

EFFECTIVENESS OF DRY NEEDLING THERAPY ON PAIN, HIP MUSCLE STRENGTH AND PHYSICAL FUNCTION IN PATIENTS WITH HIP OSTEOARTHRITIS: A RANDOMIZED CONTROLLED TRIAL.

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This study design, protocol and consent forms were performed in accordance with the Helsinki Declaration of 1964 (revised in Fortaleza, 2013). The ethical approval for this study was obtained from the Clinical Research Ethics Committee of Aragón (PI17/0182/B) and it was registered in ClinicalTrials.gov, NCT04195464.

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1 **EFFECTIVENESS OF DRY NEEDLING THERAPY ON PAIN, HIP MUSCLE**
2 **STRENGTH AND PHYSICAL FUNCTION IN PATIENTS WITH HIP**
3 **OSTEOARTHRITIS: A RANDOMIZED CONTROLLED TRIAL.**

4

5 **ABSTRACT**

6 **Objective:** To investigate the short-term effects of dry needling (DN) on physical
7 function, pain and hip muscle strength in patients with hip osteoarthritis (OA).

8 **Design:** A double-blind, placebo-control, randomized clinical trial.

9 **Setting:** Private practice physiotherapy clinic.

10 **Participants:** Patients with unilateral hip OA (N=45) were randomly allocated to DN
11 group, sham DN group or control group.

12 **Interventions:** Patients in the DN and sham groups received three treatment sessions.
13 Three active Myofascial Trigger Points (MTrPs) were treated in each session with DN or
14 sham needle procedure. The treatment was applied in active MTrPs of the iliopsoas,
15 rectus femoris, tensor fasciae latae and gluteus minimus muscles.

16 **Main Outcomes Measures:** Physical function was assessed with the Western Ontario
17 and McMaster Universities (WOMAC) physical function subscale, the Timed Up & Go
18 test and the 40-m self-paced walk test. Intensity of hip pain related to physical function
19 was evaluated using visual analogue scale and WOMAC pain subscale. The maximal
20 isometric force of hip muscles was recorded with a handheld dynamometer.

21 **Results:** Significant group by time interactions were shown for physical function, pain
22 and hip muscle force variables. Post hoc tests revealed a significant reduction in hip pain
23 and significant improvements in physical function and hip muscle strength in DN group
24 compared to sham and control groups. DN groups showed within and between groups
25 large effect sizes ($d > .8$).

26 **Conclusion:** DN therapy in active MTrPs of the hip muscles reduced pain and improved
27 hip muscle strength and physical function in patients with hip OA. DN in active MTrPs
28 of the hip muscles should be considered for the management of hip OA.

29

30 **KEYWORDS**

31 Hip osteoarthritis; Trigger points; Physical function; Strength.

32

33 **ABBREVIATIONS**

34 ADL: Activities of Daily Living

35 ANOVA: One-way Analysis of Variance

36 BMI: Body Mass Index

37 CI: Confidence Interval

38 DN: Dry Needling

39 K-L: Kellgren & Lawrence

40 MCID: Minimal Clinically Important Difference

41 MTrP: Myofascial Trigger Point

42 OA: Osteoarthritis

43 SEM: Standard Error of Measurement

44 TUG: Timed Up & Go

45 VAS: Visual Analogue Scale

46 WOMAC: Western Ontario and McMaster Universities

47 WOMAC-P: Western Ontario and McMaster Universities physical pain subscale

48 WOMAC-PF: Western Ontario and McMaster Universities physical function subscale

49 SPW: 40-m Self-paced Walk

50

51

52 **INTRODUCTION**

53 Hip osteoarthritis (OA) is the second most common degenerative disease,¹ with a
54 prevalence of 4.2% among people aged 50 years and older.^{2,3}

55 Individuals with OA often experience pain, decreased range of motion, joint stiffness and
56 muscle weakness resulting in disability to performance of activities of daily living
57 (ADL).⁴⁻⁶ Murphy et al⁷ suggested that muscle weakness associated with hip OA could
58 be related to pain inhibition, muscle disuse atrophy or aberrant joint mechanics. All these
59 mechanisms could explain the reduction in physical function in patients with hip OA.

60 Previous studies have provided substantial evidence about the association between
61 osteoarthritic symptoms and active myofascial trigger points (MTrPs).⁸⁻¹¹ An active
62 MTrP is a hyperirritable tender nodule in a taut band of skeletal muscle that cause
63 spontaneous pain, referred pain, limited joint range of motion and muscle weakness.¹²

64 The force that a muscle can generate depends on the cross-sectional area and the level of
65 muscle activation.¹³ Gerwin¹² suggested that the muscle weakness related to MTrP
66 appears to be a form of muscle inhibition and could be reversed as the MTrP is
67 inactivated. Therefore, the treatment of active MTrPs could improve the muscle
68 activation and reduce disability in patients with hip OA. However, there is no evidence
69 about this phenomenon in the hip region.

70 Dry needling (DN) is a common procedure to eliminate or inactivate the MTrPs and
71 consists of the insertion of a solid filiform needle into a MTrP.¹⁴ Recent studies
72 demonstrated that DN reduces joint pain, increase range of motion and improve physical
73 function in patients with knee¹⁵⁻¹⁷ and hip OA.^{11,18} However, the mechanisms related to
74 improvement of the physical function and the effects of DN in muscular strength are
75 unknown.

76 Targeted research into the muscular changes associated with DN therapy is required to
77 understand the role of the periarticular muscles in the management of hip OA. Thus, the
78 aim of this study was to investigate the short-term effects of DN on physical function,
79 pain and hip muscle strength in patients with hip OA.

80

81 **METHODS**

82 **Study design**

83 A double-blind, placebo-control, randomized clinical trial was conducted between
84 December 2019 and May 2020. This study was registered at www.clinicaltrials.gov
85 (NCT04195464) and was designed according to CONSORT guidelines. The study was
86 approved by an institutional ethical committee (PI17/0182/B). All patients provided
87 written informed consent.

88

89 **Patients recruitment and selection**

90 Patients were recruited from private practice physiotherapy clinics or referred by general
91 practitioners and orthopedic surgeons. The inclusion criteria were: unilateral primary hip
92 OA according to the American College of Rheumatology criteria,¹⁹ a grade II or III
93 Kellgren & Lawrence (K-L) classification, age between 50 and 70 years and at least one
94 active MTrP in the hip muscles. Manual palpation was used for identifying active MTrPs.
95 Manual palpation is the current gold standard,²⁰ and has shown moderate to excellent
96 reliability in lower limb muscles.^{21,22} Presence of MTrP was confirmed based on Travell
97 and Simons' criteria²³: 1) presence of a palpable taut band; 2) local pain upon pressure
98 applied to the nodule of the taut band; 3) reproduction of the patients' pain by palpation.
99 Exclusion criteria: neurological, vascular or other lower extremity musculoskeletal
100 conditions that affected sensation, gait or functional performance, previous surgery in

101 lower limbs, previous physiotherapy treatment for hip OA in the last 3 months, MTrP
102 therapy experience (to maintain blinding of patients) and DN contraindications.

103

104 **Randomization and blinding**

105 Patients were randomly allocated to one of the three groups: DN group, sham DN group
106 and control group. An external assistant, not involved in the study, used a random-number
107 generator (Research Randomizer. Version 4.0) for randomization. The examiners and the
108 patients of DN and sham DN groups were blinded to the assigned group.

109

110 **Interventions**

111 Interventions were carried out by a blinded physiotherapist with more than 5 years of
112 clinical experience in DN therapy.

113 Patients in the DN group received three sessions of DN (one session per week) into active
114 MTrPs in the hip muscles. Iliopsoas, rectus femoris, tensor fasciae latae and gluteus
115 minimus muscles were examined for the presence of active MTrPs and three active
116 MTrPs were treated at most in each session, according to the protocol described by
117 Ceballos-Laita et al.¹⁹.

118 Patients were in supine to treat iliopsoas and rectus femoris muscles or in contralateral
119 side lying for tensor fasciae latae and gluteus minimus muscles. The MTrP taut band was
120 held between the physiotherapist's index and middle fingers, while a 0.25 x 50 mm needle
121 was inserted performing the fast-in fast-out technique.²⁴ This technique consists of rapid
122 multiple introductions of the needle into the MTrP. When the needle stimulates
123 mechanically the MTrP, a brisk contraction of the taut band called local twitch response,
124 can be elicited. The needle was repeatedly inserted until the local twitch responses

125 became extinct. After the needle was removed, the injected area was compressed firmly
126 to achieve hemostasis.

127 Patients in the sham DN group received three sessions of a sham needle procedure (one
128 session per week). Three active MTrPs were treated at most in each session with the sham
129 needle procedure. A blunted needle with insertion tube was used. The needle was placed
130 on the MTrP area and was pressed up and down against the skin without penetrating.²⁵

131 Non-penetrating techniques have shown to be valid and enable the patient to be blinded
132 to group allocation.²⁶ Patients assigned to the control group did not receive any treatment,
133 education or advice during the study.

134 All patients were asked to continue with the same daily routines and not to take any
135 analgesic, anti-inflammatory or muscle relaxant drugs medications 24h prior the testing.

136

137 **Outcomes and measurement instruments**

138 Outcome measures were assessed by two blinded examiners at baseline and 48 hours after
139 the end of the intervention to avoid postneedling soreness.²⁷ Sociodemographic and
140 clinical data were obtained prior to testing. The primary outcome was physical function
141 and the secondary outcomes were pain and hip muscle strength.

142 **Physical function**

143 Physical function was assessed with the self-administered Western Ontario and
144 McMaster Universities physical function subscale (WOMAC-PF), the Timed Up & Go
145 test (TUG) and the 40-m self-paced walk test (40-m SPW).

146 WOMAC-PF has shown excellent psychometric properties to measure activity limitation
147 in patients with OA (Intraclass Correlation Coefficient (ICC)_{1,k} = .81).²⁸ Answers are
148 provided on a five-point scale ranging from 0 (no difficulty at all) to 4 (very much
149 difficulty).

150 The TUG test measures the time, in seconds, required to stand up from a standard arm
151 chair, walk 3 meters, turn, walk back to the chair, and sit down again quickly and safely.
152 The 40-m SPW test measures the time, in seconds, required to walk as far as possible 2
153 lengths of a 20-m indoor course. Both test present excellent psychometric properties
154 (TUG: $ICC_{2,1} = .75$, 95% CI= 0.51 – 0.98,²⁹ 40-mSPW: $ICC_{2,1} = .91$ 95% CI= 0.81- 0.97).³⁰

155 **Pain**

156 Hip pain intensity after the physical functions tests was assessed using a horizontal 10 cm
157 visual analogue scale (VAS). The reliability of VAS in patients with OA is excellent
158 ($ICC_{1,k} = .97$, 95% CI= 0.96 – 0.98).³¹ Severity of pain during the last 24 h was questioned
159 for the self-administered WOMAC pain subscale (WOMAC-P). WOMAC-P consists of
160 five items and all items are scored on a five-point scale (0–4). The reliability of WOMAC-
161 P in patients with OA is excellent ($ICC_{1,k} = .93$).³²

162 **Hip muscle strength**

163 Muscle strength was assessed with Lafayette handheld dynamometer according to the
164 procedure described by Pua et al.³³ This procedure has shown an excellent reliability in
165 patients with hip OA ($ICC_{2,2}$ ranged from .84 to .97).³³ The maximal isometric force of
166 hip muscles was recorded in newtons and then was multiplied by to the lever arm length
167 measured from the joint axis of rotation to the point of force application (torque values).
168 For the hip flexors, the lever-arm length was measured from the greater trochanter to 5
169 cm proximal to the superior pole of the patella; for the hip abductors from the greater
170 trochanter to 5 cm proximal to the lateral femoral condyle; for the hip extensors, from the
171 most prominent aspect of the greater trochanter to 5 cm proximal to the lateral malleolus
172 and for the hip rotators, from the lateral femoral condyle to 5 cm proximal to the lateral
173 malleolus. All participants performed two trials for 3 to 5 seconds with a 1-minute rest

174 interval. The maximum isometric force of each trial was recorded and the mean of the 2
175 valid trials was taken.

176

177 **Sample size**

178 The sample size was calculated using Minitab® 13.0 program. The sample size was
179 determined by 40-m SPWT test. Considering a standard deviation of 3.10 based on pilot
180 data, a mean difference between groups of 4.04 seconds considered as the minimal
181 clinically important difference (MCID),³⁴ $\alpha = 0.05$ and power = 80%, the sample size
182 was estimated to be 13 patients. Expecting at least 15% of dropouts, 15 patients per group
183 were included.

184

185 **Statistical analysis**

186 Statistical analyses were performed using SPSS version 22. A p value < 0.05 was
187 considered statistically significant.

188 Descriptive statistics were calculated to describe the sample. Normal distribution of the
189 variables was analysed using the Shapiro-Wilk test. Baseline demographic and clinical
190 variables were compared between groups using a one-way analysis of variance (ANOVA)
191 or Kruskal-Wallis analysis for continuous data according to the normally distributed data
192 or non-normally distributed data respectively, and Chi-square test (χ^2 test) or Fischer
193 exact test for categorical data.

194 Differences in physical function, pain and hip muscle strength between the three groups
195 were tested with repeated measurements ANOVA with 2 factors (group and time
196 interaction). When a significant interaction was identified, the Bonferroni test was used
197 for multiple comparisons.

198 The effect size was calculated with Cohen coefficients that were interpreted as follows:
199 large effect sizes, $d > 0.8$; moderate effect sizes, $d=0.5-0.79$; and small effect sizes,
200 $d=0.2-0.49$.³⁵

201 **RESULTS**

202 Forty-five patients aged between 50 and 67 years were recruited and randomly assigned
203 to one of the three groups (Figure 1). There were no significant differences in
204 demographic and clinical data between groups at baseline (Table 1).

205 All 45 participants (100%) presented an active MTrP in iliopsoas muscle. Forty-four
206 participants (97.7%) presented an active MTrP in rectus femoris and 43 participants
207 (95.5%) in tensor fasciae latae muscle. An active MTrP in the anteroinferior part of
208 gluteus minimus was presented in 22 participants (48.8%). The main muscles treated in
209 DN and sham DN groups were iliopsoas, rectus femoris, tensor fasciae latae, and gluteus
210 minimus without statistical differences between them ($\chi^2 = 1.44$, $P = .628$).

211 Table 2 provides before and after treatment session data, within-group differences,
212 interactions effects, post-hoc analysis as well as the effect sizes for all pain and physical
213 function variables. Two-way ANOVA showed significant group by time interactions for
214 the intensity of pain after physical function tests ($F_{2,42} = 3.879$, $P = .028$), WOMAC-P
215 ($F_{2,42} = 0.361$, $P < .001$), WOMAC-PF ($F_{2,42} = 42$, $P < .001$), TUG test ($F_{2,42} = 22.427$, P
216 $< .001$) and 40-m SPW test ($F_{2,42} = 29.808$, $P < .001$). The adjusted Bonferroni
217 comparisons indicated significant between-group differences. DN group showed
218 significant differences in the intensity of pain (95% CI: 0.77, 3.04, $P = .039$), WOMAC-
219 P (95% CI: 0.61, 4.33, $P = .034$), WOMAC-PF (95% CI: 7.15, 15.36, $P < .001$), TUG
220 test (95% CI: 0.91, 3.72, $P = .003$) and 40-m SPW test (95% CI: 2.20, 8.53, $P = .005$)
221 compared to DN sham group.

222 Bonferroni test also showed significant differences in the intensity of pain (95% CI: 1.02,
223 2.63, $P = .049$), WOMAC-P (95% CI: 1.12, 4.61, $P = .034$), WOMAC-PF (95% CI: 7.00,
224 19.11, $P < .001$), TUG test (95% CI: 0.9, 3.31, $P = .008$) and 40-m SPW test (95% CI:
225 2.00, 8.68, $P = .005$) between DN and control groups. DN treatment showed large effect
226 sizes in all pain and physical function variables ($d > 0.8$). Sham DN group and control
227 group did not show any significant differences from baseline data.

228 Table 3 shows a generalized increase in the strength of the hip muscles was shown in DN
229 group. A significant group by time interaction was detected for hip flexor ($F_{2,42} = 29.917$,
230 $P = .001$), extensor ($F_{2,42} = 10.213$, $P = .001$), abductor ($F_{2,42} = 13.015$, $P < .001$), internal
231 rotators ($F_{2,42} = 40.751$, $P < .001$) and external rotators ($F_{2,42} = 13.283$, $P < .001$) muscles.
232 Post-hoc comparisons showed significant differences in hip muscles force ($P < .05$) after
233 treatment in DN group compared to DN sham and control groups. Effect sizes for hip
234 muscle force variables were large in DN group ($d > 0.8$). Hip flexors force decreased
235 significantly in DN sham ($P = .005$) and control ($P = .043$) groups. No changes were
236 found for the rest of variables.

237 **DISCUSSION**

238 Our results reveal that DN was an effective treatment to reduce pain and improve hip
239 muscle strength and physical function in patients with hip OA, with large effect sizes.
240 The sham DN and the control groups showed a decrease in the strength of hip flexor
241 muscles without changes in pain and physical function.

242 Previous research had demonstrated that DN reduced pain and improved physical
243 function in patients with hip OA,¹¹ however, in the present study the intensity of pain was
244 assessed during physical function tests and ADL, and physical function was evaluated
245 with self-reported and performance-based measurements. The patients of the DN group
246 experienced a significant reduction in pain related to functional performance compared

247 to the sham and the control groups. The difference between groups for the change in the
248 intensity of pain exceeded the MCID for VAS,³⁶ and the standard error of measurement
249 (SEM) for WOMAC-P,³⁷ but not the MCID. The patients of DN group also showed
250 significant improvements in TUG and 40-m SPW tests with between groups differences
251 higher than the MCID.³⁸ The DN group differed significantly from both sham and control
252 groups in WOMAC-PF, exceeding the MCID.³⁹ Therefore, after DN therapy, patients
253 with hip OA not only showed a better objective physical function but also a better self-
254 reported physical function.

255 Recent studies suggested no effect of DN on force production.^{17,40} However, in our study
256 the patients of the DN group demonstrated a significant increase of hip muscle strength
257 compared to the sham and control groups, showing large effect sizes. The difference
258 between groups exceeded the SEM for hip flexors, extensors and abductors and the MCID
259 for hip internal and external rotators muscles.³³ To our knowledge, this is the first study
260 that analyze the effects of DN on muscle strength in patients with hip OA. Despite
261 strength being assessed under isometric conditions, the improvement in hip muscle
262 strength could contributed to the changes showed in muscle function during dynamic
263 conditions including ADL. Previous studies suggested that the improvements in physical
264 function could be related to the reduction in pain,^{11,41,42} however, according to the results
265 of the present study the changes in muscle strength should be taken into consideration.

266 An integrated hypothesis based on mechanical and neurophysiological mechanisms have
267 been proposed to explain DN effects.¹⁴ DN appears to be able to reduce the amplitude
268 and the frequency of the endplate noise of the MTrP area, the acetylcholine levels and the
269 neuromuscular junction response.^{43,44} Besides, DN appears to reduce both peripheral and
270 central sensitization.¹⁴ The insertion of the needle enhances the secretion of endogenous
271 opioid and provokes an increase in β -endorphin. Opioids are anti-inflammatory and

272 produce an immediate drop in the concentration of pro-inflammatory cytokines and
273 interleukins, neurotransmitters and neuromodulators such as substance P within the
274 extracellular fluid of the MTrP producing a strong analgesic effect.^{45,46} The reduction of
275 peripheral nociception would decrease substance P level and neuron activity in the dorsal
276 horn of the spinal cord.⁴⁷ Louw et al⁴⁸ suggested that DN may activate enkephalinergic
277 inhibitory dorsal horn interneurons that would explain supraspinal mechanisms
278 underlying its effects.

279 The patients in sham and control groups decreased the strength in hip flexors muscles,
280 but in both groups no changes in pain and physical function were observed. Longitudinal
281 cohort studies showed that muscle strength decreased in patients with mild to moderate
282 hip OA after 1 to 5 years of follow-up.^{49,50} However, in our study the muscular changes
283 were observed in 3-weeks. These changes in hip flexor muscles strength may be related
284 to other factors that were not measured in this study, such as psychological distress,
285 motivation and apprehension that have shown to negatively affect to strength test
286 performance.^{51,52} Although future studies are required to understand factors that
287 influence disease progression across all affected tissues, there is evidence that suggests
288 that hip flexor and extensor muscle weakness could alter mechanical function of the joint,
289 and have important implications for disease progression.⁶

290 The results of the current study show the positive short-term effects of DN on pain, hip
291 muscle strength and physical function in patients with hip OA. These outcomes could
292 lead the implementation of other therapies after DN intervention. Clinical practice
293 guidelines recommend exercise as part of the management of hip OA.^{5,53} Therefore, DN
294 could be a promising approach prior to physical exercise and may allow the patients to
295 perform exercises with less pain and greater isometric strength.

296 ***Study limitations***

297 Several limitations exist in the present study. First, subjective manual palpation was used
298 for MTrPs diagnosis. Imaging tools such as ultrasonography and ultrasound elastography
299 could have been used.^{54,55} Second, motivational factors, psychological distress, pain, or
300 fear of pain during muscle strength measurement could have had an effect on the results
301 of the hip muscle strength. Third, short-term effects have been evaluated and only one
302 therapy was applied. Clinical guidelines recommend a multimodal physical therapy
303 intervention as part of the management of patients with mild to moderate hip OA.^{56,57}
304 Further clinical trials should include multimodal therapeutic approaches in a long-term
305 treatment.

306

307 **CONCLUSIONS**

308 DN therapy in active MTrPs of the hip muscles reduced pain and improved hip muscle
309 strength and physical function in patients with hip OA. According to this, DN of hip
310 periarticular muscles should be considered as part of a multimodal strategy for
311 management of hip OA.

312

313 **Conflicts of Interest:** The authors declare no conflict of interest.

314

315 **FIGURE LEGEND**

316

317 **Figure 1.** Participants' distribution between groups. Flowchart diagram.

318

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498

1 **EFFECTIVENESS OF DRY NEEDLING THERAPY ON PAIN, HIP MUSCLE**
2 **STRENGTH AND PHYSICAL FUNCTION IN PATIENTS WITH HIP**
3 **OSTEOARTHRITIS: A RANDOMIZED CONTROLLED TRIAL.**

4

5 **ABSTRACT**

6 **Objective:** To investigate the short-term effects of dry needling (DN) on physical
7 function, pain and hip muscle strength in patients with hip osteoarthritis (OA).

8 **Design:** A double-blind, placebo-control, randomized clinical trial.

9 **Setting:** Private practice physiotherapy clinic.

10 **Participants:** Patients with unilateral hip OA (N=45) were randomly allocated to DN
11 group, sham DN group or control group.

12 **Interventions:** Patients in the DN and sham groups received three treatment sessions.
13 Three active Myofascial Trigger Points (MTrPs) were treated in each session with DN or
14 sham needle procedure. The treatment was applied in active MTrPs of the iliopsoas,
15 rectus femoris, tensor fasciae latae and gluteus minimus muscles.

16 **Main Outcomes Measures:** Physical function was assessed with the Western Ontario
17 and McMaster Universities (WOMAC) physical function subscale, the Timed Up & Go
18 test and the 40-m self-paced walk test. Intensity of hip pain related to physical function
19 was evaluated using visual analogue scale and WOMAC pain subscale. The maximal
20 isometric force of hip muscles was recorded with a handheld dynamometer.

21 **Results:** Significant group by time interactions were shown for physical function, pain
22 and hip muscle force variables. Post hoc tests revealed a significant reduction in hip pain
23 and significant improvements in physical function and hip muscle strength in DN group
24 compared to sham and control groups. DN groups showed within and between groups
25 large effect sizes ($d > .8$).

26 **Conclusion:** DN therapy in active MTrPs of the hip muscles reduced pain and improved
27 hip muscle strength and physical function in patients with hip OA. DN in active MTrPs
28 of the hip muscles should be considered for the management of hip OA.

29

30 **KEYWORDS**

31 Hip osteoarthritis; Trigger points; Physical function; Strength.

32

33 **ABBREVIATIONS**

34 ADL: Activities of Daily Living

35 ANOVA: One-way Analysis of Variance

36 BMI: Body Mass Index

37 CI: Confidence Interval

38 DN: Dry Needling

39 K-L: Kellgren & Lawrence

40 MCID: Minimal Clinically Important Difference

41 MTrP: Myofascial Trigger Point

42 OA: Osteoarthritis

43 SEM: Standard Error of Measurement

44 TUG: Timed Up & Go

45 VAS: Visual Analogue Scale

46 WOMAC: Western Ontario and McMaster Universities

47 WOMAC-P: Western Ontario and McMaster Universities physical pain subscale

48 WOMAC-PF: Western Ontario and McMaster Universities physical function subscale

49 SPW: 40-m Self-paced Walk

50

51

52 **INTRODUCTION**

53 Hip osteoarthritis (OA) is the second most common degenerative disease,¹ with a
54 prevalence of 4.2% among people aged 50 years and older.^{2,3}

55 Individuals with OA often experience pain, decreased range of motion, joint stiffness and
56 muscle weakness resulting in disability to performance of activities of daily living
57 (ADL).⁴⁻⁶ Murphy et al⁷ suggested that muscle weakness associated with hip OA could
58 be related to pain inhibition, muscle disuse atrophy or aberrant joint mechanics. All these
59 mechanisms could explain the reduction in physical function in patients with hip OA.

60 Previous studies have provided substantial evidence about the association between
61 osteoarthritic symptoms and active myofascial trigger points (MTrPs).⁸⁻¹¹ An active
62 MTrP is a hyperirritable tender nodule in a taut band of skeletal muscle that cause
63 spontaneous pain, referred pain, limited joint range of motion and muscle weakness.¹²

64 The force that a muscle can generate depends on the cross-sectional area and the level of
65 muscle activation.¹³ Gerwin¹² suggested that the muscle weakness related to MTrP
66 appears to be a form of muscle inhibition and could be reversed as the MTrP is
67 inactivated. Therefore, the treatment of active MTrPs could improve the muscle
68 activation and reduce disability in patients with hip OA. However, there is no evidence
69 about this phenomenon in the hip region.

70 Dry needling (DN) is a common procedure to eliminate or inactivate the MTrPs and
71 consists of the insertion of a solid filiform needle into a MTrP.¹⁴ Recent studies
72 demonstrated that DN reduces joint pain, increase range of motion and improve physical
73 function in patients with knee¹⁵⁻¹⁷ and hip OA.^{11,18} However, the mechanisms related to
74 improvement of the physical function and the effects of DN in muscular strength are
75 unknown.

76 Targeted research into the muscular changes associated with DN therapy is required to
77 understand the role of the periarticular muscles in the management of hip OA. Thus, the
78 aim of this study was to investigate the short-term effects of DN on physical function,
79 pain and hip muscle strength in patients with hip OA.

80

81 **METHODS**

82 **Study design**

83 A double-blind, placebo-control, randomized clinical trial was conducted between
84 December 2019 and May 2020. This study was registered at www.clinicaltrials.gov
85 (NCT04195464) and was designed according to CONSORT guidelines. The study was
86 approved by an institutional ethical committee (PI17/0182/B). All patients provided
87 written informed consent.

88

89 **Patients recruitment and selection**

90 Patients were recruited from private practice physiotherapy clinics or referred by general
91 practitioners and orthopedic surgeons. The inclusion criteria were: unilateral primary hip
92 OA according to the American College of Rheumatology criteria,¹⁹ a grade II or III
93 Kellgren & Lawrence (K-L) classification, age between 50 and 70 years and at least one
94 active MTrP in the hip muscles. Manual palpation was used for identifying active MTrPs.
95 Manual palpation is the current gold standard,²⁰ and has shown moderate to excellent
96 reliability in lower limb muscles.^{21,22} Presence of MTrP was confirmed based on **Travell**
97 **and Simons' criteria**²³: 1) presence of a palpable taut band; 2) local pain upon pressure
98 applied to the nodule of the taut band; 3) reproduction of the patients' pain by palpation.
99 Exclusion criteria: neurological, vascular or other lower extremity musculoskeletal
100 conditions that affected sensation, gait or functional performance, previous surgery in

101 lower limbs, previous physiotherapy treatment for hip OA in the last 3 months, MTrP
102 therapy experience (to maintain blinding of patients) and DN contraindications.

103

104 **Randomization and blinding**

105 Patients were randomly allocated to one of the three groups: DN group, sham DN group
106 and control group. An external assistant, not involved in the study, used a random-number
107 generator (Research Randomizer. Version 4.0) for randomization. The examiners and the
108 patients of DN and sham DN groups were blinded to the assigned group.

109

110 **Interventions**

111 Interventions were carried out by a blinded physiotherapist with more than 5 years of
112 clinical experience in DN therapy.

113 Patients in the DN group received three sessions of DN (one session per week) into active
114 MTrPs in the hip muscles. Iliopsoas, rectus femoris, tensor fasciae latae and gluteus
115 minimus muscles were examined for the presence of active MTrPs and three active
116 MTrPs were treated at most in each session, according to the protocol described by
117 Ceballos-Laita et al.¹⁹.

118 Patients were in supine to treat iliopsoas and rectus femoris muscles or in contralateral
119 side lying for tensor fasciae latae and gluteus minimus muscles. The MTrP taut band was
120 held between the physiotherapist's index and middle fingers, while a 0.25 x 50 mm needle
121 was inserted performing the fast-in fast-out technique.²⁴ This technique consists of rapid
122 multiple introductions of the needle into the MTrP. When the needle stimulates
123 mechanically the MTrP, a brisk contraction of the taut band called local twitch response,
124 can be elicited. The needle was repeatedly inserted until the local twitch responses

125 became extinct. After the needle was removed, the injected area was compressed firmly
126 to achieve hemostasis.

127 Patients in the sham DN group received three sessions of a sham needle procedure (one
128 session per week). Three active MTrPs were treated at most in each session with the sham
129 needle procedure. A blunted needle with insertion tube was used. The needle was placed
130 on the MTrP area and was pressed up and down against the skin without penetrating.²⁵

131 Non-penetrating techniques have shown to be valid and enable the patient to be blinded
132 to group allocation.²⁶ Patients assigned to the control group did not receive any treatment,
133 education or advice during the study.

134 All patients were asked to continue with the same daily routines and not to take any
135 analgesic, anti-inflammatory or muscle relaxant drugs medications 24h prior the testing.

136

137 **Outcomes and measurement instruments**

138 Outcome measures were assessed by two blinded examiners at baseline and 48 hours after
139 the end of the intervention to avoid postneedling soreness.²⁷ Sociodemographic and
140 clinical data were obtained prior to testing. The primary outcome was physical function
141 and the secondary outcomes were pain and hip muscle strength.

142 **Physical function**

143 Physical function was assessed with the self-administered Western Ontario and
144 McMaster Universities physical function subscale (WOMAC-PF), the Timed Up & Go
145 test (TUG) and the 40-m self-paced walk test (40-m SPW).

146 WOMAC-PF has shown excellent psychometric properties to measure activity limitation
147 in patients with OA (Intraclass Correlation Coefficient (ICC)_{1,k} = .81).²⁸ Answers are
148 provided on a five-point scale ranging from 0 (no difficulty at all) to 4 (very much
149 difficulty).

150 The TUG test measures the time, in seconds, required to stand up from a standard arm
151 chair, walk 3 meters, turn, walk back to the chair, and sit down again quickly and safely.
152 The 40-m SPW test measures the time, in seconds, required to walk as far as possible 2
153 lengths of a 20-m indoor course. Both test present excellent psychometric properties
154 (TUG: $ICC_{2,1} = .75$, 95% CI= 0.51 – 0.98,²⁹ 40-mSPW: $ICC_{2,1} = .91$ 95% CI= 0.81- 0.97).³⁰

155 **Pain**

156 Hip pain intensity after the physical functions tests was assessed using a horizontal 10 cm
157 visual analogue scale (VAS). The reliability of VAS in patients with OA is excellent
158 ($ICC_{1,k} = .97$, 95% CI= 0.96 – 0.98).³¹ Severity of pain during the last 24 h was questioned
159 for the self-administered WOMAC pain subscale (WOMAC-P). WOMAC-P consists of
160 five items and all items are scored on a five-point scale (0–4). The reliability of WOMAC-
161 P in patients with OA is excellent ($ICC_{1,k} = .93$).³²

162 **Hip muscle strength**

163 Muscle strength was assessed with Lafayette handheld dynamometer according to the
164 procedure described by Pua et al.³³ This procedure has shown an excellent reliability in
165 patients with hip OA ($ICC_{2,2}$ ranged from .84 to .97).³³ The maximal isometric force of
166 hip muscles was recorded in newtons and then was multiplied by to the lever arm length
167 measured from the joint axis of rotation to the point of force application (torque values).
168 For the hip flexors, the lever-arm length was measured from the greater trochanter to 5
169 cm proximal to the superior pole of the patella; for the hip abductors from the greater
170 trochanter to 5 cm proximal to the lateral femoral condyle; for the hip extensors, from the
171 most prominent aspect of the greater trochanter to 5 cm proximal to the lateral malleolus
172 and for the hip rotators, from the lateral femoral condyle to 5 cm proximal to the lateral
173 malleolus. All participants performed two trials for 3 to 5 seconds with a 1-minute rest

174 interval. The maximum isometric force of each trial was recorded and the mean of the 2
175 valid trials was taken.

176

177 **Sample size**

178 The sample size was calculated using Minitab® 13.0 program. The sample size was
179 determined by 40-m SPWT test. Considering a standard deviation of 3.10 based on pilot
180 data, a mean difference between groups of 4.04 seconds considered as the minimal
181 clinically important difference (MCID),³⁴ $\alpha = 0.05$ and power = 80%, the sample size
182 was estimated to be 13 patients. Expecting at least 15% of dropouts, 15 patients per group
183 were included.

184

185 **Statistical analysis**

186 Statistical analyses were performed using SPSS version 22. A p value < 0.05 was
187 considered statistically significant.

188 Descriptive statistics were calculated to describe the sample. Normal distribution of the
189 variables was analysed using the Shapiro-Wilk test. Baseline demographic and clinical
190 variables were compared between groups using a one-way analysis of variance (ANOVA)
191 or Kruskal-Wallis analysis for continuous data according to the normally distributed data
192 or non-normally distributed data respectively, and Chi-square test (χ^2 test) or Fischer
193 exact test for categorical data.

194 Differences in physical function, pain and hip muscle strength between the three groups
195 were tested with repeated measurements ANOVA with 2 factors (group and time
196 interaction). When a significant interaction was identified, the Bonferroni test was used
197 for multiple comparisons.

198 The effect size was calculated with Cohen coefficients that were interpreted as follows:
199 large effect sizes, $d > 0.8$; moderate effect sizes, $d=0.5-0.79$; and small effect sizes,
200 $d=0.2-0.49$.³⁵

201 **RESULTS**

202 Forty-five patients aged between 50 and 67 years were recruited and randomly assigned
203 to one of the three groups (Figure 1). There were no significant differences in
204 demographic and clinical data between groups at baseline (Table 1).

205 All 45 participants (100%) presented an active MTrP in iliopsoas muscle. Forty-four
206 participants (97.7%) presented an active MTrP in rectus femoris and 43 participants
207 (95.5%) in tensor fasciae latae muscle. An active MTrP in the anteroinferior part of
208 gluteus minimus was presented in 22 participants (48.8%). The main muscles treated in
209 DN and sham DN groups were iliopsoas, rectus femoris, tensor fasciae latae, and gluteus
210 minimus without statistical differences between them ($\chi^2 = 1.44$, $P = .628$).

211 Table 2 provides before and after treatment session data, within-group differences,
212 interactions effects, post-hoc analysis as well as the effect sizes for all pain and physical
213 function variables. Two-way ANOVA showed significant group by time interactions for
214 the intensity of pain after physical function tests ($F_{2,42} = 3.879$, $P = .028$), WOMAC-P
215 ($F_{2,42} = 0.361$, $P < .001$), WOMAC-PF ($F_{2,42} = 42$, $P < .001$), TUG test ($F_{2,42} = 22.427$, P
216 $< .001$) and 40-m SPW test ($F_{2,42} = 29.808$, $P < .001$). The adjusted Bonferroni
217 comparisons indicated significant between-group differences. DN group showed
218 significant differences in the intensity of pain (95% CI: 0.77, 3.04, $P = .039$), WOMAC-
219 P (95% CI: 0.61, 4.33, $P = .034$), WOMAC-PF (95% CI: 7.15, 15.36, $P < .001$), TUG
220 test (95% CI: 0.91, 3.72, $P = .003$) and 40-m SPW test (95% CI: 2.20, 8.53, $P = .005$)
221 compared to DN sham group.

222 Bonferroni test also showed significant differences in the intensity of pain (95% CI: 1.02,
223 2.63, $P = .049$), WOMAC-P (95% CI: 1.12, 4.61, $P = .034$), WOMAC-PF (95% CI: 7.00,
224 19.11, $P < .001$), TUG test (95% CI: 0.9, 3.31, $P = .008$) and 40-m SPW test (95% CI:
225 2.00, 8.68, $P = .005$) between DN and control groups. DN treatment showed large effect
226 sizes in all pain and physical function variables ($d > 0.8$). Sham DN group and control
227 group did not show any significant differences from baseline data.

228 Table 3 shows a generalized increase in the strength of the hip muscles was shown in DN
229 group. A significant group by time interaction was detected for hip flexor ($F_{2,42} = 29.917$,
230 $P = .001$), extensor ($F_{2,42} = 10.213$, $P = .001$), abductor ($F_{2,42} = 13.015$, $P < .001$), internal
231 rotators ($F_{2,42} = 40.751$, $P < .001$) and external rotators ($F_{2,42} = 13.283$, $P < .001$) muscles.
232 Post-hoc comparisons showed significant differences in hip muscles force ($P < .05$) after
233 treatment in DN group compared to DN sham and control groups. Effect sizes for hip
234 muscle force variables were large in DN group ($d > 0.8$). Hip flexors force decreased
235 significantly in DN sham ($P = .005$) and control ($P = .043$) groups. No changes were
236 found for the rest of variables.

237 **DISCUSSION**

238 Our results reveal that DN was an effective treatment to reduce pain and improve hip
239 muscle strength and physical function in patients with hip OA, with large effect sizes.
240 The sham DN and the control groups showed a decrease in the strength of hip flexor
241 muscles without changes in pain and physical function.

242 Previous research had demonstrated that DN reduced pain and improved physical
243 function in patients with hip OA,¹¹ however, in the present study the intensity of pain was
244 assessed during physical function tests and ADL, and physical function was evaluated
245 with self-reported and performance-based measurements. The patients of the DN group
246 experienced a significant reduction in pain related to functional performance compared

247 to the sham and the control groups. The difference between groups for the change in the
248 intensity of pain exceeded the MCID for VAS,³⁶ and the standard error of measurement
249 (SEM) for WOMAC-P,³⁷ but not the MCID. The patients of DN group also showed
250 significant improvements in TUG and 40-m SPW tests with between groups differences
251 higher than the MCID.³⁸ The DN group differed significantly from both sham and control
252 groups in WOMAC-PF, exceeding the MCID.³⁹ Therefore, after DN therapy, patients
253 with hip OA not only showed a better objective physical function but also a better self-
254 reported physical function.

255 Recent studies suggested no effect of DN on force production.^{17,40} However, in our study
256 the patients of the DN group demonstrated a significant increase of hip muscle strength
257 compared to the sham and control groups, showing large effect sizes. The difference
258 between groups exceeded the SEM for hip flexors, extensors and abductors and the MCID
259 for hip internal and external rotators muscles.³³ To our knowledge, this is the first study
260 that analyze the effects of DN on muscle strength in patients with hip OA. Despite
261 strength being assessed under isometric conditions, the improvement in hip muscle
262 strength could contributed to the changes showed in muscle function during dynamic
263 conditions including ADL. Previous studies suggested that the improvements in physical
264 function could be related to the reduction in pain,^{11,41,42} however, according to the results
265 of the present study the changes in muscle strength should be taken into consideration.

266 An integrated hypothesis based on mechanical and neurophysiological mechanisms have
267 been proposed to explain DN effects.¹⁴ DN appears to be able to reduce the amplitude
268 and the frequency of the endplate noise of the MTrP area, the acetylcholine levels and the
269 neuromuscular junction response.^{43,44} Besides, DN appears to reduce both peripheral and
270 central sensitization.¹⁴ The insertion of the needle enhances the secretion of endogenous
271 opioid and provokes an increase in β -endorphin. Opioids are anti-inflammatory and

272 produce an immediate drop in the concentration of pro-inflammatory cytokines and
273 interleukins, neurotransmitters and neuromodulators such as substance P within the
274 extracellular fluid of the MTrP producing a strong analgesic effect.^{45,46} The reduction of
275 peripheral nociception would decrease substance P level and neuron activity in the dorsal
276 horn of the spinal cord.⁴⁷ Louw et al⁴⁸ suggested that DN may activate enkephalinergic
277 inhibitory dorsal horn interneurons that would explain supraspinal mechanisms
278 underlying its effects.

279 The patients in sham and control groups decreased the strength in hip flexors muscles,
280 but in both groups no changes in pain and physical function were observed. Longitudinal
281 cohort studies showed that muscle strength decreased in patients with mild to moderate
282 hip OA after 1 to 5 years of follow-up.^{49,50} However, in our study the muscular changes
283 were observed in 3-weeks. These changes in hip flexor muscles strength may be related
284 to other factors that were not measured in this study, such as psychological distress,
285 motivation and apprehension that have shown to negatively affect to strength test
286 performance.^{51,52} Although future studies are required to understand factors that
287 influence disease progression across all affected tissues, there is evidence that suggests
288 that hip flexor and extensor muscle weakness could alter mechanical function of the joint,
289 and have important implications for disease progression.⁶

290 The results of the current study show the positive short-term effects of DN on pain, hip
291 muscle strength and physical function in patients with hip OA. These outcomes could
292 lead the implementation of other therapies after DN intervention. Clinical practice
293 guidelines recommend exercise as part of the management of hip OA.^{5,53} Therefore, DN
294 could be a promising approach prior to physical exercise and may allow the patients to
295 perform exercises with less pain and greater isometric strength.

296 ***Study limitations***

297 Several limitations exist in the present study. First, subjective manual palpation was used
298 for MTrPs diagnosis. Imaging tools such as ultrasonography and ultrasound elastography
299 could have been used.^{54,55} Second, motivational factors, psychological distress, pain, or
300 fear of pain during muscle strength measurement could have had an effect on the results
301 of the hip muscle strength. Third, short-term effects have been evaluated and only one
302 therapy was applied. Clinical guidelines recommend a multimodal physical therapy
303 intervention as part of the management of patients with mild to moderate hip OA.^{56,57}
304 Further clinical trials should include multimodal therapeutic approaches in a long-term
305 treatment.

306

307 **CONCLUSIONS**

308 DN therapy in active MTrPs of the hip muscles reduced pain and improved hip muscle
309 strength and physical function in patients with hip OA. According to this, DN of hip
310 periarticular muscles should be considered as part of a multimodal strategy for
311 management of hip OA.

312

313 **Conflicts of Interest:** The authors declare no conflict of interest.

314

315 **FIGURE LEGEND**

316

317 **Figure 1.** Participants' distribution between groups. Flowchart diagram.

318

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Figure 1. Participants' distribution between groups. Flowchart diagram.

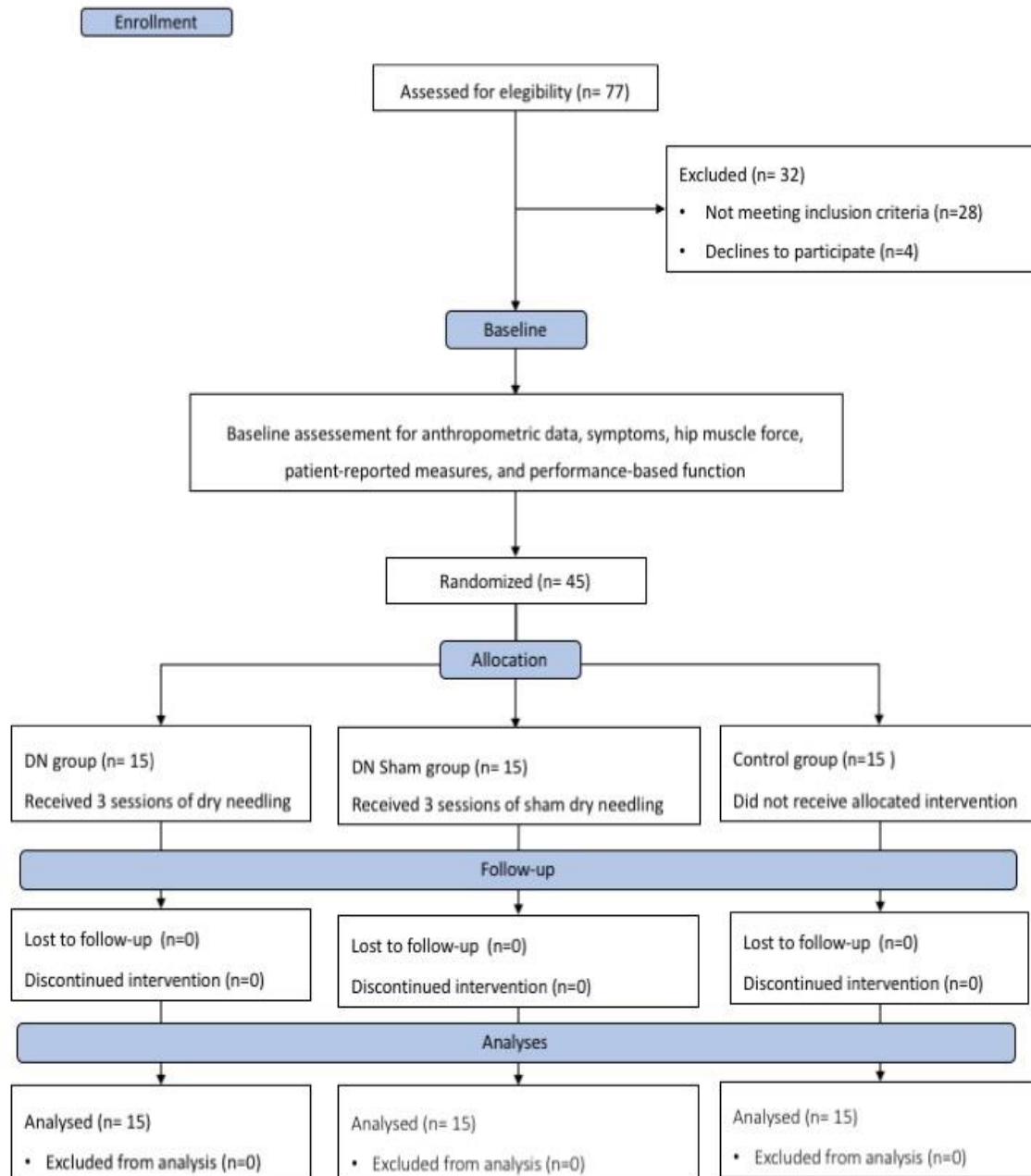


Table 1. Demographic characteristics for the three groups

Characteristics	DN group (n=15)	Sham DN group (n=15)	Control group (n=15)	P
Gender (male/female)	6/9	6/9	8/7	$\chi^2= 1.24, P = .698$
Age (years)	57.53±3.88	58.20±5.08	54.67±4.48	F= 1.55, P = .087
Time since diagnosis (months)	66.33±76.61	72.20±53.76	68.13±56.36	H=0.36, P = .639
BMI (kg/cm ²)	26.85±3.00	27.18±3.74	28.50±4.48	F= 0.63, P = .457
Grade K/L (II/III)	7/8	6/9	6/9	$\chi^2= 0.58, P = .913$

Abbreviations: DN: dry needling, BMI: Body Mass Index.

Values are expressed as mean ± SD, except where otherwise indicated.

Table 2. Baseline, final values, change scores and effect size for pain and physical function outcomes

Outcome group	Baseline	End of treatment	Mean	Within-group Changes (95% CI)	Within-group Effect sizes	Interaction Effect F	Effect P value	Between-group Effect sizes
VAS (0-10)								
DN group	2.68±1.96	0.4±0.95*	-2.28	-3.15,-1.40	1.48	3.879	.028	1.38
Sham DN group	2.14±1.91	2.29±1.97	.15	-.88,1.18	-0.07			
Control group	2.48± 2.16	2.23±1.20	-0.24	-1.49,.99	0.14			
WOMAC-P (0-20)								
DN group	8.13±3.09	3.4±1.95*	-4.73	-6.66,-2.79	1.83	.361	<.001	1.86
Sham DN group	6.53±3.29	5.87±2.94	-.66	-1.8,0.49	0.21			
Control group	6.8±2.48	6.27±2.65	-.53	-1.31,0.24	0.20			
WOMAC-PF (0-68)								
DN group	25.4±8.6	10.47±6.18*	-14.93	-19.93,-9.93	1.99	42	<.001	1.90
Sham DN group	19.27±7.08	21.73±4.71	2.46	.53,4.39	-0.40			

Control group	22.73±9.72	23.53±9.64	.8	-0.78,2.38	-0.08			
TUG test (seconds)								
DN group	10.5±2.43	7.98±1.58*	-2.51	-3.33,-1.69	1.23	22.427	<.001	1.29
Sham DN group	9.63±2.03	10.3±2.14	.67	0.09,1.24	-0.32			
Control group	9.5±1.97	10.09±1.65	.59	-0.42,1.60	-0.29			
40-m SPW test (seconds)								
DN group	33.69±6.08	28.26±4.24*	-5.43	-6.79,-4.06	1.03	29.808	<.001	1.22
Sham DN group	31.86±3.75	33.63±4.23	1.76	0.36,3.16	-0.44			
Control group	32.15±6.15	33.60±4.68	1.44	-0.49,3.39	-0.26			

VAS: Visual Analogue Scale; WOMAC-P: Western Ontario & McMaster Universities Osteoarthritis Index pain scale; WOMAC-PF: Western Ontario & McMaster Universities Osteoarthritis Index function scale; TUG: Time Up & Go; 40-m SPW: 40 m Self Placed Walk.

* Superscript denote significant differences between DN groups and the other groups.

Values are expressed as mean ± SD for baseline and final means and as mean (95% confidence interval) for within-group change scores. P < 0.05, significant difference.

Table 3. Baseline, final values, change scores and effect size for hip muscles maximal force

Outcome group	Baseline	End of treatment	Within-group Changes		Within-group Effect sizes	Interaction Effect		Between-group Effect sizes
			Mean	(95% CI)		F	P value	
Hip flexor muscles								
DN group	29.14±12.15	42.79±12.11*	13.64	9.45,17.83	-1.12	29.917	.001	2.54
Sham DN group	28.72±6.56	22.45±6.01	-6.28	-10.34,-2.20	0.99			
Control group	27.20± 8.29	22.22±6.09	-4.98	-9.79,-.16	0.68			
Hip extensor muscles								
DN group	29.49±16.00	40.32±15.33*	10.83	5.78,15.89	-0.69	10.213	.001	1.33
Sham DN group	26.87±12.98	25.07±10.77	-1.79	-5.78,2.18	0.15			
Control group	23.78±8.94	24.24±9.98	.45	-4.01,4.92	-0.04			
Hip abductor muscles								

DN group	26.15±13.00	38.1±15.64*	11.95	5.79,18.11	-0.83	13.015	.001	1.84
Sham DN group	25.51±8.99	21.33±5.31	-4.17	-8.83,0.47	0.57			
Control group	22.71±7.58	22.04±6.37	-0.06	-3.91,3.79	0.09			

Hip internal

rotators

DN group	33.59±9.60	44.95±11.76*	11.35	5.72,16.99	-1.05	40.751	.001	1.47
Sham DN group	30.87±11.99	29.19±10.8	-1.67	-6.21,2.85	0.14			
Control group	29.94±6.02	29.82±9.46	-.12	-4.44,4.19	0.01			

Hip external

rotators

DN group	36.13±16.93	54.65±19.49*	18.51	10.7,26.33	-1.01	13.283	.001	1.42
Sham DN group	35.62±10.29	33.35±10.22	-2.27	-8.52,3.98	0.22			
Control group	36.37±10.48	36.13±15.14	-.24	-6.27,5.78	0.01			

DN: Dry needling

* Superscript denote significant differences between DN groups and the other groups.

Values are expressed as mean ± SD for baseline and final means and as mean (95% confidence interval) for within-group change scores. P < 0.05, significant difference.

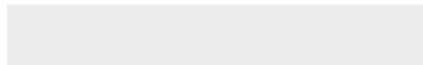
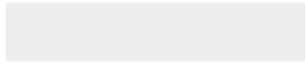


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CONSORT 2010 checklist of information to include when reporting a randomised trial*

Section/Topic	Item No	Checklist item	Reported on page No
Title and abstract			
	1a	Identification as a randomised trial in the title	Page n ⁰ 1
	1b	Structured summary of trial design, methods, results, and conclusions (for specific guidance see CONSORT for abstracts)	Page n ⁰ 1
Introduction			
Background and objectives	2a	Scientific background and explanation of rationale	Page n ⁰ 2-3
	2b	Specific objectives or hypotheses	Page n ⁰ 3
Methods			
Trial design	3a	Description of trial design (such as parallel, factorial) including allocation ratio	Page n ⁰ 3
	3b	Important changes to methods after trial commencement (such as eligibility criteria), with reasons	Page n ⁰ 3-4
Participants	4a	Eligibility criteria for participants	Page n ⁰ 3-4
	4b	Settings and locations where the data were collected	Page n ⁰ 3
Interventions	5	The interventions for each group with sufficient details to allow replication, including how and when they were actually administered	Page n ⁰ 4-5
Outcomes	6a	Completely defined pre-specified primary and secondary outcome measures, including how and when they were assessed	Page n ⁰ 6
	6b	Any changes to trial outcomes after the trial commenced, with reasons	Not applicable
Sample size	7a	How sample size was determined	Page n ⁰ 6
	7b	When applicable, explanation of any interim analyses and stopping guidelines	No applicable
Randomisation:			
Sequence generation	8a	Method used to generate the random allocation sequence	Page n ⁰ 4
	8b	Type of randomisation; details of any restriction (such as blocking and block size)	Page n ⁰ 4
Allocation concealment mechanism	9	Mechanism used to implement the random allocation sequence (such as sequentially numbered containers), describing any steps taken to conceal the sequence until interventions were assigned	Page n ⁰ 4
Implementation	10	Who generated the random allocation sequence, who enrolled participants, and who assigned participants to interventions	Page n ⁰ 4
Blinding	11a	If done, who was blinded after assignment to interventions (for example, participants, care providers, those	Page n ⁰ 4

		assessing outcomes) and how	
Statistical methods	11b	If relevant, description of the similarity of interventions	Page n°4-5
	12a	Statistical methods used to compare groups for primary and secondary outcomes	Page n°7
	12b	Methods for additional analyses, such as subgroup analyses and adjusted analyses	Page n°7
Results			
Participant flow (a diagram is strongly recommended)	13a	For each group, the numbers of participants who were randomly assigned, received intended treatment, and were analysed for the primary outcome	Figure 1 Page n°8
	13b	For each group, losses and exclusions after randomisation, together with reasons	Figure 1 Page n°8
Recruitment	14a	Dates defining the periods of recruitment and follow-up	Page n°3
	14b	Why the trial ended or was stopped	Page n°3
Baseline data	15	A table showing baseline demographic and clinical characteristics for each group	Table 1 Page n°9
Numbers analysed	16	For each group, number of participants (denominator) included in each analysis and whether the analysis was by original assigned groups	Figure 1 Page n°8
Outcomes and estimation	17a	For each primary and secondary outcome, results for each group, and the estimated effect size and its precision (such as 95% confidence interval)	Table 3 Figure 1 Page n°11
	17b	For binary outcomes, presentation of both absolute and relative effect sizes is recommended	Table 3 Figure 1 Page n°11
Ancillary analyses	18	Results of any other analyses performed, including subgroup analyses and adjusted analyses, distinguishing pre-specified from exploratory	Not applicable
Harms	19	All important harms or unintended effects in each group (for specific guidance see CONSORT for harms)	Table 3 Figure 1 Page n°11
Discussion			
Limitations	20	Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses	Page n°16
Generalisability	21	Generalisability (external validity, applicability) of the trial findings	Page n°16
Interpretation	22	Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence	Page n°14-15
Other information			
Registration	23	Registration number and name of trial registry	Page n°1

Protocol	24	Where the full trial protocol can be accessed, if available	<u>Not available</u>
Funding	25	Sources of funding and other support (such as supply of drugs), role of funders	<u>Not applicable</u>

*We strongly recommend reading this statement in conjunction with the CONSORT 2010 Explanation and Elaboration for important clarifications on all the items. If relevant, we also recommend reading CONSORT extensions for cluster randomised trials, non-inferiority and equivalence trials, non-pharmacological treatments, herbal interventions, and pragmatic trials. Additional extensions are forthcoming: for those and for up to date references relevant to this checklist, see www.consort-statement.org.



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