

The State of Nanoparticle-Based Nanoscience and Biotechnology: Progress, Promises, and Challenges

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The still young field of nanoscience has already undergone major advances and breakthroughs. Important discoveries have been made and many questions have been answered. Synthetic protocols for precisely tuning the size, shape, and properties of colloidal nanoparticles (NPs) are now well established. This fine control in the fabrication of NPs has provided researchers with new opportunities for their use as functional building blocks, bringing the properties displayed by NPs on par with those of atoms and molecules. Many NP superstructures with new properties and applications have been developed, mimicking the behavior of efficient natural machines (*e.g.*, enzymes, proteins, biopolymers, or viruses). This idea has had significant impact on several major fields, such as energy and biology. The question that remains is which direction is nanoscience (based on NPs) heading? In this *ACS Nano* Focus article, we will highlight the state-of-the-art topics and discuss some possible future directions of NP-based nanoscience.

Nanoparticles Can Exhibit Protein-like Properties. Inorganic NPs, with size ranges of 1–100 nm, exhibit size- and composition-dependent properties. For instance, semiconductor NPs and metal clusters exhibit size-dependent

ABSTRACT Colloidal nanoparticles (NPs) have become versatile building blocks in a wide variety of fields. Here, we discuss the state-of-the-art, current hot topics, and future directions based on the following aspects: narrow size-distribution NPs can exhibit protein-like properties; monodispersity of NPs is not always required; assembled NPs can exhibit collective behavior; NPs can be assembled one by one; there is more to be connected with NPs; NPs can be designed to be smart; surface-modified NPs can directly reach the cytosols of living cells.

absorption and emission features due to size confinement of their electronic states, while larger metal NPs, which contain much larger numbers of electrons, exhibit size- and shape-dependent plasmonic properties. Similarly, NPs made of magnetic materials show size-dependent magnetization. Owing to their discrete electronic states, semiconductor NPs, also referred to as quantum dots (QDs), have often been termed as "artificial atoms". When NPs are brought in close proximity to one another their electronic states can couple, which can further alter their individual properties. They can exhibit molecule-like behavior, which leads to the use of the term "artificial molecules" to describe them.¹ Similar considerations can be made for metal NPs, where surface plasmon modes couple and hybridize.²

Besides the similarity of NPs and their assemblies to atoms and molecules based

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Narrow size-distribution nanoparticles can exhibit protein-like properties.

However, modern synthesis techniques enable preparations of NPs with extremely narrow size distributions.⁶ Although this is not absolutely required for successful replication of protein properties, this can certainly help in mimicking their well-defined structure.

Concerning their assembly, the types of forces that determine the interactions of NPs with each other and with their surroundings are the same as those governing proteins. These include van der Waals interactions, dipolar attraction, electrostatic repulsion,⁷ and hydrogen bonding. Computer simulations of NP interactions can be built using previous models developed for proteins.⁸ The manifestation of the similarity of these interactions and the nanoscale dimensions of NPs can be seen in the self-organization of NPs into superstructures reminiscent of those observed for proteins (see Figure 1c–e).^{7,9,10} Without narrow NP size distributions, such superstructures would not form lattices. Also, the issue of the numbers and distributions of capping molecules (surfactants) has particular relevance in the case of anisotropic NPs, since curvature may vary strongly from one part of the NP to another, and the presence of different crystalline facets may also determine varying adsorption energies. This has been shown to affect the assembly of gold nanorods, toward both forming chains¹¹ and inducing side-to-side organization.¹²

As an indication of interesting future directions, there are growing numbers of observations of functional similarities between NPs and proteins,^{13–15} although the structural variability of NPs makes their biological function less specific. This disadvantage could be offset by the thermal stability of inorganic cores of NPs, which can provide technological advantages in biotechnology and other areas. Understanding where the structural and functional properties are similar and where they are different represents an important fundamental aspect of the concept of NP–protein analogies. Naturally, in our analogy with proteins, solubility of NPs and their colloidal stability

is an important issue, which will be discussed below.

We conclude that NP synthesis is already an established field; NPs of extremely high quality in terms of size and shape are readily available for many kinds of materials, rendering them as flexible building blocks with several advantageous properties that are in many ways analogous to proteins.

Monodispersity of nanoparticles is not always required. Having stated above that almost perfectly monodisperse NPs can now be routinely synthesized, one may ask the question: is monodispersity always advantageous? Nature is complex and accommodates both highly monodisperse as well as polydisperse “molecules”. Naturally synthesized proteins are in general monodisperse (as discussed above), because they are composed of exactly the same number of amino acids, although the structure can vary due to fluctuations and can be controlled by post-translational modification. Molecular identity originates from the way proteins are generated in cells. Specific DNA sequences for corresponding proteins are expressed *via* protein biosynthesis. As each protein of one type is built according to a well-defined genetic code (i.e., the same DNA-based master plan) it has the same sequence of amino acids. Although single-molecule experiments indicate that proteins behave as individual entities (e.g., due to local fluctuations imposed by changes in their nanoenvironments),¹⁶ each protein of one type is designed to fulfill the same function, such as enzymatic activity.

Nonetheless, many other types of molecules are polydisperse, such as biopolymers with a distributed number of monomeric motives. Cellulose fibers, for example, provide structural strength, for which the exact length is not critical. Multimeric proteins composed of variable numbers of monomers are thus polydisperse. F-actin and tubulin proteins also do not require a single well-defined length to perform their function as rails for molecular transport. However, the multimeric protein titin is monodisperse, despite its large size. Titin acts

82 on electronic states, we can draw
83 further similarities between water-
84 soluble NPs and proteins when con-
85 sidering their interactions with simi-
86 larly sized macromolecules and their
87 ability to produce complex structur-
88 al arrangements.³ Specific proteins
89 can be assigned to an exact chemi-
90 cal formula and thus a precisely
91 defined molecular weight (leaving
92 aside the fact that some of their
93 groups can be chemically modified
94 and statistically protonated/deproton-
95 ated). Intramolecular forces between
96 different parts of the protein drive
97 globular proteins into defined structur-
98 al conformations. Conformations are
99 not rigid, though, as there are tem-
100 perature-dependent internal modes
101 of fluctuations (protein dynamics).
102 Because of their precise chemical for-
103 mula and well-defined structural con-
104 formation (which can depend on
105 temperature and environment), many
106 proteins can self-assemble and form
107 larger-scale “particles” with long-
108 range order. Depending on the crys-
109 tallization procedure, the number of
110 proteins per unit cell and the crystal
111 lattice may vary. How do NPs com-
112 pare to this?³ First of all, water-soluble
113 NPs and proteins share similar nano-
114 scale dimensions (see Figure 1a,b)
115 and interfacial chemistry. However,
116 there is also a substantial structural
117 difference between them. Although
118 some small clusters exist that are
119 composed of an exactly defined num-
120 ber of atoms,⁴ NPs of one species in
121 general will have some variation in
122 the numbers of atoms in their core,
123 and also some variation in the num-
124 bers of attached surfactant molec-
125 ules on their surfaces.⁵ Thus, NPs
126 of one species are usually not identical
127 in their atomic compositions, which
128 distinguishes them from proteins.

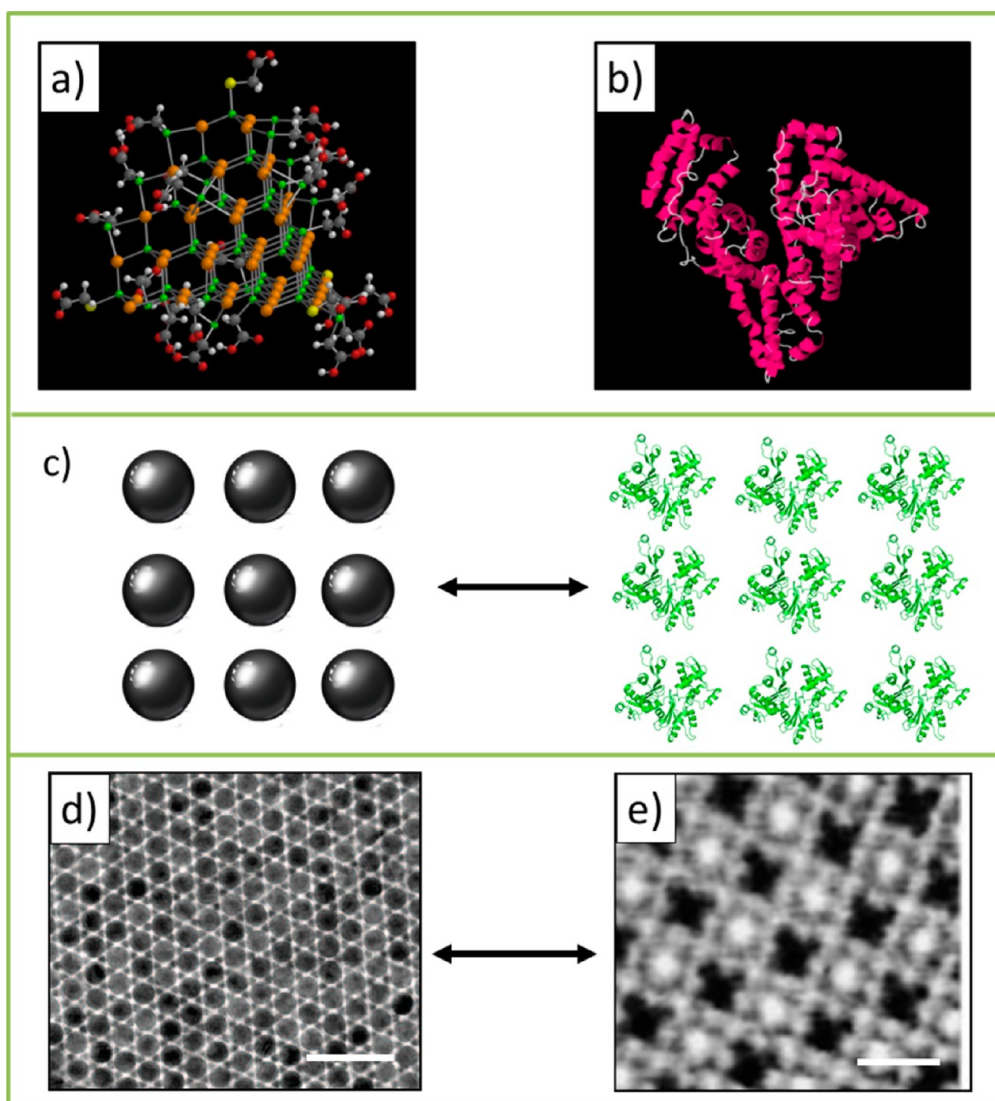


Figure 1. (a) Atomic simulation of the core structure of a tetrahedral CdS NP (SPARTAN software). (b) Molecular structure of the protein human serum albumin (JMOL software, RCSB Protein Data Bank, 1AO6). (c) Monodisperse NPs can behave like proteins, for example, in forming 2D lattices. (d) 2D lattice of 13.4 nm Fe₂O₃ and 2.5 nm PbSe NPs. The scale bar corresponds to 50 nm. Image reproduced from ref 85. Copyright 2009 American Chemical Society. (e) 2D lattice of *Bacillus stearothermophilus* NRS 2004/3a/V2 (outer face). The scale bar corresponds to 10 nm. Image reproduced with permission from ref 58. Copyright 1999 Wiley.

243 as a ruler for the assembly of the
 244 sarcomere with all of the molecular
 245 motors in exactly the correct position,
 246 and thus, defined length and mono-
 247 dispersity are required. Generally,
 248 many molecular assemblies vary their
 249 composition according to actual
 250 needs. The number of ribosomes
 251 translating RNA and the number of
 252 stator molecules in the rotary motors
 253 of bacteria are just a few examples.
 254 Thus, monodispersity of biological
 255 molecules is not always required and
 256 depends on the respective natural
 257 function of the molecule.

258 Also for NPs, the question of
 259 whether monodispersity is required

or not depends on the targeted
 application, that is, if the required
 functionality depends on size. This
 point is nicely illustrated by consid-
 ering some optical effects of NPs.
 Titanium dioxide NPs (employed in
 sunscreen) are used to absorb harm-
 ful UV light and to convert it into
 harmless heat. Although bigger
 NPs have higher absorption cross
 sections per NP and thus may provide
 more effective protection, monodis-
 persity would not necessarily improve
 protection from UV exposure, as smal-
 ler NPs also exhibit sizable absorption.
 In contrast, the wavelength of emis-
 sion of QDs strongly depends on size,

and thus, for applications where high
 color purity is desired (*i.e.*, light-emit-
 ting QD-based devices), substantially
 reduced size dispersity is necessary;
 reduced size dispersity also prevents
 detrimental reabsorption. In a variety
 of applications where light-harvesting
 properties of semiconductor QDs are
 important, and, in particular, in those
 applications related to energy transfer
 with QDs,^{18–23} size tunability and the
 precise adjustment of the emission
 spectrum of the donor toward the
 absorption spectrum of the acceptor
 are important. Whereas mono-
 dispersity is important in the case of
 devices composed of unannealed

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294 NPs such as in the aforementioned
295 QD-based light emitting devices
296 (LEDs),²⁴ with sintered devices where
297 the NPs are further grown after de-
298 position, such as solution-processed
299 sintered CdTe QD solar cells, in-
300 creased size polydispersity is less of
301 a concern.²⁵

302 Monodispersity of QDs as fluo-
303 rescence labels is essential when
304 multiplexed analyses of several tar-
305 gets, such as different mutants or
306 bacteria, are required.²⁶ For poten-
307 tial medical *in vivo* applications,
308 monodispersity is desirable under
309 certain circumstances. Several or-
310 gans of the human body act as
311 size-selective filters,²⁷ exemplified,
312 for instance, by the kidneys and, in
313 particular, by the glomerular base-
314 ment membranes.^{28,29} Small NPs
315 (<5–15 nm) are rapidly and effi-
316 ciently excreted through the kid-
317 neys by renal filtration and are thus
318 eliminated from the body.^{30–37}
319 However, large NPs (>200 nm)
320 are easily detected by the immune
321 system, removed from the blood, and
322 delivered to the liver and the spleen,
323 and, to a lesser degree, bone.^{38–40}
324 Thus, monodispersity helps to control
325 biodistribution⁴¹ and guide delivery.
326 At the same time, without having
327 well-defined NP sizes, size-dependent
328 cytotoxic effects cannot be properly
329 investigated.⁵ In contrast, several
330 commercial NP-based products, such
331 as the aforementioned sunscreen,
332 are not made of monodisperse NPs,
333 and this limits our ability to access
334 cytotoxic effects of these samples
335 based solely on their physicochemical
336 properties.

337 Self-organized systems of NPs
338 are examples of systems for which
339 NP “monodispersity” can be desir-
340 able but is not strictly necessary.
341 Interestingly, highly polydisperse
342 NPs can give rise to monodisperse
343 *assemblies* as represented by supra-
344 particles (see Figure 2a).⁴² These
345 superstructures represent dynamic
346 NP systems in equilibrium (see
347 Figure 2b,c).⁴³ The balance between
348 the electrostatic repulsion and various
349 weaker attractive interactions results
350 in the formation of equally sized

spheres and core–shells from 200–
300 individual NPs even though the
“building blocks” display wide varia-
tions in size.⁴² The simplicity of supra-
particle assemblies, their multifuncti-
onality, structural versatility, and
similarity with viral particles repre-
sent interesting directions for future
research in nanostructures.

**The simplicity of
supraparticle
assemblies, their
multifunctionality,
structural versatility,
and similarity with viral
particles represent
interesting directions
for future research in
nanostructures.**

We conclude that monodispersity is
only required if at least one of the
desired functions of the NPs is related
to size or to the need for periodic
architectures, which ultimately de-
pends on the desired application.

**Assembled nanoparticles can do more
than single nanoparticles.** The func-
tional properties of different types
of NPs (made from different materi-
als, sizes, and shapes), such as fluo-
rescent, plasmonic,⁴⁴ magnetic,⁴⁵
biological,⁴⁶ and catalytic NPs, have
been reviewed extensively.^{47–50}
However, besides making use of
the individual functionality of the
NPs, they can also be self-assembled.
As discussed above, supraparticles are
one example of such assemblies.⁴²
Similar to viruses (which they resem-
ble structurally), supraparticles pos-
sess a modular structure in which
one can combine the functionalities
of different building blocks such as
semiconductor and plasmonic NPs
(see Figure 3a–d).

Colloidal crystals are examples of
assemblies of NPs that can exhibit

novel and better properties in com-
parison to individual NPs. The basis
of colloidal crystals is that NPs have
predominantly isotropic interpartic-
le interactions. Owing to extremely
narrow size distributions, self-as-
sembled colloidal crystals can reach
three-dimensional (3D) lattices with
millimeter-scale dimensions (*i.e.*,
macroscopic, see Figure 3e). An-
other type of self-assembly is based
on anisotropic NP interactions, mostly
characteristic of water-soluble NPs.
They do not have to be monodisperse,
yet they self-assemble into a large
variety of superstructures based on
the preferential patterns of NP
attachments to each other. Initially,
researchers demonstrated the assem-
bly of NPs in chains and nanowires,⁷
and subsequently, a family of NPs
self-assembled into sheets,⁹ and
more complex 3D superstructures
exemplified by gels⁵¹ and twisted
nanoribbons were reported.¹⁰ Be-
sides the cases of assembly under
the influence of external forces, the
self-organization of NPs can also
be induced by forces from other
molecules, as in excluded volume
interactions,⁵² which can be used
for fine-tuning the patterns and di-
mensions of assembled structures.

One way to control the interpartic-
le spacing in these assemblies is
the use of a spacer such as a polymer
or microgel. For example, core–
shell microgels have well-defined
structures that consist of a single
NP core with a polymer shell. The
effective particle volume thus in-
creases, providing a spacer between
the NP cores that becomes important
for controlling nearest-neighbor dis-
tances in the self-assembly.^{53,54} Es-
pecially useful are responsive microgels
where the volume can be tuned
through external stimuli such as pH,
temperature, or ionic strength. This
then provides two ways of control-
ling particle distances: first by control-
ling the size of the spacer through
synthesis, and second through the
use of external stimuli. Discrete NP
assemblies analogous to regular mol-
ecules can also be made with great
precision using DNA connectors.^{55,56}

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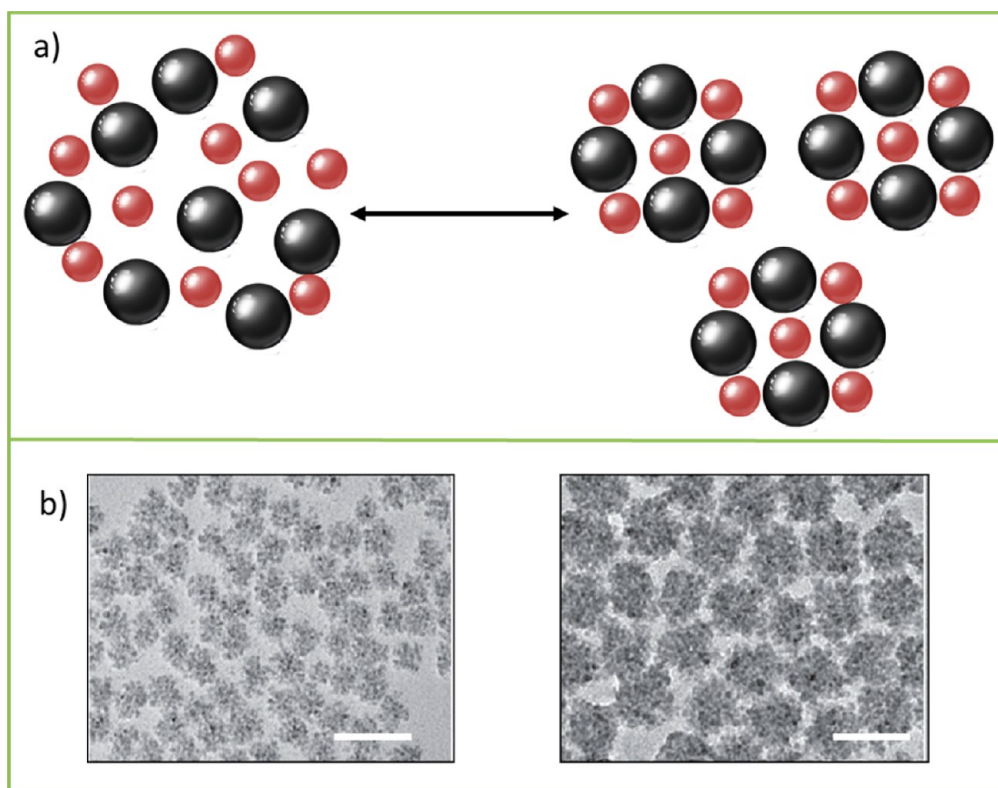


Figure 2. (a) Nanoparticles with broad size distributions can assemble into quasi-monodisperse particles. (b) Assembly of core/shell supraparticles from CdSe and Au NPs. The scale bars correspond to 50 nm. Images are reproduced with permission from ref 42. Copyright 2011 Nature.

463 While the previous examples
464 considered direct self-assembly of
465 NPs both with and without specific
466 interparticle linkers, self-assembled
467 templates can also be used, to which
468 the NPs are subsequently bound.⁵⁷
469 A classic set of templates are two-
470 dimensional (2D) crystals of S-layer
471 proteins, which, depending on the
472 experimental conditions, can be
473 formed with different lattice types.⁵⁸
474 Nanoparticles can later be attached
475 to periodic structures and thus peri-
476 odically assembled.⁵⁹ Another ex-
477 ample of 2D protein crystals are
478 chaperonin templates. Engineering
479 the pore size of the barrel-like pro-
480 tein structure enables the assembly
481 of NPs with different sizes.⁶⁰ Use of
482 DNA bridging offers even more
483 flexibility for designing one-dimen-
484 sional (1D), 2D, and 3D templates.⁶¹
485 Beyond lattices, more sophisticated
486 structures are possible. For example,
487 single-stranded DNA, which exhibits
488 predesigned complementarity, self-
489 assembles into 2D sheets that roll over
490 into single DNA tubes of controllable

diameters, similar to the formation of
carbon nanotubes (CNTs) from gra-
phitic sheets. By using “sticky” ends
protruding from the DNA lattice (*i.e.*,
by the modification of the DNA sub-
units with protruding nucleic acid
tethers), NPs can be attached.^{62,63}
For example, Au NPs could be hybri-
dized with the subunits of the tubes to
form “honeycomb” NP/DNA tube
nanostructures.⁶⁴ These concepts ulti-
mately lead to the development of
DNA-origami templates, which are
not necessarily bound to surfaces.⁶⁵
These can be used as templates for
the assembly of NPs, enabling the
assembly in 3D.⁶⁶

While the direct self-assembly of
NP lattices (without linkers) offers only
a limited degree of freedom concern-
ing the spacing between the individual
NPs and the composition of the lattice
out of different types of NPs, attach-
ment of NPs to self-assembled tem-
plates enables convenient tuning of
inter-NP distances. This strategy has
been employed for measuring dist-
ance-dependent quenching effects.⁶⁷

491 However, because of their intrinsic size,
492 templates cannot provide the same
493 small distances between NPs as are
494 possible in directly self-assembled NP
495 structures. Therefore, depending on
496 the application, one or both ap-
497 proaches can be selected.

498 Controlled NP assemblies lead to
499 materials with novel properties, due
500 to coupling effects exhibited by NPs
501 in close proximity. In the case of
502 fluorescent, semiconducting, plas-
503 monic, and magnetic NPs, excited
504 electronic states and magnetic mo-
505 ments couple to one another. By
506 using assembled QDs with differ-
507 ent wavelengths, fluorescence reso-
508 nance energy transfer (FRET) be-
509 tween adjacent QDs can be used to
510 funnel light from high to low ener-
511 gies.^{68–70} With the recent introduc-
512 tion of compact ligands that promote
513 strong interparticle coupling and
514 methods to dope QDs,^{71–73} NP as-
515 semblies integrated into field-effect
516 transistors have shown high-carrier
517 mobilities exceeding 15 cm²/(V s)
518 and the benchmarks of conventional

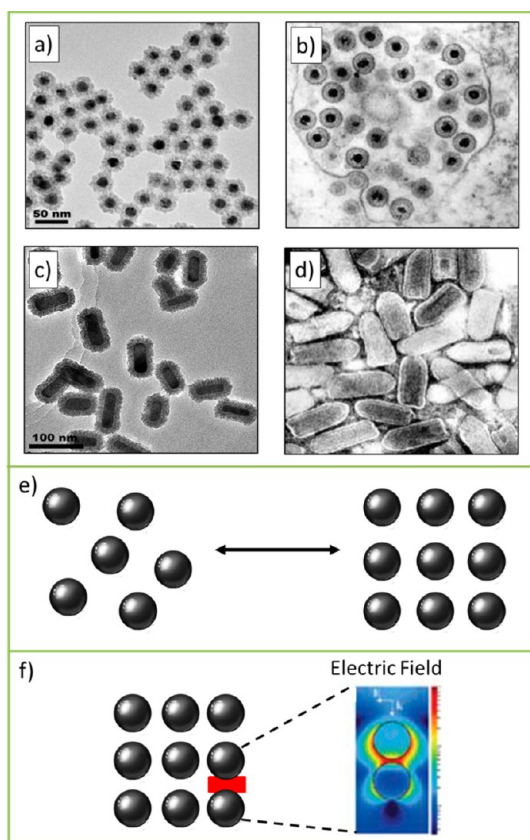


Figure 3. Transmission electron microscopy images of (a) self-assembled monodispersed supraparticles of CdS (shell and Au (core)). The scale bar corresponds to 50 nm.⁴² (b) Herpes simplex virus magnification ca. 40000 \times , with remarkable structural similarity to the supraparticles as shown in image a. Micrograph from F. A. Murphy, School of Veterinary Medicine, University of California, Davis. (c) Composite NPs made out of a CdSe (shell) and Au nanorods (core). The scale bar corresponds to 100 nm.⁴² (d) Rabies virus. Magnification ca. 40000 \times , with similar structure to the composite NPs shown in image c. Micrograph from F. A. Murphy, School of Veterinary Medicine, University of California, Davis. (e) Nanoparticles can be assembled into periodic structures, such as 2D lattices. (f) If plasmonic NPs are brought into proximity, an incident electromagnetic field can be amplified between the NPs, at so-called hot spots (as depicted in red). The distribution of the electric field between the Au NPs is shown. Image adapted with permission from ref 48. Copyright 2011 RSC Publishing.

547 thin film amorphous silicon transis-
548 tors.^{74,75} In the case of plasmonic
549 NPs, plasmons of adjacent NPs can
550 couple, leading to enhanced plasm-
551 onic heating^{76,77} or hot spots for sur-
552 face enhanced Raman scattering
553 (SERS) and fluorescence^{78,79} en-
554 hancement (see Figure 3f).^{80,81} Coupling
555 of magnetic moments between ad-
556 jacent NPs can lead to changes in
557 their collective relaxivity.^{82,83} Thus, in
558 all cases, the coupling of NPs changes
559 their properties. Other interesting di-
560 rections originating from changing
561 the properties of NPs through their
562 arrangement is the concept behind
563 chiral NPs⁸⁴ and their assemblies,^{85,86}
564 which were observed only recently.

Here, 3D assembly of NPs can lead to
chirality, a property that is not speci-
fically controlled in the NP building
blocks.^{66,87–89} In this case, though,
there is no coupling between the
assembled NPs: it is the interaction
of light with the NP assembly that
alters the assemblies' properties to
be distinct from those of individual
NPs. Understanding the origins of the
chiral properties of NPs and their
relation to those of typical carbon-
based structures⁹⁰ for both semicon-
ductor and metallic nanostructures is
likely to generate much interest in
the future.

Cluster-assembled materials re-
present a limit of precise assembly

and controlled coupling.⁹¹ In this
case, precisely stoichiometrically de-
fined clusters (e.g., As_7 or Au_{11}) are
held together by ionic, covalent, or
other well-defined linkers. As the
clusters are relatively distant, their
coupling is not strong and the calcu-
lated and measured bands are rela-
tively flat. Nonetheless, by vary-
ing the linkers for a specific cluster,
the material properties, such as the
band gap, can vary dramatically.^{92,93}

We conclude that the integration
of individual NPs into larger, sub-
micrometer-, micrometer-, or milli-
meter-sized objects can provide
new functionality, not exhibited by
individual NPs. Integrative struc-
tures can be assembled massively
in parallel structure. In addition to
random assembly, both directed as-
sembly and the use of self-assembled
molecular structures as templates are
possible, thus enabling creation of
functional materials from existing NP
building blocks.

The integration of
individual NPs into
larger, submicrometer-,
micrometer-, or
millimeter-sized objects
can provide new
functionality, not
exhibited by individual
NPs.

**Nanoparticles can be assembled one-
by-one.** While for some applications
dispersed NPs in solution are re-
quired, such as *in vivo* delivery, for
other applications, such as molecu-
lar electronics, NPs need to be as-
sembled on surfaces (see Figure 4a).
Although NPs can serve as indivi-
dual functional building blocks, as
for single QD transistors,⁹⁴ for the
creation of functional devices, NP
components need to be positioned
and connected. Parallel assembly
was described in the sections above

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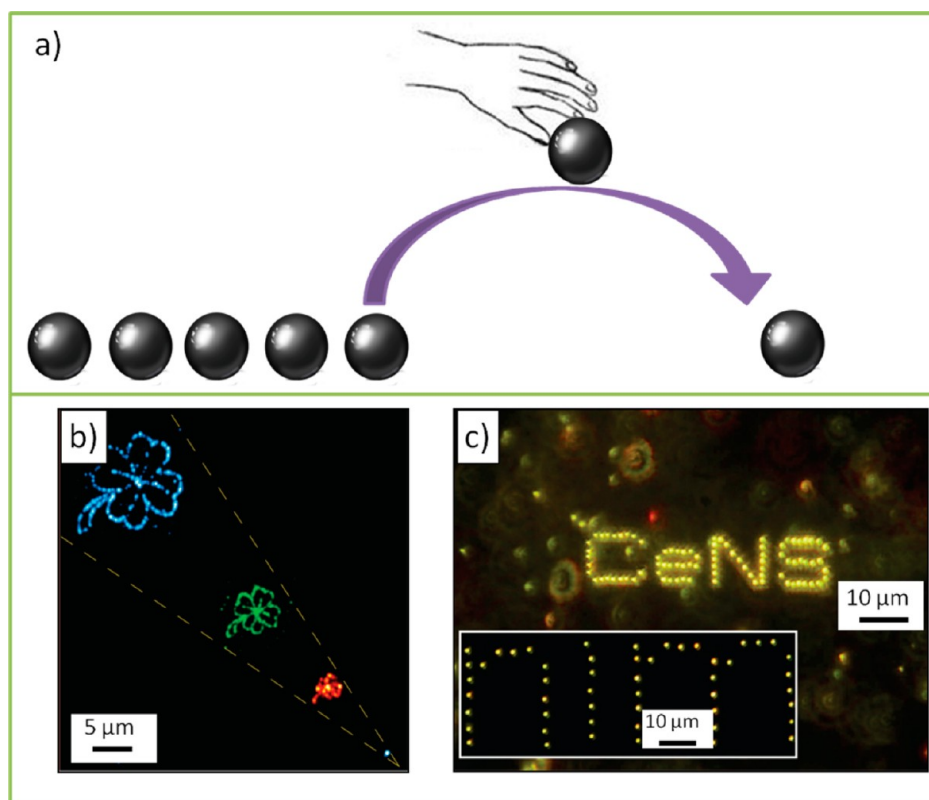


Figure 4. (a) Nanoparticles can be assembled one by one on surfaces. (b) Pattern of fluorescent NPs assembled by AFM. The scale bar corresponds to 5 μm . Image reproduced from ref 99. Copyright 2008 American Chemical Society. (c) Pattern of plasmonic NPs assembled by laser deposition. The scale bars corresponds to 10 μm . Image reproduced from ref 101. Copyright 2010 American Chemical Society.

623 for the creation of ordered, periodic
 624 structures, or randomly assembled
 625 NPs, but some applications require
 626 higher degrees of control over the
 627 pattern formation. Ultimately, one-
 628 by-one assembly of NPs on surfaces
 629 may be desired. There has been
 630 much discussion centered on the
 631 idea of assembling structures atom-
 632 by-atom.^{95–98} Even though the as-
 633 sembly of free-standing 3D struc-
 634 tures may have intrinsic limitations,
 635 there have been several instances
 636 where assembly of 2D structures on
 637 surfaces has been successfully de-
 638 monstrated. This work started with
 639 advances in scanning probe micro-
 640 scopy. These microscopy techniques
 641 were initially developed to image
 642 surface structures; more recently, in-
 643 teractions between the probe tip and
 644 the target sample/specimen have
 645 also been manipulated. With the
 646 probe tip of a scanning tunneling
 647 microscope (STM), individual atoms
 648 can be moved to a designated loca-
 649 tion on the surface.

In general, in STM manipulation
 the greatest problem is not moving
 the building blocks with the probe
 tip, but rather the problem is releas-
 ing them once the desired position
 has been reached.⁹⁵ Forces initially
 have to be adjusted so that the
 adhesive force between the probe
 and the object is larger than that
 between the object and its under-
 lying substrate. For release at the
 target location, the situation needs
 to be reversed in order for the object
 to adhere more strongly onto the
 substrate. For transporting atoms
 with the tip of an STM, the interac-
 tion between probe tip and object
 can be tuned by adjusting the elec-
 tric potential applied to the STM
 tip. The same concept is more difficult
 for larger objects, such as NPs.⁹⁹
 However, with the application of
 hierarchical forces, bigger objects,
 such as biological molecules or NPs,
 can be assembled one-by-one into
 predesigned patterns with the use of
 an atomic force microscope (AFM, see

Figure 4b). The strategy here uses
 molecular linkers of different binding
 strengths that are attached to the
 building block. Pulling on a chain
 ultimately breaks the weakest link.
 Oligonucleotides are convenient lin-
 kers, as their binding strengths to DNA
 depend on the length of the strand
 and on the orientation of the applied
 force.¹⁰⁰

Interactions of NPs with light
 present a conceptually different ap-
 proach, in which NPs are trapped in
 a focused light beam and pushed to
 a surface. Recent experiments on
 laser printing have opened new per-
 spectives for patterning surfaces
 with metal NPs (see Figure 4c).^{99,100}
 Tuning the wavelength of the trap-
 ping laser into resonance with the
 plasmon frequency of gold
 NPs leads to repulsive scattering
 forces dominating trapping gradi-
 ent forces. As a consequence, single
 gold NPs can be pushed by light in
 the forward (light propagation)
 direction.¹⁰¹ In the vicinity of a

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704 charged surface, this leads to light-
705 controlled printing of single NPs
706 with a precision of several tens of
707 nanometers.¹⁰¹ This serial optical
708 printing technique can be extended
709 to parallel printing by introducing
710 2D spatially segmented liquid-cry-
711 stal modulation of the expanded
712 beam and by applying the appropri-
713 ate spatial modulation patterns.¹⁰²
714 Such novel printing strategies will
715 become a versatile and cost-effective
716 tool for future fabrication of nano-
717 plasmonic device structures.

718 Arrangements may also be com-
719 posed of a combination of different
720 NPs with functional biomolecules
721 like proteins or aptamers. The com-
722 bination of enzymes with catalytic
723 NPs promises novel synergetic ef-
724 fects arise not only from the chosen
725 composition but even more so from
726 the precise spatial arrangement of the
727 components. Designer cellulosomes¹⁰³
728 are envisioned where the bacterial
729 multicomponent enzyme machinery,
730 which degrades lignocellulose
731 with unparalleled efficiency, is mi-
732 micked in a synthetic biology ap-
733 proach in the sense of “learning by
734 building”, with potential applica-
735 tions in renewable energy. In con-
736 trast to parallel assembly, sequen-
737 tial arrangement of NPs enables
738 full flexibility in arranging them.
739 The main drawback is the slow
740 speed of assembly.

741 We conclude that complex ob-
742 jects such as NPs can be sequentially
743 assembled one-by-one on surfaces
744 to form 2D patterns. Writing 2D
745 structures with NPs is possible and
746 will find its way from basic research
747 to applications.

748 **There is more to be connected with**
749 **nanoparticles.** NPs need to be inter-
750 faced with the environment. In the

Bigger objects, such as
biological molecules or
NPs, can be assembled
one-by-one into
predesigned patterns.

field of energy, NPs often have to be
embedded into a polymer matrix in
order to form a device. In particular,
in solar-cell applications,¹⁰⁴ interac-
tions of QDs with the surrounding
matrix have important effects that
can be used to adjust energy trans-
fer with charge separation in the
composite structure,^{105,106} to serve
as components in conducting wind-
ows,¹⁰⁷ and to improve the perfor-
mance of the resulting devices.¹⁰⁸

In the popular field of biorelated
applications, NPs are preferential-
ly dispersed in biological fluids
(such as blood). Colloidal stability
and specific interactions with the
environment (such as targeting)
are typically provided by conjuga-
tion of molecular ligands and biologi-
cal molecules (*e.g.*, enzymes or
antibodies) to the surfaces of the
NPs. Strategies for providing their
colloidal stability can be grouped
into two categories: encapsulation
and ligand exchange.¹⁰⁹ Encapsula-
tion within block copolymer shells
and phospholipid micelles exploits
the natural ability of a variety of
bifunctional amphiphilic molecules
(including block copolymers and
phospholipid micelles) that present
chemically distinct segments in their
structures, one being hydrophobic
while the other is hydrophilic.^{110–114}
To minimize their energy, these mol-
ecules line up at the interfaces be-
tween hydrophobic and hydrophilic
media. This interfacing manifests it-
self at the nanoscale and is suitable
for the assembly of colloidal NPs,
such as semiconductor QDs, and
magnetic and plasmonic NPs. For
instance, when mixed with hydro-
phobic NPs, the hydrophobic seg-
ments preferentially interact with
the native ligands (creating strong
entropy-driven association), while
the strong affinity of the hydrophilic
segments to water molecules pro-
motes the dispersion of the NPs in
buffer media. Some of the common
means to promote compatibility with
water are based on inserting charged
groups (such as amine or carboxyl
groups) and/or poly(ethylene glycol)
(PEG) chains within the hydrophilic

segments. In several instances, these
polymers are prepared by substitut-
ing some of the carboxyl groups with
alkyl chains to balance the hydropho-
bic and hydrophilic blocks within the
polymer chains.^{111,115} Such balance
is critical for the stabilization of the
polymer coating on the hydrophobic
ligands and for the promotion of
water solubility of the resulting NPs.

Ligand exchange, on the other
hand, involves the substitution of
the native surface cap with hydro-
philic bifunctional molecules and
oligomers (ligands).^{116–123} The mol-
ecules present anchoring group(s)
at one end that coordinate the NP
(Lewis-base interactions, typically
thiol, carboxy, and amine groups)
and hydrophilic groups at the other
end (*e.g.*, carboxylic acids, amino
acids, PEGs); the latter promote affi-
nity to buffer media. Some early
examples of this strategy included
the use of mercaptoundecanoic
acid, dihydrolipoic acid, thiol-
appended dendrons, and small cy-
steine ligands.^{118,119,124,125} The use
of thiol–alkyl–carboxylic acid li-
gands to promote the transfer of
NPs to water is simple to implement.
However, the long-term stability of
the resulting NPs is strongly depen-
dent on the affinity of the anchoring
groups to the NP surfaces.¹²⁶ The
introduction of modular ligand
structures, containing one or more
dihydrolipoic acid (DHLLA) groups at
one end of the PEG chain for strong
anchoring onto the QD surface, and a
biocompatible group at the other end
of the PEG, substantially improves the
colloidal stability of these materials.
These surface modifications have en-
abled straightforward access to bio-
logical molecules through avidin–
biotin binding or 1-ethyl-3-(3-dime-
thylaminopropyl) carbodiimide (EDC)
coupling. While several well-estab-
lished approaches for providing NPs
with excellent colloidal stability in bi-
ological liquids are available,¹¹⁴ routine
conjugation to biological molecules
remains challenging.

These fascinating materials have
thus far been scarcely explored in
the context of NPs. One example is



Figure 5. Photograph of water-based material with shape memory. Image adapted from ref 127. Copyright 2010 Nature.

water-based materials (see Figure 5). For the realization of a sustainable society, one may consider water as a source for plastic materials. In particular, as alternatives to polymers, ultralow-organic-content hydrogels, consisting mostly of water, are attractive if they are sufficiently strong and can be processed into any shape. The first example of such water-based materials, reported in 2010, makes use of clay nanosheets in combination with an organic binder for constructing 3D networks leading to hydrogelation.¹²⁷ This design strategy may allow incorporation of a variety of functional nanoscale motifs such as NPs, nanorods, and even enzymes for a wide range of applications.

We conclude that although NPs have been combined with many different materials for forming composite materials within the last two decades, as Feynman said, "There is plenty of room at the bottom," and exploration of novel combinations lies ahead of us.

Nanoparticles can be designed to be smart. It can be argued whether some NPs can be defined as "smart". In this section, recent developments in NPs for biological applications will be discussed, followed by arguments regarding what makes the NPs smart. Again, a key requirement for NPs in the context of biological applications is colloidal stability and appropriate interfacing with the biological environment, which is typically achieved *via* conjugation to functional biological molecules.

One possible criterion for arguing that a NP is smart entails that, in addition to providing a platform for arraying several types of functional molecules, the physical and/or chemical property intrinsic to the NP can be altered or modulated by the environment. A simple case involves the use of fluorescent NPs for the purpose of imaging or tracking. Locations of the NPs can provide a visualization vehicle (*via* fluorescence), which is used in cellular immunostaining,¹²⁸ delivery, *in vivo* contrast,¹²⁹ and tracking of membrane surface receptors.¹³⁰ The NP then becomes "smart" when its emission becomes dependent on its microenvironment. In sensing, several "smart" NP architectures have been introduced. For instance, pH sensitive QD-bioconjugates can effectively report on the local changes in intracellular pH of a solution or a cellular compartment (see Figure 6a, b).^{131–134} The local pH can vary significantly between different cellular compartments or different organs. NPs experience a strongly acidic environment inside endosomes/lysosomes of live cells, whereas NPs in extracellular fluids or inside the cytosol essentially experience neutral pH. Thus, the fact that fluorescence depends on the pH can provide information about the location of the NPs. This could provide a convenient tool for investigating the pathways involved in the NP uptake by live cells, as NPs that are directly delivered to the cytoplasm experience neutral pH, while those endocytosed experience more acidic

environments.¹¹⁴ Likewise, chemically modified QDs can follow intracellular metabolism by turning on the fluorescence of the NPs in response to cell-generated 1,4-dihydro-nicotinamide adenine dinucleotide (NADH). Implementation of such "smart" NPs for intracellular anti-cancer drug screening was recently demonstrated.¹³⁵

Integrated sensors can also help to monitor the function of NPs. Enzyme-modified NPs can be used for locally processing respective substrate molecules. Protons are a byproduct of many enzymatic reactions. Thus, by integrating pH-sensitive fluorescence into enzyme-conjugated NPs, substrate turnover upon enzymatic processing can be monitored by NP fluorescence (see Figure 6c).^{134,136} The growth of metal NPs on metallic catalytic seeds by enzymatic transformation has been demonstrated, and the plasmonic absorbance of the resulting NPs was extensively used to sense different analytes, such as glucose, neurotransmitters, or the NADH cofactor.^{137,138} The incorporation of Au NPs into cancer cells and the intracellular NADH-mediated growth of Cu/Au core-shell NPs enabled optical probing of intracellular metabolic pathways *via* resonance Rayleigh scattering, using dark-field microscopy.

Metal NP/enzyme hybrids can impact other areas in nanobiotechnology beyond sensing. Metal NP/enzyme hybrids have been used as "smart" inks for dip-pen nanolithography patterning of surfaces.¹³⁹ The biocatalytic growth of the NPs on the biomolecular templates generated micrometer-long metallic nanowires. In contrast to electroless synthesis of nanowires, proceeding in a noncontrolled process, the biocatalytic growth of the nanowires includes a self-inhibiting growth mechanism, leading to nanowires controlled by the enzyme-template dimensions. By applying different enzymes, the orthogonal deposition of different compositions of nanowires was demonstrated. Thus, "smart" nanoparticles can be

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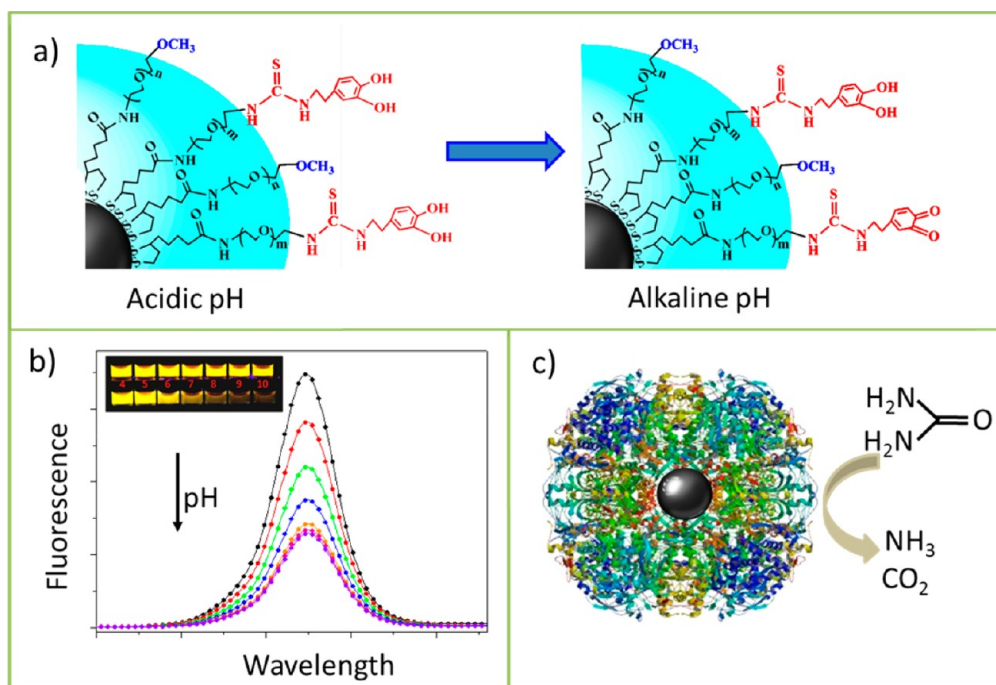


Figure 6. (a) Charge-transfer interactions between fluorescent QDs and redox-active dopamine lead to pH-dependent quenching of QD fluorescence by dopamine. (b) This effect can be used as a pH sensor. Image reproduced from ref 134. Copyright 2012 American Chemical Society. (c) Similar pH-sensitive QDs can be used for detecting enzymatic reactions. Smart enzyme-modified QDs are used to detect enzymatic reactions, triggered by sensing the surrounding pH. Image reproduced from ref 136. Copyright 2010 American Chemical Society.

1006 programmed to control their nano-
1007 wire assembly.

1008 Smart NPs can exploit magnetic
1009 relaxation switching effects, where
1010 analytes shuttle NPs between ag-
1011 glomerated or dispersed states,
1012 changing in the measured relaxa-
1013 tion rates. In particular, the cluster-
1014 ing of superparamagnetic NPs
1015 induces a reduction in the measured
1016 T_2 .⁸³ To give an example, Concan-
1017 avalin A, a specific lectin against
1018 glucose, has been detected and quan-
1019 tified up to microgram levels.¹⁴⁰ Con-
1020 canavalin A is a multimeric protein,
1021 which, when incubated with glucose-
1022 derivatized NPs, can induce the ag-
1023 glomeration of the NPs. The concen-
1024 tration of Concanavalin A could be
1025 easily monitored by variation in T_2
1026 due to NP clustering. The level of
1027 agglomeration is sensitive to glucose
1028 density on the surface of NPs. High
1029 concentrations decrease agglomera-
1030 tion, presumably due to steric hin-
1031 drance. Going further, these NPs
1032 could also provide information about
1033 the quaternary structure of the lectin.
1034 It was observed that the variation
1035 of T_2 with increasing Concanavalin

A concentration was higher at pH 7
than at pH 4. These changes were
attributed to different quaternary
structures of Concanavalin A at differ-
ent pH. For instance, Concanavalin A
is a dimer at pH 4 but evolves into a
tetramer at pH 7, explaining the high-
er tendency to agglomerate at higher
pH. Beyond biomolecule assays *in*
vitro,^{83,141,142} magnetic NPs have
been used to measure levels of analy-
tes *in vivo*.^{143,144} Magnetic NPs have
served as platforms for the design of
enzyme-activated fluorescent prob-
es.^{145–148} Recently, the attachment
of DNA-binding fluorochromes to NP
surfaces have provided a new approach
for the detection of PCR-generated DNA
and monitoring the PCR reaction.¹⁴⁹

Beyond sensing, there are many
applications for "smart" NPs in deliv-
ery. The primary function of the NPs in
this case is as delivery vehicles for
attached pharmaceutical agents.¹⁵⁰
Nanoparticles can be designed in such
a way that they change their proper-
ties depending on local stimuli during
delivery. Changes in properties may
involve variations in size, porosity,
and binding affinity of the transported

1036 pharmaceutical agent. These proper-
1037 ties may affect uptake of the NPs by
1038 cells/organs, as this is often a size-
1039 dependent process, and may also af-
1040 fect the release of the cargo molec-
1041 ules. Frequently used stimuli in-
1042 volve changes in pH and tempera-
1043 ture. Responsive microgels, particu-
1044 larly hydrogels, have been studied
1045 for use in controlled drug delivery.
1046 The interest in these systems is due
1047 to their network swelling and shrink-
1048 ing as a function of external stimuli.
1049 In addition, their porous structure al-
1050 lows drugs to be loaded into the inter-
1051 ior of the microgel network and then re-
1052 leased upon temperature- or pH-in-
1053 duced swelling.¹⁵¹ The size of the
1054 microgels can be tuned through syn-
1055 thetic procedures, and their water
1056 solubility and biocompatibility
1057 make them excellent candidates
1058 for biological applications.¹⁵²
1059 Furthermore, incorporating NPs
1060 that have optical or fluorescent
1061 properties into the network of mi-
1062 crogels enables tracking of the par-
1063 ticles throughout the body.

The ultimate version of a "smart"
NP would involve a complete

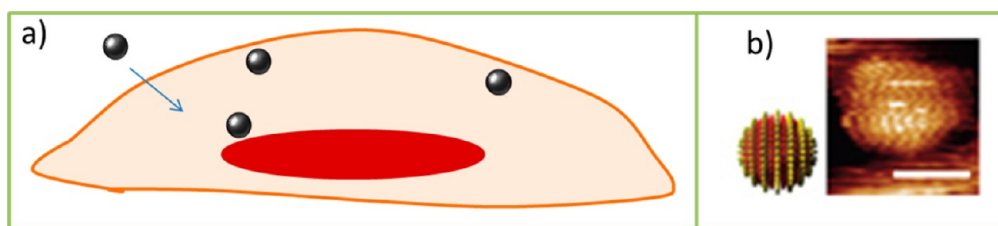


Figure 7. (a) Nanoparticles modified with hydrophilic/hydrophobic stripes can directly penetrate the cellular membrane for delivery into the cytosol. (b) Schematic of a striped NP and its corresponding image as recorded with scanning tunneling microscopy. The scale bar corresponds to 5 nm. Image reproduced with permission ref 162. Copyright 2008 Nature.

1096 feedback loop. Such a NP would be
 1097 capable of acting on the environ-
 1098 ment (e.g., by heating locally or al-
 1099 tering the local pH or concentration
 1100 of a particular signaling molecule,
 1101 etc.) and in turn providing a trans-
 1102 duction signal (a read-out response)
 1103 from the environment driven by
 1104 resonance energy transfer, charge
 1105 transfer, or other means that en-
 1106 ables sensing of molecules, tem-
 1107 perature, etc. Read-out would
 1108 influence the action, whereby feed-
 1109 back loops might be based on inter-
 1110 action with light, temperature, or
 1111 pH. Although NPs that can be ma-
 1112 nipulated by these triggers and NP-
 1113 conjugates that can report on such
 1114 processes exist, integration of all
 1115 these elements into a feedback
 1116 loop is currently beyond our reach.
 1117 Thus, we continue to work toward
 1118 "smart" multifunctional NPs that
 1119 affect their environment *via* speci-
 1120 fic triggering mechanisms, are sensi-
 1121 tive to their surroundings *via*
 1122 feedback loops, and play increasing
 1123 roles in sensing and other biologi-
 1124 cal processes.

Nanoparticles can be designed to be smart.

1125 **Surface-modified nanoparticles can di-**
 1126 **rectly reach the cytosol of living cells.** For
 1127 many *in vitro* delivery applications,
 1128 one would like NPs that directly
 1129 reach the cytosol of the cells.¹⁵³
 1130 However, the cytosol is surrounded
 1131 by the cellular membrane, which is a
 1132 protein-enriched lipid double layer.
 1133 Thus, there are only two principal
 1134 ways to reach the cytosol. In the first,

NPs can enter the membrane and
 embed in the bud that is formed and
 eventually pinch off. This endo-
 cytotic pathway leaves the NPs en-
 capsulated in membrane vesicles, in
 endosomes and lysosomes. Thus, to
 transfer the NPs to the cytosol, they
 have to escape from the endo-
 somes/lysosomes, which can be
 achieved, for example, by buffering
 the acidity in the vesicles.¹⁵⁴ In the
 second pathway, NPs can directly
 traverse the cellular membrane *via*
 dynamically formed pores, which
 would enable direct entry into the
 cytosol without the surrounding li-
 pid membrane. Though the first
 pathway is more common, there
 are several successful examples of
 direct entry.

One of the most used strategies
 for the internalization of NPs into
 cells is the incorporation of cell pe-
 netrating peptides (CPPs), such as
 TAT.¹⁵⁵ The TAT peptide has the
 property of leaving HIV-infected
 cells, going through the cell mem-
 branes of noninfected cells, and
 even reaching the cell nucleus. The
 region used for intracellular trans-
 port is called the protein transduc-
 tion domain (PTD). For example,
 Weissleder's group has delivered
 iron oxide NPs conjugated with
 TAT into the cell cytoplasm.¹⁵⁶ In ad-
 dition, if the TAT peptide is further
 modified with a nuclear localization
 sequence, it could be used for the
 delivery of NPs to the cell nucleus.
 Here, the nuclear pore complex
 (NPC) provides an "entry door" to
 the nucleus, while the TAT is a key to
 open this door. However, the diam-
 eter of the NPC opens to a maximum
 of 30 nm using active transport (or
 only 9 nm for diffusive transport). This is

one of the main problems for delivery
 inside the nucleus: cargo sizes must
 be smaller than the NPC. In fact, gold
 NPs functionalized with TAT peptides
 and with an overall size of 5 nm can
 be driven into a cell nucleus, although
 30 nm NPs cannot, mainly due to this
 size limitation.¹⁵⁷ Many other natu-
 rally derived peptides, such as pen-
 etratin¹⁵⁸ and transportan,¹⁵⁹ have
 shown great promise in drug-delivery
 applications. Although the mechanism
 used by CPPs to cross cell membranes
 is unknown, they have been exten-
 sively used to transport different
 types of NPs into cells.^{160,161}

Alternatively, one can construct
 assemblies of NPs that penetrate
 through the cellular membrane, by-
 passing endocytosis.⁵⁵ The mechan-
 ism of such transport is also not well
 understood. On the conceptual level,
 one can speculate that if individ-
 ual water-soluble NPs behave like
 proteins (see Figures 1 and 2), as-
 semblies of several NPs can behave
 like viruses (see Figure 4a–d), which
 manifest the distinct ability to avoid
 digestion in endosomes and to pe-
 netrate cellular membranes with lit-
 tle resistance. One can also notice
 that both penetratin and transportan
 have elongated "corkscrew"-like
 geometries, which could be repli-
 cated in nanoscale inorganic struc-
 tures and in NP assemblies.

Yet another example involves
 NPs with striped patterns of hydro-
 philic and hydrophobic domains on
 their surfaces. Interactions of this
 patterned cap with the cell mem-
 brane lead to transient poration of
 the membrane and thus delivery of
 the NPs directly into the cytosol (see
 Figure 7).¹⁶² Although the details of
 the delivery mechanism need to be

investigated, such systems have already been used for transporting cargo into cells.¹⁶³

It is evident from the discussion of the above-mentioned strategies that the molecular details of direct transport of NPs into the cytosol are not yet known. One problem is the difficulty in observing incorporation at the molecular level. Typically, the locations of the NPs in the cytosol are determined by transmission electron microscopy images¹⁶⁴ or by fluorescence-based immunostaining. Modification of NPs with pH-sensitive fluorophores might help, as location could be determined by reading out the local pH, which differs between the endo/lysosomes and the cytosol.

As a general conclusion, there are several new directions in the field of nanoscience, that is, studies of more complex 1D, 2D, and 3D nanoscale systems in which NPs serve as individual building blocks rather than ideas exploiting the properties of individual NPs. One can also envision more complex properties of individual NPs resulting in adaptable dynamic nanoscale systems even if they contain only one NP. Several fields, such as the synthesis of NPs with excellent size and shape distributions have neared maturity, whereas other fields, such as those involving applications with complex NP assemblies, are still developing. In the context of medical applications of NPs much has been done, and exciting opportunities will appear in the near future, such as early diagnosis of cancer and potential delivery vehicles for targeted therapeutics. However, before the next-generation NPs can reach the clinic, deeper understanding and control over the interface between nanoscience and biology, along with the optimization of the biological performance of the NPs and bioconjugates, remain critical.

Conflict of Interest: The authors declare no competing financial interest.

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