1	Neuroprotective Effects of Whey and Buttermilk-Based Formulas on a
2	<b>DSS-Induced Colitis Murine Model</b>
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# 25 Abstract

26 Inflammatory bowel disease is a gut-brain axis disorder that comprises chronic inflammatory 27 conditions affecting the gastrointestinal tract, where alterations in the mood of patients are 28 common. Gut-brain axis is a bidirectional communication that link gut and brain. The close 29 association between inflammatory bowel disease and neuroinflammation has far-reaching 30 implications, as is increasingly recognized as a contributing factor to neuropsychiatric and 31 neurodegenerative diseases. The increasing prevalence and high economic cost, together with the 32 loss of life quality of people suffering from these diseases, point to the need to find alternatives 33 to alleviate them. Exploring new therapeutic avenues prompts us to consider the potential benefits 34 of milk fractions, taking advantage of the use of dairy by-products, such as whey and buttermilk. 35 This study examines the impact of cow's whey- and buttermilk-based formulas supplemented 36 with bovine lactoferrin and milk fat globule membrane on the expression of cytokines, as well as 37 on the components of immune and serotonergic system of the brain in a murine model of DSS-38 induced colitis. Our results show the potential of these dairy by-products, especially whey, as 39 functional foods in ameliorating neuroinflammation and safeguarding the central nervous system 40 function amid the neurological complications induced or concomitant with intestinal 41 inflammatory processes. 42

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46 Key words: Dairy by-products; lactoferrin; ulcerative colitis; gut-brain axis; serotonin.

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# 49 Introduction

50 Inflammatory bowel disease (IBD) comprises chronic inflammatory conditions affecting the 51 gastrointestinal tract, including Crohn's disease and ulcerative colitis (Ananthakrishnan, 2015). 52 Beyond its localized effects, mounting evidence suggests that intestinal inflammation exerts 53 profound influences on the central nervous system (CNS) function, implicating a bidirectional 54 communication known as the gut-brain axis (Mitchell et al., 2022). The gut-brain axis operates 55 along descending and ascending pathways, each contributing to the intricate relationship between 56 intestinal inflammation and CNS dysfunction. The descending pathway highlights the impact of 57 psychological stress as a prominent risk factor for both irritable bowel syndrome and IBD (Ge et 58 al., 2022). Conversely, the ascending pathway highlights the role of intestinal signals in 59 modulating brain physiology, with alterations in gut microbiota composition, immune responses, 60 and epithelial integrity influencing CNS function and behavior (Masanetz et al., 2022). This close 61 association between IBD and neuroinflammation has far-reaching implications, as it is 62 increasingly recognized as a contributing factor to a spectrum of neuropsychiatric and 63 neurodegenerative diseases (Kip and Parr-Brownlie, 2023). Indeed, patients with IBD face an 64 elevated risk of developing psychiatric comorbidities, including depression and anxiety (Hu et 65 al., 2021), while also showing associations with neurodegenerative disorders such as Alzheimer's 66 and Parkinson's diseases (Kim et al., 2022). These neurological complications not only diminish 67 the quality of life for IBD patients but also add complexity to treatment and management 68 strategies. Understanding the multifaceted nature of IBD-associated neurological complications 69 is crucial for developing targeted therapeutic interventions.

In addition to the complex interplay between intestinal inflammation and CNS dysfunction, emerging evidence underscores the pivotal roles played by pattern recognition receptors (PRRs) and the serotoninergic system in bridging the gap between gut inflammation and neurological manifestations (Layunta et al., 2021). Within the family of PRRs, Toll-like receptors (TLRs) and nucleotide-binding oligomerization domain (NOD)-like receptors (NLRs) both play crucial roles in the innate immune response by recognizing pathogen-associated molecular patterns and 76 initiating immune responses, including the production of pro-inflammatory cytokines. (Akesolo 77 et al., 2022). Studies conducted in preclinical models of IBD have shed light on the involvement 78 of some PRRs in the amplification of neuroinflammatory responses within the brain. Furthermore, 79 alterations in the availability and signaling of serotonin (5-HT), a neurotransmitter closely linked 80 to mood, cognition and behavior regulation, have been implicated in the behavioral changes 81 observed in colitis models (Jones et al., 2020). This includes disruptions in tryptophan 82 metabolism, a precursor of 5-HT, as well as aberrant serotoninergic signaling pathways in 83 response to systemic inflammation (Couch et al., 2013). Together, these findings underscore the 84 intricate connections between intestinal inflammation, cytokine-mediated neuroinflammation, 85 PRRs activation, serotoninergic dysfunction, and neurological manifestations, highlighting their 86 collective significance in understanding and managing the neuropsychiatric and 87 neurodegenerative complications associated with IBD.

88 Exploring new therapeutic avenues prompts us to consider the potential benefits of natural 89 components present in food. Thus, milk proteins and lipids have been attributed with relevant 90 properties for human health (Hsieh et al., 2015; Anto et al., 2020). Although these milk 91 components can be obtained from whole milk, in the actual world in which food waste must be 92 avoided and circular economy is a condition for sustainability, the use of dairy by-products must 93 be considered. Whey, obtained after the coagulation of casein during cheese manufacture, 94 constitutes a significant portion of these by-products, with its production reaching approximately 95 160 million tons annually worldwide (Osorio-González et al., 2022). Buttermilk, the liquid phase 96 released during cream churning in the butter-making process, is another valuable by-product 97 (Conway, Gauthier and Pouliot, 2014). Whey and buttermilk have been traditionally considered 98 as waste products and their improper disposal have generated a substantial environmental impact 99 due to the high biological oxygen demand they present (Zandona et al., 2021). However, both 100 whey and buttermilk possess excellent nutritional properties and they are also constituted by a 101 combination of bioactive proteins, peptides, and lipids with numerous health benefits.

Additionally, whey and buttermilk are rich in B-group vitamins, minerals, sodium, potassium and
lactose (Barukčić et al., 2019b).

The complex composition of whey and buttermilk and the differences between products depending on the manufacture process, make necessary to investigate on their biological activities and explore their potential application in functional products. Furthermore, it is necessary that future prospects will focus on marketing and consumer education to emphasize the nutritional value of dairy by-products and their beneficial health effects, as well as to address environmental concerns (Barukčić et al., 2019a).

Thus, this study aims to examine the impact of whey- and buttermilk-based formulas on the molecular expression of inflammatory cytokines, PRRs, and various serotonergic system components of the brain in a murine model of DSS-induced colitis. Our goal is to uncover the potential of these dairy by-products as functional foods in ameliorating neuroinflammation and safeguarding CNS function amid the neurological disorders induced by gut inflammation.

## 115 Materials and methods

### 116 Whey- and buttermilk-based formulas preparation

117 Two formulas were prepared using whey and buttermilk as bases enriched with native bovine 118 lactoferrin (bLF) and MFGM (milk fat globule membrane). The bLF, characterized by an iron 119 saturation level below 10%, was generously provided by Tatua Dairy Company (Morrinsville, 120 New Zealand). The purity of bLF was checked by SDS-PAGE, which showed a single band 121 corresponding to a protein of about 80 kDa and purity higher than 90%. Whey and buttermilk 122 were obtained from raw bovine milk, which was supplied by the dairy company Villacorona (El 123 Burgo de Ebro, Spain) and processed at the Food Science and Technology Pilot Plant of the 124 University of Zaragoza (Veterinary Faculty), following established procedures (Abad et al., 2023). Both whey and buttermilk were lyophilized and stored at -20°C until they were used. 125

In the whey-based formula, 3.4 g of lyophilized bovine whey were rehydrated in 50 mL of MilliQ water, considering that its dry matter was 6.8%. The buttermilk-based formula was prepared

128 using 50 mL of buttermilk obtained from cream subjected to mechanical agitation. The dry matter 129 of liquid buttermilk used for the formula was determined by lyophilization and was 8.7%. In both 130 formulations, bLF was added at a final concentration of 175 mg/mL and dissolved slowly under 131 agitation at 4°C. Subsequently, 5 g of the MFGM precipitate, obtained by centrifuging 50 mL of 132 buttermilk at  $40,000 \times g$  for 30 min, was incorporated into each solution and homogenized to 133 ensure uniform distribution within the formulas, resulting in a concentration of 100 mg/mL. The 134 product and protein concentrations present in the dairy fractions used in this study are specified 135 in Table 1.

136 Animals

137 Male C57BL/6 mice (6–12 weeks) were purchased from Janvier Labs (Le Genest St. Isle, France) 138 and were allowed to acclimate for 1 week prior to the experiments. The animals were housed in 139 plastic cages, 4 mice/cage, under normal laboratory conditions ( $20 \pm 2^{\circ}C$ , 40–60% relative 140 humidity and 12-h light/dark-cycle) with free access to standard chow and water. All procedures 141 were conducted under Project Licence PI40/17 and approved by the Ethics Committee for Animal 142 Experiments of the University of Zaragoza. The care and use of animals were performed 143 according to the Spanish Policy for Animal Protection RD53/2013, which meets the European 144 Union Directive 2010/63 on the protection of animals used for experimental and other scientific 145 purposes. Housing and maintenance of the animals were carried out at the Centro de Investigación 146 Biomédica de Aragón (CIBA), in compliance with previously described regulations and 147 environmental conditions.

### 148 Animal treatments, induction of experimental colitis and sample collection

149 Animals were randomly divided into six groups (n=4 per group): 1) control group, 2) whey-based

150 formula (W) group, 3) buttermilk-based formula (BT) group, 4) dextran sodium sulfate (DSS)

151 group, 5) W + DSS group and 6) BT + DSS group.

- 152 All groups daily received 100 µL of water (control and DSS groups), or 100 µL of whey- and
- 153 buttermilk-based formulas (W, BT, W+ DSS, and BT + DSS groups) by gastric gavage for 8 days.

154 Considering the concentrations of the starting formulas, the dose of each dairy fraction was as
155 follows: whey at 6.8 mg/mouse, buttermilk at 8.7 mg/mouse, bLF at 17.5 mg/mouse, and MFGM
156 at 10 mg/mouse.

157 An injury-repair model of ulcerative colitis was used (Valero et al., 2020). At day 4, colitis was 158 induced by switching to a 2.5% (w/v) solution of DSS (MW: 40,000 Da, Panreac, Lörrach, 159 Germany) as drinking solution for 5 days. All animals were euthanized via cervical dislocation 160 and brain and colon samples were removed and cleaned in an ice-solution of NaCl (0.9%). For 161 the assessment of the damage caused to the colon, the length of the colon was measured using a 162 digital calliper with a 0.01 mm resolution. Subsequently, the colon was opened longitudinally and 163 rinsed with 0.9% saline solution. Colitis symptoms were assessed using a standardized scoring 164 system: Number of adhesions (0: 0, 1: 1, 2: 2, 3: > 2), diarrhoea (0: normal, 1: loose stool, 4: water)165 diarrhoea), tumefaction (0: absence, 1: moderate, 2: severe), stenosis (0: 0, 1: 1, 2: 2, 3: > 2), 166 mucus (0: absence, 1: presence), haemorrhage (0: absence, 1: manifest bleeding), erythema (0: no 167 macroscopic changes, 1: < 1 cm,  $2: \ge 1$  cm), ulcers or erosions (0: 0, 1: < 1 cm,  $2: \ge 1$  cm) and 168 occult blood (0: no, 1: yes). A cumulative macroscopic damage score was then calculated for each 169 animal.

170 Samples for RNA studies were collected in RNAlater from Qiagen (Hilden, Germany) and stored

171 for one day at  $4^{\circ}$ C, being subsequently frozen at  $-80^{\circ}$ C.

### 172 Histopathological study

Brain and colon samples were initially fixed in 10% buffered formalin, followed by dehydration,
embedding in paraffin blocks, and sectioning into 4 µm-thick slices. These sections were then
stained with hematoxylin–eosin. Subsequently, the examination of these samples was conducted
by the Anatomopathological Service of the Veterinary Faculty of the University of Zaragoza,
using a Nikon Eclipse Ci microscope, a Nikon DS-Ri 1 digital still camera, and a Nikon NIS
calibrated digital image analysis system.

# 179 RNA isolation and real-time PCR

For brain RNA extraction, the samples were first homogenized using the Ultra Turrax T25 from IKA (Staufen, Germany), and total RNA was extracted using TRI<sup>®</sup> Reagent from Sigma-Aldrich (Saint Louis, MO, USA), following the manufacturer's protocol. The extracted RNA (1 μg) was used as a template for first-strand cDNA synthesis using the qScript cDNA SuperMix from Quantabio (Beverly, MA, USA). The cDNAs obtained by reverse transcription (RT) were used to determine the mRNA level by real-time PCR using Fast SYBR Green Master Mix from Thermo Fisher Scientific (Waltham, MA, USA).

187 Ouantification of expression of the different mRNA analyzed in brain mice samples was 188 performed using the Step One Plus Real-Time PCR System from Applied Biosystems (Foster 189 City, CA, USA), with GAPDH and HPRT1 as housekeeping genes. The specific primers used are 190 detailed in Table 2. The fluorescence raw data was analyzed by the Applied Biosystem Step One 191 Software v2.3 from Applied Biosystems (Foster City, CA, USA). Thus, the mRNA relative 192 expression was calculated as  $\Delta\Delta Ct = \Delta Ct_{control} - \Delta Ct_{treatment}$  being  $\Delta Ct = Ct_{gene} - Ct_{calibrator}$ . 193 GAPDH and HPRT1 were used as calibrators. Finally, the levels of relative gene expression were 194 converted and expressed as fold difference (= $2^{-\Delta\Delta Ct}$ ).

### **195** Statistical analyses

196The results were expressed as the mean  $\pm$  the standard error of the mean (SEM), and differences197between groups were statistically analyzed using the computer-assisted Prism GraphPad Program198(Prism version 8.01, GraphPad Software, San Diego, CA). One-way analysis of variance199(ANOVA) followed by Bonferroni's (when parametric distribution is observed), Kruskal–Wallis200tests (non-parametric) or unpaired t-tests were used to detect differences. Previously, normal201distribution was confirmed with the Kolmogorov-Smirnov test. Significance level was set to p <</th>2020.05.

### 203 **Results**

204 Macroscopic damage and histopathological alterations induced by DSS in mouse colon.

205 DSS administration resulted in a significant reduction in colon length compared to the control 206 group, highlighting severe colonic damage (Figure 1A). This was further evidenced by a 207 substantial increase in macroscopic damage observed in DSS-treated mice (Figure 1B). To 208 provide a more detailed view of the damage, a histopathological analysis was conducted on colon 209 sections from the control and DSS-administered groups (Figures 1C-D). The histopathological 210 examination revealed significant lesions in the distal colon, characterized by infiltration of 211 inflammatory cells and pronounced edema (Figure 1D), confirming severe lesions characteristic 212 of the DSS-induced colitis.

## 213 Inflammatory state in the brain of mice treated with DSS

214 Histopathological study of brain sections from animals belonging to different experimental 215 groups was conducted. Relevant brain structures (cerebral cortex, cerebellum, and hypothalamus) 216 were examined for histological integrity, presence of cellular infiltrates or any signs of 217 inflammatory response. No significant differences were observed histopathologically between the 218 control group and the DSS-treated mice (Figure 2A), both in the whole brain (a-b), and in different 219 regions such as the frontal cortex (c-d)). In addition, histopathological observations revealed no 220 evident signs of inflammation or alterations in the brain structure or morphology in any of the 221 other groups (data not shown).

222 Despite the above results, mRNA expression of interleukins IL-1 $\beta$  and IL-6, as well as TNF- $\alpha$  in 223 the brain, was determined as inflammatory markers. As shown in Figure 2B, DSS treatment 224 significantly increased the mRNA expression of IL-1 $\beta$ , -6, and TNF- $\alpha$  compared to the control, 225 with IL-6 showing the most pronounced increase (6-fold change), suggesting that inflammatory 226 intestinal states induced by DSS are also reflected in the brain of these animals.

# 227 Effect of whey- and buttermilk-based formulas on cytokine and PRR expression in the brain 228 of mice with DSS-induced colitis

229 To assess the effect of whey- and buttermilk-based formulas on DSS-induced neuroinflammation,

230 the mRNA expression of IL-1 $\beta$ , IL-6, TNF- $\alpha$  and several PRR in the brain of the different groups

of animals treated with whey or buttermilk formulas, with or without treatment with DSS, was determined. As shown in Figure 2B, whey and buttermilk formulas did not modify the inflammatory cytokine expression. However, the pre-administration of whey formula in DSStreated animals significantly reduced the expression of all these cytokines compared to that of the DSS group, reaching nearly the expression levels of the control. On the other hand, the preadministration of buttermilk formula also reduced the expression of all cytokines, but it was significant only for IL-6.

The results revealed a substantial and significant increase in the expression of all analyzed Tolllike receptors (TLRs), including TLR 1, 2, 3, 4, 5, 6, 7, 8, 9, and 11, as well as NOD-like receptors
NOD1 and NOD2 in brain tissue, in response to DSS-induced colitis, as shown in Figure 3. This

241 upregulation exhibited a relatively uniform pattern across the diverse array of receptors.

Interestingly, pre-administration of both whey- and buttermilk-based formulas attenuated the expression of these TLRs and NOD receptors compared to the group treated solely with DSS. Only the reduction in TLR9 expression was not statistically significant in the group that was administered with the buttermilk-based formula. In all instances, the reduction effect elicited by whey on mRNA expression levels was approximately twofold greater than that observed with buttermilk administration.

# 248 Effect of whey- and buttermilk-based formulas on the regulation of the central 249 serotoninergic system in mice with DSS-induced colitis

Finally, we also investigated the impact of whey- and buttermilk-based formulas on the central serotonergic system, due to its impact on neurological disorders such as depression or anxiety, studying the expression of serotonin transporter (SERT), the enzyme tryptophan hydroxylase 2 (TPH2), and the receptors 5-HTR<sub>1A</sub>, 5-HTR<sub>2A</sub>, 5-HTR<sub>2B</sub>, 5-HTR<sub>3</sub>, 5-HTR<sub>4</sub>, and 5-HTR<sub>7</sub> in the brain. Notably, the expression of the 5-HTR<sub>2A</sub> receptor was not detected in brain tissue. The results of this analysis are shown in Figure 4. DSS administration significantly upregulated the mRNA expression levels of TPH2 and 5-HT
receptors, except for 5-HTR<sub>4</sub>, which did not increase significantly. Interestingly, preadministration of both whey and buttermilk formulas significantly mitigated the impact of DSS
on the expression of 5-HTR<sub>1A</sub>, 5-HTR<sub>2B</sub>, 5-HTR<sub>3</sub>, and 5-HTR<sub>7</sub>. Moreover, pre-administration of
whey also notably reduced the molecular expression of TPH2 and 5-HTR<sub>4</sub>.

261 Furthermore, DSS administration led to a significant increase in SERT expression compared to 262 the control group, with DSS causing an expression level approximately tenfold change higher 263 than the control. This suggests a potential decrease in the availability of 5-HT at the CNS level, 264 characteristic in depression and anxiety states. Remarkably, pre-administration of both whey and 265 buttermilk formulas reduced the expression of serotonin transporter compared to the DSS group, 266 with this effect reaching significance, particularly in the case of whey-based formula. 267 Additionally, it is noteworthy that whey significantly outperformed buttermilk, reducing the 268 expression of all analyzed components of the central serotonergic system by more than 50%.

# 269 **Discussion**

Our investigation contributes to the growing body of literature exploring the intricate relationship between intestinal inflammation and brain health, particularly in the context of inflammatory bowel disease (IBD), elucidating the potential therapeutic effects of whey- and buttermilk-based formulas in mitigating neuroinflammatory responses induced in intestinal inflammatory processes. By integrating our results with pertinent findings from existing research, we provide a comprehensive understanding of the molecular mechanisms underlying this complex interplay.

The DSS-induced colitis model demonstrated significant colonic damage, causing a marked reduction in colon length and increased macroscopic damage. Histopathological analysis further revealed severe inflammatory lesions, particularly in the distal colon. This substantial intestinal damage is linked to notable effects on the CNS, even in the absence of relevant microscopic lesions in the encephalon. Indeed, the significant effect of DSS-induced colitis on central serotonergic system and immune response in the brain of mice was evident in our study, reflecting 282 the systemic impact of DSS-induced colitis. We observed an upregulation in the expression of 283 various elements of these systems. Specifically, the increased expression of proinflammatory 284 cytokines such as IL-1 $\beta$ , IL-6, and TNF- $\alpha$ , along with TLR and NOD receptors, indicates an 285 enhanced immune activity in the brain in response to intestinal inflammation. Moreover, the 286 upregulation of SERT transporter expression suggests an increase in serotonin reuptake, 287 potentially affecting serotonin levels available for neuronal signaling. Concurrently, the elevation 288 in TPH2 expression indicates enhanced neuronal synthesis of 5-HT, also further implicating 289 alterations in serotoninergic signaling. Additionally, the increase in expression of 5-HT receptors 290 (5-HTRs) points towards potential changes in serotonin receptor sensitivity and responsiveness 291 within the brain. Interestingly, despite the absence of histopathological alterations in the brain 292 tissue of any experimental group, the observed changes in the expression of serotonergic and 293 immune system components might be associated with subclinical inflammation of the brain. 294 These changes in the central serotonergic system could lead to disruptions in mood regulation, 295 cognitive function, and other neurobiological processes. Additionally, the activation of central 296 immune response might contribute to neuroinflammation, exacerbating the neurological 297 consequences of intestinal inflammation and potentially predisposing individuals to 298 neuropsychiatric and neurodegenerative disorders. In this sense, in vivo studies have shown 299 increased permeability of the blood-brain barrier in chronic colitis models, allowing intestinal 300 molecules to infiltrate the brain and mediate inflammatory responses (Craig et al., 2022). In this 301 regard, we have recently shown that microbiota-derived short-chain fatty acids, which are able of 302 crossing the blood-brain barrier, regulate intestinal serotonergic system, modulating the function 303 and expression of SERT and 5-HTRs (Buey et al., 2023).

304 These findings align with previous studies that have identified inflammatory markers in regions 305 of the hippocampus and cerebral cortex of mice with DSS-induced colitis. Elevated expression of 306 inflammation-associated genes, such as IL-6 and TNF- $\alpha$  in the cortex, as well as IL-1 $\beta$  and TNF-307  $\alpha$  in the hippocampus, has been observed. These changes have been correlated with elevated 308 serum levels of IL-6 and TNF- $\alpha$ , along with a decrease in the expression of intercellular binding 309 proteins like occludin and claudin-5 in brain tissue. These findings suggest an alteration in the 310 integrity of the blood-brain barrier, facilitating the entry of inflammatory molecules into the brain 311 (Zonis et al., 2015; Han et al., 2018). Additionally, in studies conducted in mice with colitis 312 induced by dinitrobenzene sulfonic acid, a significant increase in the expression of TLR2, TLR4, 313 TNF-α, IL-6, and molecular patterns associated with damage such as HMGB1 protein has been 314 observed in the hippocampus. These enhanced or increased immune responses in the brain have 315 been associated with depressive and anxious behavioral traits. Therefore, these investigations 316 support the idea that neuroinflammatory changes are closely linked to alterations in animal 317 behavior in in vivo models of IBD (Haj-Mirzaian et al., 2017).

318 Furthermore, alterations in serotonin availability and signaling may underlie the observed 319 behavioral changes in animals with colitis. In this context, recent studies have also addressed the 320 effect of intestinal inflammation on tryptophan (Trp) metabolism and its potential impact on the 321 brain function. It has been observed that both acute and subchronic colitis induce notable changes 322 in tryptophan metabolism in mice, characterized by a reduction in Trp and an increase in the 323 enzyme IDO-1 in the serum. The decrease of serum Trp, a crucial precursor of 5-HT, may lead to 324 decreased central 5-HT synthesis, thus affecting serotoninergic signaling in the brain. This decline 325 could stem from both diminished food intake, the primary source of Trp, and the upregulation of 326 IDO, an enzyme integral to Trp metabolism. Additionally, acute colitis has been associated with 327 alterations in the kynurenine pathway of Trp metabolism in the brain, leading to elevated 328 quinolinic acid levels (Zhao et al., 2023). Moreover, pharmacological magnetic resonance 329 imaging studies have revealed that systemic inflammation can decrease brain 5-HT activity, 330 mediated by alterations in signaling pathways, such as those involving the 5-HT2A receptor 331 (Couch et al., 2013). These findings highlight the impact of intestinal and systemic inflammation 332 on brain function, particularly on serotonergic signaling, commonly associated with 333 neuropsychiatric disorders. In addition, the involvement of the intestinal microbiota in Trp 334 metabolism is significant, as it has been associated with changes in both serum and brain metabolism in mice with colitis, suggesting a potential mechanism through which intestinal 335

336 inflammation affects central serotoninergic function (Zhao et al., 2023). In turn, the observed 337 upregulation of SERT expression in the present study may be attributed to the direct action of 338 certain proinflammatory cytokines, as previous studies have demonstrated an increased 339 transporter activity in response to these molecules in various CNS cell types. Specifically, TNF-340  $\alpha$  and IL-1 $\beta$  have been shown to modulate SERT activity via the p38 MAPK signaling pathway 341 (Zhu et al., 2006; Malynn et al., 2013). In this sense, the alteration in the expression of different 342 receptors of the innate immune system would also indirectly affect the expression of SERT and 343 serotonergic receptors, as it has previously been observed for TLR2 (Latorre et al., 2016), TLR9 344 (Layunta et al., 2022), or NOD2 (Layunta et al., 2018), among others. These findings underscore 345 again the importance of inflammation in modulating serotonergic function and emphasize the 346 relevance of the p38 MAPK signaling pathway in this process. Consequently, this could impact 347 the amount of 5-HT available for neuronal signaling and potentially would have implications for 348 mood regulation and other neurobiological processes.

349 In previous research, we demonstrated the protective effect of native bovine lactoferrin and 350 buttermilk on oxidative stress in intestinal epithelial cells (Buey et al., 2021), and that treatment 351 with whey or buttermilk supplemented with native bovine lactoferrin and MFGM modulated the 352 microbiota composition and the functional pathways adversely affected by antibiotic 353 administration (Bellés et al., 2023). In our study, both whey- and buttermilk-based formulas 354 showed a mitigating effect on the immune and serotonergic hyperactivity associated with DSS-355 induced colitis in the brain, with whey showing particularly pronounced effects. This was 356 evidenced by a significant reduction in the expression of several inflammatory markers (IL-1 $\beta$ , 357 IL-6, and TNF- $\alpha$ ), pattern recognition receptors (PRRs), and all components of the serotonergic 358 system analyzed in animals pretreated with these dairy by-products compared to those treated 359 only with DSS. The findings suggest a potential modulatory effect of dairy by-products on brain 360 inflammatory response and CNS alterations secondary to intestinal inflammation.

361 These findings are consistent with previous research demonstrating the neuroprotective and anti-362 inflammatory properties of dairy components. Some studies have demonstrated that whey protein

363 powder could alleviate Alzheimer's disease (AD) pathology by inhibiting neuroinflammation 364 through the peroxisome proliferator-activated receptor  $\gamma$  (PPAR $\gamma$ )-nuclear factor- $\kappa$ B signaling 365 pathway in the brains of AD mice (Li et al., 2023). Additionally, supplementation with  $\alpha$ -366 lactalbumin, a whey protein with high Trp content, has been shown to increase the ratio of plasma 367 Trp to the sum of the other large neutral amino acids (Trp-LNAA ratio) and improve cognitive 368 performance in stress-vulnerable subjects (Markus et al., 2002). These findings support the 369 hypothesis that whey, due to its content of certain proteins, could enhance brain function by 370 influencing Trp metabolism and 5-HT synthesis. Moreover, whey protein hydrolysate has been 371 found to have antioxidant and anti-inflammatory effects in mice brain, reducing oxidative stress 372 and the expression of inflammatory factors, such as TNF- $\alpha$  and IL-1 $\beta$ , which could help improve 373 cognitive function and protect against age-related decline (Yu et al., 2021).

374 On the other hand, supplementation with MFGM has shown beneficial effects in attenuating the 375 detrimental effects of obesity on brain function and memory in mice fed a high-fat diet, primarily 376 through its anti-inflammatory role in the brain (Arnoldussen et al., 2022). Additionally, MFGM 377 supplementation during pregnancy and lactation may promote neurocognitive development in the 378 offspring of obese rats (Yuan et al., 2022). This supplementation has been found to modify the 379 diversity and composition of the intestinal microbiota in the offspring, reducing the abundance of 380 proinflammatory bacteria and increasing that of bacteria with anti-inflammatory functions. 381 Moreover, MFGM alleviated neuroinflammation by reducing the levels of lipopolysaccharides 382 and proinflammatory cytokines (IL-16, IL-6, and TNF- $\alpha$ ) in serum and brain, as well as by 383 inhibiting the expression of the microglial activation marker Iba1. The correlation between 384 changes in the intestinal microbiota and inflammation suggests that reducing the microbiota-385 mediated inflammatory response may be the mechanism by which MFGM stimulates 386 neurological development (Yuan et al., 2022). These findings highlight the role of MFGM as an 387 effective component in early neurological development and suggest its potential in modulating 388 the inflammatory response, which could contribute to its beneficial effect on neurological 389 development. Finally, clinical studies suggest that infant formulas enriched with bioactive

compounds, like MFGM, may have beneficial effects on the cognitive, behavioral and emotional
development of children (Veereman-Wauters et al., 2012; Timby et al., 2014).

392 Coinciding with our results, research carried out in C. elegans confirms the role of lactoferrin in 393 the regulation of the serotonergic system by modulating the biosynthesis of 5-HT (Martorell et 394 al., 2016). In this context, studies in rats show that a diet enriched with lactoferrin could also 395 indirectly regulate central serotonergic signaling by modulating the microbiota, increasing the 396 gene expression of certain serotonin receptors, such as 5-HTR<sub>1A</sub> and 5-HTR<sub>2C</sub>, in brain regions 397 such as the prefrontal cortex, which could have implications in brain function (Mika et al., 2018). 398 On the other hand, another study revealed that lactoferrin can suppress the inflammatory response 399 induced by Epstein-Barr virus by interfering with the activation of TLR2 and TLR9 (Zheng et al., 400 2014).

401 In summary, our study underscores the potential of the dairy by-products whey and buttermilk, to 402 modulate CNS inflammatory responses and promote brain health in the context of intestinal 403 inflammation. The observed effects on serotoninergic function and immune response modulation 404 further emphasize the therapeutic potential of these dairy components in mitigating 405 neuroinflammation, mood dysregulation, cognitive impairments, and other neurobiological 406 alterations commonly associated with neurodegenerative and neuropsychiatric disorders. 407 Furthermore, although our primary focus was to study the impact on brain health, the positive 408 outcomes observed suggest that these dairy by-products might also have beneficial effects on 409 intestinal inflammation. Future research should explore this potential, while continuing to 410 investigate the mechanistic insights and clinical implications of dairy-based interventions, 411 focusing on both preserving brain health and addressing the neurological consequences of 412 intestinal inflammation in IBD patients.

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### 416 **Competing interest statement**

417 The authors declare there are no competing interests.

### Author contribution statement 418

419 B.B.: data curation, formal analysis, investigation, methodology, software, writing – original 420

draft; E.L: formal analysis, investigation, methodology, writing – review & editing; M.C.:

421 investigation, methodology; M.S.V.: investigation, methodology; M.A.P.: conceptualization,

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423 formal analysis, writing – review & editing; I.A.: investigation, methodology; A.R.: investigation,

424 methodology; L.S.: conceptualization, funding acquisition, project administration, visualization,

425 writing-review and editing; J.E.M.: conceptualization, data curation, formal analysis,

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437

### Data availability statement 438

439 Data available upon request.

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## 597 Figure captions

Fig. 1. Assessment of DSS-induced damage in the colon. For experimental induction of colitis
mice were daily treated for 5 days with 2.5% (w/v) solution of DSS. A: Colon length. B:
Macroscopic damage score calculated for each animal according to a standard scoring system.
Data are presented as the mean ± SEM of four animals (n=4). \*\*p<0.01 compared to control</li>
group. C-D: Images of hematoxylin-eosin staining of distal colon from control (C) and DSS (D)
groups. Arrows: infiltration of inflammatory cells; asterisk: edema. Scale bar: 100 µm.

604

605 Fig. 2. Analysis of the inflammatory state of the brain of mice treated with DSS. A: 606 Histopathological images of brain sections corresponding to mice from the control (a) and DSS 607 (b) groups. Scale bar: 2 mm. Detail of the frontal cortex corresponding to mice from the control 608 (c) and DSS (d) groups. Scale bar: 300 µm. No evident lesions were observed in the cerebral 609 cortex, cerebellum, or hypothalamus in any of the groups. Sections were stained with 610 hematoxylin-eosin. B: Effect of whey and buttermilk on mRNA expression levels of IL-1β, IL-6, 611 and TNF- $\alpha$  in the brain of mice treated with DSS. mRNA levels were determined using qPCR 612 and expressed in arbitrary units (control = 1) and presented as the mean  $\pm$  SEM of four animals (n=4). \*\*p<0.01 and \*\*\*p<0.001 compared to control. ##p<0.01 and ###p<0.001 compared to 613 614 the DSS group.

615

**616 Fig. 3.** Effect of whey- and buttermilk-based formulas on the PRRs expression in the brain of 617 mice treated with DSS. mRNA expression levels of TLR1-11 and NOD1-2 in whole brain of mice 618 treated with whey (W) or buttermilk (BT) formulas or DSS, compared with mice pretreated with 619 whey or buttermilk prior to DSS treatment. mRNA levels were determined using qPCR and 620 expressed in arbitrary units (control = 1) and presented as the mean ± SEM of four animals (n=4). 621 \*p<0.05, \*\*p<0.01 and \*\*\*p<0.001 compared to control. #p<0.05, ##p<0.01 and ###p<0.001 622 compared to the DSS group.

623	Fig. 4. Effect of whey- and buttermilk-based formulas on the serotonergic system in the brain of
624	mice treated with DSS. mRNA expression levels of SERT, TPH2 and 5-HT receptors in brain of
625	mice treated with whey (W) or buttermilk (BT) formulas or DSS, compared with mice pretreated
626	with whey or buttermilk prior to DSS treatment. mRNA levels were determined using qPCR and
627	expressed in arbitrary units (control = 1) and presented as the mean $\pm$ SEM of four animals (n=4).
628	*p<0.05, **p<0.01 and ***p<0.001 compared to control. #p<0.05, ##p<0.01 and ###p<0.001
629	compared to the DSS group.
630	

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**Table 1**. Concentration of product and total protein present in the whey, buttermilk, and MFGM

 fractions used in whey- and buttermilk-based formulas.

Fractions	% dry matter	mg protein/mL	mg protein/g product
Whey	6.8	10.46	153.79
Buttermilk	8.7	11.89	136.72
MFGM	10	9.77	97.72

Gen	Forward (5'-3') and reverse (3'-5') primers
SERT	GGCAACATCTGGCGTTTTCC // ATTTCGGTGGTACTGGCCCA
TPH2	GAGTTGCTCCACGCTTTGC // ACACTCAGTCTACATCCATCCC
5-HTR <sub>1A</sub>	TCTGTGAGAGCAGTTGCCACAT // AGCGGCAGAACTTGCACTTGAT
5-HTR <sub>2A</sub>	TGCCGTCTGGATTTACCTGGATGT // TACGGATATGGCAGTCCACACCAT
5-HTR <sub>2B</sub>	AGGAAATGAAGCAGACTGTGGAGG // CAGTGCAACAGCCAGAATCACAAG
5-HTR <sub>3</sub>	TCTTGCTGCCCAGTATCTTCCTCA // TTATGCACCAGCCGCACAATGAAG
5-HTR <sub>4</sub>	AATGCAAGGCTGGAACAACATCGG // TGTATCTGCTGGGCATGCTCCTTA
5-HTR <sub>7</sub>	TCTTCGGATGGGCTCAGAATGT // AACTTGTGTTTGGCTGCGCT
NOD1	CACAGCGCTCTTCACTTTTG // GTTAGCCAGCAGGACCAGAG
NOD2	CTTCATTTGGCTCATCCGTAG // CTGGAGATGTTGCAGTACAAAG
TLR1	TCTTCGGCACGTTAGCACTG // CCAAACCGATCGTAGTGCTGA
TLR2	GCCACCATTTCCACGGACT // GGCTTCCTCTTGGCCTGG
TLR3	GGTCCCCAGCCTTCAAAGAC // ACGAAGAGGGCGGAAAGGT
TLR4	AGAAATTCCTGCAGTGGGTCA // TCTCTACAGGTGTTGCACATGTCA
TLR5	ATGGCATGTCAACTTGACTT // GATCCTAAGATTGGGCAGGT
TLR6	TCATCTCAGCAAACACCGAGTATAGC // CAACCTTATTGAATGTGACCCTCCAGC
TLR7	GTACCAAGAGGCTGCAGATTAGAC // AGCCTCAAGGCTCAGAAGATG
TLR8	GAAGCATTTCGAGCATCTCC // GAAGACGATTTCGCCAAGAG
TLR9	ACTTCGTCCACCTGTCCAA // AGGAAGGTTCTGGGCTCAAT
TLR11	TCCTTCCTCTGATTAGCTGTCCTAA // TCCACATAATTTCCACCAACAAGT
IL-6	TCCTACCCCAATTTCCAATGC // TGAATTGGATGGTCTTGGTCCT
IL-1β	CTCGTGCTGTCGGACCCAT // CAGGCTTGTGCTCTGCTTGTGA
TNF-α	AAATGGGCTTTCCGAATTCA // CAGGGAAGAATCTGGAAAGGT
HPRT1	CTGGTGAAAAGGACCTCTCGAA // CTGAAGTACTCATTATAGTCAAGGGCAT
GAPDH	AACGACCCCTTCATTGAC // TCCACGACATACTCAGCAC

**Table 2.** Primer sequences used for real-time PCR analysis of brain mice samples.



Fig.1. Assessment of DSS-induced damage in the colon. For experimental induction of colitis mice were daily treated for 5 days with 2.5% (w/v) solution of DSS. A: Colon length. B: Macroscopic damage score calculated for each animal according to a standard scoring system. Data are presented as the mean ± SEM of four animals (n=4). \*\*p<0.01 compared to control group. C-D: Images of hematoxylin-eosin staining of distal colon from control (C) and DSS (D) groups. Arrows: infiltration of inflammatory cells; asterisk: edema. Scale bar: 100 μm.</li>

122x109mm (300 x 300 DPI)





Fig. 2. Analysis of the inflammatory state of the brain of mice treated with DSS. A: Histopathological images of brain sections corresponding to mice from the control (a) and DSS (b) groups. Scale bar: 2 mm. Detail of the frontal cortex corresponding to mice from the control (c) and DSS (d) groups. Scale bar: 300  $\mu$ m. No evident lesions were observed in the cerebral cortex, cerebellum, or hypothalamus in any of the groups. Sections were stained with hematoxylin-eosin. B: Effect of whey and buttermilk on mRNA expression levels of IL-1 $\beta$ , IL-6, and TNF-a in the brain of mice treated with DSS. mRNA levels were determined using qPCR and expressed in arbitrary units (control = 1) and presented as the mean ± SEM of four animals (n=4). \*\*p<0.01 and \*\*\*p<0.001 compared to control. ##p<0.01 and ###p<0.001 compared to the DSS group.

158x165mm (300 x 300 DPI)



Fig. 3. Effect of whey- and buttermilk-based formulas on the PRRs expression in the brain of mice treated with DSS. mRNA expression levels of TLR1-11 and NOD1-2 in whole brain of mice treated with whey (W) or buttermilk (BT) formulas or DSS, compared with mice pretreated with whey or buttermilk prior to DSS treatment. mRNA levels were determined using qPCR and expressed in arbitrary units (control = 1) and presented as the mean ± SEM of four animals (n=4). \*p<0.05, \*\*p<0.01 and \*\*\*p<0.001 compared to the DSS group.

197x159mm (300 x 300 DPI)



Fig. 4. Effect of whey- and buttermilk-based formulas on the serotonergic system in the brain of mice treated with DSS. mRNA expression levels of SERT, TPH2 and 5-HT receptors in brain of mice treated with whey (W) or buttermilk (BT) formulas or DSS, compared with mice pretreated with whey or buttermilk prior to DSS treatment. mRNA levels were determined using qPCR and expressed in arbitrary units (control = 1) and presented as the mean  $\pm$  SEM of four animals (n=4). \*p<0.05, \*\*p<0.01 and \*\*\*p<0.001 compared to control. #p<0.05, ##p<0.01 and ###p<0.001 compared to the DSS group.

106x208mm (300 x 300 DPI)