

1 **TITLE: Elbow joint luxation in a 1-month-old foal**

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8 **ABSTRACT:**

9 This paper reports on luxation of the elbow joint without concomitant fracture in
10 a 1-month-old foal. Conservative treatment, with closed reduction and full-limb
11 bandaging, including caudal and lateral splints, seemed successful initially,
12 however, failed to provide enough stability and luxation recurred, and open
13 reduction and surgical placement of prosthetic collateral ligaments was
14 required. Luxation of the elbow joint should be considered when acute non-
15 weight bearing forelimb lameness occurs associated with pain and swelling in
16 the area of the elbow in young foals. Closed reduction failed to provide sufficient
17 joint stability.

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19 **KEY WORDS:** equine lameness, luxation, elbow, foal

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21 **INTRODUCTION:**

22 Luxation of the humeroradioulnar (elbow) joint has seldom been reported in
23 horses.¹⁻³ Elbow luxation is commonly associated with concomitant fracture of
24 the proximal radius, a separation of the radius and ulna, and/or fracture of the
25 olecranon.^{4,5} To our knowledge, elbow luxation without concomitant major ulnar
26 or radial fracture, as in this foal, has only been reported twice in equids and^{2,6}
27 both cases were in foals aged 8 months. The aim of the present report is to
28 describe the presentation and management of elbow luxation in a 1-month-old
29 foal.

30 **CASE REPORT:**

31 A 1-month-old Andalusian colt was presented to the Veterinary Teaching
32 Hospital of the Universidad de Zaragoza for evaluation of acute left forelimb
33 lameness. The foal had been healthy until that morning when it developed an
34 acute non-weight bearing lameness. Trauma was suspected, but not witnessed.
35 On presentation, the foal was alert and responsive, with all the vital
36 parameters, other than tachycardia (90 beats per minute) and tachypnea (40
37 breaths per minute), within normal limits. The foal was non-weight bearing lame
38 on its left forelimb, which was held in semiflexion and showed a dropped-elbow
39 appearance. Severe swelling and deformation were noted at the left elbow
40 region (Figure 1). Palpation of the affected area elicited a painful response; no
41 crepitus or other audible sounds were noted. The skin was intact and no signs
42 of external trauma were present. Mediolateral and craniocaudal radiographic
43 views of the affected elbow showed luxation of the left elbow joint without

44 concomitant fracture. The humerus was displaced distomedially in relation to
45 the radius and ulna (Figure 2).

46 Closed reduction of the luxation under general anaesthesia was attempted as
47 previously described.⁶ After administration of phenylbutazone 4.4 mg/kg IV, the
48 foal was premedicated with romifidine 0.05 mg/kg IV and butorphanol 0.02
49 mg/kg IV, prior to induction of general anaesthesia with ketamine 2 mg/kg IV
50 and diazepam 0.02 mg/kg IV. After endotracheal intubation, general
51 anaesthesia was maintained with isoflurane in 100% oxygen. With the foal in
52 dorsal recumbency, traction was applied to the distal left forelimb by means of
53 an overhead hoist, while another person applied pressure on the proximal
54 radius in a cranial to caudal direction.⁶ Final radiographs showed that the
55 luxation had been corrected, although a mild degree of subluxation was still
56 present. A full limb Robert-Jones bandage was applied, incorporating two full
57 splints on the lateral and caudal aspects of the limb. The lateral splint extended
58 proximad until approximately the height of the withers in an attempt to prevent
59 abduction of the limb. Systemic administration of phenylbutazone 2.2 mg/kg
60 twice daily IV and omeprazole 2.0 mg/kg once daily orally were continued.

61 During the following days the foal continued to appear uncomfortable and was
62 non-weight bearing on the left forelimb. There was severe joint instability, and 3
63 days after the closed reduction, elbow luxation recurred. At this time, avulsion
64 fracture of the humeral origin of the lateral collateral ligament was evident
65 radiographically.

66 The foal was anaesthetised as previously described for open reduction of the
67 luxation and collateral ligament repair. Administration of sodium penicillin
68 23,000 UI/kg IV every 6 hours and amikacin 22 mg/kg once daily IV was
69 initiated pre-operatively. The foal was placed in dorsal recumbency, with the left
70 forelimb attached to an overhead hoist. The left elbow region was clipped and
71 aseptically prepared. A 12 to 14 cm skin incision was made on both the lateral
72 and medial aspects of the elbow joint, directly over the location of the collateral
73 ligaments. Dissection was continued to expose the remnants of the joint
74 capsule and collateral ligaments. The centre of ossification of the medial
75 epicondyle of the humerus was found to be separated and was removed.
76 Attempts at reduction of the luxation in the same manner as described above
77 for the closed reduction were unsuccessful.

78 A 1 cm long piece of the tip of the anconeal process was removed, after which
79 reduction of the fracture was possible. Four 6.5 cancellous bone screws were
80 inserted at the level of the medial and lateral epicondyles of the humerus and
81 the medial and lateral radial tuberosities, as close as possible to the
82 attachments of the collateral ligaments. Eighteen-gauge stainless steel cerclage
83 wire was used in a figure of eight fashion around the proximal and distal screws
84 to create prosthetic collateral ligaments. Washers were used to provide more
85 stability and to prevent the cerclage wire slipping over the screw heads (Figure
86 3). The elbow joint and subcutaneous tissue were flushed with 3 L lactated
87 ringer solution. The joint capsule was sutured with number 0 monofilament
88 polydioxanone in a simple interrupted pattern. Number 2/0 monofilament poly-
89 glyconate suture was used to close the subcutaneous tissue in a simple
90 continuous pattern. The skin was closed using stainless steel staples. A full limb
91 Robert Jones bandage was applied, as for the closed reduction, with caudal

92 and lateral splints. Systemic administration of antimicrobials (sodium penicillin
93 and amikacin), phenylbutazone and omeprazole were continued.

94 During the following days, no clinical improvement occurred. The foal was
95 unable either to extend the limb or bear weight. Eight days later, severe painful
96 hot inflammation developed over the left elbow. The foal remained recumbent
97 and had an increased rectal temperature (40.5°C). Infection of the left elbow
98 was suspected. Severe lytic areas within the distal epiphysis of the humerus
99 and proximal radius were evident on radiographs. Bone lysis was also evident
100 around two screws, one of which had partly migrated back out of the bone.
101 Clinical and radiographic signs were highly indicative of septic arthritis and
102 osteomyelitis, and because of its poor prognosis, the foal was humanely
103 euthanased. Infection of the elbow joint was confirmed on synovial fluid
104 cytology. Necropsy examination of the foal was not available.

105 **DISCUSSION:**

106 Elbow luxation occurs as a result of forceful abduction of the limb as would
107 occur when a foot is caught in a fast, traumatic injury, or a fall.³ In the case
108 reported here, the exact cause of luxation is unknown. The clinical presentation
109 of an elbow luxation is similar to an olecranon fracture or radial nerve paralysis,
110 with acute non-weight bearing lameness, a dropped elbow, and an inability to
111 extend the elbow and carpus. Primary differential diagnoses should also include
112 fractures of the proximal radius or distal humerus. Other differential diagnoses
113 for the dropped elbow appearance include carpal flexural deformity, carpal bone
114 fractures, and myopathy.

115 In small animals, the prognosis for return to normal following elbow luxation is
116 poor, and is dependent on the degree of reduction achieved, the extent of the
117 damage to collateral ligaments, the joint capsule and articular cartilage, and any
118 accompanying fractures of the ulna or radius.⁷ In horses, the prognosis is
119 considered guarded to poor.³ Reduction, external immobilisation, and internal
120 stabilisation are difficult to achieve and postoperative complications are
121 common. Complications include persistent or recurrent luxation, degenerative
122 joint disease, ankylosis, decreased range of motion, and persistent pain.^{3,7}
123 However, pasture soundness and pleasure riding soundness have been
124 reported in two older foals with luxation of the elbow joint without concomitant
125 major fracture.^{2,6}

126 Closed reduction combined with splint bandaging was successful in an 8-
127 month-old mule.⁶ It has been proposed that the inter- digitation of the three
128 bones of the elbow should provide sufficient stability,⁵ however, in the case
129 reported here luxation of the elbow recurred despite the previous closed
130 reduction. This is indicative of joint instability, which was also observed by
131 Jones et al.⁶ after closed reduction. Failure to achieve complete reduction using
132 the closed technique could have been caused by the presence of blood clots or
133 soft tissue entrapped between the articular bone surfaces at the elbow joint.
134 Cartilage damage or eburnation during the traumatic event or during closed
135 reduction manipulations could have reduced joint congruency, leading to
136 imperfect anatomic reduction and instability. The procedure for closed reduction
137 was very difficult and required forceful manipulations, which can have negative
138 consequences such as fracture of the ulnar diaphysis.⁶ These manipulations
139 could have been the cause of the fracture of the centre of ossification of the

140 medial epicondyle of the humerus, or the avulsion fracture of the humeral
141 insertion of the lateral collateral ligament, which were not evident at initial
142 examination. It is possible that a non- displaced fracture of the centre of
143 ossification was present initially; however, it would have been expected to
144 become displaced during the closed reduction. Ultrasound evaluation of the
145 affected elbow joint was not performed; however, this technique could have
146 been valuable to evaluate the integrity of soft tissue structures such as the
147 collateral ligament.⁸

148 Unfortunately, infection of the elbow joint occurred, which resulted in the foal
149 being euthanased. The three main sources of orthopaedic infections are
150 trauma, haematogenous spread and iatrogenic causes.⁹ In the case reported
151 here, no signs of skin disruption were evident. Iatrogenic origin of this infection
152 is a possibility; however, prophylactic broad spectrum antimicrobials were
153 administered to the foal before surgery and maintained postoperatively. The
154 antimicrobial combination used in this case (penicillin and amikacin) provides
155 broad spectrum antimicrobial coverage and is a common choice in foals.¹⁰
156 Asepsis and sterile technique were maintained during the procedure, although
157 intra-operative tissue irrigation with antimicrobial solutions could also have been
158 used. Haematogenous spread of infection is common in foals, especially
159 neonatal foals with failure of passive transfer of immunoglobulins and those with
160 umbilical or systemic infections.¹¹ In this case, the foal was reported to have
161 been healthy since birth and no systemic abnormalities were found on initial
162 examination, however, other factors could have played an important role.
163 Accumulated blood, blood clots, fibrin and devascularised tissue provide an
164 excellent medium for organisms to grow, and haematoma formation and soft
165 tissue damage at the elbow region during the closed reduction attempts could
166 have provided such an environment. Systemic antimicrobials were not given at
167 the initial examination because no clinical signs of systemic disease or infection
168 were evident. In retrospect these may have decreased the risk of
169 haematogenous organisms seeding the traumatised tissue. Unfortunately,
170 necropsy examination was not permitted, but we speculate that the infection
171 developed after the open surgical reduction, and that the existing tissue
172 damage played a role. It is known that orthopaedic procedures in horses carry a
173 higher risk of surgical site infections.¹²

174 Crawley et al² reported a similar case in an 8-month-old Appaloosa foal in which
175 attempts at a closed reduction under general anaesthesia were unsuccessful. In
176 that case, open reduction was eventually successful, using a similar surgical
177 procedure. They removed a wedge-shaped portion of the cranial portion of the
178 capitulum of the humerus, creating a ramp over which the radius could slide. In
179 the case presented here, resection of a 1 cm long piece of the tip of the
180 anconeal process facilitated the reduction. Muscle contraction may have
181 accounted for the difficult reduction during surgery, which could have been
182 facilitated by administration of neuromuscular blocking agents such as
183 succinylcholine.⁴ To the authors' knowledge, no previous reports have
184 described removal of the anconeal process to help reduction of an elbow
185 luxation, a procedure that greatly facilitated reduction in this foal. We do not
186 consider it likely that removing the anconeal process had deleterious effects on
187 joint stability.

188 Prosthetic collateral ligaments should be placed as close to the origin of the
189 damaged ligament as possible in order to maintain the instant centre of motion
190 of the joint.² Mechanical loss of joint mobility, or loss of stability which can lead
191 to degenerative joint disease, may occur if prosthetic collateral ligaments are
192 not in an anatomically correct position. Careful attention was maintained for
193 accurate identification of collateral ligament insertions, but was difficult because
194 of disruption of the soft tissues in the area. In the case reported by Crawley et
195 al,² the stainless steel collateral prostheses failed following surgery, which was
196 suggested to have occurred due to applied stress and metal fatigue from
197 continued motion of the joint. In humans and different animal species, fresh and
198 chemically preserved auto- and allo-grafts, and synthetic materials have been
199 used for prosthetic ligaments and tendons, with varied success.^{13–16} The aim of
200 temporary implants is to provide initial mechanical joint stabilisation and then
201 serve as scaffolding for the ingrowth of new collagen before implant failure
202 occurs.

203 Although our experience from this single case is limited, and few cases have
204 been reported in the literature, we believe that closed reduction can be
205 attempted initially in clinical cases of elbow luxation. If forceful management is
206 required, or in cases in which a complete reduction of the fracture is not
207 observed after the closed reduction, surgical treatment should be considered.
208 We do not think that the splints used in this case provided adequate elbow joint
209 stability. This splint configuration is indicated for radial fractures, and has the
210 objective of preventing the limb from abducting. Abduction increases the risk of
211 an open fracture occurring if the fragment becomes exteriorised through the
212 medial side of the antebrachium, where soft tissue is minimal. We used these
213 splints in an attempt to prevent abduction and provide stability; however,
214 obviously the limb was not prevented from adduction, and did not provide
215 enough stability to prevent elbow luxation recurrence. Nevertheless, two other
216 factors have to be considered. First, we did not achieve complete luxation
217 reduction, which is necessary for the joint stability provided by interdigitation of
218 the three bones at the elbow joint.⁵ Possible causes for this have been
219 discussed. Second, bilateral collateral ligament rupture was present in this foal,
220 causing joint instability that required open reduction and prosthesis placement
221 to provide sufficient stability and to prevent recurrence.

222 Luxation of the elbow joint should be considered when acute non-weight
223 bearing forelimb lameness occurs associated with pain and swelling in the area
224 of the elbow in young foals. Although closed reduction seemed initially
225 successful, it did not provide sufficient joint stability and did not prevent
226 recurrence. It also required forceful manipulation which can have negative
227 consequences.

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278

279 **FIGURES:**



280

281 **Figure 1.** A 1-month-old Andalusian foal presented for evaluation of acute
282 forelimb lameness. The left forelimb was held in semiflexion with a dropped-
283 elbow appearance. Severe swelling and deformation were noted at the left
284 elbow region.

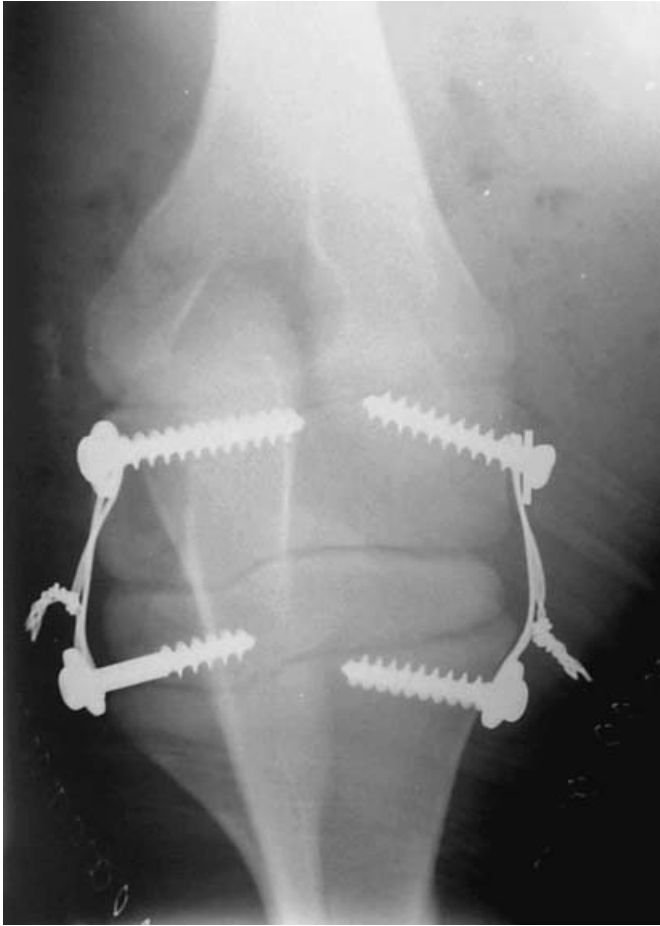
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287 **Figure 2.** Caudo-cranial radiograph of the left elbow region of the foal in Figure
288 1 at presentation. Luxation of the elbow joint is present. The humerus is
289 displaced distomedially to the radius and ulna. The large radiolucency in the
290 distal humerus corresponds to the normal olecranon fossa.

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292

293 **Figure 3.** Caudo-cranial radiograph of the left elbow after open reduction and
294 prosthetic ligaments placement.