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Combining ultraviolet light and mild temperatures for the inactivation of *Escherichia coli* in orange juice

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2 ***Escherichia coli* in orange juice.**

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22 **ABSTRACT**

23 It is difficult to guarantee the effectiveness of UV technology to reach 5 Log₁₀ cycles of
24 inactivation of *Escherichia coli* in a large amount of fruit juices with high absorption
25 coefficients and turbidities, such as orange juice. The aim of this work was to overcome
26 this limitation by combining UV light and mild temperatures. UV treatments were
27 carried out in an equipment with eight individual annular thin film flow-through
28 reactors connected sequentially and submerged in a thermostatic water bath. A
29 treatment of 13.55 J/mL reached 0.25±0.04, 0.41±0.13, 0.84±0.32, 0.96±0.12,
30 2.57±0.05, 5.41±0.23, and more than 6 Log₁₀ cycles of inactivation of *E. coli* STCC
31 4201 suspended in commercial sterilized orange juice at 25.0, 40.0, 50.0, 52.5, 55.0,
32 57.5, and 60.0 °C, respectively. The comparison of UV resistance at 25 °C with heat
33 resistance at mild temperatures demonstrated a synergistic effect of both technologies
34 applied simultaneously. The maximum synergistic lethal effect was reached at 55 °C
35 (68.03%).

36 A UV light treatment (23.72 J/mL) at 55 °C (3.6 min) of freshly squeezed orange juice
37 allowed reaching more than 5 Log₁₀ cycles of inactivation of a *E. coli* cocktail (STCC
38 4201, STCC 471, ATCC 27325, ATCC 25922, and O157:H7 Chapman strain). The
39 selected treatment did not affect the pH, acidity, °Brix, and color, and decreased 16.45%
40 ascorbic acid content and 63.96% the Pectinmethylesterase activity.

41
42 **Keywords:** Pasteurization, orange juice, *Escherichia coli*, ultraviolet, heat treatment

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46 **1. Introduction**

47 The food industry has been challenged to improve the safety, stability, and convenience
48 of foods and a great effort has been made to develop more appropriate methods for food
49 preservation and sanitation. At present, it can be foreseen that none of the new
50 technologies under study will replace traditional preserving procedures for a wide range
51 of products. However, each one shows particular advantages that may make it the most
52 adequate technology for a certain product or process.

53 Among the nonthermal technologies developed in the last few decades, ultraviolet (UV)
54 light irradiation is one of the most promising because it is easy to use and lethal to most
55 microorganisms (Bintsis et al., 2000), it does not generate chemical residues (Guerrero-
56 Beltrán, 2004), and it is a dry cold process (Bachmann, 1975) that can be effective at
57 low cost in comparison with other preservation methods (Geveke, 2005).

58 The wavelength range for UV light for food processing varies from 100 to 400 nm and
59 is categorized as UV-A (320-400 nm), UV-B (280-320), and UV-C (200-280 nm).

60 Short-wave UV radiation (UV-C) is considered the germicidal region lethal to most
61 types of microorganisms (Bintsis et al., 2000; Sizer and Balasubramaniam, 1999). In
62 this range, the maximum efficacy is best achieved between 250 and 270 nm, and
63 decreases as wavelength increases. UV-C light of 254 nm, generated by low-pressure
64 mercury lamps, is usually used for disinfection purposes.

65 The use of UV light is well established for air and water disinfection, but its use for the
66 pasteurization of liquid foods is still limited. Evidence has demonstrated that UV
67 technology is a promising alternative to thermal pasteurization for a range of liquid
68 foods. In fact, the National Advisory Committee on Microbiological Criteria for Foods
69 of the USDA (NACMCF) revised the definition of “pasteurization” in 2004 and
70 included UV irradiation as an alternative to heat that can be used for pasteurization

71 purposes. However, the bactericidal efficacy of UV light depends on the optical
72 properties of the treatment medium. The penetration of UV radiation depends on the
73 absorptivity of the liquid, which varies with the color of compounds and the amount of
74 soluble and/or suspended solids (Koutchma et al., 2004). The penetration depth also
75 depends on the turbidity of the media. Suspended solids increase the absorptivity but are
76 also responsible for reflection and scattering phenomena (Koutchma et al., 2004;
77 Liltved and Cripps, 1999). As a consequence of these phenomena, UV light only
78 penetrates a very short depth inside the liquid food surface. For example, it has been
79 estimated that 90% of the radiation is absorbed in about 1.1 cm depth of wine, 0.63 cm
80 of beer, 0.67 cm of clear apple juice, and 0.1 cm in orange juice (Koutchma, 2009). This
81 explains the wide range of variation of Log_{10} cycles of bacterial inactivation obtained
82 when microorganisms are suspended in liquid foods. Overall, published data
83 demonstrated that it is difficult to guarantee the effectiveness of UV technology to reach
84 5 Log_{10} cycles of bacterial inactivation in a large amount of fruit juices with high
85 absorption coefficients and turbidities.

86 To overcome the limitations of non-thermal methods of food preservation, new
87 processes have been designed by combining several technologies applied at lower
88 intensities, but with equivalent or even higher degrees of stability and safety. Today
89 some combinations with UV light have been explored with pasteurization purposes. It
90 has been demonstrated that UV light followed by pulsed electric fields (PEF) treatments
91 have an additive effect (Gachovska, 2008; Noci et al., 2008). Also Walkling-Ribeiro
92 (2008) found an additive effect when processing apple juice by a hurdle sequence
93 including UV light, pre-heating, and PEF. More promising is the combination of UV,
94 laser, and microwave radiation or conventional heating applied successively, because
95 they act synergistically (Maktabi et al., 2011). The simultaneous application of heat at

96 sublethal temperatures and non-thermal technologies, such as high hydrostatic
97 pressures, ultrasounds, and PEF have been thoroughly investigated (Raso and Barbosa-
98 Canovas, 2003). Surprisingly there are very scarce data in literature about the lethal
99 effect of UV light at midrange temperatures. Previously, Geveke et al. (2008) indicated
100 the improvement of UV lethal effect at mid-temperatures (40-50 °C) in liquid egg white.
101 Ukuku and Geveke (2010) investigated a combined treatment of UV light and radio
102 frequency electric field for the inactivation of *E. coli* in apple juice. From their results it
103 could be deduced that the inactivating effect of UV light increased with temperature in
104 the range of 20 to 40 °C. More recently, Gayán et al. (2011) demonstrated that the
105 temperature barely changed the UV resistance of *E. coli* up to 50.0 °C. Above this
106 threshold value inactivation rates due to the combined process synergistically increased
107 up to 60.0 °C. This data, obtained in laboratory media, suggested the possibility of
108 design-combined processes for the pasteurization of fruit juices with high absorption
109 coefficients.

110 The objective of this investigation was 1) to determinate the lethal effect of UV-C
111 treatments at mild temperatures on the UV-C resistant *E. coli* STCC 4201 strain
112 suspended in sterilized orange juice; 2) to establish the optimum temperature for the
113 combined process; and 3) to evaluate the effect of the optimized treatments on a cocktail
114 of five strains of *E. coli* on fresh squeezed orange juice and on the quality of the juice.
115 Orange juice was selected as treatment media because of its high absorption coefficient.

116

117 **2. Materials and methods**

118 **2.1. Bacterial culture and media**

119 The strains of *E. coli* STCC 4201 and STCC 471 were provided by the Spanish Type
120 Culture Collection (STCC). The strain of *E. coli* ATCC 25922 and ATCC 27325 was

121 provided by the American Type Culture Collection (ATCC). The strain *E. coli* O157:H7
122 used in this investigation is a VTEC- (Phage type 34) isolated by Dr. Chapman
123 (Chapman et al., 1993). The bacterial cultures were frozen at -80 °C in cryovials.
124 Stationary-phase cultures were prepared by inoculating 10 mL of tryptone soy broth
125 (Biolife, Milan, Italy) supplemented with 0.6% (w/v) yeast extract (Biolife) (TSBYE)
126 with a loopful of growth from tryptone soy agar (Biolife) supplemented with 0.6%
127 (w/v) yeast extract (TSAYE). The precultures were incubated at 35 °C for 6 h, in a
128 shaking incubator. 50 µL of the precultures were inoculated into 50 mL of fresh TSBYE
129 and incubated for 24 h under the same conditions, which resulted in stationary-phase
130 cultures containing approximately 2×10^9 CFU/mL.

131 **2.2. Treatment media**

132 To determinate the lethal effect of UV-C treatments at mild temperatures on *E. coli*
133 STCC 4201, and to establish the optimum temperature for the combined process,
134 commercial sterilized orange juice was used as treatment media. The effect of the
135 optimized treatments on a cocktail of five strains of *E. coli* and on the quality of the
136 juice was evaluated with fresh squeezed orange juice.

137 Sterilized orange juice (García Carrion S.A., Spain) was purchased from a local market.
138 It showed an absorption coefficient of 81.10 cm^{-1} , and a turbidity of 4,460 nefelometric
139 turbidity units (NTU). Fresh squeezed orange juice was prepared by squeezing (Zumex,
140 versatile 230 V, Valencia, Spain) Valencia variety orange fruits, and filtering the juice
141 through a stainless filter with net square holes of 1 mm^2 . The filtered juice showed an
142 absorption coefficient of 51.52 cm^{-1} and a turbidity of 3,075 NTU)

143

144

145

146 2.3. UV treatments

147 UV treatments were carried out in the equipment previously described (Gayán et al.,
148 2011). The whole system consisted of eight individual annular thin film flow-through
149 reactors connected sequentially. Each reactor included a low pressure UV lamp (TUV
150 8WT5, Philips, U.S.A.) with a length of 282.3 mm and 8 W of total power, emitting
151 90% of energy at a wavelength of 254 nm, fixed at the axis of an outer glass tube (25
152 mm of inner diameter) and enclosed by a quartz tube (20 mm of outer diameter) to
153 prevent direct contact of the lamp with the treatment medium. In the annular gap (2.5
154 mm) a stainless steel coil spring was installed to improve the turbulence of the flow.
155 Outside and inside coil diameters of the spring were 23 and 25 mm, respectively, and its
156 length and pitch were 270 mm and 10 mm, respectively. The equipment also included a
157 feed tank, a peristaltic pump (Ismatec, mod. ISM 10785, Glattbrugg, Switzerland), a
158 heating/cooling coil exchanger and extraction valves at the outlet of each reactor. The
159 circuit and reactors were submerged in a 90 L water bath heated at different
160 temperatures (25, 40, 50, 52.5, 55, 57.5 or 60 °C) by the circulating water of a
161 peripheral thermostatic bath (Huber, mod. Kattebad K12, Offenburg, Germany). Two
162 thermocouples (Almemo, mod. ZA 020-FS, Bernburg, Germany) fitted to the input of
163 the first and the outlet of the last reactor allowed the control of the treatment medium
164 temperature.

165 The applied doses were calculated dividing the radiant power (W) by the flow rate (Q)
166 as it has been previously described (Geveke 2005; Keyser et al. 2008). The flow rate
167 was kept constant at 8.5 L/h. Previous experiments demonstrated that above this value,
168 the efficacy of the treatments was hardly affected by the flow rate (Gayán et al., 2011).
169 With this flow rate the mean residence time in the equipment was 3.6 min. When heat
170 and UV treatments were compared, the UV treatment times were calculated dividing the

171 mean residence time (3.6 min.) by 8 (number of reactors) and multiplying by the
172 number of reactors that the sample goes through before it was removed. The UV applied
173 dose at the outlet of successive reactors was: 3.4, 6.8, 10.2, 13.5, 16.9, 20.3, 23.7 and
174 27.1 J/mL respectively.

175 The medium to be treated was added with the bacterial suspension to achieve 10^7 - 10^8
176 CFU/mL and pumped through the heat exchanger to the reactors. When the treatment
177 conditions were stabilized, samples were withdrawn through the sampling valves at the
178 outlet of each reactor and 0.1 mL or 1 mL was immediately pour plated in the recovery
179 media.

180

181 **2.4 Heat treatments**

182 Heat treatments were carried out in a specially designed resistometer (Condón et al.,
183 1993). The thermoresistometer TR-SC is a mixing method designed for studying heat
184 inactivation kinetics by the multipoint method. Briefly, the instrument consists of a 400
185 mL vessel provided with an electrical heater for thermostation, an agitation device to
186 ensure inocula distribution and temperature homogeneity, and ports for injecting the
187 microbial suspension and for the extraction of samples. 350 mL of orange juice were
188 placed in the vessel of the TR-SC and heating was turned on. Once the juice reached the
189 preset temperature ($T \pm 0.05$ °C) (56, 58, 60 and 62 °C), it was inoculated with 0.2 mL of
190 an adequately diluted microbial cell suspension. After inoculation, 0.2 mL samples were
191 collected at different heating times and immediately pour plated. Before inoculation
192 bacterial cells were pre-adapted to the heating media by suspending 0.1 mL of grown
193 culture into 0.9 mL of orange juice and incubating for 15 min at 20 °C. Previous
194 experiments demonstrated that longer incubation times did not modify *E. coli* heat

195 resistance (data not shown). All determinations were performed at least three times on
196 independent working days.

197

198 **2.5 Incubation of treated samples and survival counting**

199 Tryptone Soy Agar (Biolife) supplemented with 0.6% of yeast extract (TSAYE) was
200 used as recovery medium. Samples of 0.1 and 1 mL were pour-plated in TSAYE and
201 then incubated for 24 h at 35 °C. Longer incubation times did not change the profile of
202 survival curves. After incubation, colony forming units (CFU) were counted with an
203 improved Image Analyzer Automatic Colony Counter (Protos, Synoptics, Cambridge,
204 UK), as described elsewhere (Condón et al., 1987).

205

206 **2.6 Resistance parameters**

207 Survival curves to UV-C treatments were obtained by plotting the logarithm of the
208 survival fraction *versus* applied doses, expressed in J/mL, and to heat *versus* treatment
209 times in min. To fit survival curves and to calculate resistance parameters the Geeraerd
210 and Van Impe inactivation model-fitting tool (GInaFiT) was used (Geeraerd et al.,
211 2005). As survival curves obtained in this investigation did not show tails but rather
212 shoulders, the log linear regression plus shoulder model (Geeraerd et al., 2000) was
213 used with the equation:

$$214 \quad N(d) = N(0) \cdot e^{-K_{max} \cdot Sl} \cdot \left(\frac{e^{-K_{max} \cdot d}}{1 + (e^{-K_{max} \cdot Sl} - 1) \cdot e^{-K_{max} \cdot d}} \right) \quad (1)$$

215 Where $N(d)$ represents the number of survivors, $N(0)$ the initial count, d the applied
216 dose for UV and time for heat treatments, Sl the shoulder length and K_{max} the first order
217 inactivation constant.

218 This model describes the survival curves through two parameters: the shoulder length
219 (Sl) or dose before the exponential inactivation begins and the inactivation rate (K_{max}),

220 defined as the slope of the exponential portion of the survival curve. Therefore, the
221 traditional decimal reduction time value (D) can be calculated from the K_{max} parameter
222 by the equation: $D = 2.303/K_{max}$. Thermal death time curves were obtained by plotting
223 the Log_{10} 4D values vs treatment temperatures, and z values ($^{\circ}\text{C}$ increase to 4D values
224 decrease ten times) were calculated from the slope of the regression line. Statistical
225 analyses (ANOVA and Student's t -tests) were carried out with the GraphPad PRISM[®]
226 software (GraphPad Software, Inc., San Diego, Calif., U.S.A.). Error bars in figures
227 correspond to the mean standard deviation.

228 **2.7 Analytical measurements**

229 *2.7.1 Absorption coefficient, turbidity, pH, and °Brix*

230 Absorbance of orange juice was measured at 254 nm using a Unicam UV500
231 spectrophotometer (Unicam Limited, Cambridge, UK). Sample solutions were diluted
232 and evaluated using quartz cuvettes (Hellma, Müllheim, Germany) with path lengths of
233 1, 2, and 10 mm. The absorption coefficient of the sample solution was determined by
234 the slope of the absorbance vs. path length correcting the dilution factor.

235 Turbidity was measured using a HI 83749 nephelometer (Hanna Instrument, Szeged,
236 Hungary). The instrument automatically provides the nephelometric turbidity units
237 (NTU) from lectures of scattered (90°) and transmitted light (180°) detectors with a
238 sample of 10 mL. Measurements were carried out following manufacturer's
239 instructions.

240 The pH measurements of orange juice (20 ± 1 $^{\circ}\text{C}$) were performed with a pHmeter
241 (Basic 20 pH meter; Crison Instrument, Barcelona, Spain) with a glass electrode
242 (Crison) and calibrated before measurement at pH 4 and 7.

243 The °Brix of centrifuged samples of orange juice (10 min at $360 \times g$) was measured by a
244 digital hand-held refractometer at 20 ± 1 $^{\circ}\text{C}$ (PR-101, Atago, Tokyo, Japan).

245

246 *2.7.2 Color measurement*

247 Color measurements were carried out as described by Martínez Verdú (2001). For
 248 image acquisition, an HP G 4010 Scanjet scanner was used and the images were
 249 processed using Matrox Inspector 8.0[®] software (Stuttgart, Germany). Color calibration
 250 was carried out with the HP scanner/Matrox combination, using the 300 Natural Color
 251 System (NCS), which make up the Spanish Color Norm (UNE 48-103-94), and CIE
 252 1976 (L^* , a^* , b^*) color space (CIELAB) was performed. The redness (a^*), yellowness
 253 (b^*) and lightness (L^*) were measured in triplicate. Color difference (ΔE) was
 254 calculated from L^* , a^* , b^* parameters, using Hunter-Scottfield's equation:

$$255 \Delta E_{ab} = \sqrt{(\Delta L)^2 + (\Delta a)^2 + (\Delta b)^2} \quad (2)$$

256 Where $a = a - a_0$, $b = b - b_0$ and $L = L - L_0$. The subscript '0' indicates initial color, and the y-
 257 intercept of linear regression models was taken for this number. Depending on the value
 258 of ΔE the development in color difference with treatment could be estimated as not
 259 noticeable (0-0.5), slightly noticeable (0.5-1.5), noticeable (1.5-3.0), well visible (3.0-
 260 6.0), and greatly different (6.0-12.0) (Walkling-Ribeiro et al.; 2009)

261

262 *2.7.3 Pectinmethylesterase Analysis*

263 The Pectinmethylesterase (*PME*) activity in the orange juice was determined, as
 264 described by Kimball (1999), by monitoring the release of acid during pectin hydrolysis
 265 as a function of time at pH 7.75 and 20 ± 1 °C. The reaction mixture consisted of 5 mL
 266 orange juice sample and 100 mL of a 1% (w/v) citric pectin solution (Sigma-Aldrich,
 267 St. Louis, USA) containing 0.15 M NaCl (Panreac, Barcelona, Spain). After mixing
 268 components, the pH was quickly adjusted to pH 7.75 and the pH was maintained
 269 constant by addition of 0.01 M NaOH. The enzyme activity was related directly to the

270 amount of NaOH added per minute. One *PME* unit (*PMEU*) was defined as the number
 271 of mL 0.01 M NaOH needed per minute to maintain constancy of the initial pH (Eq. 3).
 272 The relative *PME* activity was calculated according Eq. 4 (Kimball, 1999). The *PME*
 273 activity of each sample was measured in triplicate.

$$274 \quad \text{PMEU} = \frac{(\text{mL NaOH } 0.01\text{M})}{(\text{5 mL sample})(\text{time [min]})} \quad (3)$$

$$275 \quad \text{Relative PME activity (\%)} = \frac{\text{PMEU of treated juice}}{\text{PMEU of untreated}} \times 100 \quad (4)$$

276

277 2.7.4 Ascorbic Acid Retention

278 Ascorbic acid (*AA*) content was measured using AOAC's official 2, 6-dichloindophenol
 279 titration procedure (AOAC, 1990b). To summarize, 10 mL of orange juice was mixed
 280 with 50 mL of 5% acetic acid (Panreac, Barcelona, Spain) as a stabilizing agent and
 281 diluted to 100 mL. The mixture was titrated with 2, 6-dichloindophenol solution until a
 282 faint pink color appeared and persisted for 15 s. The *AA* content of the samples were
 283 calculated by interpolation in a calibration curve previously obtained with several
 284 solutions of pure *AA* (AnalaR Normapur, Leuven, Belgium) in 5% acetic acid solution.
 285 *AA* retention of treated samples was calculated using the equation:

$$286 \quad \text{Relative AA (\%)} = \frac{(\text{AA treated sample})}{(\text{AA untreated sample})} \times 100 \quad (5)$$

287

288 2.7.5 Acidity determination

289 The acidity (*A*%) of treated and untreated orange juice was determined following the
 290 official method described by AOAC (1990a). This is a titration method that use
 291 phenolphthalein as indicator. In brief, two mL of orange juice were titrated with 0.1 N
 292 NaOH solution until the point when the indicator changed from colorless to pink and

293 the change persisted for 15 s. Results were expressed as citric acid concentration in 100
 294 mL of orange juice, so that 1 mL 0.1 N NaOH is equivalent to 0.0064 g citric acid.

$$295 \quad A\% = \frac{\text{mL NaOH} \times 0.0064 \times 100 \text{ mL orange juice}}{2 \text{ mL sample}} \quad (6)$$

296 All analytical assays were performed in triplicate.

297

298 **3 Results and discussion**

299 In this investigation, the lethal effect of UV radiation, for different times at several
 300 temperatures, on *E. coli* STCC 4201 suspended in sterilized commercial orange juice
 301 was determined. For comparison purposes, heat resistance of this strain was also
 302 performed in the same media. Survival curves of the UV-mild temperatures combined
 303 process (UV-H) were fitted with Geeraerd et al.'s model to estimate UV resistance
 304 parameters. From data obtained with the model the process parameters for the
 305 pasteurization of orange juice was optimized. The effect of this treatment on a pool of
 306 five strains of *E. coli* as well as on the content of AA, PME activity, and other
 307 physicochemical characteristics were evaluated in fresh squeezed orange juice.

308

309 **3.1. UV and heat resistance of *E. coli* in orange juice**

310 The *E. coli* strain STCC 4201 was used to evaluate the UV, heat and the combined
 311 treatment (UV-H) in sterilized commercial orange juice since it was the most UV
 312 resistant strain of the five *E. coli* strains previously studied (Gayán et al., 2011).

313 Survival curves were constructed by drawing the survival fractions *versus* the applied
 314 dose. Traditionally UV dose is expressed as the energy supplied per unit area
 315 multiplying the irradiance (W/cm²) by the exposure time. In continuous flow reactors it
 316 can also be expressed in volume units (J/mL). The latter approach is useful to directly
 317 compare the energetic efficiency of the process with other technologies (Geveke, 2005;

318 Müller et al., 2011). Figure 1 shows the survival curves of *E. coli* STCC 4201 treated by
319 UV light at different temperatures. As shown in the figure, some survival curves did not
320 follow a logarithmic order of death. Some authors concluded from their work that the
321 number of survivors of UV light treatment may be an exponential function of time
322 (Franz et al., 2009; Oteiza et al., 2010), but many published survival curves to UV light
323 present shoulder and tails (Quintero-Ramos et al., 2004; Unluturk et al., 2010). In this
324 investigation concave downward survival curves were obtained. Also other authors
325 (Hoyer, 1998; Tran and Farid, 2004) have observed no microbial inactivation at low UV
326 doses followed by a normal log-linear relationship at higher UV doses. These shoulders
327 have been related to damage and repair phenomena (Koutchma, 2009). DNA absorbs
328 UV light photons resulting in cross-linking between the neighboring pyrimidine
329 nucleoside bases (thymine and cytosine) in the same DNA strand and thereby causing
330 delay of reproduction or cell death (Bachmann, 1975; Sizer and Balasubramaniam,
331 1999). However, the damage occurring at the DNA level can be repaired up to a level if
332 the recovery conditions are adequate (Liltved and Landfald, 1996). In a previous work
333 (Gayan et al., 2011), it was demonstrated that the shoulder of survival curves of this
334 strain increased when a photoreactivation step was included previous to culture of
335 survivors.

336 There are several mathematical approaches to describe non-linear inactivation kinetics
337 (Mafart et al., 2002; Peleg and Cole, 1998). In this investigation the Log-linear
338 regression plus shoulder model (Geeraerd et al., 2000) was used because it allowed
339 describing independently the shoulders and the Log-linear section of inactivation. The
340 fitting of the survival curves was carried out with the GInaFiT model-fitting tool
341 (Geeraerd et al., 2005) that automatically provides the resistance parameters as well as
342 the treatment time needed to inactivate 99.99% of the initial cell population ($4D$)

parameter). The use of the Log-linear plus shoulder model allowed to directly compare results with others previously published (Gayán et al., 2011). Table 1 shows the averages and standard deviations of the UV light resistance parameters calculated with Geeraerd et al.'s model for *E. coli* STCC 4201 suspended in sterilized orange juice and treated at different temperatures. Table 1 includes the coefficient of determination (R^2) and the root mean square error ($RMSE$) values from the fitting, the rate of the log phase inactivation (K_{max}), the shoulder length (SI) and, for comparison purposes, the $4D$ parameter. As observed in Table 1, shoulder lengths of survival curves decreased as increasing treatment temperature. Some authors had correlated the shoulders with the damage repair mechanisms (Koutchma, 2009). Therefore results would indicate that damage repair capability of UV treated cells would be lower as treatment temperature increases, or that DNA damages are greater at higher temperatures. On the contrary, the inaction rate (K_{max}) increased with temperature. In summary, the overall lethal effect of UV light treatments drastically increased with temperature. Whereas the highest UV dose possible to apply with the equipment (27.10 J/mL) hardly decreases 0.64±0.04 Log_{10} cycles the population of *E. coli* STCC 4201 at 25.0 °C, the same doses allowed achieving more than 6 Log cycles of inactivation at 55.0 °C (Figure 1). In other words, a treatment of 13.55 J/mL reached 0.25±0.04, 0.41±0.13, 0.84±0.32, 0.96±0.12, 2.57±0.05, 3.41±0.23 and more than 6 Log_{10} cycles of inactivation at 25.0, 40.0, 50.0, 52.5, 55.0, 57.5, and 60.0 °C, respectively (Figure 1). There are few data in literature about the microbial UV resistance in orange juice, probably due to its high absorption coefficient. Koutchma et al. (2007) achieved at room temperature 3.1±0.06 Log_{10} cycles of *E. coli* inactivation in a model juice solution with a similar absorption coefficient than orange juice (48 cm^{-1}) after 3 passes (12.38 J/mL) in a UV coiled tube reactor under turbulent conditions. These results are far from the 5 Log_{10} cycles of inactivation

368 required by the Food and Drug Administration (FDA) juice production regulation
369 (Anonymous, 2001).

370 The improvement of UV lethal effect at mild temperatures has been previously shown
371 by Geveke (2008) in liquid egg white. The population of *E. coli* in egg white was
372 reduced by $1.63 \pm 0.10 \text{ Log}_{10}$ cycles at a temperature of $30 \text{ }^{\circ}\text{C}$, whereas 2.32 ± 0.07 and
373 $2.48 \pm 0.41 \text{ Log}_{10}$ reductions were achieved when the temperature was raised to 40 and
374 $50 \text{ }^{\circ}\text{C}$ respectively, with a UV processing energy of 44 J/mL . Ukuku and Geveke (2010)
375 developed a combined treatment of UV light and radio frequency electric field (20 - 40
376 $^{\circ}\text{C}$) for the inactivation of *E. coli* in apple juice, showing that UV light treatment
377 reached 5.8 Log_{10} reductions, while the combined treatment allowed to achieve 6.2
378 Log_{10} reductions. These results are in agreement with those observations, although the
379 direct comparisons of data are risky because the treatment medium and the equipment
380 used strongly determine the results (Koutchma et al., 2004).

381 To differentiate the contribution of heat to the whole lethal effect, the thermal resistance
382 of *E. coli* STCC 4201 in orange juice was also determinate. Survival curves were fitted
383 with the Geeraerd et al.'s model (2000) and heat resistance parameters were calculated.
384 Table 2 shows the averages and standard deviation of the inactivation rate (K_{max}), the
385 shoulder length (SI), and the $4D$ parameter. In a further step it was compared the
386 inactivation parameters for UV light and for thermal treatments at different
387 temperatures. Figure 2 shows the relationship between $4D$ parameter and the treatment
388 temperature for both treatments. For UV-H treatments, a concave downward profile was
389 obtained which means that the lethality of UV light hardly changed below $40 \text{ }^{\circ}\text{C}$, and
390 above this threshold quickly decreased with temperature. For heat treatments, an
391 exponential relationship between $4D$ values and temperature was found (Log
392 $4D = 0.221T - 11.52$, $R^2 = 0.995$) as expected. From this thermal death time curve, a z

393 value of 4.51 ± 0.23 °C was deduced. Therefore, an increase in temperature of 4.51 °C
394 was necessary to reduce the $4D$ value by ten times. Similarly, Mazzotta et al. (2001)
395 determined an average z value of 4.8 °C for *E. coli* O157:H7 in orange juice.

396

397 **3.2. Lethal effect of the UV-H combined treatments**

398 In order to determine whether lethal effect of the combined UV-H treatments were due
399 to an additive (the lethality of the combined process was the sum of the inactivation
400 rates of heat and UV light treatments acting simultaneously but individually) or to a
401 synergistic effect (the lethality of the combined process was higher than the sum of
402 lethality of individual treatments), survival curves to UV at room temperature, to heat
403 and to the UV-H treatments at the same temperature were compared (Figure 3). As it is
404 shown, while UV at room temperature and thermal inactivation at 50.0 °C, 52.5 °C, and
405 55.0 °C for 3.6 min was negligible (0.05, 0.08, and 0.61 Log₁₀ cycles, respectively), the
406 UV-H at the same temperatures, reduced 2.16, 3.01, and more than 6 Log₁₀ cycles,
407 respectively. This demonstrated a synergistic effect of both technologies acting
408 simultaneously. Above 55.0 °C, the lethality of thermal treatments exponentially
409 increased with temperature and differences among survival curves to heat and the
410 combined process tended to disappear. This phenomenon can be clearly observed in
411 Figure 2. From these results, it was concluded that the lethality of the combined process
412 was the result of a synergistic effect which magnitude was thermodependant among
413 50.0-60.0 °C. Therefore, it was necessary to optimise the treatment temperature to take
414 full advantage of the combined process.

415 For the optimization of the combined treatment, percent synergism for each temperature
416 was calculated comparing the experimental and the theoretical $4D$ values, with the
417 equation:

$$418 \quad \% \text{ Synergism} = \frac{\text{Theoretical } 4D \text{ value} - \text{Experimental } 4D \text{ value}}{\text{Theoretical } 4D \text{ value}} \times 100 \quad (7)$$

419 Theoretical $4D$ values were calculated, by assuming an additive effect, with the
420 equation proposed by Raso et al. (1998):

$$421 \quad \text{Theoretical } 4D_{UV-H} = \frac{(4D_H \times 4D_{UV})}{(4D_H + 4D_{UV})} \quad (8)$$

422 where $4D_H$, and $4D_{UV}$ values were obtained from the fit of the inactivation curves for
423 the thermal and UV light treatments, respectively.

424 The magnitude of the synergism at different temperatures can be observed in Figure 4.

425 As it is demonstrated by the figure, the synergism increased with temperature up to 55.0
426 °C (68.03%) decreasing further away.

427

428 **3.3. Effect of optimized UV-H treatment in fresh squeezed orange juice**

429 Several authors have reported significant differences in UV resistance between *E. coli*
430 strains in water systems (Sommer et al., 2000), laboratory media (Gayán et al., 2011)
431 and fruit juices (Basaran et al., 2004; Oteiza et al., 2010). Therefore, the use of a single
432 strain for the determination of a specific dose for a given log reduction is risky (Oteiza
433 et al.; 2010). The Scientific Advisory Panel of the Environmental Protection Agency
434 (EPA) specifically recommended the testing of five outbreak-related strains in a cocktail
435 for each pathogen (Anonymous, 1997). Therefore, the lethal effect of UV-H treatment
436 on a cocktail of five *E. coli* strains (*E. coli* STCC 4201, STCC 471, ATCC 27325,
437 ATCC 25922, and O157:H7) inoculated in fresh squeezed orange juice was performed
438 to validate the designed combined treatment. Figure 5 shows the survival curves of the
439 cocktail in fresh squeezed orange juice treated by UV-H at 55.0 °C. Survival curves of
440 *E. coli* STCC 4201 in commercial orange juice have also been included for comparison
441 purposes. As observed, both curves overlapped each other and no statistically
442 significant differences ($p > 0.05$) were found between both SI (2.4 J/mL) and K_{max} (0.6

443 mL/J) values. Overall results demonstrated that a UV treatment of 23.72 J/mL at 55.0
444 °C allowed reaching more than 5 Log₁₀ cycles of inactivation of the *E. coli* cocktail in
445 fresh squeezed orange juice.

446 The loss of juice quality and nutritional properties during the processing has become an
447 important issue due to the increase consumer's demand for fresh food products.

448 Therefore the impact of UV-H combined treatment at 55.0 °C on physico-chemical
449 properties (*pH*, °*Brix*, %*A*, ΔE), *AA* content, and *PME* activity of natural orange juice
450 was evaluated. For this purpose, measurements were carried out in untreated samples as
451 controls and thermal and UV-H treated fresh squeezed orange juice. Thermal treatments
452 were performed in the same installation tempered at 55.0 °C with off UV lamps. Results
453 are included in Table 3.

454 Statistical analysis of the results demonstrated that there were no significant differences
455 ($p > 0.05$) between *pH*, °*Brix*, and %*A* values of the three samples. The total color
456 differences (ΔE) of treated samples with the control were 0.23 and 0.07, which were
457 considered to be "not noticeable" changes according to Walkling–Ribeiro et al. (2009).

458 Regarding *AA* loss, the results showed that *AA* was degraded 16.45±0.77% with the UV-
459 H treatment. This loss is believed to be mainly due to UV light because no *AA*
460 destruction was reported in the heat treatment (Table 3). Furthermore the effect of air
461 oxidation of *AA* was measured passing orange juice through the installation at 25.0 °C
462 with off UV light lamps and it was observed that the *AA* destruction due to air oxidation
463 was negligible (data not shown). UV light is known to generate free radicals through a
464 wide variety of photochemical reactions, which can damage vitamins (Koutchma,
465 2009a). Overall, the percentage of degradation by the combined process was similar to
466 those observed by other authors after UV light at room temperature treatments
467 (Torkamani and Niakousari, 2011; Tran and Farid, 2004).

468 The percentage of *PME* inactivation by the combined and the thermal treatment were
469 $63.96 \pm 5.07\%$ and $47.84 \pm 9.15\%$, respectively. These values suggested that enzyme
470 inactivation was mainly due to heat. There are few studies about the effect of UV
471 radiation on *PME* enzyme. Tran et al. (2004) obtained an inactivation of 5% when juice
472 was treated with 73.8 mJ/cm^2 , and Torkamani and Niakousari (2011) an inactivation of
473 the 8% with the same UV dose. The loss of *PME* activity by the proposed UV-H
474 process was lower than if reached by the traditional thermal sterilization processes (90
475 °C for 60 sec), that usually pursue the 99.9% loss of *PME* activity (Eagerman, 1976),
476 but it is similar to industrial conditions for heat pasteurization of premium juices (70 °C
477 for 2 sec) which reduced by 70 % the enzyme activity (Tran and Farid, 2004).
478 Timmermans et al. (2011) demonstrated that thermal treatments at 72 °C for 20 sec,
479 ultrahigh pressure treatments at 600 MPa for 1 min and high electric field pulses of 76
480 J/mL at 23 kV/cm reached *PME* inactivation levels in freshly squeezed orange juice of
481 85%, 92% and 34% respectively. Therefore the combined process of UV light at mild
482 temperatures could be a realistic alternative to traditional thermal and proposed non-
483 thermal methods for pasteurization of orange juice.

484

485 **4. Conclusions**

486 UV light is a promising technology for the pasteurization of liquid foods. However, the
487 high absorption coefficient of some of them can impair its industrial application. The
488 combination of UV light and mild temperatures synergistically increases the efficacy of
489 the treatment for the inactivation of *E. coli* in orange juice. A UV treatment of 27.10
490 J/mL at 55.0 °C allowed reaching more than five Log_{10} reductions of a cocktail of five
491 strains of *E. coli*. The treatment does not change the physico-chemical properties or the

492 juice colour. The loss of ascorbic acid was similar to juice UV treated at room
493 temperature (16.45%), but the decrease of PME activity was much higher (63.96%).

494

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501

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Figure 1

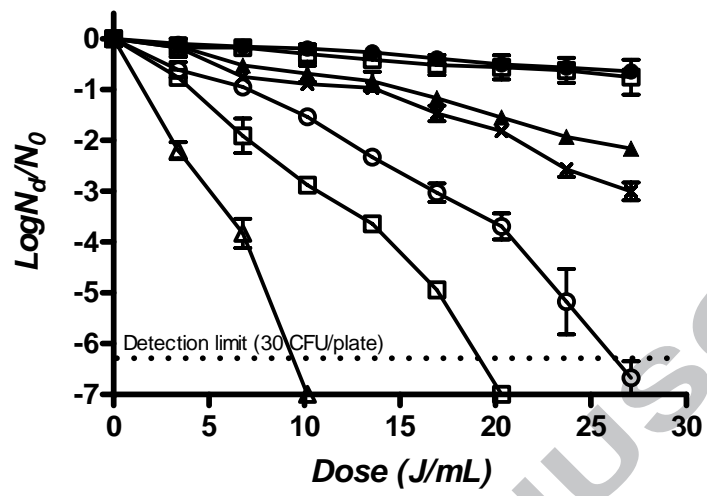


Figure 2

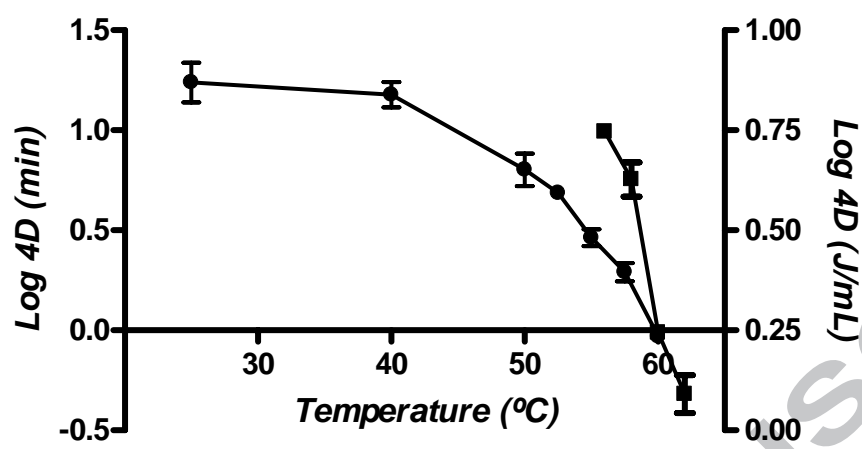


Figure 3

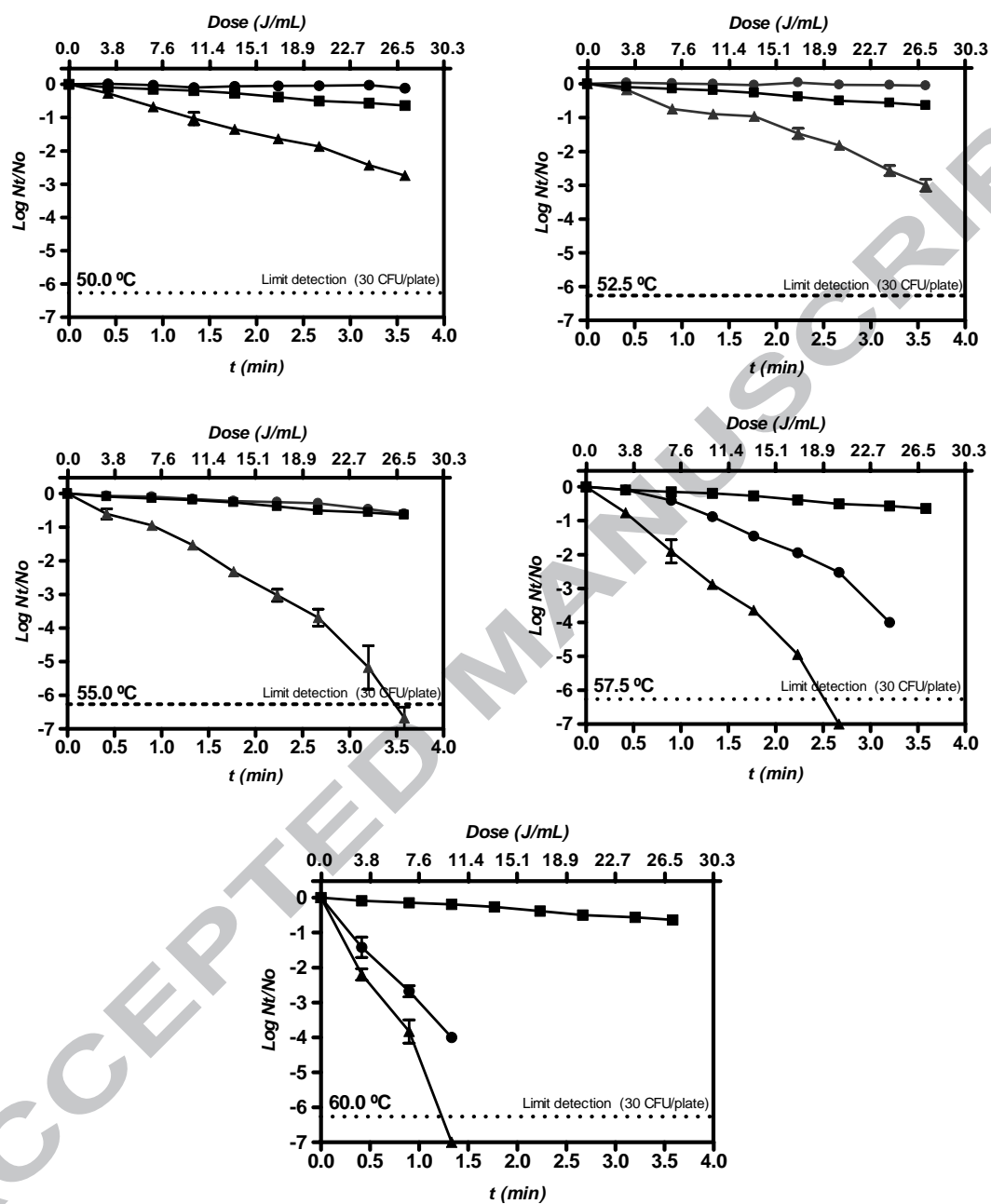


Figure 4

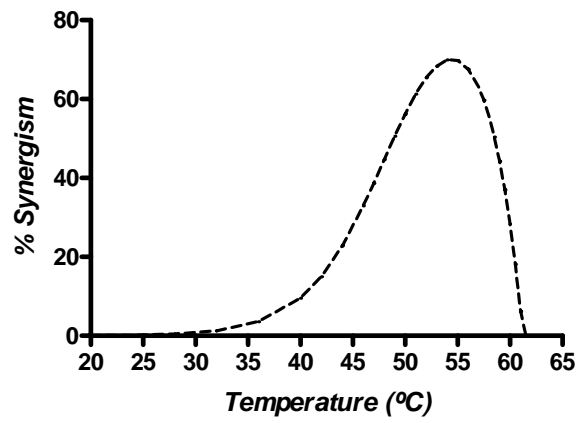


Figure 5

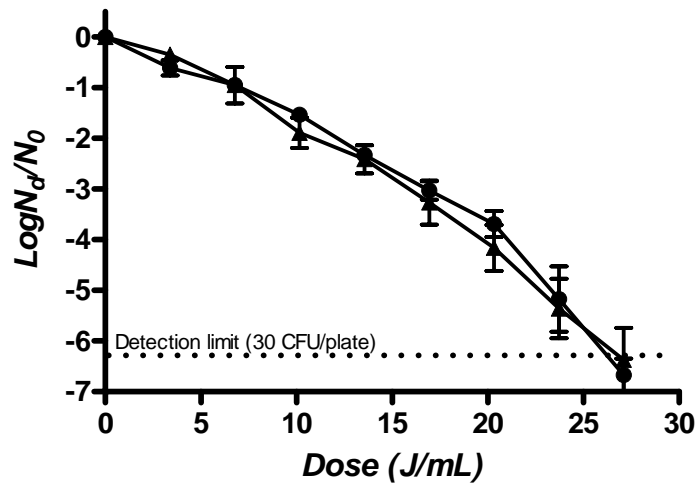


Figure captions

Figure 1. Survival curves of *E. coli* STCC 4201 in commercial orange juice treated by UV light at different temperatures: 25.0 °C (●), 40.0 °C (■), 50.0 °C (▲), 52.5 °C (×), 55.0 °C (○), 57.5 °C (□) and 60.0 °C (Δ).

Figure 2. Relationship between temperature and *4D* parameter in commercial orange juice for *E. coli* STCC 4201 inactivation by heat (min) (■) and the combined UV-H treatment (J/mL) (●).

Figure 3. Survival curves in commercial orange juice of *E. coli* STCC 4201 treated by UV light at room temperature (■), heat (●) and the combined UV-H process (▲) at 50.0, 52.5, 55.0, 57.5 and 60.0 °C.

Figure 4. Synergistic effect of the combined UV-H treatments at different temperatures.

Figure 5. Survival curves of *E. coli* STCC 4201 in commercial orange juice (●) and of a *E. coli* strain cocktail (▲) in natural orange juice treated by UV light at 55.0 °C.

Table 1. UV resistance parameters (*SI*, *Kmax* and *4D*) at middle temperatures of *E. coli* STCC 4201 in commercial orange juice.

Temperature (°C)	<i>SI</i> (J/mL)	<i>Kmax</i> (mL/J)	Dose for <i>4D</i> reductions (J/mL)	<i>R</i> ²	<i>RMSE</i>
25.0	8.24 (2.58)	0.07 (0.01)	-	0.991	0.025
40.0	6.92 (1.29)	0.07 (0.03)	-	0.984	0.036
50.0	3.99 (0.60)	0.21 (0.01)	-	0.991	0.082
52.5	3.69 (0.46)	0.30 (0.03)	-	0.975	0.187
55.0	2.39 (0.31)	0.61 (0.12)	16.99 (0.25)	0.980	0.401
57.5	1.79 (0.75)	0.91 (0.08)	12.21 (1.06)	0.989	0.194
60.0	0.48 (0.42)	1.72 (0.19)	6.54 (0.22)	0.974	0.374

Table 2. Heat resistance parameters (SI , K_{max} and $4D$) of *E. coli* STCC 4201 in commercial orange juice at different temperatures.

Temperature (°C)	SI (min)	K_{max} (min^{-1})	Dose for $4D$ reductions (min)	R^2	$RMSE$
56.0	0.23 (0.13)	0.94 (0.08)	9.63 (0.63)	0.997	0.019
58.0	0.15 (0.30)	1.43 (0.14)	6.51 (0.86)	0.988	0.035
60.0	0.13 (0.10)	10.61(0.84)	0.97 (0.23)	0.978	0.298
62.0	0.04 (0.07)	20.81 (2.12)	0.48 (0.09)	0.971	0.473

Table 3. Physico-chemical properties -pH, °Brix, acidity (%A), and colour (ΔE)-, ascorbic acid content (AA) and pectin methyl esterase activity (PMEU) of untreated (*control*), heat (55.0 °C) and UV-55.0 °C (*UV-H*) treated fresh squeezed orange juice.

	<i>pH</i>	<i>°Brix</i>	<i>%A</i>	ΔE	<i>mg AA/100 mL</i>	<i>% AA loss</i>	<i>PMEU</i>	<i>% PME inactivation</i>
<i>Control</i>	2.83 (0.04)	10.1 (0.10)	1.074 (0.051)		52.45 (1.79)		0.124 (0.018)	
<i>H</i>	2.83 (0.04)	9.86 (0.11)	1.030 (0.037)	0.23	52.84 (0.68)	0	0.035 (0.006)	47.84 (9.15)
<i>UV-H</i>	2.86 (0.03)	9.83 (0.35)	0.975 (0.018)	0.07	43.81 (1.17)	16.45 (0.77)	0.019 (0.083)	63.96 (5.07)

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661 **Highlights**

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663 The lethality of UV on *E. coli* suspended in orange juice increased with temperature.

664 The maximum synergistic effect of UV-H treatment was found at 55 °C.

665 A UV-H treatment of 23.72 J/mL at 55.0 °C inactivated more than 5 Log₁₀ cycles.

666 The treatment did not affect the pH, acidity, °Brix and color of orange juice.

667 The UV-H decreased 16.45% ascorbic acid content and 81.27% the PME activity.

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