1	TITLE: Transcriptomic analysis of Escherichia coli MG1655 cells exposed to pulsed
2	electric fields
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18 HIGHLIGHTS

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20	-Transcriptomic response of PEF-treated E. coli cells at pH 4.0 was evaluated
21	-PEF treatments activated a response related to cytoplasmic membrane
22	-Cell requirement for energy and reducing power after a PEF treatment was confirmed
23	-PEF limited the development of acid shock response at pH 4.0
24	-Transcriptomic cell response after PEF was much more limited than after heat
25	-Transcriptomic and phenotypic results showed a lack of PEF-induced cross-resistance
26	
27	ABSTRACT

DNA microarrays were used for the first time to study the mechanism of 28 29 bacterial inactivation by pulsed electric fields (PEF). Escherichia coli MG1655 cells 30 were PEF-treated for 50 pulses (0.08 Hz) at 20 kV/cm (2.10 kJ/kg per pulse) in buffer at 31 pH 4.0 (100 µs PEF treatment time). After this PEF treatment, 47 genes demonstrated 32 transcriptional differences. Among the 20 up-regulated genes, we found cytochrome bo 33 oxidase genes (cyoB, cyoC, cyoD) and heme O synthase (cyoE), succinate dehydrogenase (sdhCDAB) operon, and transcriptional repressors of bet genes and 34 35 chromosomal ars operon (betI and arsR, respectively). Gene class testing showed relevance of the tricarboxylic acid (TCA) cycle pathway, confirming cell requirement 36 37 for energy and reducing power after PEF treatments. Transcriptomics after this PEF 38 treatment were compared with cell transcriptome after a heat treatment using the same 39 experimental setup, revealing a weaker cell response to PEF, which mainly involves components and functions directly associated with the cytoplasmic membrane. 40

41 Resistance experiments confirmed results observed by transcriptomics, showing the
42 lack of cross-resistance phenomena by PEF treatments.

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44 Industrial relevance: The molecular approach to the description of the mechanism of 45 microbial inactivation by pulsed electric fields (PEF) could result in a more efficient design of preservation process. Confirmation of cytoplasmic membrane as a PEF 46 bacterial target, as well as metabolic routes involved in cell response to PEF, could 47 48 assist in deciding other technologies to combine with PEF. Furthermore, the lack of 49 PEF-induced cross resistance under non-thermal conditions to other food preservation 50 technologies, such as heat and antimicrobial compounds, could help in maintaining the 51 efficiency of hurdle technologies that rely upon the imposition of multiple stresses. This 52 information will facilitate the knowledge-based enhancement of current intervention 53 methods or the design of new preservation processes involving PEF.

55 1. INTRODUCTION

56 Bacterial inactivation to guarantee food safety is generally achieved by heat 57 treatments in the food industry. As an alternative, pulsed electric fields (PEF) treatment 58 is a non-thermal process with, in contrast to heat treatments, a potential to inactivate 59 microorganisms with minimal impact on organoleptic and nutritional food properties 60 (Barbosa-Cánovas, Góngora-Nieto, Pothakamury & Swanson, 1999). The design of 61 effective PEF processes to provide safe food with an extended shelf life requires, among 62 other things, the description of the events leading to bacterial death during a PEF treatment. 63

64 Electroporation as a consequence of PEF is considered the key event in bacterial 65 death. Most studies suggest that PEF exert its bactericidal action primarily on the 66 cytoplasmic membrane (Aronsson, Rönner & Borch, 2005; García, Gómez, Mañas, 67 Raso & Pagán, 2007; Wouters, Bos & Ueckert, 2001). Permeabilization studies revealed that irreversible pores caused cell death, while reversible pores could indicate the 68 69 occurrence of sublethal injuries in cytoplasmic membrane of bacterial cells (García et 70 al., 2007; Wouters et al., 2001). Actually, sublethal injuries in this bacterial structure 71 have been described after PEF treatments as a function of treatment pH (García, Gómez, 72 Raso & Pagán, 2005). Repair of sublethal damages in this structure involved lipid 73 synthesis and energy production in Escherichia coli cells (García et al., 2007). In 74 addition, damaged Listeria monocytogenes cells in their cytoplasmic membranes also 75 required energy production to recover (Somolinos, Espina, Pagán & García, 2010). All 76 this knowledge of the mechanism of bacterial inactivation by PEF has allowed the 77 design of combined processes (Ait-Ouazzou et al., 2011, 2012; Monfort, Sagarzazu, Condón, Raso & Álvarez, 2013) based on hurdle theory (Leistner & Gorris, 1995). 78

However, in-depth studies are needed in order to better understand bacterial death byPEF treatments.

81 The "age of omics" has changed the study of microbial physiology by 82 introducing global analysis tools such as comparative genomics and global expression 83 techniques including DNA microarrays (transcriptomics) (Wecke & Mascher, 2011). 84 Study of gene expression by transcriptome analysis, i.e. RNA transcripts present in the 85 cell under a given condition, could allow for discovery of cell resistance mechanisms 86 and/or the regulatory network that coordinate bacterial stress response (Jordan, 87 Hutchings & Mascher, 2008). By comparing gene expression profiling in bacterial cells 88 before and after PEF exposure, sets of genes up-regulated or down-regulated by PEF 89 could be identified and, consequently, the proteins activated by PEF could be inferred. 90 Function of genes/proteins induced under these circumstances would be a reflection of 91 the mechanism of resistance and consequently about the way the microorganisms are 92 inactivated by PEF. Although this approach has been successfully used to describe the 93 mode of action of many antibiotics (Wecke & Mascher, 2011), and the mechanisms of 94 bacterial adaptation and inactivation by heat (Guernec, Robichaud-Rincon & Saucier, 95 2013; Gunasekera, Csonka & Paliy, 2008) or by high hydrostatic pressure (HHP) 96 (Bowman, Bittencourt & Ross, 2008), to the best of our knowledge transcriptome 97 analysis of bacterial response to PEF has not been reported.

Furthermore, transcriptional profiling has also shown induction of general stress responses and proteins after a given treatment that could be involved in cross-resistance phenomena, such as heat-shock-induced genes *rpoH*, *dnaK*, *dnaJ*, *groEL*, *groES*, or grpE (Carruthers & Minion, 2009), or σ^{B} -dependent general stress response induced by the cell wall antibiotic vancomycin (Shin et al., 2010). Transcriptome profiling of bacterial cells treated by PEF would allow a better understanding of the mechanism of inactivation and the mechanisms of resistance that cells use to cope with PEFtreatments.

106 The objective of this study was to investigate the transcriptomic response of *E*. 107 *coli* MG1655 upon exposure to PEF treatments by microarray hybridization. 108 Furthermore, a comparison with transcriptomic response to lethal heat treatments was 109 performed in order to contribute to the description of the mechanism of bacterial 110 inactivation by PEF. Finally, contribution of transcriptomic response to bacterial stress 111 response after PEF treatments was evaluated.

113 **2. MATERIAL AND METHODS**

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2.1 Micro-organisms and growth conditions

The strain used was *Escherichia coli* MG1655. The culture was maintained in a
cryovial at -80°C.

117 Broth subcultures were prepared by inoculating, with one single colony from a 118 plate, a test tube containing 5 mL of sterile Tryptic Soy Broth (Oxoid, Basingstoke, 119 Hampshire, England) with 0.6% Yeast Extract added (Oxoid) (TSBYE). After 120 inoculation, the tubes were incubated overnight at 37°C. With these subcultures, 250 121 mL Erlenmeyer flasks containing 50 mL of TSBYE were inoculated to a final concentration of 10⁴ colony-forming units (CFU)/mL. These flasks were incubated 122 123 under agitation (130 rpm) (Selecta, mod. Rotabit, Barcelona, Spain) at 37°C until the stationary growth phase was reached (24 h / 2×10^9 CFU/mL). 124

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126 **2.2 PEF treatments**

127 PEF treatments were carried out using equipment that delivered exponential-128 decay pulses, as previously described (García, Gómez, Raso, et al., 2005). High electric 129 field pulses were produced by discharging a set of 10 capacitors (6800 pF) (Behlke, C-130 20C682, Kronberg, Germany) via a thyristor switch (Behlke HTS 160-500SCR) in a 131 batch treatment chamber. The capacitors were charged using a high-voltage dc power 132 supply (FUG, HCK 2500M 35000, Rosenhein, Germany), and a function generator 133 (Tektronix AFG 320, Wilsonville, OR, USA) delivered the on-time signal to the switch. 134 The treatment chamber was made of a cylindrical plastic tube closed with two polished 135 stainless steel electrodes (Raso, Álvarez, Condón & Sala, 2000). The gap between electrodes was 0.25 cm, and the electrode area was 2.01 cm². The actual voltage and 136 electrical intensity applied were measured with a high voltage probe and a current probe 137

respectively connected to an oscilloscope (Tektronix TDS 3012B). The PEF equipment includes provisions for measuring sample temperature. Immediately after the treatment a thermocouple type K of 0.9 mm diameter, pneumatically activated, enters into the treatment chamber and the temperature is measured in the centre of the chamber.

142 Before the treatment, microorganisms were likewise centrifuged at $6000 \times g$ for 5 min and resuspended for a final concentration of approximately 2×10^8 CFU/mL in 143 144 McIlvaine citrate-phosphate buffer of pH 4.0, and electrical conductivity was adjusted 145 to 2 mS/cm, similar to food products such as apple juice. Next, 0.5 mL of the samples 146 was placed into the treatment chamber with a sterile syringe, as it has been previously 147 described (Raso et al., 2000). Cell suspensions were treated for 50 pulses (1 Hz, pulse 148 width 2 µs) at electric field strengths of 10, 20, 25, 30, and 35 kV/cm, corresponding to 149 specific energies of 0.47, 2.10, 3.35, 4.74, and 8.07 kJ/kg per pulse, respectively. 150 Experiments started at room temperature (22±2°C). In all experiments the temperature 151 of the samples after treatment was lower than 35 °C. Samples for transcriptomic assay 152 were subjected to 50 exponential waveform pulses of 20 kV/cm at a repetition rate of 153 0.08 Hz (pulse width 2 μ s).

154 Immediately after treatment, 0.5 mL samples were used to extract RNA or 0.1
155 mL samples were used for survivors' enumeration.

156

157 **2.3 Heat treatments**

For the transcriptomic assay, a heat treatment was carried out in an incubator (FX Incubator, Ref ZE/FX, from ZEU-INMUNOTEC) at 48°C with a thermocouple (Ahlborn, mod. Almemo 2450, Holzkirchen, Germany) to monitor the temperature during the heat treatment. Once the temperature had stabilized, 50 μ L of a diluted cell suspension was added into a sterile tube containing 450 μ L of McIlvaine citrate163 phosphate buffer of pH 4.0. The initial bacterial concentration was approximately 2×10^{8} CFU/mL. After 10 min, 0.5 mL samples were immediately used to extract RNA or 165 0.1 mL samples were used for survivors' enumeration.

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2.4 Cross-resistance treatments

168 Cells of *E. coli* MG1655 were PEF-treated in McIlvaine citrate-phosphate buffer
169 of pH 4.0 with the conditions selected for transcriptomic assay, as previously explained.
170 Control cells were subjected to: a) 0 min in McIlvaine citrate-phosphate buffer of pH
171 4.0 and b) 10 min in McIlvaine citrate-phosphate buffer of pH 4.0, the same duration as
172 the PEF treatment.

PEF-treated cells were treated by heat or constituents of essential oils citral (95%; Sigma-Aldrich, Steinheim, Germany), and carvacrol (95%; Sigma-Aldrich). For the 3 types of experiments, the initial bacterial concentration was approximately 1×10^7 CFU/mL and treatment media was McIlvaine citrate-phosphate buffer of pH 4.0. Citral and carvacrol have been used successfully in combined processes to preserve fruit juices (Espina, Somolinos, Pagán & García-Gonzalo, 2010) or liquid whole egg (Espina, Monfort, Álvarez, García-Gonzalo & Pagán, 2014).

Heat treatment was performed at 52°C for 10 min in an incubator (FX Incubator) as previously explained. Citral and carvacrol are practically immiscible in water, so a vigorous shaking method was used to prepare suspensions (Friedman, Henika & Mandrell, 2002). Citral and carvacrol were added at final concentrations of 300 and 100 μ L/L, respectively, to treatment media before addition of cell suspensions, and were maintained at 20°C for 30 min.

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187 *2.5 Counts of viable cells*

188 The physiological response of the bacterial cells was investigated by189 enumeration of viable cells.

After treatments, samples were diluted in Phosphate Buffered Saline, pH 7.3
(PBS; Oxoid). Next, 0.1 mL samples were pour-plated onto Tryptic Soy Agar (Oxoid)
with 0.6% Yeast Extract added (Oxoid) (TSAYE).

Plates were incubated at 37°C for 24 h. After plate incubation, the colonies were
counted with an improved image analyzer automatic counter (Protos; Analytical
Measuring Systems, Cambridge, United Kingdom), as it had been previously described
(Condón et al., 1996).

Both heat and PEF treatment conditions for transcriptomic assay were chosen to obtain a level of bacterial cell inactivation less than 50% in 10 min in order to attain a transcriptomic response in the same amount of time under lethal conditions, but in which most cells are alive.

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2.6 Detection of sublethal injury

In order to determine bacterial cell injury, treated samples were also plated on TSAYE with 3% of sodium chloride (Panreac, Barcelona, Spain) added (TSAYE-SC). This was the maximum non-inhibitory sodium chloride concentration for native cells previously determined (data not shown).

207 Selective media plates were incubated for 48 h. Previous experiments showed 208 that longer incubation times did not influence survival counts.

The number of sublethally injured cells was estimated by the difference in the number of CFU obtained after plating PEF-treated cells in the nonselective (TSAYE) and the selective (TSAYE-SC) media. The proportion of sublethally injured cells was

estimated by the difference in the number of log₁₀ cycles of CFU obtained after plating
PEF-treated cells in the same media.

- 214
- 215 2.7 Statistical analysis

Inactivation was expressed as difference in \log_{10} counts before and after every treatment. The error bars in the figures indicate the mean \pm standard deviations from the data obtained from at least 3 independent experiments carried out with different microbial cultures. ANOVA and *t*-tests were performed with GraphPad PRISM[®] (GraphPad Software, Inc., San Diego, USA) and differences were considered significant if $p \le 0.05$.

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2.8 RNA isolation, labeling and hybridization

224 RNA samples were obtained from untreated and treated cultures that were 225 prepared as described above. Cultures were immediately pelleted by centrifugation at 226 $6000 \times g$ for 5 min. Three biological replicates were performed under identical 227 conditions. RNA was isolated using the RNeasy Kit (Qiagen). The quality of the 228 isolated RNA was examined by Tape Station using the R6K ScreenTape Kit (Agilent). 229 All samples gave RIN (RNA Integrity Number) values of >9.

The labeling and hybridization was performed as per the Agilent Two-Color
Microarray-Based Prokaryote Analysis Fair Play III Labeling Protocol v. 1.3 by
Bioarray SL (www.bioarray.es).

Labeled cDNAs were hybridized on Agilent's *E. coli* Microarray Kit 8x15K, ID 020097. Hybridization and subsequent washing of the slides were performed according to the manufacturer's instructions.

The microarray slides were scanned using an Agilent microarray scanner (G2505C), and data were processed with Agilent's Feature Extraction software (v. 10.7).

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2.9 Analysis of Gene Expression

241 Data were normalized using *Tquantile* method to allow comparison between the 242 totalities of the arrays. Multiple testing correction was performed using Benjamini and 243 Hochberg correction (Benjamini & Hochberg, 1995). Spots with an adjusted *p*-value < 244 0.05 were considered statistically significant, and kept false positive rate to less than 245 5%. Expression levels obtained from 3 independent biological replicates of the treated 246 samples and 4 independent biological replicates of the untreated samples were 247 compared using the Limma package (Smyth, 2004) of the Bioconductor software 248 (Gentleman et al., 2004). A functional grouping of genes was made according to the 249 data from the NCBI (http://www.ncbi.nlm.nih.gov/COG/) using GOStats package of the 250 Bioconductor software.

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3. RESULTS AND DISCUSSION

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3.1 Transcriptomic response in PEF-treated cells

To determine the effect of PEF on the transcriptome *E. coli* MG1655, whose complete genome sequence is available (Blattner et al., 1997), DNA microarrays were used to compare transcript levels within stationary-phase bacterial cells subjected to a mild PEF condition at pH 4.0 with regard to bacterial cells held in the same pH 4.0 media without any additional treatment (control cells).

The transcriptomics analyses were carried out at pH 4.0, since PEF technology has been proposed to pasteurize acidic food, such as fruit juices (Saldaña, Puértolas, Monfort, Raso & Álvarez, 2011; Timmermans et al., 2014). Due to high PEF resistance shown by bacterial spores, the low pH conditions of acidic food would allow control of bacterial spores by inhibiting the germination stage (Raso & Barbosa-Cánovas, 2003). It should be taken into account that an acid shock response might be expected in control cells (Foster, 2004), which should be considered for discussion of the results.

266 In order to select adequate treatment conditions for the transcriptomic assay, E. 267 coli cells were PEF-treated for 50 pulses (pulse width 2 µs, 1 Hz) at an electric field 268 range of 10-35 kV/cm in buffer at pH 4.0 (Fig. 1). Under these treatment conditions, 269 cell inactivation determined in nonselective medium ranged from 0.2 to 2.4 log cycles 270 of the initial population. Differential plating technique with selective and nonselective 271 media allowed for evidencing of a population of alive cells with repairable damages in 272 their cytoplasmic membranes, that are targeted but not inactivated by PEF and 273 consequently might develop a transcriptomic response. Recovery of cells in a selective 274 medium with sodium chloride (Mackey, 2000) showed sublethal injuries in cytoplasmic 275 membranes after PEF treatments higher or equal to 20 kV/cm. Thus, a PEF treatment 276 for 50 pulses at 20 kV/cm inactivated 40 % of the E. coli cells and injured an additional

277 40 % of the surviving population. This treatment provided the most adequate 278 conditions, since almost 90 % of cells were targeted, and a big proportion of the treated 279 population could respond to PEF stress. Pulse frequency was reduced in order to extend 280 the total treatment time to 10 min with the aim of allowing cells to respond adequately 281 to the treatment, and of comparing these results with those of heat treatment. Therefore, 282 for transcriptomics studies, frequency was changed from 1 to 0.08 Hz. Modification of 283 frequency did not modify cell resistance to the selected PEF treatment (p > 0.05) (data 284 not shown).

285 Statistical analysis of DNA microarrays indicated that, after a PEF treatment 286 (100 µs PEF treatment time, 10 min processing time, pH 4.0), 47 genes demonstrated 287 transcriptional differences with an adjusted p-value < 0.05, as compared with control 288 cells (10 min, pH 4.0). The significance and differences in transcript levels for all genes 289 are depicted as a volcano plot (Fig. 2). The upper corners of this graph show genes with 290 both large fold changes and statistical significance. Genes with a negative value of log₂ 291 fold change are considered to be repressed, while the transcription of those genes with a positive value was activated by PEF treatment. Of the 47 genes differentially expressed, 292 293 20 genes were up-regulated, while 27 were down-regulated after PEF treatment as 294 compared to control cells (Fig. 2). Tables 1 and S1 show the 45 most significantly 295 differentially expressed genes, 20 up- and 25 down-regulated, respectively. All genes 296 identified as differentially transcribed, along with their fold change value, are presented 297 in Table S2 of the supplementary material.

Living organisms respond to stressful environmental conditions by redirecting protein synthesis to alleviate cell damage (Harcum & Haddadin, 2006). As a consequence, transcription of genes involved in cell response and repair is stimulated, while those not involved in these functions, such as genes with roles in cell division 302 machinery, are usually down-regulated. Up-regulated genes after PEF treatment with 303 annotation included cytochrome bo oxidase genes (cyoB, cyoC, cyoD) and heme O 304 synthase (cvoE) involved in proper functioning of cytochrome bo oxidase, succinate 305 dehydrogenase (sdhCDAB) operon, and transcriptional repressors of bet genes and 306 chromosomal ars operon (betI and arsR, respectively) (Table 1). Cytochrome bo 307 oxidase, one of the 3 major terminal oxidases in the aerobic respiratory chain of E. coli, 308 consists of 4 subunits encoded by the cyoB, cyoA, cyoC, and cyoD genes, all of which 309 are necessary for a functional enzyme. Cytochrome bo oxidase contributes to the 310 generation of a proton motive force (PMF), functioning as a proton pump (Puustinen, 311 Finel, Haltia, Gennis & Wikstrom, 1991). Succinate dehydrogenase plays an important 312 role in cellular metabolism and directly connects the tricarboxylic acid (TCA) cycle 313 with the respiratory electron transport chain (Cecchini, Schroder, Gunsalus & 314 Maklashina, 2002). These results demonstrate that PEF-treated cells activate a response 315 involving components and functions directly associated to cytoplasmic membrane, 316 which is considered a main target of PEF treatments (García et al., 2007; García et al., 317 2005; Wouters et al., 2001). DNA microarrays, used for the first time to study 318 transcriptome of PEF-treated cells, assisted in a better description of PEF-bacterial 319 targets, identifying the pathways and/or structures that cells would follow to repair the 320 damages caused by the treatment.

In order to increase power to detect differential expression and to reduce the interpretive challenge, gene-class testing (GCT) is widely used as an analytical tool (Allison, Cui, Page & Sabripour, 2006). Gene classes are usually based on Gene Ontology (GO) categories (for example, genes that are involved in transporter activities or in response to stimulus). These up-regulated genes as a consequence of the PEF treatment are distributed into 7 functional groups (Fig. S1): aerobic respiration, cellular

327 respiration, energy derivation by oxidation of organic compounds, generation of 328 precursor metabolites and energy, oxidation-reduction process, single-organism 329 metabolic process, and TCA cycle. Among them, TCA cycle is the final GO term for 330 the ontology tree for the up-regulated genes (Fig. 3 and Table 2). The TCA pathway is a 331 catabolic pathway of aerobic respiration and the first step in generating precursors for 332 biosynthesis as it generates energy and reducing power (in the form of NADH, NADPH 333 or FADH₂) which is used to build bacterial components or to generate new ATP 334 molecules in the electron transport system. Nevertheless, Ceragioli et al. (2010) 335 described up-regulation of inorganic ion transport and metabolism in Bacillus cereus 336 after several disinfectant treatments, whereas energy production and conversion genes 337 were commonly down-regulated. As observed under our conditions, generation of 338 precursor metabolites and energy genes were up-regulated by a PEF treatment. This 339 difference may reside in the nature of the treatments, being PEF a method based on 340 physical effects rather than chemical, as is the case with disinfectants. Bowman et al. 341 (2008) similarly described a suppression of a wide range of energy production and conversion in L. monocytogenes cells after a HHP treatment, another non-thermal food 342 343 preservation technology based on physical effects. Moreover, GCT indicated that HHP 344 caused overexpression of genes associated with DNA repair mechanisms, septal rings, transcription and translation protein complexes, and lipid and peptidoglycan 345 346 biosynthetic pathways, among others (Bowman et al., 2008), which confirmed that 347 structures such as DNA, ribosomes, and septal rings were involved in the mechanism of 348 inactivation by HHP. Differential trancriptomic response of PEF-treated cells would 349 show a different mechanism of bacterial inactivation by PEF as compared with that by 350 HHP due to the multitarget nature of the latter technology. Therefore, our results would 351 confirm the cell requirement for energy to repair sublethal damages in cytoplasmic

352 membrane caused by PEF treatments and reducing power needed, probably related to 353 synthesis of new lipids, as described by García et al. (2006), indicating that mainly cell 354 envelopes are affected during the inactivation process. Further transcriptomic studies 355 during the repair of sublethally PEF-injured cells in recovery liquid medium (García, 356 Mañas, Gómez, Raso & Pagán, 2006) would possibly reveal more genes implied in 357 biosynthetic requirements required, such as lipid synthesis. Moreover, comparison of 358 our results with the transcriptome of PEF-treated bacterial cells under different 359 treatment conditions (pH, squared waveform pulses, real food systems, etc) and /or 360 grown in the treatment medium, might reveal other new insights in the mechanism of 361 bacterial inactivation by PEF.

362 Interpretation of down-regulated genes became more complex because control 363 cells were held at pH 4.0 for 10 min in which transcripts related to acid shock response 364 could have been expressed (Foster, 2004). Under these circumstances, those genes could 365 be underestimated in PEF-treated cells as compared with control cells, appearing as 366 down-regulated. Indeed, comparison of transcriptomic response in PEF-treated cells in 367 relation to control cells showed that down-regulated genes with annotation after PEF 368 treatment included genes coding for ammonium transporter (amtB), acid shock protein 369 (asr), glutamine-binding protein (glnH), nitrogen regulatory proteins (glnK, nac), sigma 370 E factor (rpoE), and a gene involved in superoxide response (soxS) (Table S1). 371 Overrepresentation analysis of functional categories (based on gene ontology-GO) of 372 genes differentially expressed after the PEF treatment reveals a rather simple pattern. 373 Genes down-regulated as a consequence of the PEF treatment are distributed into 5 374 functional groups: glutamine biosynthetic process, glutamine family amino acid 375 biosynthetic process, glutamine family amino acid metabolic process, glutamine 376 metabolic process, and response to abiotic stimulus. Among them, abiotic stimulus and 377 glutamine biosynthetic process were the final GO terms for the ontology tree for the 378 down-regulated genes (Fig. S2 and S3 and Tables S3 and S4). The former included 379 genes asr and rpoE (Table S3). These down-regulated genes during PEF treatment have 380 been previously associated with acid shock response (Armalyte, Seputiene, Melefors & 381 Suziedeliene, 2008; Coutts, Thomas, Blakey & Merrick, 2002; Lu et al., 2013). Our 382 hypothesis is that transcriptomic expression of genes related to acid shock response 383 (amtB, asr, glnH, glnK, glnP, nac) was more active in control cells (at pH 4.0 for 10 384 min) than in PEF-treated cells, indicating that PEF led to a weaker acid shock response 385 in comparison with control cells, although both samples were held at pH 4.0 for 10 min. 386 Instead, PEF-treated cells might be focusing their active pathways in responding to the 387 lethal treatment by generating energy and reducing power, as shown above. In addition, 388 our results indicate that acid resistance mechanisms would not be involved in cell repair 389 and/or response to PEF albeit cells were treated under acid conditions.

390

391 *3.2 Comparison of transcriptomics in PEF-treated and heat-treated cells*

392 PEF treatments have been suggested as an alternative to heat treatments for food 393 preservation (Barbosa-Cánovas et al., 1999). Mechanism of inactivation by heat 394 treatments has been well studied (Gould, 2000), as well as bacterial transcriptome after 395 heat stresses (Carruthers & Minion, 2009; Gunasekera et al., 2008; Harcum & 396 Haddadin, 2006). In order to compare cell responses after PEF and heat treatments 397 under our selected conditions (10 min processing time, pH 4.0), transcriptomics after 398 the PEF treatment were compared with transcriptome in cells after a lethal heat 399 treatment. A heat treatment targeting a similar proportion of bacterial cells than PEF 400 treatment was selected: after 10 min at 48°C heat treatment inactivated 50 % of the E. 401 coli cells and injured 55 % of the surviving population (data not shown). In contrast to

402 PEF treatment, heat treatment caused the differential expression of 1,430 genes, 509 of 403 which were up-regulated (Fig. 4) as compared with only 20 up-regulated genes in PEF-404 treated cells (Fig. 2). These results show an extensive cell response caused by heat 405 treatments as compared with PEF treatments, and consequently more structures might 406 be targeted by heat. While PEF inactivation seems to be location-specific related 407 exclusively with cytoplasmic membrane, heat causes pleiotropic cellular effects and the 408 ultimate inactivation seems to result from multitarget damage, such as ribosome 409 destabilization, membrane permeabilization, enzyme denaturation, and DNA damage, 410 among others. Other explanations could be that heat action is gradual, while PEF may 411 cause a remarkable cell stress that would not allow a cell response and/or that PEF 412 stress might not allow for part of the transcription due to electrostatic interferences in 413 transcriptional machinery. Transcriptomics of PEF-treated cells would be showing a 414 response to the damage caused in bacterial cells that was leading them to recover the 415 components needed rather than preparing new mechanisms for additional stresses. 416 According to these results, PEF and heat treatments do not share any over-expressed 417 genes (Fig. 5), indicating that probably primary targets of PEF are secondary for heat 418 treatments due to the multitarget nature of this technology.

419 Many previously identified HS response genes are up-regulated during our heat 420 treatment, including genes encoding HS proteins *clpB*, *htpGX*, and *ibpAB*, proteases 421 clpX, degP, hslV, hflX and lon, and major chaperone subunits groL, groS, dnaK, dnaJ, 422 and grpE, σ factors rpoD, rpoE, and rpoH, among others (data not shown). This was 423 expected as application of heat resulted in an increase in unfavorable protein 424 interactions such as misfolding and aggregation. Notably, *rpoE* was down-regulated due 425 to the PEF treatment. RpoE transcription factor of E. coli regulates the expression of 426 genes whose products are devoted to extracytoplasmic activities. The RpoE regulon is

427 induced upon misfolding of proteins in the periplasm or the outer membrane (Missiakas, 428 Mayer, Lemaire, Georgopoulos & Raina, 1997) and is essential for bacterial growth at a 429 high temperature (Hiratsu, Amemura, Nashimoto, Shinagawa & Makino, 1995). RpoE 430 drives transcription of a number of genes whose functions revolve around heat shock 431 (HS) and misfolded proteins (Ades, Grigorova & Gross, 2003). This situation would 432 indicate that whereas in a prolonged heat treatment, proteins are denatured, during a 433 PEF treatment proteins would not change their conformational state. Remarkably, 434 García et al. (2006), showed that protein synthesis was not required to repair sublethal 435 injuries in the cytoplasmic membrane of PEF-treated E. coli cells. Still, there were 436 commonly down-regulated genes after PEF and heat treatments, such as amtB, asr, 437 glnH, glnK, nac, and soxS, among other hypothetical proteins (Table 3) which could be 438 attributed to a weaker response to acidic conditions in comparison with untreated cells, 439 as explained above.

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3.3 Cross-resistance evaluation after a PEF treatment

442 The development of stress tolerance has been generally attributed to the 443 induction of specific sets of proteins (Storz & Hengge-Aronis, 2000). In the particular 444 case of cross-resistance responses, the development of resistance has been linked either 445 to the induction of the general stress responses, attributed to the expression of alternative sigma factor σ^{S} in *E. coli* (Storz & Hengge-Aronis, 2000), or to the existence 446 447 of an overlap between the responses triggered by different stresses such as synthesis of 448 heat-shock proteins (HSPs), involving chaperones and proteases, which is induced 449 rapidly and transiently upon exposure to high temperature (Abee & Wouters, 1999). 450 Previous studies had demonstrated that general stress response would not play a role in 451 PEF-mediated bacterial cell inactivation: opposite to most results obtained when heat-

452 or cold-shocked cells were heated or pressure-treated (Cebrián, Sagarzazu, Pagán, 453 Condón & Mañas, 2010; Sagarzazu, Cebrián, Condón, Mackey & Mañas, 2010; 454 Somolinos, García, Mañas, Condón & Pagán, 2008). Somolinos et al. (2008) found that none of the 4 E. coli strains tested in their study increased their PEF resistance as a 455 456 consequence of a heat or a cold shock. In addition, although cross-resistance induced by 457 previous heat treatments has been widely demonstrated (Arroyo, Cebrián, Condón & 458 Pagán, 2012; Cebrián, Raso, Condón & Mañas, 2012; Pagán & Mackey, 2000), 459 influence of a previous PEF treatment on following lethal stresses applied for food 460 preservation, such as heat or antimicrobial compounds, has not been reported in the 461 literature. Only Álvarez and Heinz (2007) reported an increase of ultrasound resistance 462 in previously PEF-treated cells, which was attributable to a membrane reorganization 463 caused by PEF treatment.

464 In order to evaluate the contribution of transcriptome in PEF-treated cells we 465 studied the stress response after a PEF treatment. Observations from transcriptome of 466 PEF-treated cells regarding presence of "response to stimulus" GO category only for down-regulated genes, which would indicate that PEF treatments should not induce 467 468 cross-resistance phenomena to other treatments. In order to verify whether this 469 phenomenon might be evidenced under practical conditions, E. coli cells were PEF 470 treated under the same conditions of the transcriptome experiments (20 kV/cm, pH 4.0, 471 50 pulses at 0.08 Hz, 100 µs PEF treatment time, 10 min processing time) and 472 immediately subjected to a following heat, citral, or carvacrol treatment (Fig. 6). Cell 473 inactivation of the PEF-stressed cells was compared with the inactivation of untreated 474 cells, and of control cells incubated for 10 min in buffer at pH 4.0, the same conditions 475 used for control cells in transcriptomic analysis. As expected from transcriptome 476 analysis that revealed no activation of stress response proteins, a previous PEF

477 treatment did not increase the resistance of survivors to heat, citral, or carvacrol. 478 Moreover, PEF-treated cells had a decreased resistance to following inactivating 479 treatments in comparison with unstressed cells. While a heat treatment for 10 min at 52 480 °C (pH 4.0) inactivated less than 1 log₁₀ cycle on unstressed cells, more than 3 log₁₀ 481 cycles of survivors to the PEF treatment were inactivated (Fig. 6). Furthermore, cell 482 resistance to a citral treatment (300 µL/L, 30 min, pH 4.0) decreased in more than 1 483 log₁₀ cycles for PEF-stressed cells in comparison with the resistance of unstressed cells 484 (Fig. 6). As for carvacrol resistance (100 μ L/L, 30 min, pH 4.0), no differences (p < 100485 0.05) were detected among PEF-stressed and unstressed cells (Fig. 6). Sensitization of 486 PEF-stressed cells could be attributed to the lower resistance of PEF injured cells (Fig. 487 1). Briefly, transcriptomic and phenotypic analyses revealed that PEF treatments did not 488 induce a cross-resistance to heat, citral, or carvacrol treatments.

490

4. CONCLUSIONS

491 This study is the first to demonstrate and characterize the whole-genome 492 responses of stationary-phase *E. coli* after a PEF treatment, reflecting physiological 493 response of bacteria to PEF.

494 After selecting treatments targeting almost 90 % of cells, E. coli did not show a 495 large transcriptomic response to the PEF treatment. Of the 47 genes differentially 496 expressed, 20 genes were up-regulated, while 27 were down-regulated. Up-regulated 497 genes after PEF treatment with annotation included cvoB, cvoC, cvoD, cvoE, sdhCDAB 498 operon, betI, and arsR. TCA cycle is the final GO term for the ontology tree for the up-499 regulated genes confirming the cell requirement for energy and reducing power needed 500 to repair sublethal damages in cytoplasmic membrane caused by PEF treatments. In 501 addition, our results indicate that acid shock response was weaker in PEF-treated cells 502 than in control cells, suggesting that acid resistance mechanisms might not involved in 503 E. coli MG1655 repair and/or response to PEF.

504A stronger transcriptomic response caused by heat treatments, as compared with505PEF treatments, indicated that heat might target more structures than PEF.

506 Finally, lack of induction of cross-resistance to heat, citral, or carvacrol 507 treatments after PEF treatments was demonstrated, which will help in maintaining the 508 efficiency of hurdle technologies that rely upon the imposition of multiple stresses.

509 We consider that this information will facilitate the knowledge-based 510 enhancement of current interventions or the design of new preservation processes 511 involving PEF.

512

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522

6. FIGURE CAPTIONS

523

Fig. 1. Log₁₀ cycles of inactivation of stationary phase cells of *Escherichia coli* MG1655 after PEF treatments at 10, 20, 25, 30, and 35 kV/cm for 50 pulses in citratephosphate buffer of pH 4.0. Survivors were recovered in the non-selective (white bars) and selective media with NaCl (black bars). Data are means±standard deviations (error bars).

529

Fig. 2. Volcano plot of transcriptional differences in *Escherichia coli* MG1655 after PEF treatment at 20 kV/cm for 50 pulses in citrate-phosphate buffer of pH 4.0. Individual differences are plotted as log_2 fold change vs. $-log_{10}$ adjusted *p*-value. Points above the line at p < 0.05 indicate differential expression at false discovery rate of 5%. The number of genes up- or down- regulated (p < 0.05) is indicated.

535

Fig. 3. Gene ontology (GO) tree for up-regulated genes belonging to the TCA cycle GO
term (GO:0006099) after PEF treatment of *Escherichia coli* MG1655. GO Biological
Process Analysis with *p*-value lower than 0.05.

539

Fig. 4. Volcano plot of transcriptional differences in *Escherichia coli* MG1655 after heat treatment at 48°C for 10 min in citrate-phosphate buffer of pH 4.0. Individual differences are plotted as log_2 fold change vs. $-log_{10}$ adjusted *p*-value. Points above the line at p < 0.05 indicate differential expression at false discovery rate of 5%. The number of genes up- or down- regulated (p < 0.05) is indicated.

Fig. 5. Comparison of levels of genome-wide expression in *Escherichia coli* MG1655 after lethal PEF and heat treatments. The number of differentially expressed genes are shown as a Venn diagram. Numbers of up-regulated genes are shown in bold, and numbers of down-regulated genes are shown in italics.

550

551 Fig. 6. Log₁₀ cycles of inactivation of stationary phase cells of *Escherichia coli* 552 MG1655 after heat (H; 52°C, 10 min), citral (Cit; 300 µL/L, 30 min), and carvacrol 553 (Car; 100 µL/L, 30 min) treatments. Control cells were kept during 0 (white bars) or 10 min (grey bars); or PEF-treated at 20 kV/cm for 50 pulses (0.08 Hz) in citrate-phosphate 554 555 buffer of pH 4.0 (black bars). For all conditions, treatment medium was citrate-556 phosphate buffer of pH 4.0. Survivors were recovered in the non-selective media. Initial cell concentration was adjusted to 10^7 CFU/mL for all the experiments. Data are 557 558 means±standard deviations (error bars).

560 7. SUPPLEMENTARY FIGURE CAPTIONS

561

Fig. S1. Gene ontology (GO) tree for the enriched GO categories after PEF treatment of *Escherichia coli* MG1655. GO Biological Process Analysis with *p*-value lower than
0.05.

565

566 Fig. S2. Gene ontology (GO) tree for down-regulated genes belonging to the response

567 to abiotic stimulus GO term (GO:0009628) after PEF treatment of Escherichia coli

568 MG1655. GO Biological Process Analysis with *p*-value lower than 0.05.

569

570 Fig. S3. Gene ontology (GO) tree for down-regulated genes belonging to the glutamine

571 biosynthetic process GO term (GO:0006542) after PEF treatment of Escherichia coli

572 MG1655. GO Biological Process Analysis with *p*-value lower than 0.05.

574 8. REFERENCES

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745

Gene	Systematic	logFC	Description
	Name		
			Transcriptional repressor of chromosomal ars
arsR	b3501	0.92	operon
betI	b0313	1.10	Probably transcriptional repressor of bet genes
суоВ	b0431	1.72	Cytochrome o ubiquinol oxidase subunit I
суоС	b0430	1.59	Cytochrome o ubiquinol oxidase subunit III
cyoD	b0429	1.45	Cytochrome o ubiquinol oxidase subunit IV
суоЕ	b0428	1.45	Protoheme IX farnesyltransferase
ECs0483	ECs0483	1.40	Cytochrome o ubiquinol oxidase subunit
	ABE-		
insX	0285026	1.09	Predicted IS protein
			Acidic protein suppresses mutants lacking function
msyB	b1051	1.17	of protein export
nudE	b3397	1.19	orf, hypothetical protein
			PhoB-dependent, ATP-binding Pho regulon
			Component; may be helicase; induced by P
phoH	b1020	1.47	starvation
sdhA	b0723	1.25	Succinate dehydrogenase, flavoprotein subunit
sdhB	b0724	1.18	Succinate dehydrogenase, iron sulfur protein
sdhC	b0721	1.81	Succinate dehydrogenase, cytochrome b556
sdhD	b0722	1.64	Succinate dehydrogenase, hydrophobic subunit
tatA	b3836	0.83	orf, hypothetical protein
yafQ	b0225	0.78	orf, hypothetical protein
ycgZ	c_1607	1.22	Hypothetical protein YcgZ
yidF	c_4598	0.84	Hypothetical protein YidF
	c 1605	0.91	Hypothetical protein

2 microarray data.

3 4

Table 2. Up-regulated genes belonging to the TCA cycle GO term
 (GO:0006099) after PEF treatment of *Escherichia coli* MG1655. GO Biological Process
 Analysis with *p*-value lower than 0.05 (Hypergeometric Test).

Gene	Systematic	logFC	Description
	Name		
sdhA	b0723	1.25	Succinate dehydrogenase, flavoprotein subunit
sdhB	b0724	1.18	Succinate dehydrogenase, iron sulfur protein
sdhC	b0721	1.81	Succinate dehydrogenase, cytochrome b556
sdhD	b0722	1.64	Succinate dehydrogenase, hydrophobic subunit

Gene	Systematic	logFC	logFC	Description
	Name	PEF	Heat	-
amtB	b0451	-4.42	-4.33	Probable ammonium transporter
asr	b1597	-7.18	-5.91	Acid shock protein
astC	b1748	-2.77	-3.12	Acetylornithine delta-aminotransferase
ECs2304	ECs2304	-2.65	-3.26	Hypothetical protein
				Periplasmic glutamine-binding protein;
glnH	b0811	-2.19	-1.24	permease
glnK	b0450	-5.52	-4.73	Nitrogen regulatory protein P-II 2
				Glutamine high-affinity transport system;
glnP	b0810	-1.26	-2.06	membrane component
nac	b1988	-2.69	-2.56	Nitrogen assimilation control protein
rumA	b2785	-1.20	-2.32	Putative enzyme
				Regulation of superoxide response
soxS	b4062	-1.63	-3.47	regulon
ydgD	b1598	-1.72	-2.73	orf, hypothetical protein
yfgG	b2504	-1.47	-2.30	orf, hypothetical protein
	c_0569	-4.81	-4.53	Hypothetical protein
	c_0897	-2.83	-2.02	Hypothetical protein
	c_1989	-6.97	-5.82	Putative acid shock protein
	c_2148	-2.79	-3.19	Succinylornithine transaminase
	c_2149	-0.92	-1.35	Hypothetical protein
	c 3023	-1.54	-1.66	Hypothetical protein

coli MG1655.

FIGURE 1





FIGURE 3



FIGURE 4



FIGURE 5



FIGURE 6



FIGURE S1



FIGURE S2



FIGURE S3



Gene	Systematic	logFC	Description
	Name		
amtB	b0451	-4.42	Probable ammonium transporter
asr	b1597	-7.18	Acid shock protein
astC	b1748	-2.77	Acetylornithine delta-aminotransferase
ECs2304	ECs2304	-2.65	Hypothetical protein
glnH	b0811	-2.19	Periplasmic glutamine-binding protein; permease
glnK	b0450	-5.52	Nitrogen regulatory protein P-II 2
			Glutamine high-affinity transport system;
glnP	b0810	-1.26	membrane component
nac	b1988	-2.69	Nitrogen assimilation control protein
rnlA	b2630	-1.30	Putative cell division protein
			RNA polymerase, sigma-E factor; heat shock and
rpoE	b2573	-1.33	oxidative stress
rumA	b2785	-1.20	Putative enzyme
soxS	b4062	-1.63	Regulation of superoxide response regulon
spy	b1743	-3.57	Periplasmic protein related to spheroblast formation
ydgD	b1598	-1.72	orf, hypothetical protein
yfgG	b2504	-1.47	orf, hypothetical protein
yncJ	c_1860	-2.04	Hypothetical protein YncJ precursor
Z2283	Z2283	-2.32	orf, hypothetical protein
	b3913	-1.65	orf, hypothetical protein
	b3914	-1.89	orf, hypothetical protein
	c_0569	-4.81	Hypothetical protein
	c_0897	-2.83	Hypothetical protein
	c_1989	-6.97	Putative acid shock protein
	c_2142	-2.72	Hypothetical protein
	c_2148	-2.79	Succinylornithine transaminase
	c_3023	-1.54	Hypothetical protein

p-value of microarray data.

Gene	Systematic	logFC	Description
	Name	4.42	D 1 11
amtB	60451	-4.42	Probable ammonium transporter
	1.2501	0.02	l ranscriptional repressor of chromosomal ars
arsR	b3501 1,1507	0.92	operon
asr	b159/	-/.18	Acid shock protein
astC	b1/48	-2.//	Acetylornithine delta-aminotransferase
betl	b0313	1.10	Probably transcriptional repressor of <i>bet</i> genes
суов	b0431	1.72	Cytochrome o ubiquinoi oxidase subunit I
<i>cyoc</i>	b0430	1.39	Cytochrome o ubiquinol oxidase subunit III
cyoD	b0429	1.45	Cytochrome o ubiquinoi oxidase subunit IV
CYOE	b0428	1.45	Protoneme IX farnesyltransferase
ECs0483	ECs0483	1.40	Cytochrome o ubiquinol oxidase subunit IV
ECs2304	ECs2304	-2.65	Hypothetical protein
ginH	b0811	-2.19	Periplasmic glutamine-binding protein; permease
glnK	60450	-3.32	Nitrogen regulatory protein P-II 2
	1 00 1 0	1.00	Glutamine high-affinity transport system;
glnP	60810	-1.26	membrane component
• 17	ABE-	1.00	
insX	0285026	1.09	Predicted IS protein
л	1 1051	1 17	Acidic protein suppresses mutants lacking function
msyB	b1051	1.17	of protein export
nac	61988	-2.69	Nitrogen assimilation control protein
nudE	63397	1.19	orf, hypothetical protein
			PhoB-dependent, ATP-binding Pho regulon
1 **	1 1 0 0 0	1 45	component; may be helicase; induced by P
phoH	61020	1.47	starvation
rnlA	62630	-1.30	Putative cell division protein
	10570	1.00	RNA polymerase, sigma-E factor; heat shock and
rpoE	b25/3	-1.33	oxidative stress
rumA	62785	-1.20	Putative enzyme
sdhA	60723	1.25	Succinate dehydrogenase, flavoprotein subunit
sdhB	b0724	1.18	Succinate dehydrogenase, iron sulfur protein
sdhC	60721	1.81	Succinate dehydrogenase, cytochrome b556
sdhD	60722	1.64	Succinate dehydrogenase, hydrophobic subunit
soxS	b4062	-1.63	Regulation of superoxide response regulon
spy	b1743	-3.57	Periplasmic protein related to spheroblast formation
tatA	b3836	0.83	orf, hypothetical protein
yafQ_	b0225	0.78	ort, hypothetical protein
ycgZ	c_1607	1.22	Hypothetical protein YcgZ
ydgD	b1598	-1.72	ort, hypothetical protein
yfgG	b2504	-1.47	orf, hypothetical protein
yidF	c_4598	0.84	Hypothetical protein YidF
yncJ	c_1860	-2.04	Hypothetical protein YncJ precursor
ynfM	b1596	-1.17	Putative transport protein
Z2283	Z2283	-2.32	orf, hypothetical protein

- 2 *p*-value of microarray data.
- 1

b3913	-1.65	orf, hypothetical protein
b3914	-1.89	orf, hypothetical protein
c_0569	-4.81	Hypothetical protein
c_0897	-2.83	Hypothetical protein
c_1605	0.91	Hypothetical protein
c_1989	-6.97	Putative acid shock protein
c_2142	-2.72	Hypothetical protein
c_2148	-2.79	Succinylornithine transaminase
c_2149	-0.92	Hypothetical protein
c_3023	-1.54	Hypothetical protein

1 **Table S3.** Down-regulated genes belonging to the response to abiotic stimulus

2 GO term (GO:0009628) after PEF treatment of Escherichia coli MG1655. GO

	Gene	Systematic	logFC	Description
		Name		
	asr	b1597	-7.18	Acid shock protein
				RNA polymerase, sigma-E factor; heat shock and
	rpoE	b2573	-1.33	oxidative stress
4				

3 Biological Process Analysis with *p*-value lower than 0.05 (Hypergeometric Test).

Table S4. Down-regulated genes belonging to the glutamine biosynthetic
 process GO term (GO:0006542) after PEF treatment of *Escherichia coli* MG1655. GO

Gene	Systematic	logFC	Description
	Name		
glnH	b0811	-2.19	Periplasmic glutamine-binding protein; permease
			Glutamine high-affinity transport system; membrane
glnP	b0810	-1.26	component

3 Biological Process Analysis with *p*-value lower than 0.05 (Hypergeometric Test).