained are shown and discussed.

Introduction

36 Food safety is a global priority and one of the major concerns of consumers,
37 administration and industry. Antimicrobial packaging is an increasing demand of the
38 food industry, but the development of stable and feasible materials at industrial scale
39 is very difficult. Active packaging systems, such as antimicrobial or antioxidant ones,
40 may be launched into the European market if they comply with the restrictions set out
41 in European Regulations (EC) 1935/2004 and (EC) 450/2009. Antimicrobial materials
42 are beneficial to the consumers as well as to the food industry since they can extend
43 the product shelf-life and/or maintain food safety by inhibiting, killing or reducing the
44 growth rate of target microorganisms. However, there are several critical challenges
45 in their development. A key point is the selection of antimicrobial agents which may
46 be incorporated into food packaging materials. Different chemicals such as natural
47 agents, probiotics [1–3] as well as essential oils (EOs) [4] have been explored for this
48 task [1, 5]. It has been demonstrated that EOs represent an interesting source of
49 natural antimicrobials for food preservation [6] and they have also been categorised
50 as generally recognised as safe (GRAS) by the US Food and Drug Administration
51 and published in the Code of Federal Regulations (CFR) [7]. A second critical point is
52 the demonstration of their mode of action by which the new packaging is able to
53 inhibit or even kill bacteria and moulds. For this task, the combination of several
54 analytical techniques together with microbiology is required.

55 The first goal of this work was to study in-depth the performance of a new
56 antimicrobial packaging material. For this purpose, several antimicrobial agents were
57 screened in order to select the most active one. In addition, the mode of action and
58 the transfer mechanism from the packaging to the cells were studied. Cinnamon and
59 oregano EOs have already been described as powerful antibacterial agents [8–11]
60 and both have been used to develop several active packaging systems [8, 12, 13].
61 However, the study of new natural alternatives that can improve antimicrobial activity
62 of active packaging is always a challenge, as well as the design of novel active
63 packaging. In this work, two natural active agents with a high antibacterial activity
64 obtained from *Brassicaceae* and *Salvadoraceae* family, mustard essential (AITC) and
65 BITC, respectively [11, 14–21], were selected. The effectiveness of all of them, alone
66 and inside a novel active packaging based on the design of active labels, was
67 evaluated with the aim to select the best candidate. It is important to highlight that the
68 incorporation of isothiocyanate derivatives in food packaging has been informed by
69 European Food Safety Authority (EFSA), as “no safety concern at estimated level of
70 intake as flavouring substances” [22, 23]. EFSA is in concordance with the Joint
71 FAO/WHO Expert Committee on Food Additives (JECFA), and the information is
72 collected in the JECFA/65/SC rules [24]. EOs of cinnamon and oregano have already
73 been described as antibacterial and antifungal agents [8, 9] and they have a positive
74 opinion from EFSA. The substances used have been introduced in a register of
75 approximately 2800 substances, which has been adopted by the Commission
76 decision of 1999/217/EC, as last amended by decision 2005/389/EC [25]. Indications
77 do not appear for any of the substances used in the research carried out. In Spain,
78 the Spanish Food Safety, Consumption, and Nutrition Agency (AECOSAN) stated in
79 a guide the legislation on food flavourings [26]. Cinnamon, oregano and their major

80 components are listed and used as flavouring substances, but it is true that they have
81 antimicrobial activity as well, what is well known since centuries. Due to the volatile
82 nature of antimicrobial agents, transfer mechanisms were studied by solid-phase
83 microextraction coupled to gas chromatography–mass spectrometry (SPME-GC-MS).

84 Once the antimicrobial was chosen, the active material was prepared and its
85 efficiency was evaluated. The mode of action of antimicrobials was studied by using
86 scanning electron microscopy (SEM) [8] and Raman spectroscopy [27, 28]. SEM is a
87 very important viewing technique for the study of microorganisms as it allows
88 determining changes in the cell structure of microorganisms and a possible damage
89 produced by the antimicrobial compounds. Raman spectroscopy [27, 28] provides
90 information about molecular vibrations and it can be used to identify possible
91 molecular changes in cell structure. Raman microscopy is a very appropriate
92 technique for this task. Thus, the effects produced by the antimicrobials on foodborne
93 will be evaluated at a molecular level with the use of spectroscopic techniques [29].
94 This work summarises a complex combination of techniques that will show a
95 complete and relevant set of information necessary to design a new active
96 packaging.

97

98 **Material and methods**

99 **Microbial culture**

100 *Aspergillus ochraceus* CECT 2093, *Penicillium roqueforti* CECT 2905, *Penicillium*
101 *verrucosum* CECT 2096, *Aspergillus niger* CECT 2088, and *Salmonella enterica*
102 *subsp. enterica* CECT556, *Staphylococcus aureus* ATCC 29213 and *Escherichia coli*
103 ATCC 25922 were supplied by the Spanish Type Culture Collection (CECT, Valencia,
104 Spain) and the American Type Culture Collection (ATCC, Manassas, VA, USA).
105 Potato dextrose agar (PDA) and tryptic soya agar (TSA) as solid media, and yeast
106 extract broth (YEB) and tryptic soy broth (TSB) as liquid media were supplied by
107 Scharlab (Barcelona, Spain).

108

109 **Antimicrobial agents**

110 Benzyl isothiocyanate (BITC, 98 %, CAS 622-78-6) and allyl isothiocyanate (AITC,
111 ≥ 95 %, CAS 57-06-7) were provided by Sigma-Aldrich Química (Madrid, Spain).
112 Oregano EO (CAS 8007-11-2) and cinnamon bark EO (CAS 8015-91-6) fortified with
113 cinnamaldehyde were from Argolide (Barcelona, Spain). The same batch of
114 cinnamon and oregano essential oils used in previous publications [30, 31] was used
115 here. For this reason, the chemical composition is exactly the same as that already
116 published.

117

118

119 **Antimicrobial agent selection**

120 *Antimicrobial activity in liquid medium*

121 To study the antimicrobial susceptibility of foodborne microorganisms versus the
122 selected active agents, a broth dilution method was used [32, 33]. Ethanol solutions
123 of each antimicrobial agent were prepared. In the case of moulds, an aliquot of 20 μL
124 of antimicrobial agent and 200 μL of a mould spores suspension (10^6 colony forming
125 unit (CFU) per mL) were mixed with a YEB medium to a final volume of 2 mL. For
126 bacteria, an aliquot of 10 μL of antimicrobial agent and 100 μL of a bacteria inoculum
127 (10^6 CFU per mL) were mixed with a TSB medium to a final volume of 1 mL. The final
128 concentration of antimicrobial agents ranged from 0.8 to 1600 $\mu\text{g mL}^{-1}$. To ensure
129 that ethanol did not inhibit microorganism growth, controls with addition of 20 or 10
130 μL of ethanol were carried out, depending on the analysed microorganism. Moulds
131 samples were incubated for 48 h at 25 °C and bacteria were incubated at 37 °C
132 overnight, both under continuous shaking. The minimum inhibitory concentration
133 (MIC) was defined as the lowest antimicrobial concentration that did not yield any
134 visible growth [34]. All assays were performed at least in triplicate.

135

136 *Antimicrobial activity in vapour phase*

137 Vapour phase activity of EOs was studied with a diffusion assay. TSA or PDA was
138 inoculated with 100 μL of bacterial or mould inoculum containing approximately 10^6
139 CFU·mL⁻¹ in physiological saline solution. Then, 10 μL of each pure antimicrobial
140 agent was added to a sterile Whatman® filter paper (diameter 9 mm) placed in the
141 middle of the Petri dish lid which contained 12 mL of adequate medium [35]; blanks
142 were prepared by adding the same amount of ethanol to the filter disks. Petri dishes
143 (90 × 14 mm) were then sealed using Parafilm M® and incubated. The antimicrobial
144 activity was evaluated in terms of the inhibition halo formed [36]. A second
145 experiment was also performed, where low volume aliquots of BITC (10, 5, 3 and 1
146 μL) were evaluated under the same incubation conditions. The correspondence of
147 microlitre to microgram of each active substance was as follows: 1 μL = 1056 μg of
148 cinnamon EO, 1 μL = 1013 μg of mustard EO (AITC) and 1 μL = 1125 μg of BITC.

149

150 *Antimicrobial activity in agar*

151 The agar diffusion assays were made in the same way as that mentioned above for
152 the vapour phase activity tests, but in these cases the filter disk was placed on top of
153 the agar and only the effect of adding 10 μL of each pure antibacterial agent was
154 studied.

155

156 **Screening of antimicrobial activity in active packaging**

157 Active agents were incorporated into a 73 g·m⁻² filter paper (FP) using a water-based
158 adhesive with self-adhesive properties. This way, active labels of 1.0 × 1.5 cm²
159 containing cinnamon EO, oregano EO and BITC at 8 % (w/w) were made. BITC was

160 integrated in the adhesive using a special formula and then extended to make the
161 active label using a K202 Control Coater 2005 (RK Printcoat Instrument). BITC was
162 homogeneously distributed, since RDSs obtained in the “Chemical evaluation of
163 active material” section were below 5 %. This fact demonstrates a good distribution
164 despite being a laboratory preparation. More details about the packaging system and
165 the formula cannot be disclosed because of confidential reasons. Plates with PDA or
166 TSA, depending on the strain tested, placed at the top of the inner part of the
167 packaging, were inoculated with 100 μL of a physiological saline solution containing
168 $10^6 \text{ CFU}\cdot\text{mL}^{-1}$ of the microorganism under study [8]. The active labels were placed at
169 the bottom of the plate. Blank labels were prepared following the same procedure
170 above described without active agent addition.

171

172 **Determination of BITC action mechanism**

173 *Scanning electron microscopy*

174 *E. coli* was treated in liquid media with BITC at sub-MIC and MIC concentrations for
175 24 h using the method described in section “Antimicrobial activity in liquid medium”.
176 Control samples without addition of the antimicrobial substance were also carried out.
177 After that, the samples were prepared for scanning electron microscopy according to
178 the protocol described by Becerril et al. [37]. Morphology of the *E. coli* cells was
179 observed on an InspectTM F50 scanning electronic microscope (FEI, Hillsboro, OR,
180 USA) working at 15–30 kV and reaching a resolution of 1.5 nm.

181

182 *Raman spectroscopy analysis*

183 Samples for Raman analysis with liquid media (macro dilution method) were
184 prepared with the minimum concentration of antimicrobial that enabled the growth of
185 *E. coli* (sub-MIC). Samples and controls were transferred to sterile 1-mL Eppendorf
186 tubes and centrifuged at 10,000 rpm for 5 min. Afterwards, the formed pellet was
187 washed with 1 mL of physiological saline solution and this procedure was repeated
188 three times. After the final washing step, the pellet was resuspended in sterile water
189 to a final volume of 1 mL. Finally, 20 μL of each sample was placed in a BaF₂ window
190 and dried in an oven at 40 °C.

191 Raman spectroscopic analysis was performed using a Thermo Scientific (Madison,
192 WI, USA) DXRTM Raman microscope equipped with a 532-nm laser. Laser power
193 was set at 10 mW (measured at the sample) on the bacteria through a $\times 100$
194 objective. Raman spectra of each sample were collected with a shift range from 3400
195 to 50 cm^{-1} . Aperture was set to 25 μm pinhole. Fluorescence correction and cosmic
196 ray rejection were applied. Data analysis and spectral interpretation were made with
197 Thermo Scientific Omnic 9TM software.

198 With the aim of seeking differences in the Raman spectra between samples and
199 controls, the individual spectrum of eight bacteria was considered for each type. It
200 was considered appropriate in statistical terms, which are discussed in the results
201 section. All experiments were performed in triplicate. Assuming the heterogeneity of

202 each bacteria group, spectra were normalised using the OriginPro8 SR0® program
203 (OriginLab Corporation, Northampton, MA, USA). Finally, the average spectrum of
204 each set of samples was performed.

205

206 **Transference mechanisms of BITC**

207 The study of transference mechanisms was performed on the inside of a Petri dish.
208 The top half of the dish contained 12 mL of TSA medium, and a 10-mm sterile blank
209 filter disk spiked with 1 µL of pure BITC was placed on the bottom half. The Petri dish
210 was sealed with Parafilm and incubated in a culture oven at 25 °C. A total of 15
211 dishes were incubated. Agar plugs of 5-mm diameter were collected with a punch at
212 different incubation times: 3, 6, 24 and 48 h. Plugs were collected from three different
213 areas of the plate (centre, half radius and 90 % radius) in order to study the
214 concentration of BITC across the dish. The test was performed by triplicate. The 10-
215 mm sterile blank filter disk and two Parafilm® tapes were analysed too. The
216 calibration curve was carried out by spiking agar plugs with 1 µL of known
217 concentrations of BITC.

218

219 *Analysis by SPME-GC-MS*

220 For SPME analysis, agar plugs collected from the transfer mechanism assays were
221 placed in 20 mL glass vials. A 50/ 30 µm divinylbenzene/carboxen/
222 polydimethylsiloxane SPME fibre, supplied by Supelco (Bellefonte, PA, USA), was
223 used in headspace mode for the analysis.

224 A CTC Analytics autosampler system from Agilent Technologies (Madrid, Spain) was
225 used. The gas chromatograph system was a HP 6890 series connected to a HP 5973
226 series mass selective detector. Chromatographic separation was carried out on an
227 HP-5MS column (30 m × 0.25 mm × 0.25 µm) from Agilent Technologies (Madrid,
228 Spain). Sample extraction was performed at 40 °C for 10 min at 500 rpm and
229 desorption was carried out at 250 °C for 2 min. All samples were injected in splitless
230 mode (2 min). The initial column temperature was set at 60 °C held for 4 min, then
231 raised at 15 °C·min⁻¹ up to 240 °C held for 2 min. Helium (99.999 %, Air Liquide,
232 Madrid, Spain) was used as carrier gas at a flow rate of 1 mL·min⁻¹. For quantitative
233 analysis, the calibration plot was obtained from appropriate dilutions in methanol of
234 BITC, working under the same conditions as the samples.

235

236 **Active packaging development**

237 *Chemical evaluation of the active material*

238 Active labels were prepared in the same way as section “Screening of antimicrobial
239 activity in active packaging”, but only with BITC and including 30-µm thickness
240 polypropylene (PP) as substrate instead of a FP. The reproducibility of BITC release
241 from the active labels was assessed by HSSPME-GC-MS ($n = 6$), using the method
242 described previously in the section “Analysis by SPME-GC-MS”. Active labels were

243 placed in 20-mL glass vials for the analysis. Blanks were prepared with the same
244 composition but without BITC. Before their use, active labels were stored at 4 °C
245 inside a sterile Petri dish sealed with Parafilm. Samples were evaluated periodically
246 up to 140 days after being prepared to confirm their stability.

247

248 *Antimicrobial evaluation of active material*

249 The antimicrobial activity of active labels was evaluated over the time against two
250 mould strains (*A. ochraceus* and *P. verrucosum*). The procedure was just the same
251 as that described in section “Screening of antimicrobial activity in active packaging”,
252 but here only PDA plates were prepared. In

253 addition to PF, PP was used too and six replicates of each sample were considered
254 in all cases. Inhibition halo was measured after 7 days of incubation and it was
255 transformed to percentage of inhibition of growth vs. blanks.

256

257 **Results and discussion**

258 **Antimicrobial screening in liquid medium**

259 The antimicrobial activity of BITC, AITC and cinnamon EO was determined against a
260 series of selected foodborne microorganisms. The MIC values obtained are shown in
261 Table 1. AITC and BITC showed higher antimicrobial activity against all moulds and
262 bacteria than cinnamon EO, with MIC values of 0.8–50 $\mu\text{g}\cdot\text{mL}^{-1}$ for BITC, 0.8–100
263 $\mu\text{g}\cdot\text{mL}^{-1}$ for AITC and 25–200 $\mu\text{g}\cdot\text{mL}^{-1}$ for cinnamon EO.

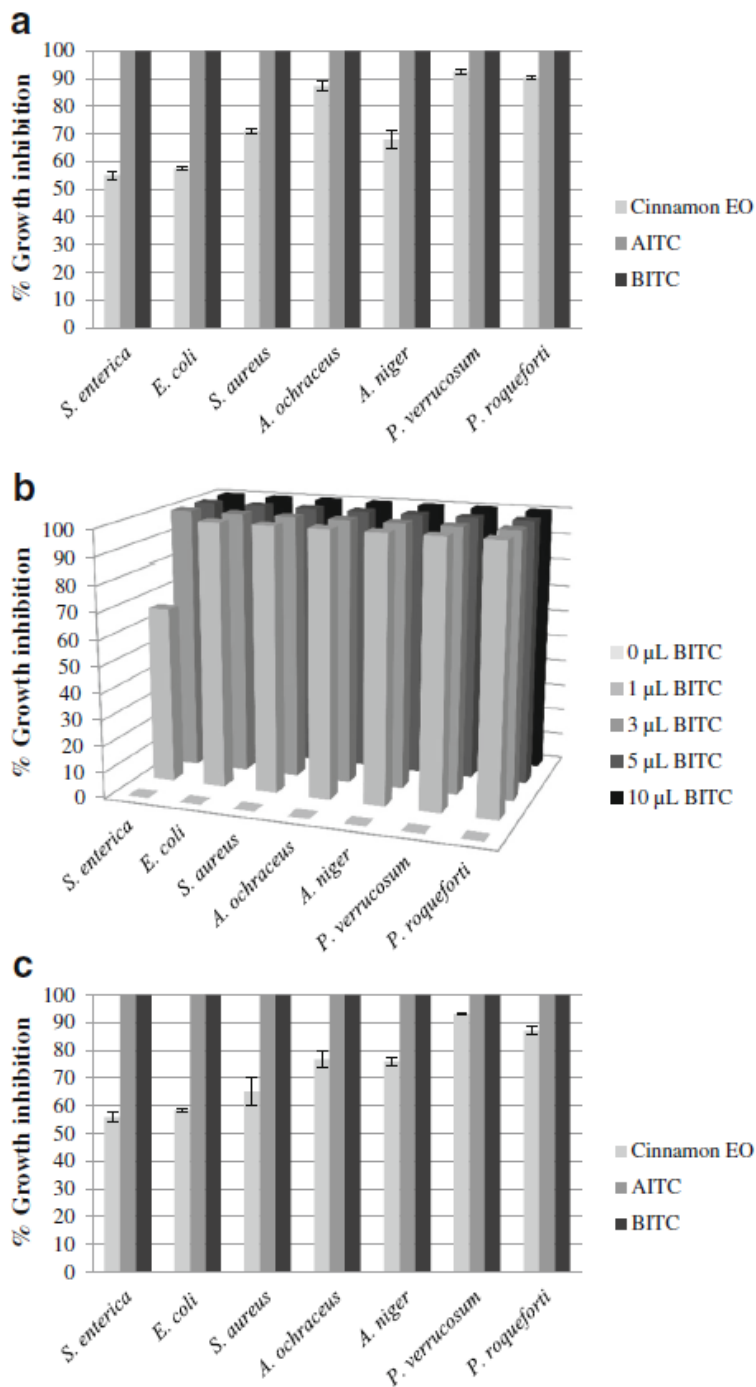
264 The three active agents were more active against moulds than bacteria. The high
265 bactericidal activity of AITC was previously reported by Luciano and Holley, who
266 used AITC in their experiments [18]. BITC and AITC have the same active group (R–
267 N=C=S) and this explains the similarity of the values obtained. Nevertheless, MIC
268 values obtained for the three bacteria tested were better for BITC than for AITC.
269 These results are in agreement with those obtained by Aires et al., who studied the
270 antimicrobial activity of BITC and AITC against *S. aureus* [38]. The results obtained
271 for cinnamon EO agree with those described by Becerril et al. for the tested bacteria
272 [33] and with Manso et al. for *A. niger* and *P. roqueforti* using the same EO from the
273 same batch [13].

274

275 **Antimicrobial activity in vapour phase**

276 Figure 1a shows the percentage of growth inhibition for the three pure active agents
277 in vapour phase when 10 μL of each one was added. BITC as well as AITC showed
278 a total growth inhibition (100 %) in all bacteria and moulds studied, while cinnamon
279 EO showed values between 57.5 ± 0.5 and 92.4 ± 0.8 %, expressed as mean \pm
280 standard deviation. These results are consistent with those obtained in liquid phase,
281 and in agreement with those obtained by other researchers, that described the higher
282 antimicrobial activity of AITC against *E. coli*, *S. aureus* [39] and for *A. ochraceus* [40].

283 The results for *E. coli* and *S. aureus* treated with cinnamon EO are similar to the ones
 284 previously reported by Lopez et al. [31].



285
 286 **Fig. 1** a Vapour phase activity of 10 µL of cinnamon EO, AITC and BITC; b vapour
 287 phase activity of 0, 1, 3, 5 or 10 µL of BITC which was added to a 10-mm sterile
 288 blank filter disk at the bottom of the Petri dish; and c agar diffusion assay with 10 µL
 289 of cinnamon EO, AITC and BITC

290

291 **Table 1** Antimicrobial susceptibility, expressed as minimum inhibitory concentration
292 (MIC) values of BITC, AITC and cinnamon EO against foodborne microorganisms

Strain	MIC ($\mu\text{g}\cdot\text{mL}^{-1}$)		
	BITC	AITC	Cinnamon EO
<i>E. coli</i>	25	25	200
<i>S. aureus</i>	25	100	200
<i>S. enterica</i>	50	100	200
<i>A. ochraceus</i>	6.25	6.25	200
<i>A. niger</i>	3.12	3.12	100
<i>P. verrucosum</i>	12.5	6.25	25
<i>P. roqueforti</i>	0.80	0.80	25
<i>R. stolonifer</i>	100	50	200

293

294 Since BITC showed the best results in liquid phase, its antimicrobial activity in vapour
295 phase was evaluated at different concentration levels. Figure 1b shows the
296 percentage of growth inhibition when 10, 5, 3 and 1 μL of pure BITC were added.
297 Total inhibition was obtained in all cases except for *S. enterica* that needed a
298 minimum of 3 μL . This result was obtained measuring the inhibition halo and
299 transforming it to percentage of growth inhibition.

300

301 **Antimicrobial activity in agar**

302 The results obtained in agar assays were very similar to those obtained in vapour
303 phase (Fig. 1c). When 10 μL of active agents was placed in agar, BITC and AITC
304 caused total inhibition of all microorganisms tested. These results obtained for the
305 moulds agree with those previously shown by Nielsen [19]. In the case of cinnamon
306 EO, the percentage of growth inhibition was between 58.4 ± 0.7 and 93.1 ± 0.3 %,
307 which is in agreement with the results obtained by Lopez [30] and Ghabrie [41]. It can
308 be observed that *S. aureus* was more sensitive to cinnamon EO than *E. coli* in agar
309 as well as in vapour media. In the same way, the results obtained for *A. niger* and *A.*
310 *ochraceus* agree with those found by Singh et al. [42]. However, the inhibition results
311 cannot be compared, since the cinnamon used in this work was fortified with its major
312 component (cinnamaldehyde).

313 The results obtained in liquid medium, vapour phase and agar revealed that BITC
314 had the highest antimicrobial activity for the microorganisms under study, and for this
315 reason it was selected for the design of active labels.

316

317

318 **Active agent selection**

319 A screening with different active labels using the BITC, AITC, cinnamon and oregano
320 EOs was carried out in order to select the most active label against six
321 microorganisms: four moulds and two bacteria (*E. coli* Gram- and *S. aureus* Gram+).
322 AITC was discarded due to its high volatility and viscosity that resulted in non-
323 reproducible labels. Furthermore, according to the study of transfer mechanism, the
324 diffusion model was better than the convection one for this type of packaging.
325 Oregano EO and cinnamon EO were added to the study for comparison purposes, as
326 they were successfully used in previous studies with another type of active packaging
327 [8, 12].

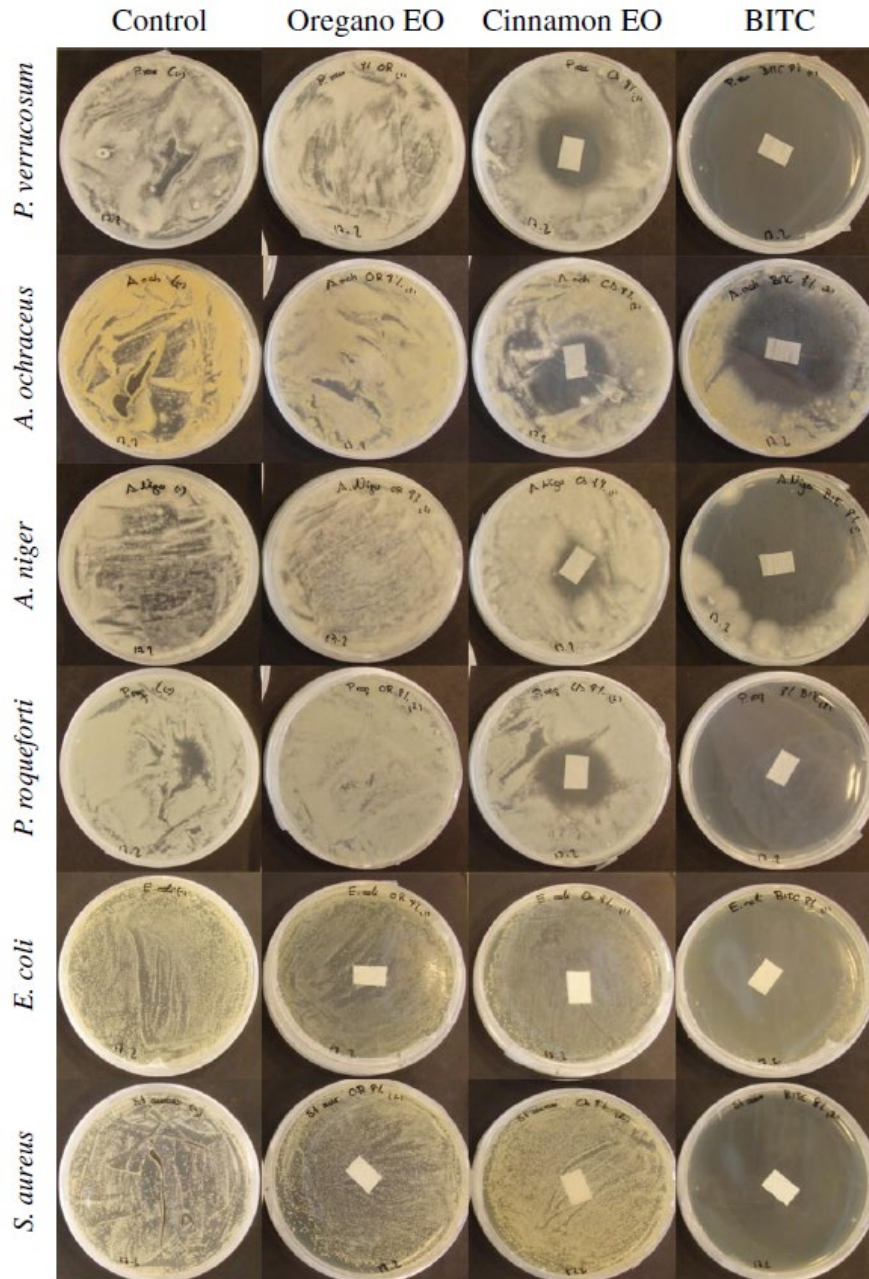
328 Figure 2 shows the diverse effects on the microorganism growing depending on the
329 active agent used. It can be seen that the labels containing oregano EO were not
330 efficient against any of the microorganisms tested and the inhibition halo was not
331 observed in any of them. Labels made with cinnamon EO showed antimicrobial
332 activity against the four moulds tested (*A. ochraceus*, *A. niger*, *P. roqueforti* and *P.*
333 *verrucosum*), but they were inactive against bacteria.

334 On the other hand, BITC labels presented the best antimicrobial activity. The
335 antifungal activity was much greater than that from cinnamon EO. The moulds *P.*
336 *verrucosum* and

337 *P. roqueforti* were completely inhibited and a higher inhibition halo appeared in *A.*
338 *ochraceus* and *A. niger*. Furthermore, only active labels with BITC had activity
339 against the tested bacteria, reaching almost their total inhibition.

340 Although the research previously developed by our group with cinnamon or oregano
341 EO demonstrated acceptable antimicrobial activity, the way of incorporating them in
342 the active packaging was completely different [8, 12, 43]. In this case, the active
343 agents were incorporated into the adhesive that was placed in the rear side of label.
344 This means that the compounds had to diffuse through the material and arrive at the
345 cells via vapour phase. Thus, the formula and the diffusion process play a critical role
346 in the antimicrobial activity of the label. This fact emphasises the importance of the
347 technology to produce active materials, as the results strongly depend on the way
348 and the formula used to incorporate the active agent in the packaging material.

349



350

351 **Fig. 2** Controls and treated microorganism with active label ($1.0 \times 1.5 \text{ cm}^2$) made with
 352 8 % (w/w) of BITC, cinnamon EO or oregano EO against *A. ochraceus*, *A. niger*, *P.*
 353 *roqueforti*, *P. verrucosum*, *E. coli* and *S. aureus*

354

355 **Determination of BITC mode of action**

356 *Scanning electron microscopy*

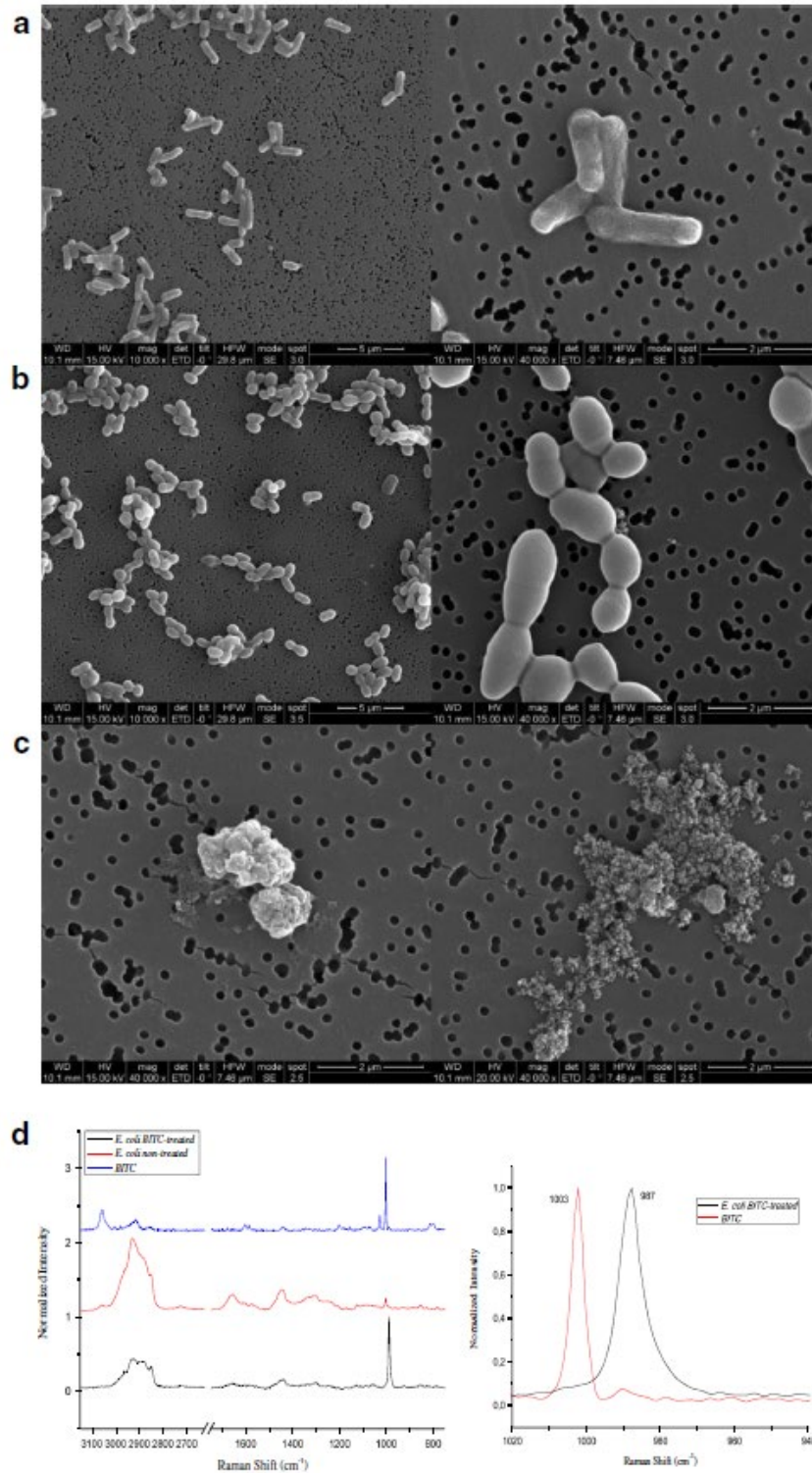
357 To gain further knowledge about the mechanism of action of BITC, SEM experiments
 358 were carried out. The microphotographs taken by SEM, both with MIC and sub-MIC
 359 conditions, showed cellular damage and no viable cells.

360 Microphotographs showed that in comparison to the control bacteria (Fig. 3a), *E. coli*
361 exposed to BITC at MIC and sub-MIC concentrations displayed considerable
362 morphological alterations. After 24 h of exposure with sub-MIC BITC concentrations
363 (Fig. 3b), the cells exhibited external modifications as oval-shaped bacteria and the
364 presence of septum surface, but no disruption or membrane damage. Nowicki et al.
365 showed that BITC antimicrobial effects did not produce disruption or membrane
366 damage, but they induced the global bacterial stress regulatory system [16]. These
367 results are in concordance with the SEM microphotographs. The oval shape could be
368 explained by some alterations in the exchange of Na^+ - Ca^{2+} and in the intracellular
369 homeostasis. The septum could be explained by the fact that the cell division could
370 be arrested, as reported by Zhang and partners in their work with isothiocyanates,
371 including BITC [44].

372 When the incubation was performed at concentration corresponding to the MIC value
373 (Fig. 3c), morphological alterations were more remarkable. Only cell debris was
374 found, and no viable bacteria were identified. This means that the MIC and the
375 minimum bactericidal concentration (MBC) are the same for *E. coli* treated with BITC.
376 This was confirmed by determining the MBC, which turned out to be the same as the
377 MIC. Tyagi and partner had already observed cell debris of *E. coli* when it was
378 treated with lemon EO [45], but in that case, normal cells were mostly observed.

379 *Raman spectroscopy*

380 The different Raman spectra obtained for BITC-treated and non-treated *E. coli* and
381 the active agent BITC can be seen in Fig. 3d. An expanded graphic with the
382 overlapped values of pure BITC and BITC-treated *E. coli* is also shown, where it can
383 be observed that the band detected at 987 cm^{-1} does not correspond to an
384 accumulation of BITC in the treated samples. Even though only eight samples for
385 each type were analysed, the results showed very low variability inside each kind of
386 sample and very clear differences between treated and nontreated samples (Table
387 2). Regarding to precision, RSD values among the same type of samples were in
388 most cases below 10 %. Student's *t* test was performed and significant differences
389 between both types of samples (treated and nontreated) were obtained, with a value
390 of $p < 0.001$. For this reason, the results can be considered representative of each
391 group of samples.



392

393 **Fig. 3** Scanning electron micrographs of *E. coli* cells. a Untreated cells; b cells after
 394 treatment with BITC with subMIC concentration; and c MIC concentration. d
 395 Normalised Raman spectra (average of eight samples) of *E. coli* from the study of
 396 antibacterial activity in liquid medium: BITC-treated (*bottom*), BITC-treated (*middle*)
 397 and pure BITC (*top*)

398 **Table 2** Statistical analysis of Raman band discuss for BITC-treated and non-treated
 399 *E. coli*, mean \pm devt

Raman band	Non-treated <i>E. coli</i>	BITC-treated <i>E. coli</i>
987 cm ⁻¹	0.098 \pm 0.010	0.999 \pm 0.002
1002 cm ⁻¹	0.180 \pm 0.017	0.078 \pm 0.001
1336 cm ⁻¹	0.206 \pm 0.019	0.032 \pm 0.000
1441 cm ⁻¹	0.287 \pm 0.021	0.166 \pm 0.002
1658 cm ⁻¹	0.234 \pm 0.019	0.140 \pm 0.001
2932 cm ⁻¹	0.992 \pm 0.006	0.344 \pm 0.002

400
 401 The biggest difference between non-treated and treated *E. coli* was the appearance
 402 of a high intensity Raman shift at 987 cm⁻¹, when bacteria were in contact with BITC
 403 (Fig. 3d), which could be due to the presence of phosphate groups [46, 47]. The
 404 presence of the phosphate band might be related to the inhibition of ATPase due to
 405 the effect of BITC. Inhibition of ATPase would produce an accumulation of phosphate
 406 and consequently the interruption of the transport chain of electrons, which could
 407 lead to an alteration of intracellular homeostasis. As a result of all these cellular
 408 modifications, alterations in the exchange of Na⁺-Ca²⁺ and in the intracellular
 409 homeostasis could take place, which could be associated with the oval size observed
 410 for treated *E. coli* in SEM microphotograph (Fig. 3b). The images seen through an
 411 optical microscope coincided with those obtained using SEM, both *E. coli* control
 412 (usual shape) and those treated under sub-MIC conditions, where oval shape was
 413 observed.

414 Furthermore, this phosphate band might be related to the release of phosphate due
 415 to the degradation of DNA and/or RNA backbones [48], and this could be
 416 corroborated by the disappearance of the shift at 1336 cm⁻¹. A number of
 417 isothiocyanates, including BITC, have been found to induce cell cycle arrest in
 418 cultured cells [44] and this fact is in agreement with the effect previously shown in the
 419 SEM microphotographs (Fig. 3b) (section “Scanning electron microscopy”),

420 where the appearance of septum on *E. coli* was observed under sub-MIC condition.
 421 The septum could be explained by the fact that the cell division could be arrested.

422 BITC might be affecting the metabolism of lipids, proteins, carbohydrates, DNA and
 423 RNA on *E.coli*, which would explain the decrease or disappearance of the shifts
 424 corresponding to these biomolecules in the treated samples such as 1002 cm⁻¹
 425 (amino acids) [49–52], 1336 cm⁻¹ (polynucleotide chains of DNA purine bases,
 426 CH₂/CH₃ wagging and twisting mode in nucleic acid and tryptophan) [50, 51, 53, 54],
 427 1441 cm⁻¹ (CH₂ deformation of lipid acyl chains) [55, 56], 1658 cm⁻¹ (C=C of lipids,
 428 n(C=C)cis of phospholipids and triglycerides) [57] and 2932 cm⁻¹ (C-H stretching
 429 band, CH₂ and CH₃ groups present in proteins, lipids, nucleic acids and
 430 carbohydrates) [28, 58–62]. Nowicki and partner showed that isothiocyanates, such

431 as allyl isothiocyanate (AITC) or benzyl isothiocyanate (BITC), inhibit *E. coli* growth.
432 The mechanism of the mode of action demonstrated by them involved the induction
433 of global bacterial stress regulatory system, and affects major cellular processes too,
434 including nucleic acids synthesis [16]. This was in concordance with the
435 disappearance of the shift corresponding to amino acids. All these results shown on
436 *E. coli* were in agreement with the effect produced by BITC on the mould *A.*
437 *ochraceus* [27]. Molecular changes observed in Raman spectra and the suggested
438 metabolic changes based on this result agree with those observed by other authors
439 using different techniques as well as with the microphotographs obtained by SEM.

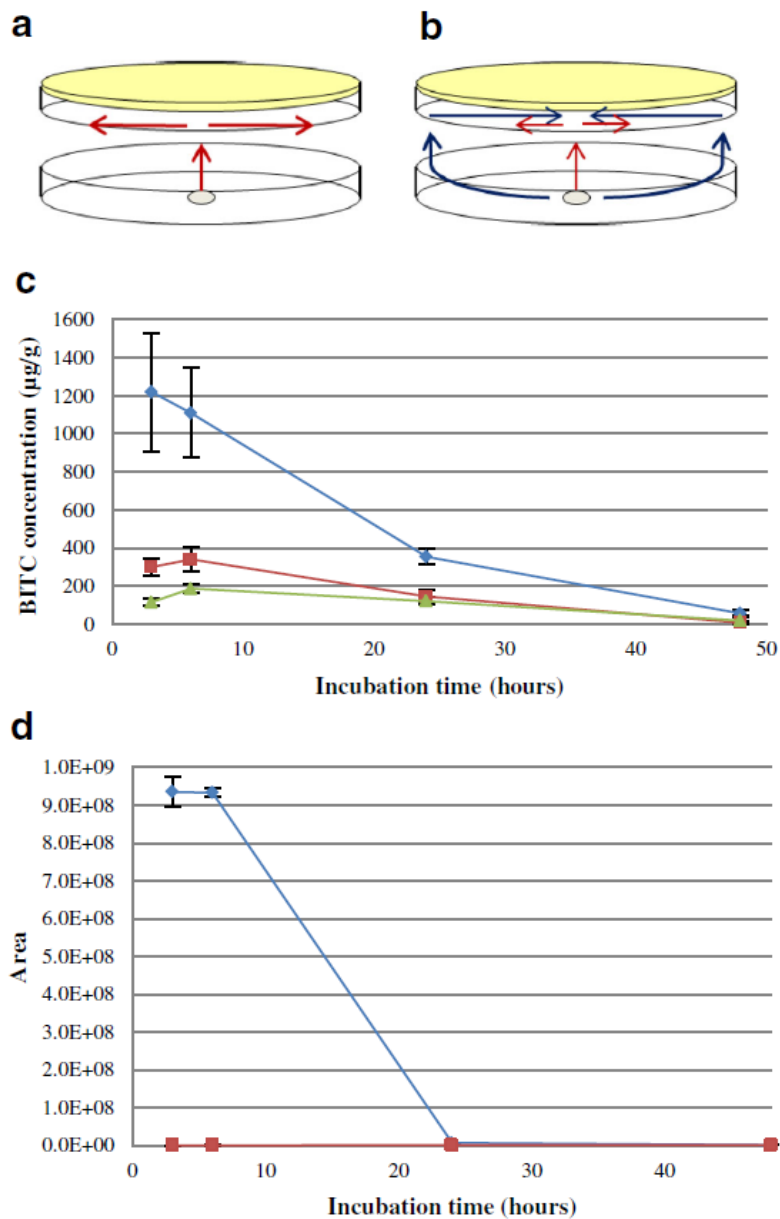
440

441 **Transfer mechanism of BITC**

442 Transfer mechanisms involve the release of BITC from a filter disk spiked with the
443 EO to the vapour phase, the partition of BITC to the agar phase and finally its
444 diffusion through the agar. This study was carried out to corroborate the presence of
445 inhibition halos in vapour diffusion assays and to know the BITC transfer and
446 diffusion mechanisms. The results help to provide a better understanding of its
447 behaviour within the active packaging developed.

448 Different transfer mechanisms have been reported in the literature depending on the
449 EO studied. For cinnamaldehyde (major component of cinnamon), the transfer
450 mechanism from the disk to the agar [63] took place from the centre to the exterior of
451 the Petri dish following a normal diffusion model (Fig. 4a). However, a convection
452 model was obtained for AITC (Fig. 4b) [11].

453 To quantify the concentration of BITC in the different zones of agar, a calibration
454 curve was built by spiking agar plugs with known amounts of BITC. Satisfactory
455 results were obtained for this calibration curve of BITC ($y = 746.34x - 22295$, $r^2 =$
456 0.9973) with linear range of 12.5– 1250 mg·kg⁻¹. Limit of quantification corresponds
457 to the lowest value of the linear range and the limit of detection was 3.75 mg·kg⁻¹.



458

459 **Fig. 4** a Scheme of normal diffusion model; b scheme of a convection transfer
 460 mechanism; c BITC concentration inside the Petri dish in the different areas after
 461 incubation at 25 °C with 1 µL of BITC (*diamond*, centre; *square*, half-radius; *triangle*, 90
 462 % ratio); d BITC concentration in the Parafilm tape (*square*) and filter disks (*diamond*)
 463 loaded with 1 µL of BITC after incubation at 25 °C during different periods of time
 464 ranging from 3 to 48 h

465 Figure 4c shows the concentration of BITC in the different zones of the Petri dish
 466 (centre, half radius and 90 % radius) at incubation times ranging from 3 to 48 h. After
 467 3 h of incubation time, the maximum concentration of BITC was found in the centre of
 468 the dish, which means that the transfer of BITC in the vapour phase occurred mainly
 469 following direct movements in a linear transfer from the disk to the centre part. After
 470 the transfer of compounds from the vapour phase to the agar, BITC diffused across

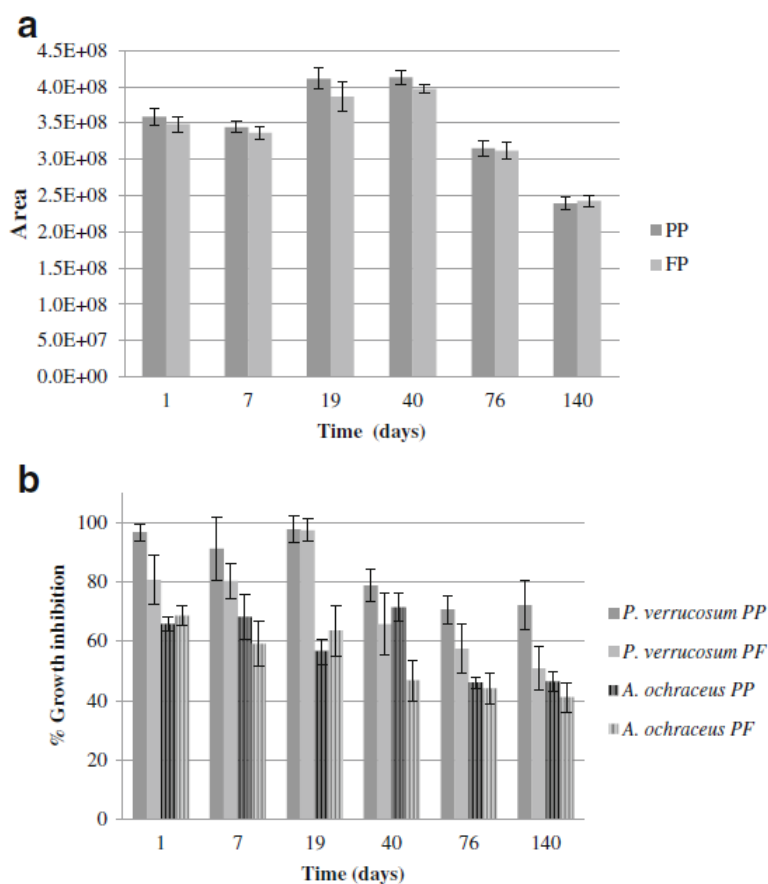
471 it, which explained that medium zone had higher concentrations than the exterior
472 zone. This distribution of BITC in the Petri dish is the same as the one previously
473 observed for cinnamon EO, but different from the one observed with AITC, although
474 both compounds have the same isothiocyanate functional group. This different
475 behaviour could be attributed to differences in their volatility (vapour pressure of AITC
476 is 3.697 mmHg at 20 °C and of BITC is 0.0491 mmHg at 25 °C according to
477 ChemSpider chemical database [64]). To sum up, the distribution of the antimicrobial
478 compound followed a gradient with the highest concentration in the centre and a
479 gradual decrease towards the exterior (Fig. 4a). These differences in the transfer
480 mechanisms between AITC and BITC make this later compound a very interesting
481 option as antimicrobial agent because it presents a similar antimicrobial activity and a
482 lower volatility. Thus, a better gradual release control is possible, which makes BITC
483 very appropriate for its incorporation in active packaging.

484 Distribution of BITC in agar after 6 h of incubation time was the same as that at 3 h
485 but at lower concentrations. When incubation time increased, concentration of BITC
486 decreased, and after 24 h, concentration in all zones was below 20 % of the
487 concentration found at 3 h of incubation time. This decrease of BITC can be
488 attributed to two possible reasons: the loss of compound through the joints of the Petri
489 dish, even though it was sealed, or the reaction of the compound with agar. In order
490 to test the first hypothesis, a 10-mm sterile blank filter disk spiked with 1 µL of BITC
491 was placed in an empty Petri dish and closed with Parafilm. Disks and Parafilm were
492 separately analysed after 3, 6, 24 and 48 h. Figure 4d shows the evolution of BITC in
493 both materials. No signal of BITC was observed in the sealing Parafilm, what
494 confirmed that there was no loss of BITC through the joints. In the case of a similar
495 experiment previously made using AITC, a high response for AITC was observed in
496 the Parafilm, what confirmed the loss of AITC through the joints due to its high
497 volatility [11]. The results from the disk spiked with BITC showed a decay of the BITC
498 signal at 24 h, confirming that 100 % of the compound was released from the disk
499 and took part in the transfer mechanism. In order to test the second hypothesis,
500 differences in the chromatographic profile (SCAN mode) of BITC overtime were
501 checked. The appearance of a new peak, identified as benzonitrile, was observed.
502 The same experiment carried out previously in our laboratory using AITC did not
503 show any difference in the chromatographic profile across the time. These results
504 indicate that chemical reaction between BITC and agar can take place during the
505 transfer experiment. To our knowledge, this reaction has been never described
506 before.

507

508 **Active packaging development**

509 According to the results obtained in the previous experiments, BITC at 8 % (w/w) was
510 selected for the development of new antimicrobial labels using FP and PP as
511 substrate materials. Figure 5a shows the intensity of the BITC signal obtained over
512 time for the two materials. In both cases, high concentrations were observed at least
513 40 days after their manufacturing. This fact is very interesting because the labels
514 could be used during long time between their manufacturing and their end use.



515

516 **Fig. 5** a Chemical evaluation over time of active labels with 8 % (w/w) of BITC using
 517 two different materials: filter paper (FP) and polypropylene (PP). b Antimicrobial
 518 activity over time of active labels made with PP and FP against *P. verrucosum* and *A.*
 519 *ochraceus*

520 In order to check possible differences due to the substrates, the antimicrobial activity
 521 of BITC labels (8 % w/w) made with FP and PP was tested against two ochratoxin A-
 522 producing moulds (*P. verrucosum* and *A. ochraceus*) [65, 66]. *P. verrucosum* was
 523 more sensitive to BITC than *A. ochraceus*, causing greater levels of growth inhibition.
 524 This behaviour was observed using either FP (Fig. 5b) or PP (Fig. 5c).

525 When comparing both substrate materials, active labels manufactured with PP
 526 showed a slightly higher antimicrobial activity against the moulds tested, although a
 527 similar pattern was observed. Furthermore, a decay of antifungal activity with time
 528 was also observed, in agreement with the chemical assessment (Fig. 5a).

529 Conclusion

530 This work has shown great differences on the antimicrobial activity among BITC,
 531 AITC and cinnamon EO. AITC and BITC exhibited stronger antimicrobial properties
 532 than cinnamon EO against foodborne pathogens, in liquid dilution by direct contact,
 533 as well as in vapour phase. Besides supporting the activity seen in the broth dilution
 534 method, the results of the vapour phase activity open a way for the future application

535 of BITC in a new antimicrobial label to be incorporated in packaging systems.
536 Screening performed for active labels showed in all cases that moulds were more
537 sensitive than bacteria, and that BITC was the active agent with the highest
538 antimicrobial activity.

539 SEM and Raman spectroscopy have been shown as powerful techniques for
540 demonstrating the mode of action of antimicrobials on microorganism cells. The
541 action mechanism for BITC on *E. coli* showed its ability to cross the bacterial
542 membrane and exert their bactericidal effect by acting on several cellular targets, but
543 no damage on the membrane was observed. BITC was accumulated in the cells,
544 where it caused alterations in essential cell components such as saccharides, amino
545 acids, proteins, lipids or enzymes, thus impacting several cellular functions such as
546 cell cycle or intracellular homeostasis.

547 The presence of inhibition halos when BITC was used in vapour diffusion was due to
548 the transfer mechanism from the disk to the agar, which took place from the centre to
549 the exterior of the Petri dish. This was demonstrated by chemical analysis of the agar
550 by HS-SPME-GC-MS.

551 Due to the type of transfer and action mechanisms, as well as its stronger
552 antimicrobial activity, BITC was incorporated in two kinds of active labels. These
553 labels were stable over the time at least 40 days and still active against two moulds
554 producing Ochratoxin A (OTA), being *P. verrucosum* more sensitive than *A.*
555 *ochraceus* to the active labels.

556

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567

568 **Compliance with ethical standards**

569

570 **Conflict of interest:** The authors declare that they do not have potential conflicts of
571 interest.

572 No human participants or animals are involved in the research

573

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