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1 **TERRESTRIAL RECORD OF CYCLIC EARLY EOCENE WARM-HUMID EVENTS IN CLAY MINERAL**

2 **ASSEMBLAGES FROM THE SALTA BASIN, NORTHWESTERN ARGENTINA**

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24 **ABSTRACT**

25 The Eocene continental sequence investigated in this study belongs to the Salta Group; it was  
26 deposited in an intracontinental rift, the Salta Basin (NW Argentina) that evolved from the Lower  
27 Cretaceous to middle Paleogene. The Salta Group contains the Maíz Gordo and Lumbrera Fms, spans  
28 the Paleocene-early Eocene, and shows excellent exposures in the region of the Valles Calchaquíes.  
29 This research is focused on the continental facies of the Lumbrera Fm, which correspond to the early  
30 Eocene. We studied the mineralogy of the fine-grained beds of the Lumbrera Fm in five locations  
31 (Valle Encantado, Tonco, Tin Tin, Luracatao, and Obelisco) by X-ray diffraction and scanning electron  
32 microscopy in order to examine the vertical variations in clay mineralogy and their relations with  
33 global paleoclimatic changes registered during the Eocene. The microtexture of the authigenic  
34 smectite-type clays (Sm to I/Sm R0) suggests that they mainly originated by crystallization from  
35 glassy volcanic materials. The high reactivity of the glass precludes the use of smectite-type-clay  
36 formation as an indicator of specific paleoclimatic conditions in the studied sediments. In contrast,  
37 the formation of kaolinite in sections with very low smectite proportions and a strong degree of  
38 weathering in crystalline silicates reflects intense weathering conditions and is a useful paleoclimatic  
39 proxy in terrestrial sediments. Significant variations in kaolinite/muscovite ratios at the base and in  
40 an intermediate bed in the Lumbrera Fm at Valle Encantado suggest the presence of cyclic  
41 hyperthermals through the Ypresian stage that may be tentatively correlated with the Eocene  
42 Thermal Maxima 2 and 3, which are the largest events that have been identified at a global scale in  
43 early Eocene marine sediments.

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45 **KEYWORDS:** Paleoclimate reconstruction, continental basins, kaolinite, EECO

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## 48 1. INTRODUCTION

49 From the late Paleocene (58 Ma) to the early Eocene the Earth's surface underwent a short  
50 period of warming associated with elevated levels of atmospheric CO<sub>2</sub>; according to various lines of  
51 evidence, this was the warmest period in the Cenozoic Era (Kennett and Stott, 1991; Zachos et al.,  
52 1993, 2001; Schmitz and Pujalte, 2007; Sluijs et al., 2007; McInerney and Wing, 2011). This event,  
53 known as the Paleocene-Eocene thermal maximum (PETM or ETM-1), occurred ~56 million years ago  
54 in a short period of time that spanned ~170,000 years (Röhl et al., 2007; Westerhold, 2008; Charles  
55 et al., 2011; Zeebe et al., 2016). A few million years later there was another long-term warming trend  
56 forced by high concentrations of atmospheric CO<sub>2</sub>, which comprises a period called the Early Eocene  
57 Climatic Optimum (EECO; ~53-49 Ma; Zachos et al., 2001, 2008; Kirtland Turner and Ridgwell, 2013;  
58 Laurentano et al., 2015, 2018; Westerhold et al., 2018; Crouch et al., 2020). This long-term trend  
59 through the Ypresian stage was punctuated by recurrent transient warming events, associated with  
60 carbon isotope excursions (CIEs), called "hyperthermals" (Thomas, 1998; Thomas et al., 2000; Zachos  
61 et al., 2010). The chronology of the different hyperthermals, their relationship with eccentricity  
62 cycles, as well as the evolution of surface and sea-bottom temperatures, have been established  
63 mainly from the study of deep-sea cores (Cramer et al., 2009; Zachos et al., 2010; Laurentano et al.,  
64 2015, 2018; Thomas et al., 2018; Westerhold, et al., 2018; Crouch et al., 2020). The hyperthermal  
65 events of the Paleocene-early Eocene were initially recognized in several deep-sea cores from the  
66 Atlantic Ocean (e.g., Cramer et al., 2003; Laurentano et al., 2015; Littler et al., 2014, 2010; Zachos et  
67 al., 2005, 2010), but were subsequently also identified in deep-sea cores from the Pacific Ocean as  
68 well as in marine sedimentary successions outcropping in various localities (Nicolo et al., 2007;  
69 Galeotti et al., 2017; Westerhold et al., 2018). Initially two warming events, ETM-2 and 3, were  
70 identified in the early Eocene (Zachos et al., 2010; Röhl et al., 2005), but the high-resolution analysis  
71 of deep-sea cores identified several prominent CIEs occurring between 55 and 52 Ma, which were  
72 labeled from E to L by Cramer et al. (2003) and have been correlated with short eccentricity cycle

73 maxima. Recently, excursions H to L, as well as 20 additional smaller CIEs, have been documented for  
74 the Ypresian to early Lutetian (56–45 Ma) in stable isotope records from sea cores from the  
75 Demerara Rise in the equatorial Atlantic (Kirtland Turner and Ridgwell, 2013; Sexton et al., 2011;  
76 Laurentano et al., 2016). The paleoclimatic changes taking place during this period have been  
77 extensively studied in the last few decades since they are considered analogous to current global  
78 greenhouse conditions.

79 At the same time, the study of the climatic conditions prevailing during the early Eocene in  
80 terrestrial realms is crucial to understanding how the current global greenhouse climate could affect  
81 temperatures and hydrological cycles in inner continental areas. In fact, studies in different basins  
82 indicate that early Eocene hyperthermal events were associated with marked changes in hydrological  
83 cycles, which in turn modified surface processes such as the rate of erosion and chemical weathering  
84 (Clechenko et al., 2007; Schmitz and Pujalte, 2007; Bataille et al., 2019). However, our knowledge of  
85 continental climates during the Paleocene-early Eocene remains limited. There is a consensus that  
86 the global climate was warmer than at present during the Mesozoic and Early Cenozoic, but  
87 disagreements remain with respect to winter temperatures and latitudinal gradients, and also the  
88 consequences of warming events on hydrological cycles (Greenwood and Wing, 1995). These studies  
89 have applied different approaches to understanding the environmental conditions that prevailed  
90 during the early Eocene, including the use of carbon and oxygen isotope data, paleontological data  
91 (i.e., foliar physiognomy and floristic composition), the characterization of paleosols, the study of  
92 clay assemblages, and sedimentological evidence (Greenwood and Wing, 1995, 2010; Bataille et al.,  
93 2016, 2018; Andrews, et al., 2017; Do Campo et al., 2018; Kelson et al., 2018). However, most of the  
94 studies that have focused on terrestrial sections have been carried out in the Northern Hemisphere  
95 (Koch et al., 1995, 2003; Kraus, 1997; Domingo et al., 2009; Hyland and Sheldon, 2013; Bataille et al.,  
96 2016; Kelson et al., 2018; Song et al., 2018; Willard et al., 2019), and only a few in South America  
97 (Krause et al., 2010; Hyland and Sheldon, 2015, 2017; Andrews et al., 2017).

98           The Santa Barbara Subgroup (Salta Group) represents an interesting case study to ascertain the  
99 changes in paleoclimate that took place in the Paleocene–early Eocene, since its upper two units, the  
100 Maíz Gordo and Lumbrera Fms, correspond to this period and provide excellent exposures in the  
101 region of Valles Calchaquíes (Fig. 1). The paleoclimatic changes that occurred during the deposition  
102 of the Maíz Gordo Fm have been the subject of several studies (del Papa, 1999; Do Campo et al.,  
103 2007, 2018; Andrews et al., 2017). By contrast, specific studies of the Lumbrera Fm have not yet been  
104 carried out, and the few existing studies have addressed this unit only briefly (del Papa and Salfity,  
105 1999; Andrews et al., 2017; Do Campo et al., 2018). The aim of this study is thus to undertake a  
106 characterization of the clay mineral assemblages together with a sedimentological analysis of the  
107 Lumbrera Fm in several sites corresponding to the western part of the Salta Basin (northwestern  
108 Argentina). To this end, we integrate X-ray diffraction (XRD) analysis with electron microscopy images  
109 in order to understand the textural relations and origin of clays in the Lumbrera Fm and, what is  
110 more, to correlate horizontal and vertical changes in clay mineral assemblages with global  
111 paleoclimate changes taking place during the early Eocene.

112           The formation of clay minerals at the earth’s surface proceeds by weathering and authigenic  
113 reactions that depend on the complex interplay of a number of variables, including climate, source-  
114 area lithology, continental morphology, and the depositional environment (Chamley, 1989).  
115 However, if these variables remain constant for enough time the genesis of clay minerals will be  
116 mainly controlled by weathering intensity, which is in turn controlled by climatic conditions. When  
117 this occurs, clay minerals reach a state close to equilibrium with their environment and are thus  
118 representative of the climatic conditions prevailing during their formation in soil profiles (Thiry,  
119 2000). For these reasons, clay mineral assemblages of continental sequences have been successfully  
120 used to infer paleoclimatic and paleoenvironmental conditions in Mesozoic and Cenozoic strata (e.g.,  
121 Ruffell et al., 2002; Raucskik and Varga, 2008; Bauluz et al., 2014; Do Campo et al., 2018 and  
122 references therein).

123 Under dry and cold climates physical weathering prevails, generating clay mineral assemblages  
124 dominated by illite and/or chlorite. Under warm climate conditions with alternating humid and dry  
125 seasons, chemical weathering is moderate giving rise to smectite formation (Buurman et al., 1988;  
126 Güven, 1988; Chamley, 1989; Murakami et al., 1996). By contrast, humid subtropical to tropical  
127 climates are related with highly hydrolytic conditions that in turn promote kaolinite formation in  
128 sediments and soil profiles (Chamley, 1989; Righi and Meunier, 1995). Kaolinite usually forms  
129 through the dissolution of aluminosilicates such as feldspars or micas in the presence of water, and  
130 these reactions are enhanced by high temperatures and low pH, because under acid conditions silica  
131 will pass into the aqueous solution more than alumina (Velde, 1992). In view of the contrasting  
132 climatic conditions that favor illite and kaolinite genesis in weathering profiles, Chamley (1989)  
133 proposed the kaolinite/mica ratio (Kln/Ms), calculated from their relative abundances, as a  
134 paleoclimate proxy.

135 However, syn-sedimentary volcanic events could also leave a significant mark in clay mineral  
136 assemblages, as the highly labile volcanoclastic material that ends up in a basin can easily be  
137 transformed into smectite during early diagenesis (Cuadros et al., 1999; Do Campo et al., 2010). In  
138 the case of the Salta Basin, which developed to the east of an active volcanic arc, volcanic input  
139 should be considered as a possible source material. Accordingly, standard XRD analysis was  
140 complemented with a detailed study of representative samples by scanning electron microscopy  
141 employing backscattered electron images (BSE) and secondary electron images (SE), together with  
142 established methodologies of sedimentary facies analysis. In a previous study we have demonstrated  
143 that textural and morphological analysis of fine-grained sediments by SEM is essential to discriminate  
144 between clay minerals formed through physical and chemical weathering in soil profiles and those  
145 formed by early diagenesis of volcanoclastic material (Do Campo et al., 2010).

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149 **2. METHODS AND SAMPLES**

150 Stratigraphic sections were measured and described bed by bed in five locations: Valle  
151 Encantado, Tin Tin, and Tonco, which are situated in the Parque Nacional Los Cardones; Obelisco,  
152 which is located near Cafayate; and Luracatao–Represa, which is situated to the west in Cumbres de  
153 Luracatao (Fig. 1). The aim was to study the changes in clay mineral associations in fluvial  
154 environments. Each site was sampled taking into account the characteristics of the sedimentary  
155 facies of the Lumbrera Formation. It is worth mentioning that the Tin Tin and Obelisco sections  
156 coincide with those reported in Andrews et al. (2017).

157 The mineralogical composition of 87 whole rocks and clay sub-samples from the Lumbrera Fm  
158 (32 from Valle Encantado, 18 from Tonco, 18 from Tin Tin, 12 from Luracatao–La Represa, and seven  
159 from Obelisco) was analyzed by X-ray diffraction (XRD); the distribution of the samples along the  
160 stratigraphic logs is shown in Figures 2 and 3. XRD was performed with a PANalytical X'Pert Pro  
161 diffractometer (CuK $\alpha$  radiation, 45kV, 40mA) equipped with an X'Celerator solid-state linear detector  
162 (Department of Mineralogy and Petrology, University of Granada). Clay sub-samples (<2  $\mu$ m) were  
163 prepared in accordance with the guidelines of Moore and Reynolds (1997), and the mineral intensity  
164 factors (MIF) of the same authors were employed to estimate the relative abundances of illite-mica,  
165 kaolinite, chlorite, smectite, and I/Sm mixed-layer clays. The ratio of kaolinite and illite-mica (Kln/Ms)  
166 abundances was then calculated.

167 We performed standard petrographic analyses to characterize the lithology and textures of the  
168 samples corresponding to the five study sections. Afterwards, sixteen samples characterized by their  
169 high kaolinite or smectite content were chosen for detailed study using field scanning electron  
170 microscopy (FESEM), employing a Carl Zeiss FESEM to obtain textural and chemical information on  
171 the clay minerals at micro and nanoscale. In the case of the backscattered electron study (BSE) and  
172 the energy-dispersive X-ray (EDS) analysis, polished thin sections were employed. The accelerating  
173 voltage used was 15Kv with a beam current of 1 nA. Atomic concentration ratios obtained by EDS

174 were converted into formulae according to stoichiometry. Accordingly, the structural formulae of  
175 dioctahedral smectite were calculated on the basis of 22 negative charges ( $O_{10}(OH)_2$ ).

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### 177 **3. GEOLOGICAL SETTING**

178 During Cretaceous-Eocene times, an intracontinental rift basin – the Salta Basin – developed in  
179 northwestern Argentina (Salfity and Marquillas, 1994; Viramonte et al., 1999). The successions that  
180 were deposited make up the Salta Group, which consists, from base to top, of the Pirgua, Balbuena  
181 and Santa Bárbara Subgroups. The Santa Bárbara Subgroup is in turn constituted by the Mealla, Maíz  
182 Gordo, and Lumbrera formations, which comprise fluvial environments in western proximal areas  
183 and lakes in the center of the basin (for details, see del Papa and Salfity, 1999).

184 The uppermost Lumbrera Fm, the focus of this study, is in paraconformable contact with the  
185 underlying Maíz Gordo Fm and is unconformably covered by the overlying Quebrada de los  
186 Colorados Fm of the foreland Payogastilla Group (del Papa, 2006).

187 Age constraints on the units integrating the Santa Bárbara Subgroup are mainly based on  
188 biostratigraphic and palynostratigraphic studies. Land-mammal associations and palynostratigraphy  
189 indicate that the Mealla and Maíz Gordo formations date to the Selandian and Thanetian  
190 respectively, the final two stages of the Paleocene Epoch, whereas the Lumbrera Fm has been  
191 assigned to the Eocene (Pascual et al., 1981; Quattrocchio et al., 2005; del Papa et al., 2010).  
192 Moreover, in the upper ~30 m of the Maíz Gordo Fm, comprising a thick prominent paleosol section,  
193 Andrews et al. (2017) identified several prominent CIEs from -22‰ to ~-28‰, which they correlated  
194 with the PETM, thus indicating that this interval spans the Paleocene-Eocene transition.

195 Additionally, the mammal fossils (isotemnids) recovered from basal levels of the Quebrada de  
196 Los Colorados Fm in Luracatao Valley, as well as a maximum depositional age of ~37Ma inferred from  
197 U-Pb ages from detrital zircons at Angastaco, indicate an Eocene age for this unit, probably middle-  
198 late Eocene (Payrola et al., 2009; Carrapa et al., 2012), thus constraining the age of the Lumbrera Fm



199 to the early Eocene. By contrast, a recent study carried out on nearby coeval sections applied  
200 paleomagnetic results to establish the bottom of the Maíz Gordo Fm in the Ypresian (Hyland et al.,  
201 2015, 2017), the first stage of the Eocene Epoch. In reply to a comment from Hyland and Sheldon  
202 (2017), however, White et al. (2017) have questioned the Hyland et al. (2017) approach to  
203 constraining their magnetostratigraphic record and therefore the age model they proposed.

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#### 205 **4. SEDIMENTARY FACIES OF THE LUMBRERA FORMATION**

206 The present study focuses on the fluvial environment of the Lumbreira Fm, mainly considering  
207 the fine-grained lithologies of the floodplain setting (Figs. 2, 3).

208 In the study area, the thickness of the Lumbreira Fm ranges from a maximum of 237 m in the  
209 Valle Encantado section to a minimum of 60 m in the Luracatao-La Represa section, with  
210 intermediate values in the Tin Tin, Obelisco, and Tonco sites (187, 169 and 121 m, respectively) (Figs.  
211 2, 3). The Obelisco section is not shown because only control samples were taken in this locality.

212 The Lumbreira Fm consists of well-developed stacked channel facies interbedded with fine-  
213 grained floodplain facies (Fig. 4A). The sedimentary facies display lateral changes from west to east,  
214 with conglomerates dominating in the western sections Luracatao-La Represa (Luracatao hereafter),  
215 Tin Tin, and Tonco-La Escalera (Tonco hereafter) (Figs. 2B and 3), and sandy lithologies prevailing in  
216 the Valle Encantado section (Fig. 2). The main facies and facies associations are summarized in Table  
217 1.

218 In the western area (the Luracatao and Tin Tin sections), channel-fill facies associations (FA1)  
219 are characterized by shallow lenticular geometries 2-3 m thick. They are composed of medium to  
220 fine, white to pinky conglomerates with normal gradation and trough cross-stratification (Fig. 4C).  
221 The tops of these beds display bioturbation and red to purple mottles (Fig. 4B). The fine-grained  
222 floodplain deposits (FA3) associated with the conglomerates consist of tabular, red to brown sandy  
223 siltstones to silty sandstones, massive or with bioturbation, root traces, and clay slickenside. These

224 facies also contain carbonate nodules (Pc) (Fig. 4D) or hematite nodules (Fh) (Fig. 4E). The contacts  
225 between conglomeratic and silty facies are sharp or in a rapid transition.

226 In the Valle Encantado section, channel-fill facies exhibit shallow lenticular to lenticular  
227 geometries, with common gently dipping strata (FA2). Sediments consist of pinky to whitish,  
228 medium- to fine-grained sandstones with trough cross-stratification, climbing ripples, and frequent  
229 mud-clasts, arranged in 2-2.5-m-thick fining-up successions (Fig. 4F). The fine-grained floodplain  
230 facies are dominated by red, mostly parallel-laminated, sandy to clayey siltstones, with scarce clay  
231 slickenside. Contrary to those observed in the western areas, the floodplain facies lack carbonate or  
232 hematite nodules.

233 The lateral gradation of the facies associations from western to eastern areas displays channels  
234 dominated by bed-load sediments to mixed-load sediments. Furthermore, the rapid transition from  
235 coarse-grained channels and bioturbated tops observed in Luracatao, Tin Tin, and Tonco suggests  
236 abandonment of active channels and/or avulsion processes common in braided fluvial systems (Miall,  
237 1996). Toward the Valle Encantado site, lenticular channels with common inclined strata geometries  
238 are dominated by mixed-load sediments, displaying a grading transition to overbank deposits. The  
239 facies association is consistent with channel belts of moderate to high-sinuosity rivers associated  
240 with cohesive floodplains, as previously interpreted (del Papa, 2006).

241 Recently, Andrews et al. (2017) studied the paleosols of this unit at the Tin Tin and Obelisco  
242 sections, indicating a predominance of red claystones with abundant calcic nodules and vertic  
243 structures and occasional gray mottles. They interpreted these paleosols as having formed in a  
244 relatively dry paleoenvironment and classified them as calcic vertisols in accordance with Mack et al.  
245 (1993).

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## 250 **5. MINERALOGY: X-ray diffraction (XRD)**

251 Eighty-seven whole rocks and clay sub-samples (32 from Valle Encantado, 18 from Tin Tin, 18  
252 from Tonco, 12 from Luracatao and seven from Obelisco) from the Lumbrera Fm were analyzed by  
253 XRD. The stratigraphic position of the samples corresponding to the Valle Encantado, Tonco, Tin Tin,  
254 and Luracatao sections are shown in Figures 2 and 3. The results are summarized in Tables 2 and 3.

### 255 **5.1 Whole rock mineralogy**

256 XRD analysis of bulk samples of the claystones, siltstones, and fine-grained sandstones shows  
257 quartz and phyllosilicates to be the major components in association with minor plagioclase, K  
258 feldspar, and carbonates. Plagioclase contents are low in all the samples, usually < 10%; likewise K-  
259 feldspar contents range from 2 to 7%. Calcite is absent in most of the samples or represents less than  
260 5%, and rarely reaches 10%. The exceptions are several levels from the Tin Tin section with calcite  
261 contents from 25 to ~ 40 %, one bed from the Tonco section displaying over 50% calcite, and one  
262 level from Luracatao section showing ~ 20% calcite. Hematite is frequently present in subordinate  
263 amounts in beds of the Lumbrera Fm from all the studied sections.

### 264 **5.2 Clay Mineralogy**

265 The clay mineralogy of the samples from the Valle Encantado, Tonco, Obelisco, Tin Tin, and  
266 Luracatao sections is summarized in Tables 2 and 3, and representative XRD patterns are shown in  
267 Figure 5. The clay mineral assemblages identified in the Lumbrera Fm are commonly dominated by  
268 illite-mica or kaolinite (Figs. 5A, B), and less frequently by smectite (Fig. 5C) or illite/smectite mixed  
269 layers (I/Sm). The siltstones and fine-grained sandstones from the Luracatao section represent the  
270 latter case because they contain abundant smectite and an expandable phase that according to XRD  
271 corresponds to R0-type I/Sm with 10 to 30% smectite layers, but whose composition, as will be  
272 discussed in the next section, covers a range from R1 and R0-type I/Sm to smectite. For example, the  
273 XRD trace of the air-dried oriented mount of LUR-5 displays the characteristic reflections of illite-

274 muscovite and kaolinite, but also a peak at 14.98 Å, whereas in the ethylene-glycol-(EG)-treated  
275 pattern this peak shifts to 16.74 Å, and reflections at 8.54 and 5.57 Å also appear, indicating R0-I/Sm  
276 (Fig. 5D). Chlorite seldom occurs as a subordinate phase in any of the sections. Substantial changes in  
277 clay mineral assemblages are observed from bottom to top in each section but also between the  
278 different sections (Figs. 2, 3).

279 In the Valle Encantado site, large variations in the relative abundances of kaolinite (6-79%) and  
280 illite-mica (19-91%) are observed, as indicated by the Kln/Ms ratio varying from 0.07 to 4.15.  
281 Moreover, I/Sm or rarely smectite occurs in subordinate amounts in the clay fraction (0-15%), except  
282 in the upper ~ 50 m of the section, where both phases are absent (Table 2, Fig. 2A). The fluctuations  
283 in the relative abundances of kaolinite and illite-mica along the stratigraphic section are in some  
284 cases gradual and in others abrupt. Indeed, the basal level of the Lumbrera Fm has a Kln/Ms ratio of  
285 0.68, which is followed in a bed 7 m up-section by a sharp increase in kaolinite content, coupled with  
286 an abrupt decrease in the illite-mica percentage, giving rise to a Kln/Ms ratio of 4.15 (Fig. 2A, Table  
287 2). Subsequently, the illite-mica relative abundances increase sharply at the expense of kaolinite in a  
288 siltstone level 3 m up-section, displaying a Kln/Ms ratio of 0.79; after this decrease, a shale bed 4 m  
289 upwards shows a Kln/Ms ratio of 1.49. The Kln/Ms ratio remains low over the next ~ 50 m and  
290 thereupon increases sharply from 0.19 to 1.03 in 17 m. Towards the top of the unit, three more  
291 segments of low Kln/Ms followed by sharp increases in the relative abundances of kaolinite are  
292 observed, displaying maximum Kln/Ms ratios of 1.54, 0.94 and 2.12, respectively (Table 2, Fig. 2A).

293 At the Tonco site, where illite is the most abundant clay mineral, the kaolinite content also  
294 shows ups and downs, but it remains below 40% throughout the section (Table 2, Fig. 2B).  
295 Consequently, changes in the relative abundance of kaolinite and the Kln/Ms ratio (0.16 to 0.84) are  
296 less marked than in the Valle Encantado section. Moreover, in this section I/Sm, or less frequently  
297 smectite, represents a subordinate component of the clay fraction, attaining maximum contents of  
298 35 and 18%, respectively.

299 The clay assemblages identified along the Obelisco section show a similar pattern to those at  
300 the Tonco site, located northward at a similar longitude. In this case, the Kln/Ms ratio varies from  
301 0.14 to 1.27, whereas smectite and less frequently I/Sm occur as subordinate phases (Table 3).

302 The clay assemblages identified at the Tin Tin section are quite different (Table 3); illite-mica is  
303 the dominant phase in most of the beds whereas kaolinite is absent in several levels or occurs in low  
304 amounts (5-19%), thus yielding Kln/Ms ratios from 0 to 0.2, except for one level with a higher value  
305 (0.64) (Fig. 3A). Furthermore, smectite is present in all the levels analyzed, representing the main  
306 phase in two cases (LUT 29a and LUT 37). Noteworthy is that the claystones and siltstones of the  
307 upper ~ 49 m of the section contain I/Sm (14-22%) in addition to smectite.

308 In the Luracatao site, the clay assemblages resemble those of the Tin Tin site in that kaolinite  
309 represents a subordinate phase all along the section (6-17%) (Table 3). In this site, however, the clay  
310 assemblage is dominated either by illite-mica or by I/Sm (R0)-Sm, as these vary from 31 to 76% and  
311 from 10 to 62 %, respectively (Fig. 3B).

312 In summary, Luracatao and Tin Tin have higher contents of Sm (+ I/S) than of kaolinite, whereas  
313 Valle Encantado, Tonco, and Obelisco have higher abundances of kaolinite than of Sm (+ I/S).

314

## 315 **6. TEXTURAL STUDY: Scanning electron microscopy**

316 The five samples from the Valle Encantado section (acronym LUVE) chosen for the SEM study  
317 show heterometric and heterogeneous textures with abundant detrital fragments mainly composed  
318 of quartz, K feldspar, plagioclase, and micas (muscovite and phengite) (Fig. 6A). The composition of  
319 plagioclase is  $Ab_{0.7-0.8}$ , whereas K feldspar displays low Na contents, indicating a probable volcanic  
320 origin. The degree of alteration of the feldspars and micas is variable, from slight to moderate in  
321 some samples (Fig. 6 A, B) to strong in others (Fig. 6D, E), with the kaolinite contents increasing  
322 accordingly. The BSE images show that the kaolinite has grown between the mica sheets (Fig. 6B, D),

323 sometimes showing displacive precipitation along the cleavage planes, with the feldspars slightly  
324 altered, sometimes displaying corroded edges. By contrast, in the samples showing higher kaolinite  
325 contents, feldspar (both K feldspar and albite) displays corroded edges and also replacement by  
326 kaolinite (Fig. 6D, E), whereas booklets of kaolinite are common in the matrix (Fig. 6E, F). At the same  
327 time, the replacement of mica sheets by kaolinite may be partial to almost total, since in some cases  
328 only relicts of mica remain within kaolinite booklets. These rocks also show a fine-grained matrix,  
329 which according to the EDS analysis consists of K-Al-Si-rich clays, probably of illitic phase (Fig. 6A, C).

330         The six samples from the Tonco section (acronym LULE) and the Obelisco section (acronym LU)  
331 studied by SEM show a similar mineralogy and texture to those from Valle Encantado (Fig. 7A).  
332 Smectite is more abundant in samples from the Obelisco section than in those from the Tonco  
333 section. In this case the alteration of feldspars and micas varies from slight to moderate. In samples  
334 with slight alteration, the BSE images show that kaolinite partly replaces large mica laths, with  
335 kaolinite growth originating at grain edges leading to the characteristic fanned-out textures (Fig. 7B,  
336 C) (De Ros, 1998; Arostegui et al., 2001). Conversely, in the samples showing stronger alteration,  
337 kaolinite not only replaces mica but also partially replaces albite and occurs as booklets in the matrix  
338 (Fig. 7D). Fig. 7E shows the growth of Sm from altered K feldspar.

339         The seven samples from the Tin Tin section (acronym LUT) studied by SEM also show  
340 heterometric and heterogeneous textures with abundant detrital fragments mainly composed of  
341 quartz, K feldspar, albite, and micas (muscovite and phengite). In general, feldspars and quartz are  
342 scarcely altered, displaying net edges, although feldspars showing incipient alteration to smectite  
343 occasionally occur. The K feldspars usually have some Na contents ( $\text{Si}_{3.0}\text{Al}_{0.9}\text{K}_{1.1}\text{Na}_{0.1}$ ), as is typical of  
344 high-temperature feldspars, thus indicating a probable volcanic origin. The occurrence of micron-  
345 sized idiomorphic feldspars in several samples also suggests some volcanoclastic input. In agreement  
346 with the XRD data, SEM observations show that illite is the main clay mineral in most of the samples,  
347 followed by smectite in varying proportions, whereas kaolinite is scarce or absent. Textural features

348 indicate that illite-mica is detrital in origin, whereas smectite displays a rose-like texture in fresh cut  
349 (Fig. 7F), thus indicating an authigenic origin. Furthermore, BSE images show that kaolinite has grown  
350 between the mica sheets and also partially replaced the mica, giving rise to muscovite-kaolinite  
351 intergrowths. By contrast, in the samples where XRD shows clay assemblages dominated by smectite  
352 (LUT29A and LUT37), BSE images indicate that this smectite occurs in the matrix, or filling cracks in  
353 sub-idiomorphic quartz (Fig 7G), with feldspar crystals without evidence of alteration. If smectite  
354 does not derive substantially from the feldspars, which are scarcely altered, it likely formed through  
355 alteration of a more reactive material contained in the matrix as well as in these cracks, probably  
356 volcanic glass.

357         The sample from Luracatao (acronym LUR) studied at the SEM scale displays a heterogeneous  
358 texture with abundant detrital fragments, mainly quartz, K feldspar, plagioclase, and micas  
359 (muscovite and phengite), whereas the matrix is composed of clay minerals and calcite. The feldspars  
360 and quartz display net edges, with no evidence of alteration, whereas mica laths are seldom replaced  
361 by kaolinite or smectite along the edges. Morphologies typical of authigenic smectite (or I/Sm) are  
362 observed in the matrix and fully replace irregular fragments 20-30  $\mu\text{m}$  long. The coexistence of fresh  
363 K feldspar fragments with abundant smectite in the matrix, along with irregular fragments totally  
364 replaced by smectite-I/Sm, suggests (Fig. 7H) that this would have formed from a highly reactive  
365 material, probably volcanic glass, all the more so as these fragments resemble glass shards.  
366 According to EDS analysis, the composition of these dioctahedral clays covers the range from R1-type  
367 I/Sm to dioctahedral smectite.

368         In general, the SEM study shows that the matrix of the analyzed rocks is composed of mixtures  
369 of dioctahedral clays such as illites, I/S, and smectite. Overall, the detrital silicates show a variable  
370 degree of alteration. The formation of smectite and kaolinite has been described in the SEM study  
371 from Al-K-silicates, whereas kaolinite also grows between the mica sheets. Therefore, smectite and  
372 kaolinite are authigenic and were formed by weathering processes. The presence in Luracatao of

373 irregular fragments formed by smectite-type clays, along with the smectite-rich matrix in samples  
374 with slightly altered silicates (e.g., feldspars), suggests the crystallization of smectite from vitreous  
375 volcanic material. Illitic phases such as illite and mica would be detrital phases that resisted the  
376 weathering. The origin of the I/S phases might be a consequence of the alteration of illitic phases, or  
377 alternatively they may have formed from transformations of the volcanic smectite.

378

## 379 **7. CHEMICAL COMPOSITION OF SMECTITE-TYPE CLAYS: X-ray dispersive analysis (EDS)**

380 Smectites can have variable compositions depending on their genesis, unlike kaolinite, which  
381 usually has a fixed composition. For this reason, the compositional study of clays focused on  
382 smectite-type clays.

383 All the smectite-type clays analyzed (smectite to R1-type I/Sm) correspond to the Al-rich-  
384 dioctahedral subgroup, with  $K > Ca > Na$  in interlayer sites (Table 4). As can be seen in Figure 8A, the  
385 smectites from the Luracatao section show  $Fe/Fe+Mg \ll 0.50$  and are more siliceous than those from  
386 Obelisco, Tin Tin, Tonco, and Valle Encantado, which display  $Fe/Fe+Mg > 0.50$ . On the other hand,  
387 the smectite-type clays from the Tin Tin section display a wider compositional range. The Si vs. Mg  
388 plot was employed to differentiate beidellite from montmorillonite. As can be seen in Figure 8B, the  
389 smectite-type clays from Luracatao mainly correspond to beidellite, whereas in siltstones and  
390 claystones from Tonco, Valle Encantado, and Obelisco montmorillonite prevails. The smectite-type  
391 clays from the Tin Tin section show a wide variation covering both fields. It is worth noting that  
392 smectites with lower Si contents and higher  $Fe/Fe+Mg$  ratios prevail in the sites in which kaolinite is  
393 more abundant than smectite. By contrast, smectites that show higher Si contents and lower  
394  $Fe/Fe+Mg$  ratios correspond to sites in which smectite is more abundant than kaolinite. These  
395 compositional differences suggest that smectite-type clays from Luracatao and some of those from  
396 the Tin Tin section were formed from a different parent material than those from Valle Encantado,  
397 Tonco, and Obelisco.



398

## 399 **8. DISCUSSION**

400 Burial diagenesis can obliterate or even destroy the paleoclimatic signal recorded in clay mineral  
401 assemblages, so this is the first variable to be evaluated. In our case the occurrence of smectite in the  
402 basal levels of the Lumbrera Fm in the Tin Tin, Tonco, and Obelisco sections implies that these  
403 sediments were only affected by early diagenesis during their burial history. This is in agreement with  
404 previous studies indicating that the underlying Maíz Gordo Fm only underwent eogenetic diagenesis  
405 (Do Campo et al., 2007, 2018). However, I/Sm mixed layers occur in most of the levels of Valle  
406 Encantado, Tonco, and Luracatao, in the uppermost levels of the Tin Tin section, and in some levels  
407 of the Obelisco section. The vertical distribution of I/Sm along each stratigraphic column is  
408 inconsistent with an origin by burial diagenesis (Figs. 2 and 3). Moreover, most of the beds that  
409 contain I/Sm display a Kln/Ms ratio less than 1, implying low to moderate weathering and suggesting  
410 that I/Sm could have been formed by moderate chemical weathering of illitic phases, or by early  
411 alteration of highly reactive volcanic glass. The textures observed in the BSE images point to a  
412 volcanoclastic origin, as was noted in the previous section. Kaolinite and smectite present in these  
413 sediments are primary in origin, and thus the lateral and vertical changes in the clay mineral  
414 assemblages may result from changes in paleoclimate and weathering regimes, which are the first-  
415 order factors controlling sediment accumulation. Although syn-sedimentary tectonic and volcanic  
416 events can also leave a recognizable fingerprint on clay mineral assemblages, the deposition of the  
417 Lumbrera Fm corresponds with a period of tectonic calm, so only volcanic input remains as an  
418 additional variable to be considered.

419 Based on varying paleosol types, organic carbon isotope signatures, and chemical weathering  
420 indexes, Andrews et al. (2017) inferred a marked change in annual mean temperatures and  
421 precipitation (MAP) between the top of the underlying Maíz Gordo Fm and the basal levels of the  
422 Lumbrera Fm. Moreover, they correlated the CIEs registered in the top 30 m of the Maíz Gordo Fm

423 with the PETM. As regards clay mineral assemblages, the basal levels of the Lumbrera Fm display a  
424 sharp decrease in kaolinite contents and in the Kln/Ms ratio in comparison with the upper levels of  
425 the Maíz Gordo Fm (Do Campo et al., 2018). Indeed, in the Tin Tin section the upper ~ 40 m of the  
426 Maíz Gordo Fm showed an average kaolinite content of 63%, with peak values of 82%, contrasting  
427 sharply with 7% in the basal level of the Lumbrera Fm in the same section, but also with the  
428 percentage observed in other sections (8 to 39%). This change in the clay mineral assemblages,  
429 already observed by Do Campo et al. (2018), was interpreted as resulting from a strong paleoclimatic  
430 change, in agreement with Andrews et al. (2017).

431 However, the lateral changes in clay mineral assemblages observed in the Lumbrera Fm could  
432 not have arisen from changes in climatic conditions, as at present the Valle Encantado and Tin Tin  
433 sites are located at a distance of only ~24 km, whereas the Luracatao and Tin Tin sites are ~36 km  
434 apart (Fig. 1). What is more, the fluvial styles are consistent with downslope variation from west to  
435 east, and there are no significant changes in sedimentary facies that might suggest contrasting  
436 precipitation regimes. According to their clay mineralogy, a first distinction can be made between  
437 eastern (Valle Encantado, Tonco, and Obelisco) and western sections (Tin Tin and Luracatao). In the  
438 first group one third to half of the beds, depending on the section, display kaolinite contents over  
439 30%, in some cases representing the dominant phase in the clay assemblages.

440 In the western sections, by contrast, kaolinite is absent or represents less than 10% in most of  
441 the beds, or occasionally as much as 19%. What is more, in the Tin Tin section two levels display  
442 smectite contents higher than 50% (LUT-29a, LUT-37), in contrast with the clay mineralogy of the  
443 adjacent beds. At the SEM scale, evidence of smectite (or I/Sm) that originated from a parent  
444 material more reactive than crystalline feldspars or quartz was observed in samples from the Tin Tin  
445 and Luracatao sites. Namely, the occurrence of smectite filling cracks in quartz fragments showing no  
446 evidence of alteration, irregular fragments resembling glass shards totally replaced by smectite-I/Sm  
447 (Fig. 7G), as well as abundant smectite in siltstone levels containing fresh feldspar fragments,

448 suggests that smectite-type clays must have formed from a highly reactive material, probably  
449 volcanic glass. K feldspar with minor Na contents, as well as the occurrence of euhedral K feldspar  
450 grains, indeed point to volcanoclastic input in the sediments in the western sections. Consequently,  
451 the smectite-type clays forming these sediments probably derived not only from weathering of  
452 silicates during edaphization processes, but also from early alteration of glassy volcanoclastic  
453 material. Therefore, the clay mineral assemblages of the western sections of the Lumbrera Fm are  
454 not suitable for drawing paleoclimatic inferences as the climatic signal has been obliterated by the  
455 volcanoclastic input. The distribution of smectite and kaolinite in the area may be a consequence of  
456 the heterogeneous scattering of the volcanic material both in vitreous and crystalline phases.

457 The fact that the smectites originated from different material precursors is reflected in their  
458 compositions. Smectite-type clays from Luracatao mainly correspond to beidellite, whereas in the  
459 siltstones and claystones from Valle Encantado and Obelisco montmorillonite prevails, and smectite-  
460 type clays from the Tin Tin section show a wide variation covering both fields. Smectites displaying  
461 lower Si contents and higher Fe/Fe+Mg ratios prevail in the sections in which kaolinite is more  
462 abundant than smectite. By contrast, smectites that show higher Si contents and lower Fe /Fe+Mg  
463 ratios correspond to sections in which smectite is more abundant than kaolinite.

464 SEM images revealed evidence of volcanoclastic material in samples from Luracatao, so this  
465 could be the main precursor of the smectite-type clays in this section. On the other hand, smectite-  
466 type clays from Valle Encantado, Tonco, and Obelisco must have formed from the weathering of the  
467 detrital aluminum silicates. In both cases, the smectites would be authigenic and would be the  
468 consequence of *in situ* weathering.

469 The wide compositional range of smectite-type clays from the Tin Tin section suggests that they  
470 correspond to a blend of smectites of different origins both through the alteration of volcanic  
471 constituents and weathering of silicates.

472 On the other hand, the siltstones and claystones from the eastern sections exhibit varying  
473 degrees of alteration in feldspars and micas, ranging from slight to moderate in Tonco and Obelisco  
474 to intense in the case of the Valle Encantado section. A higher degree of weathering in detrital  
475 minerals is consistent with higher kaolinite abundance. Furthermore, textural evidence indicates that  
476 the kaolinite is authigenic in origin, as BSE images of the rocks with moderate to strong weathering  
477 show feldspar fragments with corroded edges and also replacement by kaolinite, whereas booklets  
478 of kaolinite are common in the matrix. Indeed, BSE images reveal partial to almost total replacement  
479 of mica sheets by kaolinite, which sometimes shows displacive precipitation along the cleavage  
480 planes, whereas in some cases only relicts of mica remain within kaolinite booklets. Therefore, the  
481 vertical changes in the relative abundance of clay minerals observed in the eastern sections,  
482 essentially the repeated peaks in the kaolinite percentage and Kln/Ms, indicate stages of enhanced  
483 chemical weathering probably driven by paleoclimatic changes. This is most marked throughout the  
484 Valle Encantado section, which represents the most complete profile of the Lumbrera Fm, as six  
485 levels display a Kln/Ms ratio  $\geq 1$ , in sharp contrast with the clay mineralogy of the rest of the beds  
486 (Fig. 2A). These levels probably correspond to periods of humid-subtropical to tropical climates  
487 because such are the conditions that favor kaolinite formation under near-surface/meteoric  
488 environments at a regional scale (Chamley, 1989; Hallam et al., 1991; Righi and Meunier, 1995;  
489 Ruffell et al., 2002). By contrast, the levels in between displaying abundant illite-mica (51-93%)  
490 probably formed under a relatively dry paleoclimate. Andrews et al. (2017) carried out carbon  
491 isotope analysis of organic matter from paleosol levels of the Lumbrera Fm and identified four CIEs in  
492 this unit, which they correlated with hyperthermal events occurring during the deposition of the  
493 Lumbrera Fm. The CIEs identified by Andrews et al. (2017) correlate quite well with the four beds  
494 from the base to the middle of the Valle Encantado section displaying a Kln/Ms ratio  $\geq 1$ . Conversely,  
495 the intermediate bed showing a Kln/Ms ratio of 0.94 cannot be correlated with the CIEs recognized  
496 by these authors. Moreover, the bed from the top of the Lumbrera Fm showing a Kln/Ms of 2.12

497 cannot be correlated with the data from Andrews et al. (2017), as the section considered by these  
498 authors has a thickness of ~ 144 m, whereas in the Valle Encantado section it is 231 m thick.

499         Given the lack of absolute ages for the Lumbrera Fm, the correlation of the six hyperthermal  
500 events recorded in this succession with those identified in deep-sea cores can only be tentative.  
501 However, there are some age constraints that may be valuable. In the first place, the PETM was  
502 identified in the upper part of the underlying Maíz Gordo Fm (Andrews et al., 2017). Second, a  
503 middle-late Eocene age has been proposed for the overlying Quebrada de Los Colorados Fm based  
504 on mammal fossils and the U-Pb ages of detrital zircons (Payrola et al., 2009; Carrapa et al., 2012). In  
505 this scenario a Ypresian to probably early Lutetian age could be postulated for the Lumbrera Fm.

506         The PETM, recognized at the top of the Maíz Gordo Fm, is the most drastic hyperthermal  
507 episode of the Paleogene, with an increase in sea temperature of possibly up to 6 °C (Zachos et al.,  
508 2008). Subsequently, temperatures went back to their pre-PETM levels, before starting a gradual  
509 long-term warming trend that reached its maximum during the Early Eocene Climatic Optimum  
510 (EECO; ~53-49 Ma; Zachos et al., 2001, 2008; Kirtland Turner and Ridgwell, 2013; Laurentano et al.,  
511 2015, 2018; Westerhold et al., 2018; Crouch et al., 2020). This trend was punctuated by cyclic  
512 hyperthermals throughout the Ypresian stage, ETM2 and 3 being the most severe of these events,  
513 initially identified in early Eocene marine sediments (Röhl et al., 2005; Zachos et al., 2005, 2010).  
514 Afterwards, high-resolution studies of deep-sea cores identified several prominent CIEs that took  
515 place between 56 and 52 Ma and were labeled by Cramer et al. (2003) from E to L (Cramer et al.,  
516 2009; Zachos et al., 2010; Laurentano et al., 2015, 2018; Thomas et al., 2018; Westerhold, et al.,  
517 2018; Crouch et al., 2020). Subsequently, 20 additional smaller CIEs have been documented for the  
518 Ypresian to early Lutetian (56–45 Ma) based on stable isotope records from sea cores from the  
519 Demerara Rise in the equatorial Atlantic (Kirtland Turner and Ridgwell, 2013; Sexton et al., 2011).  
520 According to Crouch et al. (2020), the base of the EECO is event J (52.8 Ma, Littler et al., 2014),  
521 whereas its top has been linked with a CIE in the uppermost chron C22n dated at 49.1 Ma. This is in

522 agreement with Westerhold et al. (2018), who consider that the EECO lasted 4.12 Ma. The  
523 hyperthermal ETM3 took place around 52.5 Ma, thus during the EECO, and temperatures were  
524 probably higher than during ETM2 (Thomas et al., 2018). The end of the EECO coincides with the  
525 onset of a long-term cooling trend evident in the benthic  $\delta^{18}\text{O}$  record (Westerhold et al., 2018).  
526 Furthermore, early Eocene hyperthermal events probably triggered increases in precipitation and  
527 thus intensification of the hydrological cycle, as the amount and intensity of rainfall are controlled by  
528 global temperatures (Held and Soden, 2006; Bataille et al., 2016). Accordingly, hyperthermal events  
529 should be associated with enhanced chemical weathering due to the combined effects of higher  $\text{CO}_2$   
530 levels, which favor silicate alteration, and higher humidity, leading to stronger lixiviation in  
531 weathering profiles.

532 In light of the previous data for the Maíz Gordo Fm (Andrews et al., 2017; Do Campo et al.,  
533 2018) and the large body of evidence from the study of deep-sea cores, we tentatively correlate the  
534 basal bed of the Lumbrera Fm at the Valle Encantado section, which displays a Kln/Ms ratio of 4.15,  
535 with ETM2, and the middle level, which has a Kln/Ms ratio of 1.54, with ETM3. According to the  
536 relative abundance of kaolinite and the Kln/Ms ratio, chemical weathering was markedly stronger  
537 during ETM2 than during ETM3, implying that in this inner continental basin the paleoclimate was  
538 more humid and warmer during ETM2, in contrast with the evidence from marine sediments  
539 (Thomas et al., 2018). On the other hand, the clay mineral assemblage from the top bed of this  
540 section, which shows a Kln/Ms ratio of 2.12, is in marked contrast with the mineralogy of the basal  
541 levels of the overlying Quebrada de Los Colorados Fm, which are dominated by illite-mica with  
542 subordinate smectite, with kaolinite representing less than 10%. Based on the clay mineral  
543 assemblages, temperate semi-arid to arid paleoclimatic conditions have been inferred for this unit  
544 (Do Campo et al., 2010). This switch in paleoclimate from subtropical humid to warm and arid would  
545 thus correspond with the global changes registered in the transition from the Ypresian to Lutetian  
546 stages. Accordingly, the upper level of the Lumbrera Fm corresponds with the peak of the EECO,

547 which was followed by a long period of deep-sea cooling (Chekar et al., 2018). The other two levels  
548 displaying a  $Kln/Ms \geq 1$  correspond to some of the minor hyperthermal events identified in marine  
549 records. Nonetheless, absolute ages would be required for more precise correlations.

550 In the Tonco section the  $Kln/Ms$  ratio also undergoes ups and downs, but the changes are less  
551 pronounced. Several beds from the base, the middle, and the top of the section display a  $Kln/Ms >$   
552 0.6 in contrast with the surrounding levels. The two beds from the base with  $Kln/Ms \sim 0.7$  could be  
553 correlated with ETM2, and the bed from the top showing a  $Kln/Ms$  of 0.84 could be associated with  
554 ETM3, whereas the intermediate bed with a  $Kln/Ms$  of  $\sim 0.7$  could correspond to one of the  
555 intermediate hyperthermal events recorded in the Valle Encantado section.

556 At the Obelisco site the sampling was less detailed than in the other sections, so only a few  
557 fluctuations in kaolinite percentages can be observed. Indeed, there is no evidence of ETM2, and only  
558 one level from the top of the section with a  $Kln/Ms$  ratio of 1.27 could be tentatively correlated with  
559 ETM3.

560 To shed light on the impact of past global greenhouse conditions on continental subtropical  
561 areas, Kelson et al. (2018) performed a study of the Tornillo Basin in Texas (USA), covering terrestrial  
562 sediments from the Paleocene to early Eocene (67 - 52 Ma, chron C30n to chron C23r). The authors  
563 performed clumped isotope analysis on pedogenic calcite nodules and determined that the average  
564 temperatures from the early Eocene were higher than those from the Paleocene ( $32 \pm 2$  and  $25 \pm 3$   
565 °C, respectively; Kelson et al., 2018), with the caveat that they excluded the PETM from their  
566 statistical analysis. The authors consider that this shift in temperatures at the start of the Eocene  
567 most likely relates to a contemporaneous gradual rise in the concentration of atmospheric  $pCO_2$   
568 (Kelson et al., 2018). According to the same authors, the Paleocene environment in the Tornillo Basin  
569 was probably subtropical and humid with year-round precipitation, whereas for the Eocene the  
570 climate models as well as sandstone sedimentology suggest more seasonal precipitation with  
571 stronger summer monsoon rainfall than during the Paleocene (Bataille et al., 2018). In the case of the

572 Salta Basin, several proxies including climatic transfer functions applied to paleosol levels of the Maíz  
573 Gordo Fm, along with  $\delta^{13}\text{C}_{\text{org}}$  (Andrews et al., 2017) as well as clay mineral assemblages (Do Campo et  
574 al., 2018), indicate subtropical and humid paleoclimatic conditions during the upper Paleocene, in  
575 agreement with the studies of the Tornillo Basin. Clay mineral assemblages also suggest contrasting  
576 climatic condition between the Maíz Gordo and Lumbreira formations, as these exhibit average  
577 kaolinite contents of 52% and 31%, respectively. However, our data do not indicate warmer  
578 conditions for the early Eocene, as suggested for the Tornillo Basin.

579

## 580 **9. CONCLUSIONS**

581 The study of the clay mineralogy of the fluvial detrital rocks of the Lumbreira Fm in the western part  
582 of the Salta Basin (NW Argentina) shows the coexistence of detrital clays (illitic phases) and  
583 authigenic clays (smectite to R1-type I/Sm and kaolinite).

584 The authigenic clays were formed from the alteration of detrital crystalline fragments (feldspars and  
585 micas) and from the alteration of volcanic glass, which is much more reactive than the crystalline  
586 phases. The presence of different precursors for the smectite-type clays favors variable  
587 compositions, from beidellite to montmorillonite. The variable distribution of smectite and kaolinite  
588 between the different sites and the differences in smectite composition suggest a heterogeneous  
589 scattering of the volcanic material both in vitreous and crystalline phases over the study area.

590 This study thus shows that the relative proportions of smectite and kaolinite observed in the Tin Tin  
591 and Luracatao sites are not indicative of variable climatic conditions, since the crystallization of  
592 smectite-type clays from volcanic glass obliterates the climatic signal. On the other hand, in the case  
593 of the Valle Encantado site, where smectite-type clays are almost absent, the input of volcanic  
594 material can be inferred to have been very low (or absent). Thus, the kaolinite crystallization in this  
595 site suggests the presence of periods of humid-subtropical to tropical climate, because such  
596 conditions favor kaolinite formation under near-surface/meteoric environments at a regional scale.



597 The increase in the Kln/Ms ratio (4.15) in the basal bed of the Lumbrera Fm at Valle Encantado  
598 suggests the presence of cyclic hyperthermals through the Ypresian stage and can be correlated with  
599 ETM2; the Kln/Ms ratio (1.54) in the middle level can be correlated with ETM3. These are the major  
600 hyperthermal events identified in early Eocene marine sediments.

601 This research shows that clay mineralogy is a powerful paleoclimatic proxy in terrestrial sediments  
602 not affected by diagenetic changes.

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604

605

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830

### 831 **Figure and Table captions**

832 Figure 1. Satellite image of northwestern Argentina with rectangles outlining the location of  
833 geological maps and black stars showing the location of stratigraphic sections. A) Geological map of  
834 the Valle Encantado, Tin Tin and Tonco areas. B) Geological map of the Luracatao area. C) Geological  
835 map of the Obelisco area. Geological maps A and C were modified from Vergani and Starck (1989),  
836 and B from Payrola Bosio et al. (2009).

837 Figure 2. Measured stratigraphic sections and clay mineralogy of the fine-grained fraction based on  
838 XRD results. A) Valle Encantado site. B) Tonco-La Escalera site. Levels displaying peak Kln/Ms ratios  
839 mentioned in the text are indicated with arrows.

840 Figure 3. Measured stratigraphic sections and clay mineralogy of the fine-grained fraction based on  
841 XRD results. A) Tin Tin site. The arrow indicates a bed displaying the highest Kln/Ms ratio, further  
842 details in the text. B) La Represa-Luracatao site.

843 Figure 4. Field photographs of the Lumbrera Fm showing characteristic aspects of channel-fill facies  
844 and fine-grained floodplain facies at (A) Luracatao; solid square detail shown in (D), and (B) Tonco,  
845 note the person for scale. C) Conglomerate grading to sandstones with trough cross-stratification  
846 (Gt-St facies). D) Close-up view of a calcic-rich paleosol level (Pc facies) interbedded in floodplain  
847 fines at Luracatao. E) Grey to yellowish mudstone level denoting hematite formation (Ph facies). F)  
848 Sandy channel-fill facies displaying normal gradation to overbank sedimentation. Arrow indicates  
849 mudstone level sampled, Valle Encantado.

850 Figure 5. XRD patterns of air-dried and ethylene-glycol-solvated clay fractions of representative  
851 samples. A) LUVE27. B) LUVE32. C) LUT37. D) LUR5.

852 Figure 6. SEM/BSE images of samples from Valle Encantado. A) Textural image (LUVE-13) showing  
853 heterometric texture with detrital Qtz, KF, Ms, and fine-grained clay matrix. B) Kln-Ms intergrowths  
854 and kaolinite (Kln) booklets (LUVE-2). C) Clay-rich matrix and detrital KF, Qtz and Ms. D) Kln/Ms  
855 intergrowths, altered KF and Qtz fragments. E) and F). Authigenic Kln booklets and altered Ab and KF.

856 Figure 7. SEM/BSE images. A) Textural image (LULE-16) showing heterometric texture with detrital  
857 fragments of Qtz, KF, Ab, Ms and fine-grained clay matrix. B) Sample LULE-25 with Kln-Ms  
858 intergrowths and sparitic calcite cementing the rock. C-D) Abundant detrital fragments of silicates  
859 and Kln-Ms intergrowths (sample Lu9a). E) Growth of smectite from altered KF in sample LU29a. F)  
860 Smectite flakes forming the clay matrix of LUT39. G) Smectite filling cracks in quartz in sample  
861 LUT29a. H). Anhedral fragments formed by smectite flakes.

862 Fig. 8. SEM/EDS analyses of smectites. A) Fe/Fe+Mg vs Si (apfu). B) Mg (apfu) vs. Si (apfu).

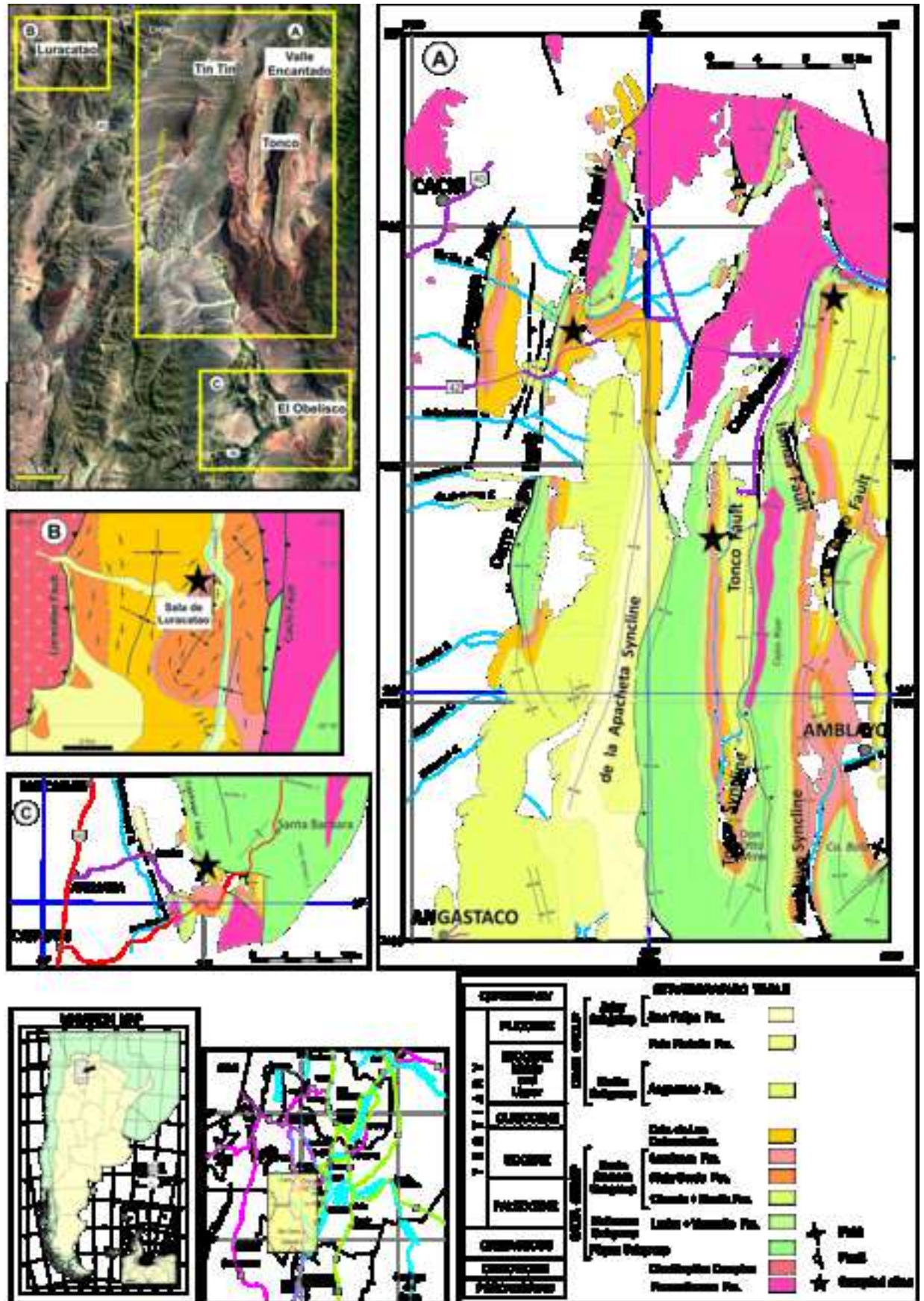
863 Table 1. Facies association identified in the Lumbrera Formation. Codes from Miall (1996).

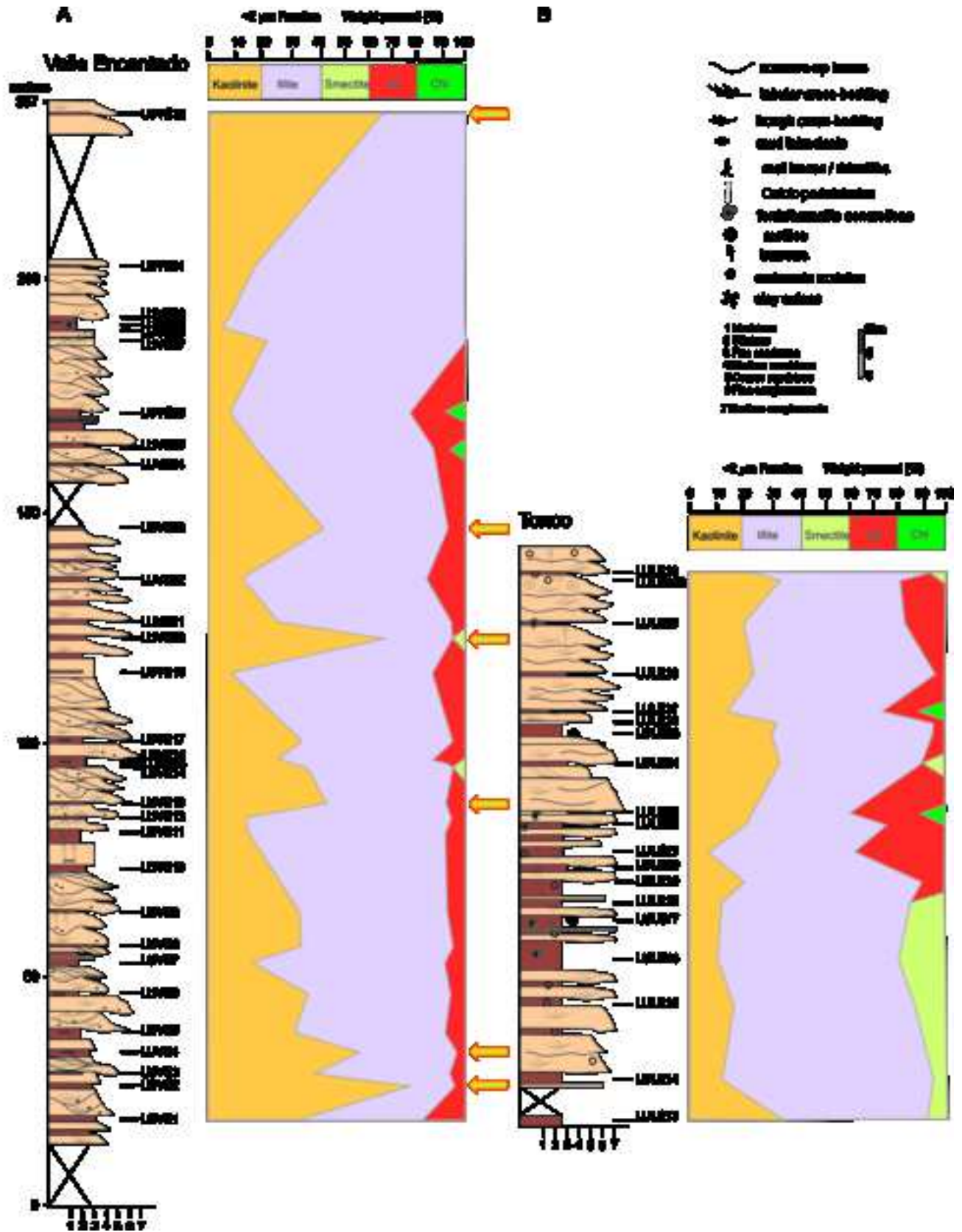
864 Table 2. Clay mineralogy of samples from the Valle Encantado and Tonco sites based on XRD  
865 analyses. Kln/Ms values highlighted in grey correspond to peak values mentioned in the text and  
866 marked with arrows in Figure 2.

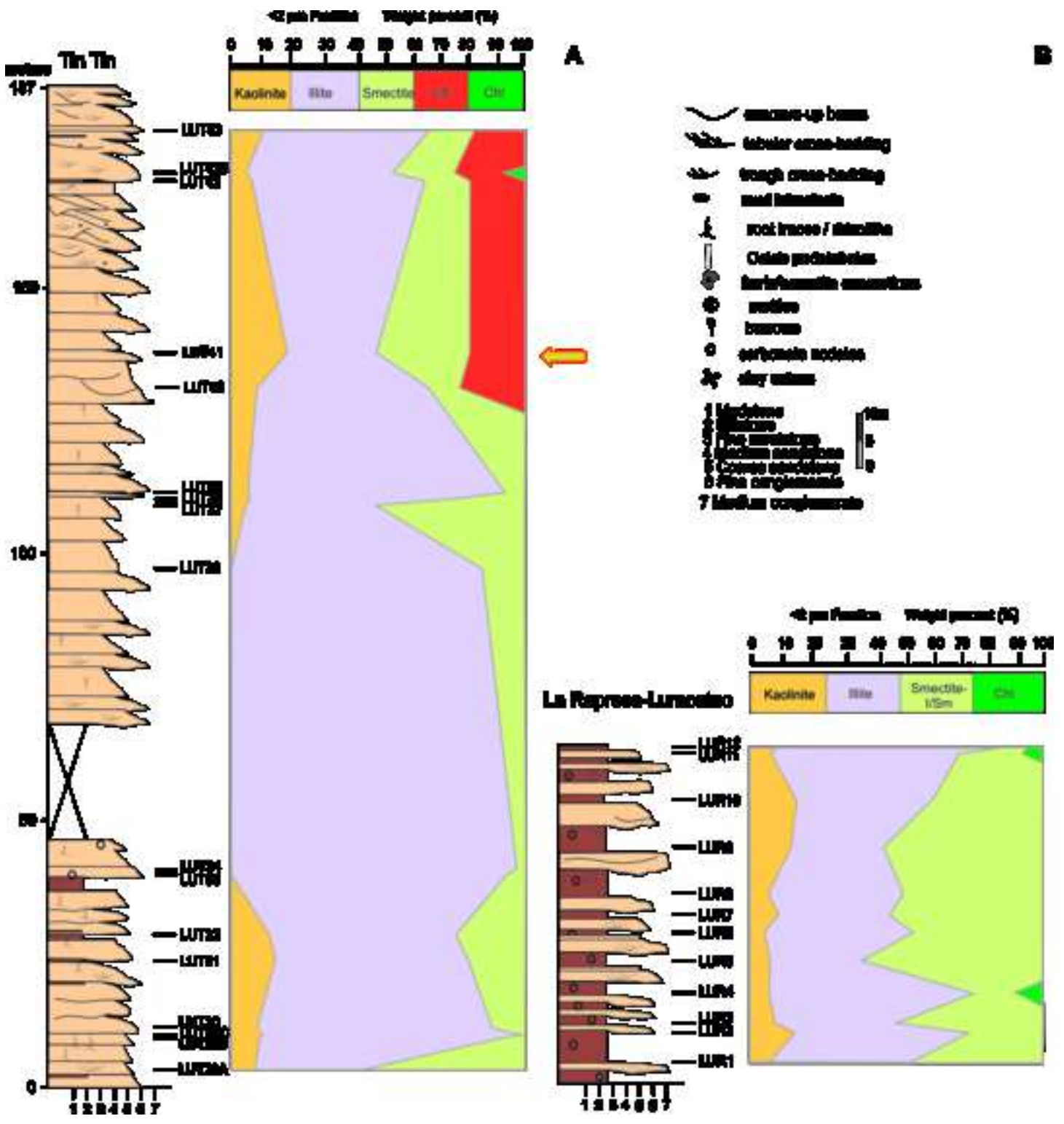
867 Table 3. Clay mineralogy of samples from the Obelisco, Tin Tin, and Luracatao sites based on XRD  
868 analyses. Kln/Ms values highlighted in grey correspond to peak values mentioned in the text and  
869 marked with an arrow in Figure 3.

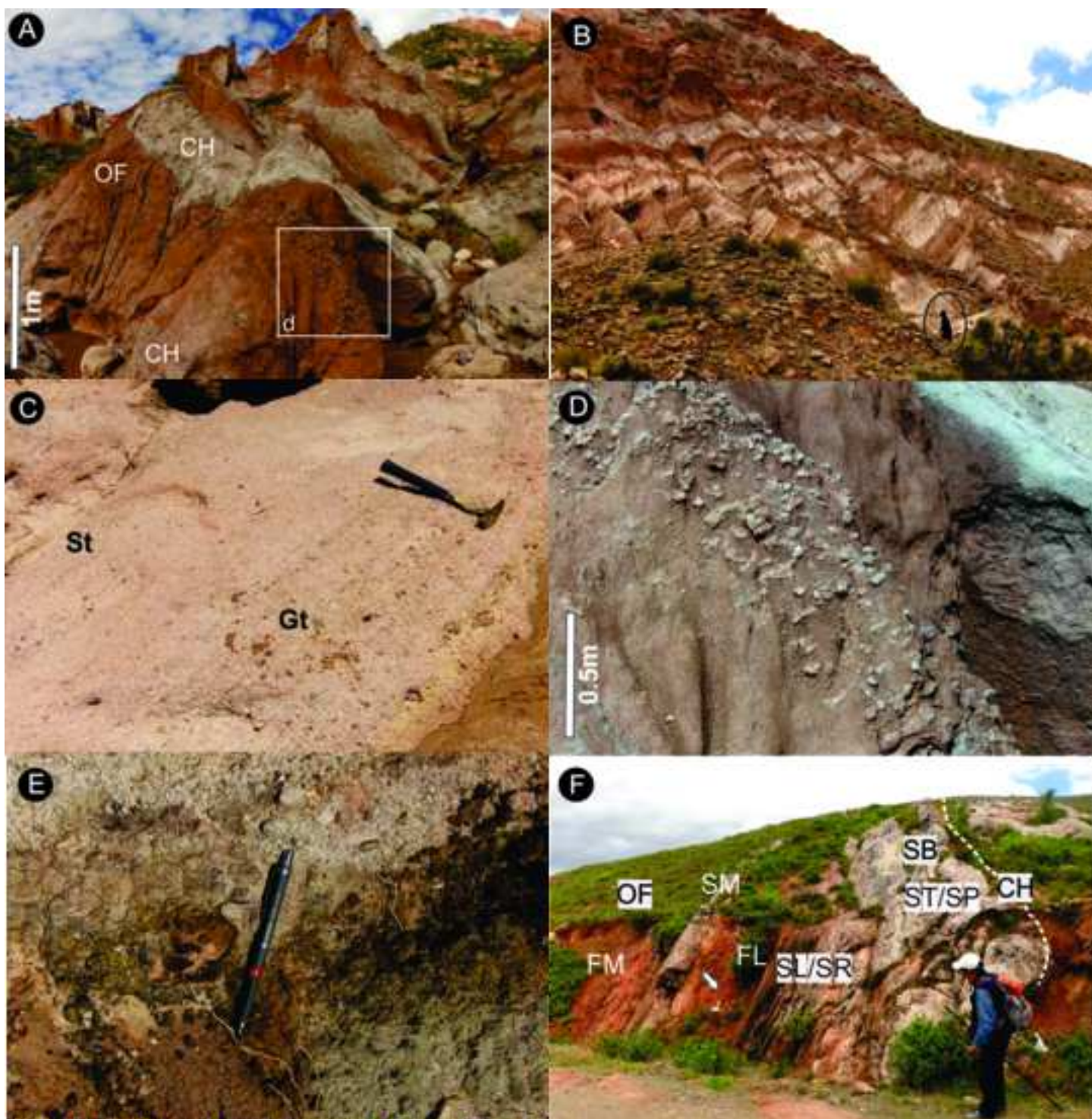
870 Table 4. Average composition of smectite-type clays (smectite to R1-type I/Sm) according to EDS  
871 microanalyses.  $\Sigma_{\text{oct}}$ : Sum of octahedral cations,  $F/\text{FM} = \text{Fe}/(\text{Fe}+\text{Mg})$ .

872

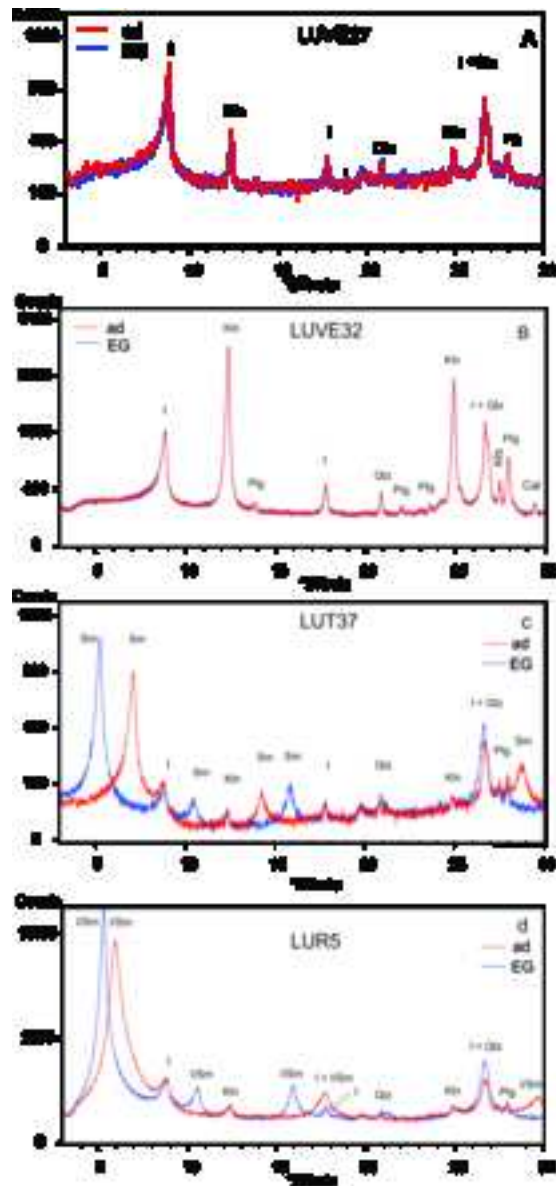


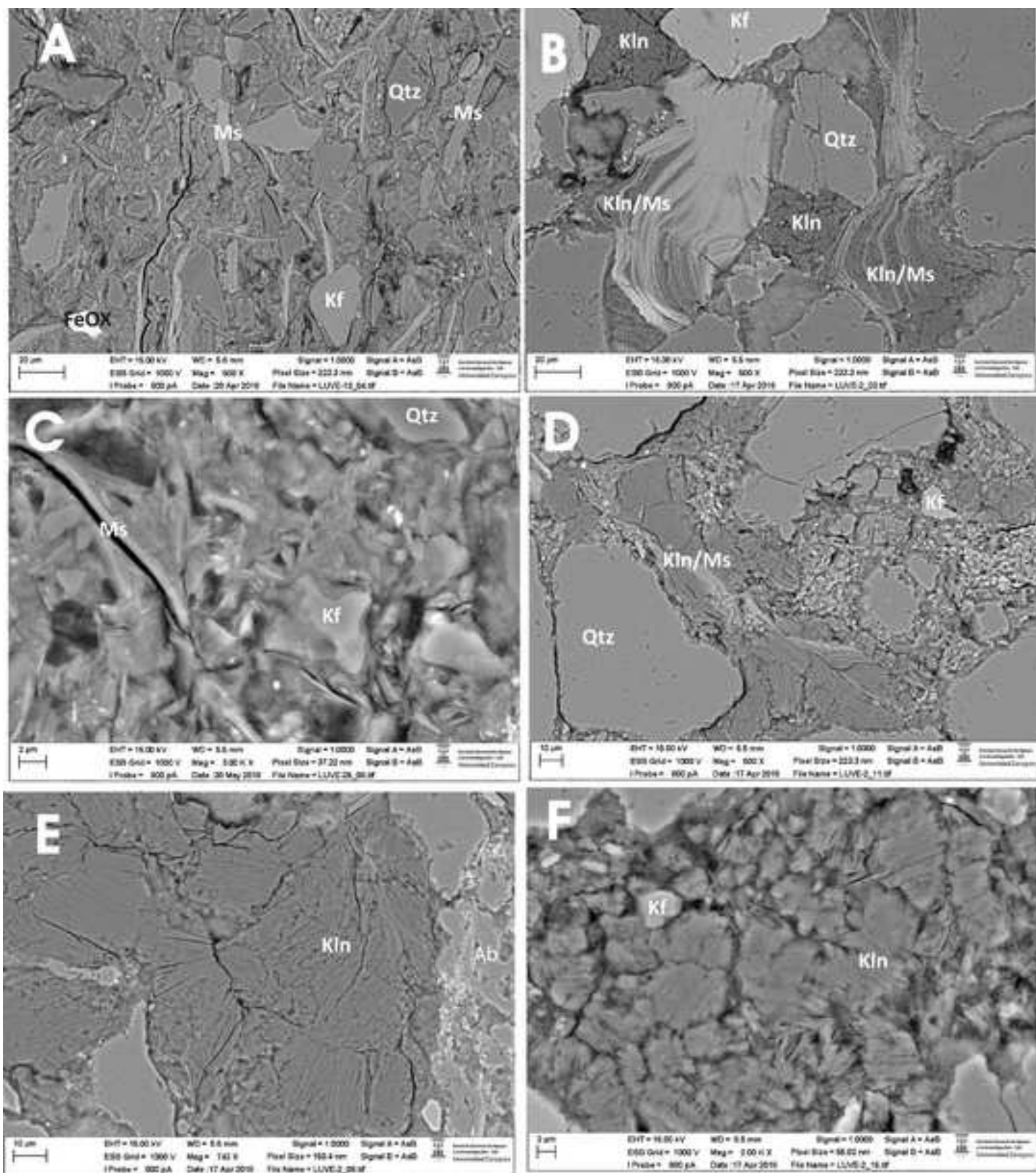




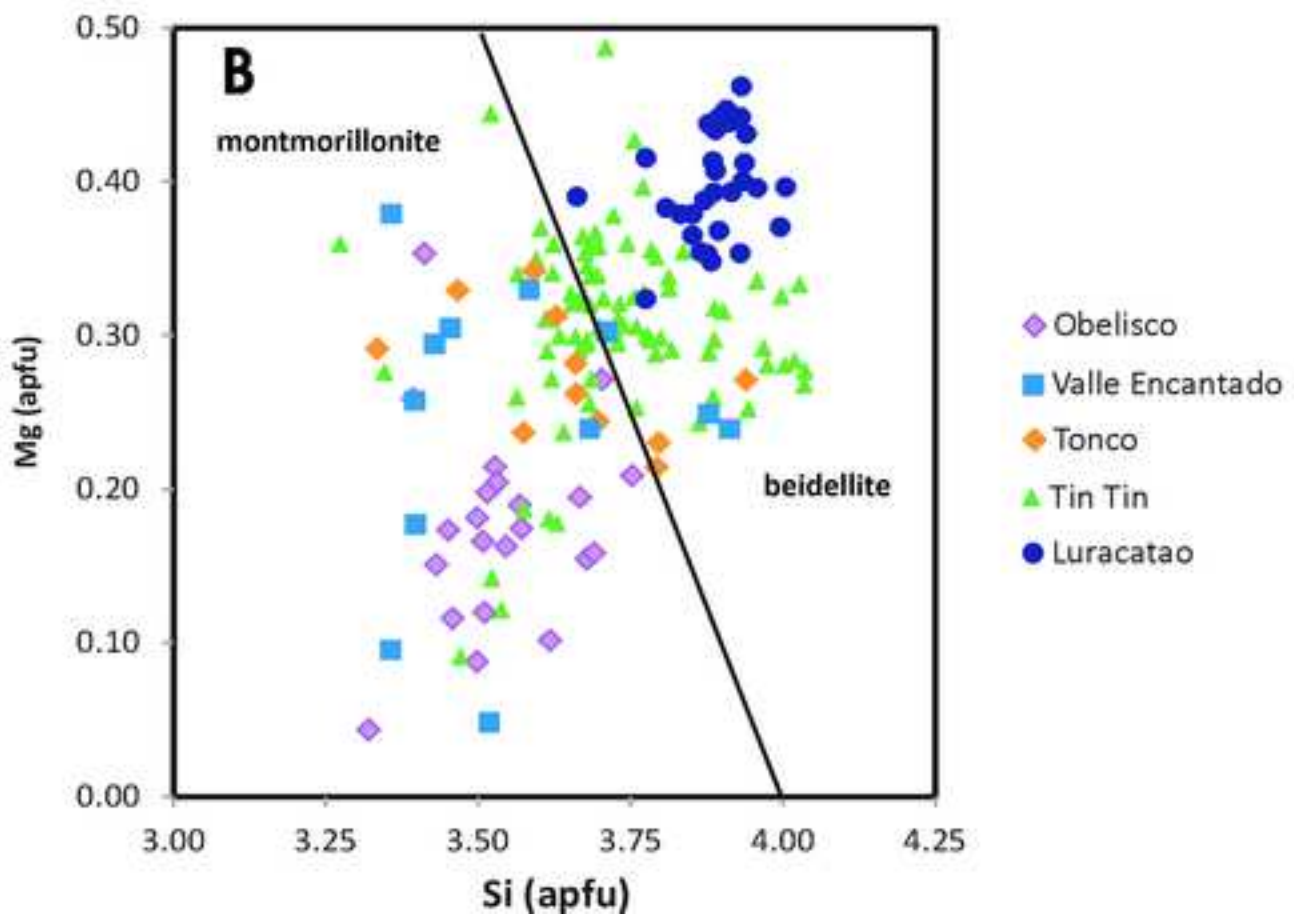
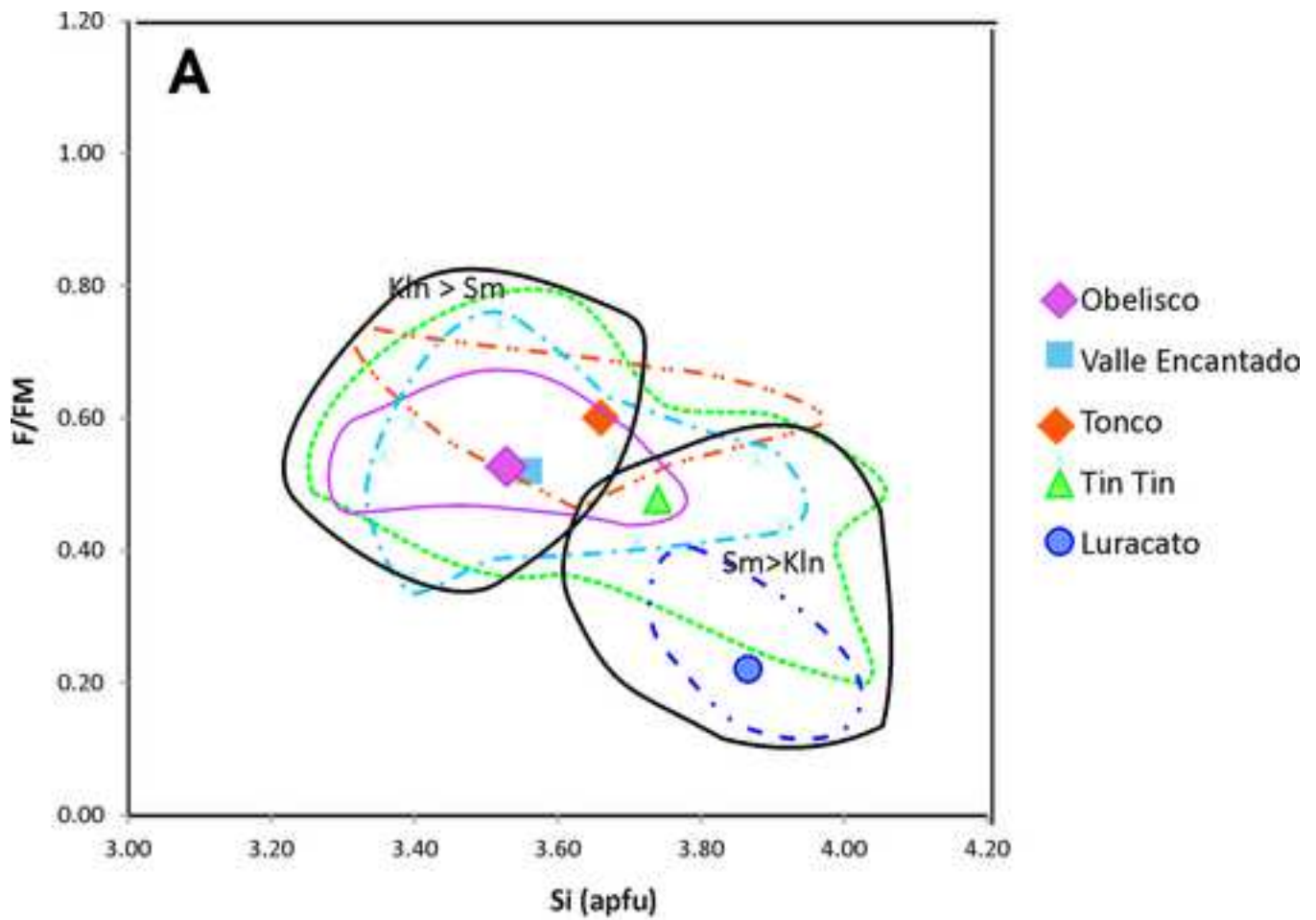












ARCHITECTURAL ELEMENTS		FACIES	FEATURES	INTERPRETATION
FA1	CH, GB-SB	Gt, Gp, St, Sp, Sm, Fm	White to pinkish, medium to fine-grained conglomerates to gravelly sandstones. Shallow erosive bases, gravel to granule lag, normal grading, trough cross-stratification, minor planar cross-stratification, imbrication, Bioturbated tops	Dilute currents with mainly tractive load. Filling scours, shallow channels, 2D-3D bar migration. Overbank sedimentation
FA2	CH, SB, LA, LV	St, Sp, Sr, Sl, Sm	Thick to thin lenticular to shallow lenticular strata. Inclined bedding. White to pinky fine to coarse-grained sandstones. Irregular bases, normal grading, trough and planar-cross stratification. Climbing ripples, some contorted beds and load casts, bioturbated tops	Belts of channels filling with sandy material. Mesofoms migration by lateral- and downstream- bar accretion. Levee formation
FA3	OF-CR	Fm, Fl, Pc, Ph, Sm	Red, massive, slightly stratified siltstones to sandy siltstones. Carbonate nodules, vertical tubes, mottling. Sheet-like, massive or bioturbated sandstones	Floodplain sedimentation, calcic-rich paleosols. Crevasse channels and splays

Table 1. Facies association identified in the Lumbrera Formation. Codes from Miall (1996).



Location	%	Kln	llite-Ms	Sm	I/Sm	Chl	Kln/Ms	
<b>Valle Encantado</b>	LUVE 32	68	32				2.12	
	LUVE 31	18	82				0.22	
	LUVE 30	8	92				0.09	
	LUVE 29	6	94				0.07	
	LUVE 28	9	91				0.10	
	LUVE 27	22	78				0.29	
	LUVE 26	10	69			12	9	0.14
	LUVE 25	18	70			4	8	0.26
	LUVE 23	45	48			6		0.94
	LUVE 22	13	72			15		0.18
	LUVE 21	28	67			5		0.42
	LUVE 20	59	38	3				1.54
	LUVE 18	10	78			12		0.13
	LUVE 17	37	59			4		0.62
	LUVE 16	28	61			11		0.46
	LUVE 15	33	60			8		0.54
	LUVE 14	39	57	4				0.70
	LUVE 13	47	46			8		1.03
	LUVE 12	15	81			3		0.19
	LUVE 11	16	77			7		0.21
	LUVE 9	35	58			7		0.61
	LUVE 8	37	59			4		0.62
	LUVE 7	18	75			7		0.24
	LUVE 6	39	55			6		0.72
	LUVE 5	34	60			7		0.57
	LUVE 4	59	39			2		1.49
LUVE 3	42	53			5		0.79	
LUVE 2	79	19			2		4.15	
LUVE 1	35	51			14		0.68	

Location	%	Kln	llite-Ms	Sm	I/Sm	Chl	Kln/Ms	
<b>Tonco-La Escalera</b>	LULE 30	26	68	6			0.38	
	LULE 29b	38	45		18		0.84	
	LULE 29	20	64			16	0.32	
	LULE 28	27	70			4	0.38	
	LULE 27	17	58			16	9	0.29
	LULE 26	35	62			3	0	0.56
	LULE 25	32	62			6	0	0.52
	LULE 24	36	55	9				0.66
	LULE 23	25	36			29	10	0.71
	LULE 22	23	55			22		0.41
	LULE 21	10	55			35		0.18
	LULE 19	21	70			9		0.30
	LULE 18	13	75			12		0.17
	LULE 16	11	71	18				0.16
	LULE-15	17	72	12				0.24
	LULE-14	13	83	4				0.16
	LULE-13	39	54	6				0.72
	LULE-12	39	57	4				0.69

Table 2. Clay mineralogy of samples from the Valle Encantado and Tonco sites based on XRD analyses. Kln/Ms values highlighted in grey correspond to peak values mentioned in the text and marked with arrows in Figure 2.



Location	%	Kln	llite-Ms	Sm	I/Sm	Chl	Kln/Ms
<b>Obelisco</b>	LU 10	10	70	21			0.14
	LU 9 c	36	54	10			0.65
	LU 9b	32	57	12			0.56
	LU 9a	46	36	9	8		1.27
	LU 8b	9	56		20	15	0.16
	LU 8 a	15	49		20	16	0.31
	LU 7 a	14	80	6			0.17
Location	%	Kln	llite-Ms	Sm	I/Sm	Chl	Kln/Ms
<b>Tin Tin</b>	LUT43	10	57	14	18		0.18
	LUT42b	6	49	22	14	9	0.11
	LUT42	8	60	14	18		0.13
	LUT 41	19	30	32	18		0.64
	LUT40	8	53	16	22		0.16
	LUT 39	5	88	7			0.06
	LUT 38	6	69	25			0.09
	LUT 37	5	36	59			0.14
	LUT 36	0	87	13			0.00
	LUT 35	0	89	11			0.00
	LUT 34	0	98	2			0.00
	LUT 33	0	94	6			0.00
	LUT 32	13	65	23			0.20
	LUT 31	16	65	19			0.25
	LUT 30	9	80	11			0.11
	LUT 29c	11	88	1			0.12
	LUT 29b	10	81	9			0.13
	LUT 29a	7	38	55			0.19
Location	%	Kln	llite-Ms	Sm-I/Sm R0	Chl	Kln/Ms	
<b>Luracatao-La Represa</b>	LUR12	9	75	10		6	0.12
	LUR11	8	64	21		7	0.13
	LUR10	17	43	40			0.39
	LUR9	14	31	55			0.46
	LUR8	8	43	49			0.18
	LUR7	10	37	53			0.27
	LUR6	6	48	46			0.13
	LUR5	7	31	62			0.22
	LUR4	8	69	13		10	0.11
	LUR3	9	41	50			0.22
	LUR2	16	58	26			0.27
	LUR1	8	46	46			0.17

Table 3. Clay mineralogy of samples from the Obelisco, Tin Tin, and Luracatao sites based on XRD analyses. Kln/Ms values highlighted in grey correspond to peak values mentioned in the text and marked with an arrow in Figure 3.

	<b>Si</b>	<b>Al IV</b>	<b>Al VI</b>	<b>Fe</b>	<b>Mg</b>	<b>Ti</b>	<b>K</b>	<b>Ca</b>	<b>Na</b>	<b>Int. charge</b>	<b>Soct</b>	<b>F/FM</b>
Obelisco (n=23)	3.53	0.47	1.64	0.21	0.19	0.03	0.23	0.07	0.03	0.40	2.05	0.53
st.dv.	(0.12)	(0.12)	(0.21)	(0.10)	(0.10)	(0.02)	(0.09)	(0.03)	(0.02)	(0.10)	(0.05)	(0.05)
Tin Tin (n=84)	3.73	0.27	1.35	0.32	0.31	0.03	0.27	0.11	0.03	0.52	2.01	0.49
st.dv.	(0.15)	(0.15)	(0.16)	(0.14)	(0.07)	(0.02)	(0.09)	(0.03)	(0.03)	(0.07)	(0.06)	(0.13)
Tonco (n=15)	3.66	0.34	1.30	0.42	0.27	0.03	0.35	0.06	0.03	0.46	2.02	0.60
st.dv.	(0.16)	(0.16)	(0.12)	(0.12)	(0.04)	(0.02)	(0.04)	(0.03)	(0.03)	(0.09)	(0.05)	(0.06)
V.Encantado (n=15)	3.56	0.44	1.49	0.26	0.24	0.04	0.38	0.04	0.09	0.55	2.03	0.52
st.dv.	(0.19)	(0.19)	(0.22)	(0.11)	(0.09)	(0.03)	(0.10)	(0.01)	(0.10)	(0.06)	(0.07)	(0.09)
Luracatao (n=32)	3.87	0.13	1.54	0.03	0.39	0.11	0.12	0.12	0.03	0.40	2.04	0.22
st.dv.	(0.13)	(0.13)	(0.11)	(0.04)	(0.06)	(0.05)	(0.05)	(0.04)	(0.04)	(0.08)	(0.04)	(0.08)

Table 4. Average composition of smectites from the different analysed sites.

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: