



Exploring the efficacy of pulsed electric fields (PEF) in microbial inactivation during food processing: A deep dive into the microbial cellular and molecular mechanisms

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ARTICLE INFO

Keywords:

Electroporation
Pulsed electric fields
Pasteurization
Microbial inactivation
Antimicrobial mechanisms

ABSTRACT

Pulsed electric field (PEF) is a food processing technology based on the phenomenon of electroporation for the inactivation of microorganisms with main advantage the minimal effect on the quality (nutritional, functional, and sensorial) characteristics of the food products. Despite the plethora of research literature on PEF-processed food safety, PEF's industrial application as an alternative of classical pasteurization is limited and mainly at industrial level is focused on high acid-liquid food products. Thus, the thorough assessment of the antimicrobial efficiency of PEF, coupled with the meticulous identification of key microbial resistance mechanisms is scientifically imperative. These efforts are essential for refining the process and exploring potential enhancements through synergistic integration and combination with other methods or/and hurdles. On this basis this manuscript aims to critically review and summarise: a) the antimicrobial mechanism of action, b) the microbial inactivation efficiency, and c) the effect of PEF at a microbial genomic/transcriptomic level.

Industrial application: Evaluating the effectiveness of inactivation and understanding the underlying resistance mechanisms can help on strategies to optimize PEF-mediated decontamination practices, and thereby enhancing the overall process efficiency.

1. Introduction

1.1. Mechanism of action; electroporation phenomenon and its effects

The underlying phenomenon to the application of an electric field to biological cells or tissues for the increase of membrane permeability is described as electroporation (or electropermeabilization) (Heinz et al., 2001; Wiktor et al., 2015). In general, the cell membrane is composed by lipids, which exhibit a structural duality with a polar (hydrophilic) head and a non-polar (hydrophobic) tail (Kotnik et al., 2012). These lipids are arranged into bilayer, where nonpolar tails align inward while polar heads face outward, interacting with the surrounding aqueous environment (Kotnik et al., 2012). After application of electric pulses,

electroporation phenomenon is initiated by the aqueous molecules into the lipid bilayer of the membrane (a biological structure formed by amphiphilic lipids) and leads to reorientation of the lipids with the polar head groups towards these aqueous molecules (Kotnik et al., 2015; Müller et al., 2022). The theory of the mechanism of electroporation is mainly based on the formation of hydrophilic pores, however recent studies have revealed that other factors may contribute to the increased membrane's permeability, such as chemical changes to the lipids and alteration of the membrane's protein functions (Breton & Mir, 2018; Kotnik et al., 2019).

The application of short electric pulses of high voltages for short duration to biological cells and tissues to enhance membrane permeability, is becoming a pertinent method in different applications

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<https://doi.org/10.1016/j.ifsset.2024.103732>

Received 30 January 2024; Received in revised form 4 June 2024; Accepted 7 June 2024

Available online 8 June 2024

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including applications in food technological (Mahnič-Kalamiza et al., 2014), medicinal (Batista Napotnik et al., 2021) biotechnological and environmental processes (Kotnik et al., 2015). However, depending on the research field, those processes/techniques appear in the scientific literature with different terms, namely electroporation, electropermeabilization, electropulsation or Pulsed electric field (PEF) treatment, and are characterized by subtle differences (Kotnik et al., 2019). More specifically, whilst electroporation focuses on the formation of aqueous pores in the lipid bilayer caused by the induced transmembrane voltage, electropermeabilization examines membrane permeability for molecules lacking the physiological mechanisms of transmembrane transport, and electropulsation/PEF generally comprise cell exposure to electric pulses, leading to membrane structural alterations and increased conductivity and/or permeability (Kotnik et al., 2019). The application of an electric field to a biological cell can lead to three different outcomes depending on (i) the electric field strength, (ii) the duration of exposure, and (iii) the membrane recovery rate (Mahnič-Kalamiza & Miklavčič, 2022). In case the electric field strength and the duration of exposure are insufficient to achieve pore formation, electroporation is unachievable, and there is no effect on the cell's permeability and viability (Kotnik et al., 2012; Mahnič-Kalamiza & Miklavčič, 2022). On the condition that the electric field strength exceeds the critical threshold value of the potential difference across a membrane (transmembrane potential), typically between 0.5 and 1.5 V, repulsion between the charge-carrying molecules induces pore formation in the cell membrane (Barba et al., 2015; Weaver & Chizmadzhev, 1996). Depending on the selected parameters of PEF treatment, reversible or irreversible electroporation can occur, with the intensity of treatment determining whether the electroporation can cause temporary or permanent injuries for microbial cells (Jaeger et al., 2009; Weaver & Chizmadzhev, 1996). When reversible, it causes the cell membrane to discharge (Mohamed & Amer Eissa, 2012) and facilitates microorganism injuries (Yun, Zeng, et al., 2016). In this case and, after a short exposure to an electric field, the membrane resealing and recover, ensuring the viability of the biological cell (Kotnik et al., 2012). Recovery of the cell is an active cellular process involving intricate cellular machinery after reversible electroporation (Batista Napotnik et al., 2021). The occurrence of irreversible pores following appropriate PEF applications leads to microbial cell death as a result of the release of intracellular substances due to the increased permeability of the membrane, structural membrane changes, and osmotic or swelling phenomena (Golberg et al., 2010; Min et al., 2007). For example, Yang et al. (2008) have demonstrated that the peptidoglycan layer of *S. epidermis* cells experienced changes after exposure to PEF. Additionally, Wang et al. (2016) have shown that in *S. aureus* (cultivated at 37 °C) cells, following a PEF treatment at an electric field strength of 39.0 kV/cm, a pulse frequency of 1.0 kHz, for a 1.6 ms treatment time, and a maximum thermal effect of <30 °C with the cells undergoing shape distortions, exhibiting more oval phenotypes.

1.2. PEF as pasteurization alternative

The demand for more sustainable food production methods and the growing consumer interest in fresher and more nutritious food products in combination with the advancement of human knowledge and technology progress, have facilitated the development of new food processing technologies for food preservation and safety, in replacement of the more classical food processing technologies like heating (Chacha et al., 2021; Golberg et al., 2010; Nowosad et al., 2021; Ortega-Rivas & Salmerón-Ochoa, 2014). The term, “non-thermal”, was coined to describe those alternative-to-temperature-based-pasteurization methods (Chacha et al., 2021; Morales-de la Peña et al., 2019; Ortega-Rivas & Salmerón-Ochoa, 2014; Pereira & Vicente, 2010). “Non-thermal” technologies can utilize ultrasound (US), high hydrostatic pressure (HPP), ultraviolet light, pulsed electric fields (PEF), non-thermal /cold plasma (NTP), and ozone. They can be classified as physical (US, HPP),

UV, and PEF) or chemical (NTP, and ozone) (Chacha et al., 2021; Val-dramidis & Koutsoumanis, 2016).

PEF, is described as one of the most promising technologies to inactivate microorganisms and achieve microbial inactivation equivalent with thermal treatments (Barba et al., 2015; Peng et al., 2020) with main advantages as a process being a) the lower treatment temperature, b) the shorter processing time, and c) the potential for its application in continuous flow treatments (Bhat et al., 2019). The PEF process is based on pulse intensities which vary from 0.5 to 1.5 kV/cm for the induction to stress responses and reversible electroporation and from 15 to 40 kV/cm intensities for microbial inactivation, and irreversible electroporation (Raso et al., 2016). Industrially, an electric field strength between (~ 10–20 kV/cm) has been employed as a prerequisite for PEF systems for the microbial inactivation to date (Toepfl, 2012). The exposure of microbial cells to a source of electrical field for a few microseconds is associated with structural changes of the cell membrane, ultimately leading to cell damage (Heinz et al., 2001; Roobab et al., 2018).

A variety of food products, from liquid or semi-liquid to solid, have been researched for microbial inactivation by PEF treatment (Abbas Syed, 2017). Most of the studies related to PEF process for microbial inactivation focus on the application of high voltage pulses to milk and dairy products, juices and a variety of liquids (Abbas Syed, 2017; Tanino et al., 2020). Depending on the type of the food product (liquid, semi-liquid and solid), the effectiveness of different PEF systems can be explored, that of the batch or the continuous (Mohamed & Amer Eissa, 2012; Niu et al., 2020; Nowosad et al., 2021). The batch PEF systems are primarily opted for handling static volumes of solid or semi-solid foods (Mohamed & Amer Eissa, 2012), whereas the continuous treatment PEF systems have a potential for industrial processing of liquid and semi-liquid foods, as they allow the continuous/steady flow of products (Niu et al., 2020). The first commercialized PEF system for fruit juices (apple, strawberry, and other flavors) preservation was used by Genesis Juices (Oregon, USA) in 2006 (Clark, 2006) while the first commercial PEF line for fruit juice preservation (1500 L/h) was reported in Europe back in 2009 (Siemer et al., 2014). According with Raso et al. (2016). The most common treatment chambers in use for continuous systems have their electrodes with parallel plate or colinear configuration. The parallel plate configuration is characterized by a large electrode surface and low intrinsic electrical resistance, with the main advantage the formation of a uniform electric field, but the limitation of creating electrode corrosion due its high current operation that may trigger undesired electrochemical phenomena. The colinear treatment chamber functions at lower current compared to the parallel plate configuration. It is known to be conducive, minimizing electrode reactions and enabling the parallel connection of multiple co-linear units from an electrical standpoint. Nevertheless, its main drawback lies in the uneven distribution of electric field strength and temperature within the treatment zone during PEF processing (Raso et al., 2016).

2. Microbial inactivation by PEF treatments

Food products harbour a variety of different microorganisms, several of which can be used in the food industry to drive fermentation processes. However, other food associated microbes can cause food spoilage and generate public health problems (Mosqueda-Melgar et al., 2008). Common food spoilage microorganisms include *Saccharomyces*, *Lactiplantibacillus*, *Leuconostoc*, *Brochothrix thermosphacta*, *Pseudomonas*, *Acinetobacter*, *Moraxella Penicillium*, *Cladosporium* spp. (Mermelstein, 2017) while common foodborne pathogens include *Salmonella*, *Campylobacter*, *Enterohaemorrhagic Escherichia coli*, *Listeria* and *Vibrio cholerae* (World Health Organization, 2020). Consequently, for the application of an effective PEF disinfection, and for safeguarding food stability and safety, the identification of PEF-resistant pathogenic and spoilage bacteria becomes pivotal (García, Gómez, Raso, et al., 2005). Over the last two decades, the impact of PEF on enzyme and microorganism inactivation, as well as on energy-saving has been heavily

researched (Nowosad et al., 2021). Significant PEF-mediated microbial inactivation have been reported for several pathogenic bacteria including *Staphylococcus aureus*, *Yersinia enterocolitica*, *Escherichia coli*, *Listeria monocytogenes* and *Salmonella Enteritidis*, as well as for spoilage microorganisms, such as *Acetobacter* spp., *Bacillus subtilis*, *Lactiplantibacillus plantarum*, and *Saccharomyces cerevisiae* (as shown in Table 1) (García, Gómez, Mañas, et al., 2005; Katiyo et al., 2017; Niu et al., 2019; Wang et al., 2018).

2.1. Processing parameters

The efficiency of decontamination by PEF technology is dependent on different factors. These can mainly be grouped under three different categories: processing conditions, characteristics of microbes, and product parameters (Abbas Syed, 2017; Roobab et al., 2018). The main process parameters for PEF treatments are: the electric field strength (E), the treatment time (t), the pulse shape, the pulse width (τ), the number of pulses (n), the pulse repetition frequency, and the total specific energy input (W) and (Raso et al., 2016). Different treatment conditions ($E = 12\text{--}50$ kV/cm, $27\text{--}2000$ μ s) and a variety of food matrices are presented in this review (see Table 1). In general, changes in PEF processing conditions can impact the efficiency of microbial inactivation, with microbial inactivation generally increasing with higher electric field strength or longer treatment times (Wouters et al., 2001). However, an increase in the total specific energy causes an increase of the temperature in the treatment medium due to Joule's heating which is of great importance as heat sensitive compounds could be affected (Schottroff et al., 2019).

2.2. Microbial characteristics

The main microbial characteristics that play an important role in microbial inactivation by PEF are: the type of microorganism, the species, the strain and culture conditions (Raso et al., 2016). In a pilot study, Lee et al. (2015) have shown that PEF-treatments of low-fat milk with an electric field strength of 10 kV/cm, total specific energy input of 200 kJ/L and an inlet temperature of 30 °C enabled a 4.4-log_{10} CFU/mL, a 4.5-log_{10} CFU/mL, and a 6.0-log_{10} CFU/mL inactivation, for *L. brevis*, *E. coli*, and *S. cerevisiae*, respectively. Additionally, Huang et al. (2014) reported that PEF-treatment (24 kV/cm, 180 μ s, inlet temperature of 30 °C and maximum temperature of 38.2 ± 0.8 °C) of grape juice resulted in a 2.69-log_{10} CFU/mL, a 3.6-log_{10} CFU/mL and a 6.01-log_{10} CFU/mL inactivation, for *Staphylococcus aureus*, *E. coli*, and *S. cerevisiae*, respectively. Consequently, the effectiveness of PEF disinfection is microbial species dependent (Niu et al., 2020) where in general the larger the cells the more susceptible are to the electrical fields (Heinz et al., 2014). Studies have also revealed that even different strains of the same bacterial species exhibit different sensitivities to the same PEF treatment (Raso et al., 2016). For example, Walter et al. (2016) showed that PEF treatment (35 kV/cm, 30 μ s treatment time and an average temperature of 40 °C) of UHT whole-milk (4% fat), resulted in a $> 2\text{-log}_{10}$ CFU/mL for *E. coli* strains ATCC 11775 and FSAW 1325, whereas strains of O157:H7 Sakai and FSAW 1326 appeared more PEF-resistant ($< 1.5\text{-log}_{10}$ inactivation; $p < 0.05$).

Other important factors affecting the PEF treatment efficiency include the bacterial growth environment and growth stage of the cells (Liu et al., 2017). For example, Niu et al. (2019) have shown that ethanol has an effect on the cell membrane properties of *Acetobacter* sp., as under the same PEF treatment (20.0 kV/cm, 6.0 ms and maximum temperature < 35 °C) the reduction of the cells cultured with 9% (v/v) ethanol was higher (5.17-log_{10}) than those without it (3.22-log_{10}). Additionally, Álvarez et al. (2002) have shown that *L. monocytogenes* cells grown at 35 °C were more PEF-resistant than those grown at 4 °C, with PEF resistance increasing during the incubation time and reaching its maximum value at the stationary growth phase of the bacterium. More specifically, after PEF treatment (25 kV/cm 800 μ s and maximum temperature < 35 °C) for two suspensions (with an initial concentration

of 10^8 cells/mL) grown at 4 °C and 35 °C, their maximal resistance reduced by 2.0 and 1.2 \log_{10} , respectively (Álvarez et al., 2002). Additionally, Ohshima et al. (2002) have shown that the culture temperature influences the resistance against PEF treatment. More specifically it was identified that in the optimum culture temperature, i.e., 37 °C, *E. coli* was more resistant under PEF treatment in comparison to culture temperatures of 20 or 42 °C. The sensitivity of *E. coli* under PEF treatment after cultivation at 20 °C is associated with an increased content of unsaturated fatty acids in phospholipids, which induces cell membrane fragility, whereas cultivation at 42 °C initiates cell destruction cascades, following protein unfolding and activation of bacteria and activates the heat shock proteins (Ohshima et al., 2002). Yun, Liu, et al. (2016) reported that under the same PEF treatment (25 kV/cm, 1.2 ms) and initial inactivation temperature of 25 °C, *Salmonella Typhimurium* cells reaching their stationary phase exhibited 3.30-log_{10} , 2.48-log_{10} , 1.99-log_{10} , 1.86-log_{10} and 1.63-log_{10} reductions when grown at 10, 20, 30, 37 and 45 °C, respectively. Additionally, Wouters et al. (1999), showed that *L. innocua* cells grown into stationary phase were more resistant to PEF treatments than cells in their log-growth phase. This phenomenon may be attributed to either the heightened susceptibility of membrane areas involved in cell division, or the larger size of bacterial cells in the exponential phase, leading to decreased resistance to PEF. The resistance of microorganisms grown to their stationary phase may result from alterations in the expression of stress-related genes, instigated by the alternative sigma factor, as well as different metabolic, structural and morphological changes relative to the exponentially grown cells (Somolinos et al., 2008).

2.3. Treatment medium properties

Food product parameters that can influence the microbial inactivation efficiency are: the conductivity, and the water activity (a_w) and the pH of the product (Abbas Syed, 2017; Chacha et al., 2021; García, Gómez, Raso, et al., 2005). The conductivity of the medium is related to the resistivity of the medium in a treatment chamber and influences current intensity that is needed for the generation of electric fields (Gachovska et al., 2013).

In a range of conductivities that do not influence the distribution of the electric field, lowering the conductivity increases the difference between the ionic concentration of the cytoplasm and the treatment medium and as a consequence leads to an increased flow of ions across the membrane. This phenomenon weakens the membrane and the membrane's resistance to pulses (Jayaram et al., 1993). Different studies have shown that the highest microbial inactivation was achieved at the lowest conductivity (Sensoy et al., 1997; Wouters et al., 1999). Although conductivity is considered a parameter that influences microbial inactivation, different researches have shown that there was no influence under the same total specific energy used (conductivities between from 0.05 up to 0.45 S/m) (Álvarez et al., 2003; Timmermans et al., 2019). Reduction of a_w has shown to increase the PEF resistance of microorganisms (Álvarez et al., 2002; Aronsson et al., 2001). Regarding the effect of the pH, media of pH 7.0 and 4.0 affected differently *L. monocytogenes*, with higher inactivation levels achieved at pH 4.0, suggesting that for specific microorganisms an acidic environment can be a hurdle (Saldaña et al., 2009). On the contrary, the opposite behavior was observed for PEF-treated *E. coli* with higher resistance at pH 4.0 than pH 7.0, indicating that different strategies need to be applied depending on the target microorganism (Saldaña et al., 2009). In another study, Jaeger et al. (2009) reported that the microbial inactivation of *Lactiplantibacillus rhamnosus* was influenced by increasing the protein content. However, this effect was not observed in *L. innocua* with increasing protein content under neutral pH condition (Schottroff et al., 2019). Thus, further research on a plethora of microorganisms related to the microbiota of each food matrix and the PEF equipment is important for optimization of the process with a final aim at achieving a 5-log microbial reduction minimum.

Table 1
Summary of processing parameters and decontamination efficiency of different PEF treatments.

Microorganism	Product	Treatment conditions	Reduction	Reference
Gram-negative bacteria <i>Escherichia coli</i> (ATCC 8739)	Green tea beverage pH: 6.0 at 20 °C Conductivity: 0.1 S/m at 20 °C	E: 38.4 kV/cm t: 160 µs τ: 2 µs n: * NS f: 667 pps Energy input: 236 × 10 ³ J/L Inlet temperature: 20 °C Maximum temperature: * NS Pulse type: bipolar / square	5.6 log ₁₀	(Zhao et al., 2008)
<i>Escherichia coli</i> (ATCC 8739)	Soymilk pH: 7.22 ± 0.16 at 20 °C Conductivity: 0.21 ± 0.02 S/m at 20 °C	E: 40 kV/cm t: 547 µs τ: 2 µs n: * NS f: 400 Hz Energy input: * NS Flow rate: 1 mL/s Inlet temperature: 25 °C Maximum temperature: < 35 °C (in process) Pulse type: bipolar / square	5.2 log ₁₀	(Li et al., 2013)
<i>Escherichia coli</i> (ATCC26)	McIlvaine buffer solution pH: 3.8 at 25 °C Conductivity: 2 mS/cm at 25 °C	E: 12 kV/cm t: * NS τ: 4 µs n: * NS f: * NS Energy input: 40 J/mL Flow rate: 15 mL/min Inlet temperature: 25 °C Maximum temperature: 29 ± 1 °C Pulse type: monopolar/square	2.25 log ₁₀	(Pataro et al., 2014)
<i>Escherichia coli</i> (ATCC 8739)	Commercial low-fat milk pH: * NS Conductivity: * NS	E: 10 kV/cm t: * NS τ: 30 µs n: * NS f: * NS Energy input: 200 kJ/kg Flow rate: 30 L/h Inlet temperature: 30 °C Maximum temperature: * NS Pulse type: bipolar/square	4.5 log ₁₀	(Lee et al., 2015)
<i>Escherichia coli</i> (ATCC 11775)	UHT whole milk with 4.0% fat (w/w) pH: * NS Conductivity: * NS	E: 35 kV/cm t: 124 µs τ: 2 µs n: * NS f: * NS Energy input: * NS Flow rate: 120 mL/min Inlet temperature: * NS Maximum temperature: 30 °C on average (in process) Pulse type: * NS / * NS	4.8 log ₁₀	(Walter et al., 2016)
<i>Escherichia coli</i> K12 <i>Escherichia coli</i> (35218)	Orange Juice pH: 3.4 ± 0.1 at * NS °C Conductivity: * NS	E: 20 kV/cm t: 70 µs τ: 2.6 µs n: * NS f: 740 Hz Energy input: * NS Flow rate: 120 mL/min Inlet temperature: * NS Maximum temperature: 55 °C (outlet) Pulse type: bipolar / square	5.06 log ₁₀ 2.02 log ₁₀	(Gurtler et al., 2010)
<i>Escherichia coli</i> DH5α (CGMCC 1.2170)	Grape Juice Soluble solid content: 15.8 ± 0.2°Brix at * NS °C pH: 5.98 ± 0.02 at * NS °C Conductivity: 0.086 ± 0.002 S/m at 20 °C & 0.098 ± 0.002 S/m at 30 °C	E: 24 kV/cm t: 180 µs τ: 3 µs n: * NS f: 120 Hz Energy input: * NS Flow rate: 7.6 mL/min Inlet temperature: 30 °C Maximum temperature: 38.2 ± 0.8 °C Pulse type: monopolar / square	3.06 log ₁₀	(Huang et al., 2014)
<i>Escherichia coli</i> 1.107	Melon Juice Soluble solid content:	E: 35 kV/cm	3.91 log ₁₀	(Mosqueda-Melgar et al., 2007)

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Table 1 (continued)

Microorganism	Product	Treatment conditions	Reduction	Reference
	11.1°Brix at * NS°C pH: 5.82 ± 0.04 at * NS°C Conductivity: 5.23 ± 0.03 mS/cm at * NS°C	t: 1250 µs τ: 4 µs n: * NS f: 250 Hz Energy input: 7662.23 J/cm ³ Flow rate: 100 mL/min Inlet temperature: * NS Maximum temperature: 39.1 ± 0.1 °C (outlet) Pulse type: bipolar / square		
<i>Escherichia coli</i> 1.107	Watermelon juice Soluble solid content: 6.5°Brix at * NS°C pH: 5.46 ± 0.11 at * NS°C Conductivity: 3.66 ± 0.05 mS/cm at * NS°C	E: 35 kV/cm t: 2000 µs τ: 4 µs n: * NS f: 250 Hz Energy input: 7541.18 J/cm ³ Flow rate: 100 mL/min Inlet temperature: * NS Maximum temperature: 30.3 ± 0.2 °C (outlet) Pulse type: bipolar / square	4.01 log ₁₀	(Mosqueda-Melgar et al., 2007)
<i>Escherichia coli</i> (ATCC 11775)	Strawberry Juice (Model solution) Soluble solid content: 7.9 ± 0.28°Brix at * NS°C pH: 3.39 ± 0.01 at * NS°C Conductivity: 3.90 ± 0.14 mS/cm at * NS°C	E: 35 kV/cm t: 27 µs τ: 2 µs n: * NS f: 155 Hz Energy input: * NS Flow rate: 350 mL/min Inlet temperature: 22.7 ± 0.07 °C Maximum temperature: 46.0 °C Pulse type: monopolar / square	5.53 log ₁₀	(Yildiz et al., 2019)
<i>Escherichia coli</i> O157:H7 (ATCC 43894)	Apple cider Soluble solid content: 12.5°Brix at * NS°C pH: 3.83 at * NS°C Conductivity: 2088 µS/cm at * NS°C	E: 30 kV/cm t: * NS τ: * NS n: * NS f: 1500pps Energy input: 408 J/mL Flow rate: 60 mL/min Inlet temperature: Maximum temperature: <55 °C (in process) Pulse type: bipolar / square	6.38 log ₁₀	(Mendes-Oliveira et al., 2020)
<i>Salmonella enteritidis</i> 1.82 (NCTC 9001)	Melon Juice Soluble solid content: 11.1°Brix at * NS°C pH: 5.82 ± 0.04 at * NS °C Conductivity: 5.23 ± 0.03 mS/cm at * NS°C	E: 35 kV/cm t: 1250 µs τ: 4 µs n: * NS f: 175 Hz Energy input: 7662.23 J/cm ³ Flow rate: 100 mL/min Inlet temperature: NS* Maximum temperature: 39.1 ± 0.1 °C (outlet) Pulse type: bipolar / square	3.75 log ₁₀	(Mosqueda-Melgar et al., 2007)
<i>Salmonella enteritidis</i> 1.82 (NCTC 9001)	Watermelon juice Soluble solid content: 6.5°Brix at * NS°C pH: 5.46 ± 0.11 at * NS°C Conductivity: 3.66 ± 0.05 mS/cm at * NS°C	E: 35 kV/cm t: 2000 µs τ: 4 µs n: * NS f: 100 Hz Energy input: 7541.18 J/cm ³ Inlet temperature: * NS Maximum temperature: 30.3 ± 0.2 °C (outlet) Pulse type: bipolar / square	4.27 log ₁₀	(Mosqueda-Melgar et al., 2007)
<i>Salmonella Typhimurium</i> (ATCC 14028)	Apple cider Soluble solid content: 12.5°Brix at * NS°C pH: 3.83 at * NS°C Conductivity: 2088 µS/cm at * NS°C	E: 30 kV/cm t: * NS τ: * NS n: * NS f: 1500pps Energy input: 408 J/mL Flow rate: 60 mL/min Maximum temperature: <55 °C (in process) Pulse type: bipolar / square	6.34 log ₁₀	(Mendes-Oliveira et al., 2020)
<i>Salmonella Typhimurium</i> γ3985 <i>Salmonella Typhimurium</i> γ4096 <i>Salmonella Typhimurium</i>	Orange Juice pH: 3.4 ± 0.1 at * NS°C Conductivity: * NS	E: 20 kV/cm t: 70 µs τ: 2.6 µs	1.62 log ₁₀ 2.36 log ₁₀	(Gurtler et al., 2010)

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Table 1 (continued)

Microorganism	Product	Treatment conditions	Reduction	Reference
χ 3751 <i>Salmonella Choleraesuis</i> χ 8442		n: * NS f: 740 Hz Energy input: * NS Flow rate: 120 mL/min Inlet temperature: * NS Maximum temperature: 55 °C (outlet) Pulse type: bipolar / square	4.05 log ₁₀ 5.45 log ₁₀	
<i>Pseudomonas fluorescens</i> (ATCC 948)	UHT whole milk with 4.0% fat (w/w) pH: * NS Conductivity: * NS	E: 35 kV/cm t: 124 μ s τ : 2 μ s n: * NS f: * NS Energy input: Flow rate: 120 mL/min Inlet temperature: * NS Maximum temperature: 30 °C on average (in process) Pulse type: * NS / * NS	2.4 log ₁₀	(Walter et al., 2016)
<i>Cronobacter sakazakii</i> (29,544 ATCC)	Infant milk formula pH: 6.8 at 25 °C Conductivity: 0.278 S/m at 25 °C	E: 35 kV/cm t: 700 μ s τ : 2.5 μ s n: * NS f: * NS Energy input: * NS Flow rate: 30 mL/min Inlet temperature: 4 °C Maximum temperature: 25 \pm 3 °C Pulse type: bipolar / square	1.1 \pm 0.03 log ₁₀	(Pina-Pérez et al., 2013)
Gram-positive bacteria <i>Bacillus cereus</i> (131)	Pasteurized skim Milk pH: 6.69 \pm 0.03 at 25 °C Conductivity: 5.77 \pm 0.10 mS/cm at 25 °C Mixture of pasteurized skim milk and liquid whole egg (80/20 v/v) pH: 6.18 \pm 0.05 at 25 °C Conductivity: 7.23 \pm 0.03 mS/cm at 25 °C	E: 35 kV/cm t: 200 μ s τ : 2.5 μ s n: * NS f: * NS Energy input: * NS Flow rate: 30 mL/min Inlet temperature: * NS Maximum temperature: 20 °C (in process) Pulse type: * NS / * NS	3.05 \pm 0.02 log ₁₀ 3.03 \pm 0.02 log ₁₀	(Pina-Pérez et al., 2009)
<i>Lactiplantibacillus plantarum</i> (49445) <i>Lactiplantibacillus lactis</i> (114545) <i>Lactiplantibacillus fermentum</i> (9338) <i>Lactiplantibacillus casei</i> 393	Orange Juice pH: 3.4 \pm 0.1 at * NS °C Conductivity: * NS	E: 20 kV/cm t: 70 μ s τ : 2.6 μ s n: * NS f: 740 Hz Energy input: * NS Flow rate: 120 mL/min Inlet temperature: * NS Maximum temperature: 55 °C (outlet) Pulse type: bipolar / square	3.07 log ₁₀ 4.53 log ₁₀ 3.22 log ₁₀ 0.60 log ₁₀	(Gurtler et al., 2010)
<i>Lactiplantibacillus brevis</i> (ATCC13648)	Commercial low-fat milk pH: * NS Conductivity: * NS	E: 10 kV/cm t: * NS τ : 30 μ s n: * NS f: * NS Energy input: 200 kJ/kg Flow rate: 30 L/h Inlet temperature: 30 °C Maximum temperature: * NS Pulse type: bipolar/square	4.4 log ₁₀	(Lee et al., 2015)
<i>Listeria monocytogenes</i> 1.131	Melon Juice Soluble solid content: 11.1°Brix at * NS °C pH: 5.82 \pm 0.04 at * NS °C Conductivity: 5.23 \pm 0.03 mS/cm at * NS °C	E: 35 kV/cm t: 2000 μ s τ : 4 μ s n: * NS f: 100 Hz Energy input: 7662.23 J/cm ³ Flow rate: 100 mL/min Inlet temperature: * NS Maximum temperature: 39.1 \pm 0.1 °C (outlet) Pulse type: bipolar / square	4.27 log ₁₀	(Mosqueda-Melgar et al., 2007)
<i>Listeria monocytogenes</i> 1.131	Watermelon juice Soluble solid content: 6.5°Brix at * NS °C	E: 35 kV/cm t: 2000 μ s τ : 4 μ s	3.77 log ₁₀	(Mosqueda-Melgar et al., 2007)

(continued on next page)

Table 1 (continued)

Microorganism	Product	Treatment conditions	Reduction	Reference
<i>Staphylococcus aureus</i> (CECT 240)	pH: 5.46 ± 0.11 at * NS°C Conductivity: 3.66 ± 0.05 mS/cm at * NS°C Homogenized UHT skim-milk pH of 6.68 ± 0.02 at 25 °C Conductivity: 6.03 ± 0.01 mS/cm at 25 °C	n: * NS f: 250 Hz Energy input: 7541.18 J/cm ³ Flow rate: 100 mL/min Inlet temperature: * NS Maximum temperature: 30.3 ± 0.2 °C (outlet) Pulse type: bipolar / square E: 35 kV/cm t: * NS n: 150 pulses τ: 8 μs f: 100 Hz Energy input: * NS Inlet temperature: * NS Maximum temperature: 25 °C (in process) Pulse type: bipolar / square E: 35 kV/cm t: * NS n: 150 pulses τ: 8 μs f: 100 Hz Energy input: * NS Inlet temperature: * NS Maximum temperature: 25 °C (in process) Pulse type: monopolar / square E: 38.4 kV/cm t: 160 μs τ: 2 μs n: * NS f: 667 pps Energy input: 236 × 10 ³ J/L Inlet temperature: 20 °C Maximum temperature: * NS Pulse type: bipolar / square E: 40 kV/cm t: 547 μs τ: 2 μs n: * NS f: 400 Hz Energy input: * NS Flow rate: 1 mL/s Inlet temperature: 25 °C Maximum temperature: < 35 °C (in process) Pulse type: bipolar / square E: 24 kV/cm t: 180 μs τ: 3 μs n: * NS f: 120 Hz Energy input: * NS Flow rate: 7.6 mL/min Inlet temperature: 30 °C Maximum temperature: 38.2 ± 0.8 °C (outlet) Pulse type: monopolar / square	4.5 log ₁₀ 3.6 log ₁₀	(Sobrinho-López et al., 2006)
<i>Staphylococcus aureus</i> (ATCC 6538)	Green tea beverage pH: 6.0 at 20 °C Conductivity: 0.1 S/m at 20 °C	E: 38.4 kV/cm t: 160 μs τ: 2 μs n: * NS f: 667 pps Energy input: 236 × 10 ³ J/L Inlet temperature: 20 °C Maximum temperature: * NS Pulse type: bipolar / square E: 40 kV/cm t: 547 μs τ: 2 μs n: * NS f: 400 Hz Energy input: * NS Flow rate: 1 mL/s Inlet temperature: 25 °C Maximum temperature: < 35 °C (in process) Pulse type: bipolar / square E: 24 kV/cm t: 180 μs τ: 3 μs n: * NS f: 120 Hz Energy input: * NS Flow rate: 7.6 mL/min Inlet temperature: 30 °C Maximum temperature: 38.2 ± 0.8 °C (outlet) Pulse type: monopolar / square	4.9 log ₁₀	(Zhao et al., 2008)
<i>Staphylococcus aureus</i> (ATCC 6538)	Soy milk pH: 7.22 ± 0.16 at 20 °C Conductivity: 0.21 ± 0.02 S/m at 20 °C	E: 40 kV/cm t: 547 μs τ: 2 μs n: * NS f: 400 Hz Energy input: * NS Flow rate: 1 mL/s Inlet temperature: 25 °C Maximum temperature: < 35 °C (in process) Pulse type: bipolar / square E: 24 kV/cm t: 180 μs τ: 3 μs n: * NS f: 120 Hz Energy input: * NS Flow rate: 7.6 mL/min Inlet temperature: 30 °C Maximum temperature: 38.2 ± 0.8 °C (outlet) Pulse type: monopolar / square	3.51 log ₁₀	(Li et al., 2013)
<i>Staphylococcus aureus</i> (CICC 21648)	Grape Juice Soluble solid content: 15.8 ± 0.2°Brix at * NS°C pH: 5.98 ± 0.02 at * NS°C Conductivity: 0.086 ± 0.002 S/m at 20 °C & 0.098 ± 0.002 S/m at 30 °C	E: 12.5 kV/cm t: NS* τ: 0.3 ms n: 5 pulses f: * NS Energy input: * NS Inlet temperature: * NS Maximum temperature: < 30 °C Pulse type: * NS / exponential	2.69 log ₁₀	(Huang et al., 2014)
Yeast <i>Candida stellate</i> (NRRL Y-1446) <i>Saccharomyces cerevisiae</i> (ATCC 16664)	Sterilized deionized water pH: 5.6 at * NS°C Conductivity: 68 μS/cm at * NS°C Sterilized deionized water pH: 5.2 at * NS°C Conductivity: 15 μS/cm at * NS°C	E: 24 kV/cm t: 180 μs τ: 3 μs n: * NS f: 120 Hz	3.5 ± 0.2 log ₁₀ 3.3 ± 0.6 log ₁₀	(Geveke & Kozempel, 2003)
<i>Saccharomyces cerevisiae</i> (CICC 1374)	Grape Juice Soluble solid content: 15.8 ± 0.2°Brix at * NS°C pH: 5.98 ± 0.02 at * NS°C Conductivity:	E: 24 kV/cm t: 180 μs τ: 3 μs n: * NS f: 120 Hz	6.01 log ₁₀	(Huang et al., 2014)

(continued on next page)

Table 1 (continued)

Microorganism	Product	Treatment conditions	Reduction	Reference
	0.086 ± 0.002 S/m at 20 °C & 0.098 ± 0.002 S/m at 30 °C	Energy input: * NS Flow rate: 7.6 mL/min Inlet temperature: 30 °C Maximum temperature: 38.2 ± 0.8 °C (outlet) Pulse type: monopolar / square E: 10 kV/cm t: * NS τ: 30 μs n: * NS f: * NS Energy input: 200 kJ/kg Flow rate: 30 L/h Inlet temperature: 30 °C Maximum temperature: * NS Pulse type: bipolar/square	6.0 log ₁₀	(Lee et al., 2015)
<i>Saccharomyces cerevisiae</i> (ATCC 26603)	Commercial low-fat milk pH: * NS Conductivity: * NS	E: 45 kV/cm t: 70 μs τ: 1.5 μs n: 46.3 pulses f: 700 Hz Energy input: * NS Flow rate: 4.34 mL/s Inlet temperature: * NS Maximum temperature: < 43 °C Pulse type: bipolar/square	0.2 log ₁₀ 0.7 log ₁₀ 2.2 log ₁₀	(Milani et al., 2015)
<i>Saccharomyces cerevisiae</i> ascospores (DSMZ 1848)	Lager Beer ≤0.05% alc/vol Conductivity: 2.20 mS/cm at 23 °C Dark Ale beer 5.0% alc/vol Conductivity: 1.97 mS/cm at 23 °C Ale beer 7.0% alc/vol Conductivity: 2.76 mS/cm at 23 °C	E: 50 kV/cm t: 42 μs τ: 1.7 μs n: * NS f: 100 Hz Energy input: 119.3 ± 3.5 kJ/kg Flow rate: 0.23 mL/s Inlet temperature: 4.0 ± 0.2 °C Maximum temperature: 31.8 ± 0.7 °C (outlet temperature) Pulse type: bipolar / square	0.9 log ₁₀	(van Wyk et al., 2018)
<i>Brettanomyces bruxellensis</i> (AWRI 1608)	Red Wine (Cabernet Sauvignon) 13.5% alc/vol pH: * NS Conductivity: 0.789 mS/cm at 5 °C	E: 50 kV/cm t: 42 μs τ: 1.7 μs n: * NS f: 100 Hz Energy input: 117.5 ± 1.4 kJ/kg Flow rate: 0.23 mL/s Inlet temperature: 3.8 ± 0.1 °C Maximum temperature: 31.1 ± 0.5 °C (outlet temperature) Pulse type: bipolar / square	3.0 log ₁₀	(van Wyk et al., 2018)
<i>Brettanomyces bruxellensis</i> (AWRI 1499)	Red Wine (Cabernet Sauvignon) 13.5% alc/vol pH: * NS Conductivity: 0.789 mS/cm at 5 °C	E: 50 kV/cm t: 42 μs τ: 1.7 μs n: * NS f: 100 Hz Energy input: 120.8 ± 3.7 kJ/kg Flow rate: 0.23 mL/s Inlet temperature: 3.4 ± 0.1 °C Maximum temperature: 31.3 ± 0.6 °C (outlet temperature) Pulse type: bipolar / square	3.7 log ₁₀	(van Wyk et al., 2018)
<i>Brettanomyces bruxellensis</i> (AWRI 1613)	Red Wine (Cabernet Sauvignon) 13.5% alc/vol pH: * NS Conductivity: 0.789 mS/cm at 5 °C			

E: electric field strength; t: is total treatment time; τ: is pulse width; n: number of pulses; f: pulse repetition rate.

* NS: non-specified.

3. Effect of PEF processing treatment on food-borne microorganisms

PEF treatments, similar to most of the environmental stresses do not lead to a “all or nothing” effect to a microbial population (Wang et al., 2015). Numerous studies have demonstrated that the electroporation phenomena observed in microbial cells after PEF application are not proportionally linked to cell death and there is a clear difference between electroporated and dead cells (Golberg et al., 2010).

Consequently, insufficient PEF treatments can generate sub-lethally injured cells that raise food safety and security challenges (Jaeger et al., 2009). The occurrence of reversible pores after PEF treatment supports microbial membrane re-sealing, to a greater or lesser extent, enabling cell survival (Fig. 1) (García, Gómez, Mañas, et al., 2005; Golberg et al., 2010). For example, 45% of sublethally injured cells of *C. sakazakii* resealed their cytoplasmic membranes immediately after PEF treatment (Arroyo et al., 2010). However, Zhao et al. (2011) have demonstrated that PEF applications of >25 kV/cm field electric strength

Sublethal damage by PEF

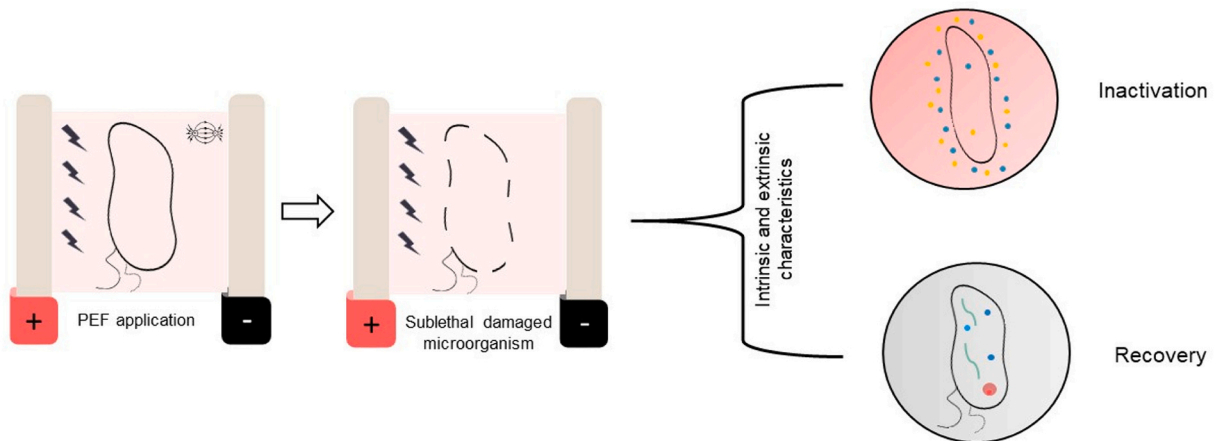


Fig. 1. Sublethally damaged microorganisms after PEF application.

lead to permanent membrane damage to *E. coli* cells due to irreversible permeabilization, whilst at lower field strengths reversible electroporation manifested up to 20% and 10% of the cells, respectively. Chueca et al. (2015) have also shown that a PEF treatment of 50 pulses at 20 kV/cm lead to 40% inactivation and 40% injured *E. coli* cells. Furthermore, Zand et al. (2022) showed that under PEF treatments of 8 kV/cm and 18 kV/cm, 38% and 63% of the initial *E. coli* population were in intermediate cellular states, respectively, whereas PEF treatment of 25 kV/cm irreversibly injured $93 \pm 1\%$ of *E. coli* cells.

In general, under environmental stresses, including temperature and osmotic changes, potential alterations in the organization and structure of the membrane lipids can lead to consecutive modulation of cellular activities (Los & Murata, 2004). Zhao et al. (2014a) noted that *S. cerevisiae* PEF treatments at 20 kV/cm for 100, 200, 300, 400 and 500 μ s achieved lower microbial reductions in the presence of non-selective media (0.8, 1.2, 1.9, 2.5 and 3.1 \log_{10}) than in the presence of selective media (2.1, 3.0, 3.8 and 4.1 \log_{10}), indicating that the salt composition of the selective media sensitised a large cell fraction to membrane damage. Additionally, Aronsson et al. (2005) showed that exposure of *S. cerevisiae* cells to a PEF treatment of 20 kV/cm and 2 μ s resulted in a 1.8 \log_{10} inactivation and a 3.8 \log_{10} permeabilized cells, while under the same conditions, *E. coli* cells exhibited a 0.5 \log_{10} inactivation and a 0.3 \log_{10} membrane integrity reduction. These results show that cells of *S. cerevisiae* did not lose their ability to multiply albeit their membranes were permeabilized (Aronsson et al., 2005). Thus, the cytomembrane is a key target for PEF treatment-induced damage and only under favourable conditions sublethal populations can repair their membrane injuries (Zhao et al., 2014b).

Zhao et al. (2014a) have shown that after PEF treatment at 20 kV/cm with a process temperature $< 30^\circ\text{C}$, *S. cerevisiae* cells had an increase in their fluorescence anisotropy value (identified by measuring fluorescence anisotropy in intact whole cells by using hydrophobic 1,6-diphenyl-1,3,5-hexatriene (DPH) as a probe) indicating a decrease in the cytoplasmic membrane fluidity. Additionally, Zhao et al. (2014b) following PEF treatment of 20 kV/cm of *S. cerevisiae* cells for 100, 200, 300, 400, and 500 μ s with a process temperature $< 30^\circ\text{C}$ have shown that there was an important increase in the membrane's micro-viscosity value n (i.e., 116.7%, 130.0%, 133.3%, 141.3% and 161.3%, respectively to the treatment times), indicating that the longer the PEF treatment the more rigid the cytomembrane was. In general, the response of the cells after environmental stresses is dependent on both the cytoplasmic membrane fluidity and the membrane fatty acid composition (Yun, Zeng, et al., 2016). Yun et al. (2017) have shown that an increase in growth temperature from 10 to 45°C , increased both the C—C bond

and lamellar packing order of phospholipid chains leading to decreased membrane fluidity. Furthermore, Yun et al. (2017) have demonstrated that the PEF treatment time under the same electric field strength (29.33 kV/cm) for the inactivation of 90% of *S. typhimurium* cells was almost 4 times higher when cells were cultured at 10°C than at 45°C which indicates a PEF-efficiency dependence on membrane lipid composition.

Additionally, Wang et al. (2016) have shown that different growth temperatures lead to different percentages of injured *S. aureus* cells, following PEF treatments. Specifically, they found that *S. aureus* cells cultivated at 10 and 25°C prior to a PEF treatment at 52.0 kV/cm, exhibited sublethal injuries to 12.26% and 20.75%, respectively. Those differences were attributed to changes in membrane structural integrity and fluidity, as a result of altered fatty acid membrane composition at different temperatures. *S. aureus* cells cultivated at 10°C were more PEF sensitive because of their increased branched chain fatty acid (BCFAs) membrane content (such as anteiso C15:0 and anteiso C17:0) and their resulting membrane fluidity, whereas cells cultivated at 25°C were more PEF resistant due to their reduced BCFA membrane content, increased straight chain fatty (such as C16:0, C17:0 and C18:0) and their reduced membrane fluidity (Wang et al., 2016). In line with the previous studies, the ratio of unsaturated and saturated fatty acids in the membrane lipids of *S. cerevisiae* changed after PEF treatment (20 kV/cm and 500 μ s,) from 71.14% and 23.6% to 60.56% and 30.27%, respectively, confirming once again the tight relationship between membrane fluidity and effective microbial inactivation (Los & Murata, 2004; Zhao et al., 2014a).

Rivas et al. (2013) demonstrated that after PEF application of 15 kV/cm, 700 μ s and five inlet temperatures (7, 16, 24, 30 and 38°C) the percentage of sub-lethally damaged *E. coli* DH5 α cells reached a 16% maximum with increasing temperature from 7 to 30°C , whereas a decrease in sub-lethal damages was observed at 38°C . Zhao et al. (2022) have shown that depending on different water bath temperatures (4, 15, 35, 55°C) in combination with a PEF treatment (20 kV/cm for 200 μ s) different inactivation rates of *S. cerevisiae* BY4742 were identified (i.e., at 55°C – 6.1 \log_{10} , at 4°C – 4.3 \log_{10} , at 35°C – 3.9 \log_{10} and at 15°C – 3.9 \log_{10}). Additionally, after storage at 4°C for 5 h different sublethal populations were reported at 15°C and 55°C . Garcia, et al., (2005) showed that Gram-positive in comparison to Gram-negative bacteria were more resistant to PEF treatments at pH 7.0, whereas Gram-negative bacteria were more resistant in comparison to Gram-positive to PEF treatments at pH 4.0. In harmony with the previous study, Arroyo et al. (2010) found *C. sakazakii* to sustain sublethal injuries over the 3.5–4.0 pH range, where the strain exhibited its maximum resistance to the

treatment.

Finally, Zhao et al. (2014a) have shown that injured cells of *S. cerevisiae* BY4742 treated with PEF (20 kV/cm) fully recovered after 70 min of incubation in 20 mmol/L glucose solution at 37 °C, suggesting that the repair mechanism is glucose-energy dependent and the mitochondria are one of the putative PEF treatment targets. Additionally, Garcia et al. (2006) have shown that the sublethally membrane injured population of *E. coli* (NCTC 5934) after PEF treatment was heterogeneous, with a small proportion (<5%) repairing their membranes immediately after PEF treatment and a remaining proportion (95%) requiring energy and lipid synthesis for membrane repair. This suggests that positive responses to the biosynthetic requirements of cytoplasmic membrane leads to repair of the sub-lethally injured cells (García et al., 2006).

4. Effect of PEF treatment at a microbial genomic/transcriptomic level

Gene expression alterations and differential protein synthesis before and after PEF treatments can reveal useful information for elucidating PEF-microbial inactivation and resistance mechanisms (Table 2)(Chueca

et al., 2015). Recently, Yun, Liu, et al. (2016) reported that following PEF application of 25 kV/cm and 1.2 ms to *S. typhimurium* cultivated at various temperatures (10, 20, 30, 37 and 45 °C), the transcription levels of the alternative sigma factor genes *rpoS*, *rpoE* and *rpoH* (genes which play an important role in protecting cells from environmental stress and repairing damages) were altered. More specifically: *rpoS* (RNA polymerase, Sigma S) transcription level was higher for cells cultivated at 10 and 20 °C, whereas the transcription levels for *rpoE* (RNA polymerase, extracytoplasmic E) and *rpoH* (RNA polymerase, Sigma H) were higher at 45 °C. Additionally, at higher cultivation temperatures (37, 45 °C), the transcription levels of the fatty acid biosynthesis related genes *cfa* (encoding Cyclopropane-fatty-acyl-phospholipid synthase involved with the formation of cyclopropane fatty acid, (CFA)) and *fabD* (encoding malonyl CoA:ACP transacylase and associated with the formation of malonyl ACP transformed by malonyl CoA) which may contribute to the alteration of PEF resistance to *S. typhimurium*, were higher, whereas the transcription level of fatty acid biosynthesis gene, *fabA* was lower (Yun, Zeng, et al., 2016). Studies by Chueca et al. (2015) showed that after PEF treatment (E:25 kV/cm, τ : 2 μ s, n:50, <35 °C), targeting almost 90% of *E. coli* MG1655 cells, 20 genes were up-regulated and 27 were down-regulated. Some of the up-regulated genes included: cytochrome *bo*

Table 2
Genes/Proteins investigated under PEF treatments and their effect to microorganism's survival.

Microorganism	PEF parameters & Medium characteristics	Genes/ Proteins	Effect	Reference
Gram negative <i>S. typhimurium</i>	<u>PEF parameters</u> (E:25 kV/cm, t:1.2 ms, τ :40 μ s,n:50 bipolar square pulses) <u>Medium characteristics</u> (pH: 6.9 \pm 0.1, 180 \pm 1 μ S/cm)	<ul style="list-style-type: none"> • alternative sigma factor S, (<i>rpoS</i>) • alternative sigma factor E, (<i>rpoE</i>) • alternative sigma factor H, (<i>rpoH</i>) • fatty acid biosynthesis, (<i>cfa</i>, <i>fabD</i>, <i>fabA</i>) 	Upregulation dependent on culture temperature (10, 20, 30, 37, 45 °C)	(Yun, Liu, et al., 2016)
<i>E. coli</i> MG1655	<u>PEF parameters</u> (E:25 kV/cm, τ : 2 μ s, n:50 exponential pulses, maximum temperature <35 °C) <u>Medium characteristics</u> (pH: 4.0, 2 mS/cm)	<ul style="list-style-type: none"> • cytochrome <i>bo</i> oxidase, (<i>cyoB</i>,<i>cyoC</i>, <i>cyoD</i>) • hemeO synthase, (<i>cyoE</i>) • transcriptional repressors of bet genes, (<i>betI</i>) • succinate dehydrogenase, (<i>sdhC</i>, <i>sdhD</i>, <i>sdhA</i>, <i>sdhB</i>) • chromosomal ars operon, (<i>arsR</i>) • protein of the outer membrane, (<i>OmpA</i>) • phosphoheptose isomerase, (<i>gmhA</i>) • protein involved in the degradation of unfolded or abnormal proteins, (<i>ClpA</i>) • ribosomal protein S6 in the 30S subunit of ribosome, (<i>RS6</i>) • enzymedeoxyuridine 5'-triphosphate nucleotidohydrolase, (<i>Dut</i>) • ferritine, (<i>FtnA</i>) 	Upregulation	(Chueca et al., 2015)
<i>E. coli</i> DH5 α	<u>PEF parameters</u> (E:15 kV/cm, t: 700 μ s, bipolar square pulses maximum temperature <25 °C) <u>Medium characteristics</u> (pH: close to 7.0, *†NS mS/cm)	<ul style="list-style-type: none"> • protein of the outer membrane, (<i>OmpA</i>) • phosphoheptose isomerase, (<i>gmhA</i>) • protein involved in the degradation of unfolded or abnormal proteins, (<i>ClpA</i>) • ribosomal protein S6 in the 30S subunit of ribosome, (<i>RS6</i>) • enzymedeoxyuridine 5'-triphosphate nucleotidohydrolase, (<i>Dut</i>) • ferritine, (<i>FtnA</i>) 	Decreased level (affected by treatment) Increased level after treatment (recovery related)	(Rivas et al., 2013)
Gram positive <i>S. aureus</i> Newman	<u>PEF parameters</u> (E:19–31 kV/cm, exponential pulses, maximum temperature <35 °C) <u>Medium characteristics</u> (pH: 7.0, 2 mS/cm)	<ul style="list-style-type: none"> • alternative sigma factor B, (<i>sigB</i>) 	Importance for resistance	(Cebrián et al., 2009)
<i>L. monocytogenes</i> EGD-e	<u>PEF parameters</u> (E:30 kV/cm, τ :3 μ s, f:1 Hz, square pulses, <35 °C maximum temperature) <u>Medium characteristics</u> (pH: 4.0 and 7.0, 1 mS/cm)	<ul style="list-style-type: none"> • alternative sigma factor B, (<i>sigB</i>) 	No effect	(Somolinos et al., 2010)
Yeast <i>S. cerevisiae</i> BY4742	<u>PEF parameters</u> (voltage:2 and 4 kV, t: 30 min) <u>Medium characteristics</u> (sterilized distilled water)	<ul style="list-style-type: none"> • heat-shock-protein encoding gene, (<i>HSP104</i>) • genes encoding enzymes for superoxide removal, (<i>SOD1</i>, <i>SOD2</i>) • glutathione synthesis genes, (<i>GLR1</i>, <i>GSH1</i>) • heat-shock-protein encoding gene, (<i>HSP104</i>) 	Slightly induced expression Induced expression	(Tanino et al., 2012)
<i>S. cerevisiae</i> BY4742	<u>PEF parameters</u> (E:20 kV/cm, t: 200 μ s, τ :2 μ s) <u>Medium characteristics</u> (pH: 7.2, *NS mS/cm)	<ul style="list-style-type: none"> • heat-shock-protein encoding gene, (<i>HSP104</i>) 	Importance for resistance	(Zhao et al., 2022)

E: electric field strength; t: is total treatment time; τ : is pulse width; n: number of pulses; f: pulse repetition rate.

* NS: non-specified.

† ND: not determined.

oxidase (one of the 3 major terminal oxidases in the aerobic respiratory of *E. coli*) genes (*cyoB*, *cyoC*, *cyoD*) and hemeO synthase (*cyoE*) which are included in functioning of cytochrome bo oxidase, succinate dehydrogenase (*sdhCDAB*) operon and transcriptional repressors of bet genes (*betL*) and chromosomal ars operon (*arsR*) (Chueca et al., 2015). Cebrián et al. (2009) showed that absence of the alternative Sigma factor (*sigB*) regulator, in *S. aureus* (Newman and IK184, an isogenic *DrsbUVW-sigB* knockout mutant) lowered the resistance against PEF treatments. However, in the study by Somolinos et al. (2010) the *SigB* gene was dispensable to PEF, as both strains of *Listeria monocytogenes* (EGD-e and its isogenic deletion mutant $\Delta sigB$) showed the same resistance under the same conditions, independently of the treatment's pH. However, under heat treatment, the genes regulated by SigB appeared to contribute to heat resistance at pH 7.0 (Somolinos et al., 2010). Also, Tanino et al. (2012) showed differences in the transcription level of the heat stress response gene *HSP104* and oxidation stress response gene *GLR1* after thermal (42 °C for 30 min) and PEF treatments (2 kV and 30 min, 4 kV and 30 min), respectively. Additionally, expression of different stress response genes, such as *GSH1* and *GLR1* (responsible for glutathione synthesis enzymes) and *SOD1* and *SOD2* (encoding enzymes for superoxide removal) were induced following only PEF treatments. Interestingly, the same study suggested that glutathione-dependent biological defense mechanisms against oxidation stress appear to be important in the *S. cerevisiae* resistance against PEF treatments.

Liu et al. (2019a) found 29 out of the 175 differentially expressed proteins of *E. coli* CGMCC44102 after PEF treatment, were located at the cell membrane, with 16 of the identified proteins playing a role in the transmembrane transport of various small metabolites and macromolecules, including phosphate ions, zinc, glycine betaine, trehalose, as well as short peptides, carbohydrates and lipids. The study of Rivas et al. (2013) identified 7 common proteins differentially produced following PEF treatment of *E. coli* DH5 α (15 kV/cm, for 700 μ s at various temperatures (7, 16, 24, and 38 °C). More specifically, outer membrane protein A (*ompA*), had levels that were lower at 7 °C and 16 °C than the control or even non-existent at 24 °C and 38 °C (Rivas et al., 2013). In contrast, the levels of phosphoheptose isomerase (*GmhA*; involved in the biosynthesis of cell wall lipopolysaccharide), cytosolic phosphorylase A (*ClpA*; involved in the degradation of unfolded or abnormal proteins), ribosomal protein S6 in the 30S ribosomal subunit (*RS6*; involved in the translation stage of protein biosynthesis), enzyme deoxyuridine 5'-triphosphate nucleotidohydrolase (*Dut*; responsible of the production of dUMP and involved in nucleic acid metabolism) and ferritin A (*FtnA*; involved in storing iron) were connected with the recovery of the microorganism (Rivas et al., 2013).

Furthermore, Zhao et al. (2014a) reported changes in the activity of cellular enzymes of *S. cerevisiae* by PEF. More specifically, following a PEF treatment at 20 kV/cm for 500 μ s, *S. cerevisiae* lipase C14, cystine arylamidase, naphthol-AS-BI-phosphohydrolase and α -glucosidase activities were undetectable, whereas esterase (C4), esterase lipase (C8), leucine arylamidase, valine aryl-amidase and phosphatase acid were markedly reduced, indicating a reduced rate of lipolytic processes (Zhao et al., 2014b). Additionally, Zhao et al. (2022) have identified that after a combination of mild heating and PEF treatment there were 8 significantly up regulated proteins (such as cystathionine beta-synthase, intracellular glyceraldehyde-3-phosphate dehydrogenase, phosphopyruvate hydratase *ENO2* etc.) and 11 down regulated spots (such as translation machinery-associated protein 17, thioredoxin peroxidase, dihydroorotate dehydrogenase etc.). Among the up regulated proteins, the intracellular glyceraldehyde-3-phosphate dehydrogenase is an enzyme playing an important role in glycolysis and gluconeogenesis pathways and is important in the cellular energy metabolism. Additionally, due to emerging thermal effects, heat shock proteins, such as heat shock protein 70 (Heat shock protein of Hsp70 family and stress-seventy subfamily A protein) were also upregulated (Zhao et al., 2022). In line with the aforementioned observations, Zhao et al. (2014a) showed compromised recovery from *S. cerevisiae* sublethal injuries under PEF-

induced mitochondrial damage. Thus, the production of different proteins related to the cell membrane functioning and repair, glycolysis and heat shock can play an important role in the resistance against PEF treatment (Liu et al., 2019b; Zhao et al., 2022).

In conclusion, the investigation into gene expression alterations and differential protein synthesis after PEF treatments have provided valuable insights into microbial inactivation and resistance mechanisms. Studies on *S. typhimurium* have highlighted temperature-dependent variations in the transcription levels of key genes involved in stress response and damage repair, emphasizing the complexity of microbial responses to PEF. Additionally, research on *S. aureus* has implicated the regulatory alternative sigma factor B, in determining resistance to PEF treatments. Analysis of protein expression profiles in *E. coli* and *S. cerevisiae*, has revealed the involvement of membrane-associated proteins and enzymes related to cellular metabolism in the resistance mechanisms against PEF. Notably, the combination of mild heating and PEF treatment has been shown to induce changes in the expression of key proteins associated with glycolysis, cellular energy metabolism, and heat stress response. These findings collectively underscore the multifaceted nature of microbial responses to PEF and provide a foundation for enhancing our understanding of PEF-based microbial control strategies. Further investigations into the transcriptomics, proteomics, and metabolomics of different microorganisms after PEF treatments it is vital for gaining a more comprehensive understanding of the resistance mechanisms and exploring the potential optimization of PEF, whether applied alone or in combination with other hurdles.

An overview on recent investigations of microbial disinfection of food products following PEF-treatments is presented in the current manuscript. In this review, it was shown that 5-log reduction of microorganisms was achieved in specific experimental conditions. Additionally, it was shown that the PEF-inactivation efficiency (5-log reduction) varies with the nature of the food products, the characteristics of the microorganisms and the PEF system/set parameters employed. For example, the threshold of 5-log reduction was achieved for *E. coli* and high liquid acid products such as orange juice and strawberry juice. In parallel, this review underlined the different mechanisms that can contribute to the microbial PEF resistance (i.e., alterations in the synthesis profile of proteins involved in cell membrane function/transport, cell membrane repairment, oxidative stress adaptation and cellular energy metabolism). However, further investigations should be conducted in this area of research. A deeper understanding and identification of the underlying mechanisms of microbial PEF resistance can aid in optimizing the technology and potentially integrating it with other hurdles.

5. Conclusion

PEF technology is a promising method and has shown its potential to inactivate bacterial vegetative cells, yeasts and moulds, and to achieve the food safety requirements, especially for high acid liquid food products, such as fruit juices. However, the attainment of the goal of food safety is contingent upon the specific targeted microorganism, the nature of the food product, and the applied PEF parameters. In certain instances, achieving high-intensity treatments necessary for the antimicrobial efficacy may pose drawbacks, including increased process costs and potential impacts on the organoleptic and nutritional quality of the food. In parallel, PEF process due to its dependence on specific application parameters, may result in reversible electroporation especially in lower intensity treatments, allowing a partial recovery of the initial population, potentially posing serious food safety concerns. This reversible nature means that PEF's effectiveness is not absolute, and partial recovery could lead to significant food safety issues. Therefore, PEF is a promising technology with limitations on the applicability for microbial inactivation depending on the aforementioned parameters. In conclusion, advancing the industrialization of PEF process for microbial inactivation and enhancing its efficiency can progress along two distinct paths: optimizing the PEF process and integrating the technology with

additional hurdles.

Funding

This project has received funding from the European Union's Horizon 2020 Research and Innovation Programme under the Marie Skłodowska-Curie grant agreement No 955431.

CRediT authorship contribution statement

Fotios Lytras: Conceptualization, Investigation, Visualization, Writing – original draft, Writing – review & editing. **Georgios Psakis:** Conceptualization, Supervision, Writing – review & editing. **Ruben Gatt:** Conceptualization, Supervision, Funding acquisition, Writing – review & editing. **Guillermo Cebrián:** Writing – review & editing. **Javier Raso:** Writing – review & editing. **Vasilis Valdramidis:** Conceptualization, Supervision, Resources, Project administration, Funding acquisition, Writing – review & editing.

Declaration of competing interest

None.

Data availability

Data will be made available on request.

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