

1 **Inhibitory modulation of cortical up states**

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37 **Abstract**

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39 The balance between excitation and inhibition is critical in the physiology of the  
40 cerebral cortex. To understand the influence of inhibitory control on the emergent  
41 activity of the cortical network, inhibition was progressively blocked in a slice  
42 preparation that generates spontaneous rhythmic up states at a similar frequency to  
43 those occurring *in vivo* during slow wave sleep or anesthesia. Progressive removal of  
44 inhibition induced a parametric shortening of up state duration and elongation of the  
45 down states, the frequency of oscillations decaying. Concurrently, a gradual increase in  
46 the network firing rate during up states occurred. The slope of transitions between up  
47 and down states was quantified for different levels of inhibition. The slope of upward  
48 transitions reflects the recruitment of the local network and was progressively  
49 increased when inhibition was decreased, while the speed of activity propagation  
50 became faster. Removal of inhibition resulted eventually in epileptiform activity. While  
51 gradual reduction of inhibition induced linear changes in up/down states and their  
52 propagation, epileptiform activity was the result of a nonlinear transformation. A  
53 computational network model showed that strong recurrence plus activity-dependent  
54 hyperpolarizing currents were sufficient to account for the observed up state  
55 modulations and predicted an increase in activity-dependent hyperpolarization  
56 following up states when inhibition was decreased which was confirmed  
57 experimentally.

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62 Keywords: Cerebral Cortex / In vitro / Epilepsy / GABAergic / Slow oscillations

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## 64 **Introduction**

65

66       The issue of how excitation and inhibition are regulated in the course of  
67 physiological network function is highly relevant to understand cortical physiology and  
68 its dysfunctions, as for instance in epilepsy. A model where the engagement of  
69 excitation and inhibition in cortical function can be studied is slow oscillatory activity.  
70 Basal excitability and recurrent connectivity in the cerebral cortex (Hebb 1949; Lorente  
71 de Nó 1938) induces neuronal firing that reverberates in the circuit, resulting in the  
72 emergent network activity. During slow-wave sleep and anesthesia, this activity is  
73 organized in the cerebral cortex in a slow (<1Hz) rhythmic pattern consisting of  
74 interspersed up and down states (Lampl et al. 1999; Steriade et al. 1993; Stern et al.  
75 1997). This activity can also be generated in cortical slices maintained *in vitro*  
76 (Sanchez-Vives and McCormick 2000), therefore providing a range of experimental  
77 models, from *in vitro* to sleeping animals.

78       Several lines of evidence confirm that both excitatory and inhibitory neurons  
79 participate in the firing during up states. The first study that presented a detailed  
80 description of slow oscillations (Steriade et al. 1993) reported that not only excitatory  
81 electrophysiological types but also inhibitory ones (fast spiking neurons) fired during  
82 up states, extent that was confirmed by subsequent studies (Contreras et al. 1996;  
83 Cowan and Wilson 1994; Sanchez-Vives and McCormick 2000; Steriade et al. 1993;  
84 Steriade et al. 2001). Furthermore, both excitatory and inhibitory synaptic potentials  
85 coexist during up states both *in vivo* and *in vitro*, and the relative contribution of  
86 excitation and inhibition to cortical activity has been estimated mainly through  
87 conductance changes (Anderson et al. 2000; Borg-Graham et al. 1998; Haider et al.  
88 2006; Okun and Lampl 2008; Pare et al. 1998; Rudolph et al. 2007; Shu et al. 2003b)  
89 or through the timing of excitatory and inhibitory events (Compte et al. 2008; Compte  
90 et al. 2009).

91       The general understanding achieved by different methods is that excitation and  
92 inhibition balance each other, and this has been reported both during spontaneous and  
93 sensory activated cortical activity (Anderson et al. 2000; Haider et al. 2006; Monier et  
94 al. 2008; Okun and Lampl 2008; Shadlen and Newsome 1998; Shu et al. 2003b; Wehr

95 and Zador 2003). Imbalance of excitation and inhibition in cortex and hippocampus is  
96 not only related to epilepsy (Brown et al. 1996; Gutnick et al. 1982; Kumar and  
97 Buckmaster 2006) but has been as well associated to mental retardation syndromes  
98 such as Rett disorder (Dani et al. 2005), Down Syndrome (Belichenko et al. 2004;  
99 Fernandez et al. 2007; Hanson et al. 2007; Kurt et al. 2004), or autism (Rubenstein  
100 and Merzenich 2003), making the understanding of the physiological  
101 excitatory/inhibitory balance highly relevant.

102       What we explore here is how the network activity and its propagation are modified  
103 when inhibition is progressively blocked, and which are the possible involved  
104 mechanisms in a computational cortical model, finally testing its predictions  
105 experimentally.

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## 108 **Materials and Methods**

109

110 Ferrets (2-12 month old, either sex) were anesthetized with sodium pentobarbital (40  
111 mg/kg) and decapitated. The entire forebrain was rapidly removed to oxygenated cold  
112 (4-10°C) bathing medium. Coronal slices (0.4 mm thick) from the occipital cortex  
113 containing primary and secondary visual cortical areas (areas 17, 18, and 19) (Innocenti  
114 et al. 2002) or prefrontal (Kramer and Goldman-Rakic 2001) were used.

115 A modification of the sucrose-substitution technique developed by (Aghajanian  
116 and Rasmussen 1989) was used to increase tissue viability. During preparation of  
117 slices, the tissue was placed in a solution in which NaCl was replaced with sucrose while  
118 maintaining an osmolarity of 307 mOsm. After preparation, slices were placed in an  
119 interface style recording chamber (Fine Sciences Tools, Foster City, CA). For the first 15  
120 minutes cortical slices were superfused with an equal mixture in volume of the normal  
121 bathing medium and the sucrose-substituted solution. Following this, normal bathing  
122 medium was switched into the chamber and superfused the slices for 1-2 hours, then  
123 modified slice solution was used throughout the rest of the experiment. Bath  
124 temperature was maintained at 34.5-36°C.

125 The normal bathing medium contained (in mM): NaCl, 126; KCl, 2.5; MgSO<sub>4</sub>, 2;  
126 NaH<sub>2</sub>PO<sub>4</sub>, 1.25; CaCl<sub>2</sub>, 22; NaHCO<sub>3</sub>, 26; dextrose, 10, and was aerated with 95% O<sub>2</sub>, 5%  
127 CO<sub>2</sub> to a final pH of 7.4. The modified solution had the same ionic composition except  
128 for different levels of (in mM) KCl, 3.5; MgSO<sub>4</sub>, 1 and CaCl<sub>2</sub>, 1-1.2 (Sanchez-Vives and  
129 McCormick 2000). Electrophysiological recordings started after allowing at least 2  
130 hours recovery.

131 Drugs were applied either in the bath or locally, through the delivery of a brief  
132 pressure pulse (10-150 ms; 100-350 KPa) to a drug-containing micropipette (volumes  
133 of 1-20 pl per pulse). Drugs used were bicuculline methiodide, SR95531 (gabazine) both  
134 of them from Sigma

135

136 *Spike recording and analysis*

137 Extracellular multiunit recordings were obtained with 2-4 M $\Omega$  tungsten  
138 electrodes (FHC, Bowdoinham, ME).

139 Multiunit activity (MUA) was estimated as the power change in the Fourier  
140 components at high frequencies of the recorded local field potentials (LFP) (Reig et al.  
141 2010). High frequency components of LFP can be seen as a linear transform of the  
142 instantaneous firing rate of the neurons surrounding the electrode tip. We assume then  
143 that the normalized LFP spectra provides a good estimate of the population firing rate,  
144 given that Fourier components at high frequencies have densities proportional to the  
145 spiking activity of the involved neurons (Mattia and Del Giudice 2002). The time-  
146 dependent MUAs were the average power of the normalized spectra in the frequency  
147 band (0.2-1.5) kHz. With respect to a similar approach in (Stark and Abeles 2007), the  
148 above estimate provides a larger signal-to-noise ratio: using normalized spectra the  
149 components at different frequencies have similar orders of magnitude. MUAs were  
150 logarithmically scaled to balance the large fluctuations of the nearby spikes.  
151 Furthermore,  $\log(\text{MUA})$  time series were smoothed by a moving average with a sliding  
152 window of 80 ms.

153 Up and down states were singled out by setting a threshold in  $\log(\text{MUA})$  time series.  
154 The histograms of  $\log(\text{MUA})$  were bimodal and the positions of the high and low peaks  
155 were used as reference activity respectively for up and down states. The discriminating  
156 threshold was set to the 60% of the interval between the peaks. To remove the effects of  
157 small activity fluctuations a cut-off in the minimum state duration was set in a range  
158  $[1/3, 1/2]$  of the average up state length. This lower limit was chosen case-by-case in  
159 order to reproduce the up/down oscillation frequency estimated from the  $\log(\text{MUA})$   
160 autocorrelation. Small periods were recursively removed converting short up (down)  
161 states in longer down (up) states. Finally, times of transition between states were better  
162 estimated by fitting the  $\log(\text{MUA})$  in a 100 ms window around the transitions with  
163 third degree polynomials. The adjusted transition was set to the crossing time of the  
164 polynomial with the discriminating threshold. These polynomials were furthermore  
165 used to estimate the slope of transitions given by the gradient around the previously  
166 detected crossing times. The slopes of the upward transitions were measured as the

167 gradients of the linear fits of the average  $\log(\text{MUA})$  in the time interval (-10, 25 ms)  
168 around the detected up state onset. Similarly, the slopes of the downward transitions  
169 resulted from the linear fit of  $\log(\text{MUA})$  centered around the occurrences of the  
170 transitions to down states in the time interval (-25, 10 ms).

171 All the MUA off-line estimates and analyses were implemented in MATLAB (The  
172 MathWorks Inc., Natick, MA). We refer to the  $\log(\text{MUA})$  spectra in the figures and the  
173 rest of the manuscript as “relative firing rate”, since is a relative measure resulting from  
174 an average of power spectra ratios (Reig et al. 2010).

175

### 176 *Intracellular recordings*

177 Sharp intracellular recording electrodes were formed on a Sutter Instruments  
178 (Novato, CA) P-97 micropipette puller from medium-walled glass (1B100F-4, WPI  
179 Sarasota, FL) and beveled on a Sutter Instruments beveller to final resistances of 60-100  
180 MOhms. Micropipettes were filled with 2 M KAc. Current clamp intracellular  
181 recordings were obtained using an Axoclamp 2B amplifier (Axon Instruments, Foster  
182 City, CA). The transitions between up and down states in the intracellular recordings  
183 illustrated below were detected by hand in order to determine their slopes. Intra and  
184 extracellular recordings were digitized, acquired and analyzed with a CED interface and  
185 Spike 2 software (Cambridge Electronic Design, Cambridge, UK). Data are reported as  
186 mean  $\pm$  SD.

187

### 188 *Computational modelling*

189 We use the network model of slow oscillatory activity in visual cortical slices of the  
190 ferret that has been presented elsewhere (Compte et al. 2003). Briefly, the network  
191 model consists of a population of 1024 pyramidal cells and 256 inhibitory interneurons  
192 equidistantly distributed on a line and interconnected through biologically plausible  
193 synaptic dynamics (kinetics of synaptic currents mediated by AMPARs, NMDARs, and  
194 GABA<sub>A</sub>Rs are modeled as in (Wang 1999)). Some of the intrinsic parameters of the cells  
195 are randomly distributed, so that the populations are heterogeneous. This, and the  
196 random connectivity (determined by the synaptic probability distributions; see Figure

197 7A) are the only sources of noise in the network. The neurons in the network are  
198 sparsely connected to each other through a fixed number of connections that are set at  
199 the beginning of the simulation. Neurons make  $20 \pm 5$  contacts (mean  $\pm$  standard  
200 deviation) with their postsynaptic partners (multiple contacts onto the same target, but  
201 no autapses are allowed). For each pair of neurons separated by a distance  $x$  in the  
202 network, the probability that they are connected in each direction is decided by a  
203 Gaussian probability distribution  $P(x)$  centered at 0 and with a prescribed standard  
204 deviation  $\sigma_E$ . For inhibitory connections a Gaussian probability distribution is also  
205 used but with a smaller standard deviation  $\sigma_I = \sigma_E/2$ . Our model pyramidal cells have a  
206 somatic and a dendritic compartment. The somatic compartment hosts the spiking  
207 currents,  $I_{Na}$  and  $I_K$ , a leak current  $I_L$ , a fast A-type  $K^+$ -current  $I_A$ , a non-inactivating  
208 slow  $K^+$ -current  $I_{KS}$  and a  $Na^+$ -dependent  $K^+$ -current  $I_{KNa}$ . The dendrite contains a  
209 highthreshold  $Ca^{2+}$  current  $I_{Ca}$ , a  $Ca^{2+}$ -dependent  $K^+$ -current  $I_{KCa}$ , a non-  
210 inactivating (persistent)  $Na^+$  current  $I_{NaP}$  and an inward rectifier (activated by  
211 hyperpolarization) non-inactivating  $K^+$  current  $I_{AR}$ . For interneurons the model was  
212 taken from (Wang and Buzsaki 1996). All details about the exact parameter values and  
213 model implementations can be found in (Compte et al. 2003). The model was  
214 implemented in a C++ code and simulated using a fourth-order Runge-Kutta method  
215 with a time-step of 0.06 ms.

216

## 217 **Results**

218

219 Fifty-five slices of ferret visual or prefrontal cortex that generated spontaneous slow  
220 rhythmic activity were recorded ( $<1$  Hz; Fig. 1). Spontaneous rhythmic activity  
221 consisted on alternating periods of persistent activation or *up states* interleaved with  
222 intervals of relative silence or *down states*. In order to understand how inhibition  
223 shapes emergent cortical activity, we acted on fast inhibition by decreasing it with  
224 bicuculline or gabazine. The removal of inhibition is known to result in epileptiform  
225 activity (Gutnick et al. 1982; Prince and Wilder 1967). Our main objective was to  
226 explore how the progressive blockade of GABA<sub>A</sub> receptors would transform cortical  
227 rhythmicity before the network became epileptic.

### 228 **Effect of decreasing inhibition on the frequency and duration of up states**

229 To explore how inhibition controls emerging network activity we applied a low  
230 concentration of BMI (bicuculline methiodide) in the bath (0.1-0.2  $\mu$ M) and increased  
231 progressively the concentration until epileptiform activity would appear (usually  $>1$   
232  $\mu$ M). Once full-blown epileptiform activity was generated (see below), further increase  
233 in BMI was not followed by any more gradual changes.

234 The progressive blockade of inhibition induced different concurrent changes in the  
235 physiological up and down states. Some of them are illustrated in Figure 1 for a  
236 particular slice. A progressive removal of inhibition induced a gradual shortening of up  
237 states. This can be observed in the raw traces in Fig. 1A, in raster plots in Fig. 1B, and in  
238 up state averages for seven BMI concentrations in Fig. 1C and 1F. The shortening of up  
239 states was concomitant with a progressive increase in the firing rate (Fig. 1C,E,G). The  
240 duration of the subsequent down states was also modified, becoming progressively  
241 longer with lesser inhibition (Fig. 1A-D). This example illustrates that there is a global  
242 change in the dynamics of the network activation as a result of inhibition removal. On  
243 the other hand, the bistable nature of the network activity remains unchanged and even  
244 at higher BMI concentrations two preferred activity levels can be recognized (Fig. 1E).

245 For a detailed quantification of activity transformation during gradual blockade of  
246 inhibition only slow oscillations of  $>0.2$  Hz frequency were included (n=25), in order to

247 have up/down state dynamics similar to those *in vivo*. The transformation of the  
248 emergent activity described above (Fig. 1) following the removal of inhibition was  
249 consistent across the population: decrease in the up/down cycle frequency (Fig. 2A),  
250 increase in the firing rate during up states (Fig. 2B), shortening of up states (Fig. 2C),  
251 lengthening of down states (Fig. 2D). Similar results concerning isolated up states have  
252 been described (Mann et al. 2009).

253 Since bicuculline is known to have an additional effect to inhibition blockade which  
254 is the blockade of small K<sup>+</sup> channels (Debarbieux et al. 1998; Khawaled et al. 1999), we  
255 also used gabazine (SR95531) (n=11), a blocker of inhibition that does not have such  
256 collateral effect. The specific effects of SF95531 on the spontaneous activity are  
257 represented in supplemental Fig. S1, illustrating that the network effects were  
258 equivalent to those obtained for bicuculline: the frequency of the oscillation decreased ,  
259 the firing rate during up states increased, up state duration decreased and down state  
260 duration increased. Even when there were no statistically significant differences with  
261 bicuculline-induced changes (except for the slope of down states increase), we observed  
262 that in gabazine both the increase in up state's firing rate and the elongation of down  
263 states were lesser than in BMI.

264

### 265 **Removal of inhibition and the upward and downward network transitions**

266 We measured the slopes of the network transition from down to up states (Fig. 3A).  
267 The upward transition reflects the recruitment of the local network. Increasing  
268 concentrations of BMI (Fig. 3A) or gabazine (supplemental Fig. S1) resulted in a  
269 progressively faster recruitment of the local network, and thus a faster upward  
270 transition. This was the case at the population level (Fig. 3B). The slope measured at  
271 the initiation of epileptiform discharges revealed a still faster recruitment, usually  
272 doubling the one reached in the pre-epileptic state (Fig. 3B). The local network  
273 recruitment has its cellular counterpart in the summation of synaptic potentials  
274 impinging onto a particular neuron. Eleven intracellular recordings were included: 9  
275 regular spiking, 1 chattering cell and 1 intrinsically bursty neuron, classification done  
276 following (Nowak et al. 2003). Intracellular recordings (Fig. 3E) illustrate the

277 accumulation of synaptic events that leads to an up state, accumulation that becomes  
278 faster with the progressive removal of inhibition. This faster transition can be observed  
279 also in the transformation from slow wave activity to epileptiform activity *in vivo*  
280 (Steriade and Contreras 1995). These results suggest that in physiological conditions  
281 inhibition is slowing down the recruitment of activity in the local network. The  
282 slower/faster recruitment of the local network also results in the control of the  
283 propagation of activity (Compte et al. 2003) (see below).

284 The downward transition from up to down states marks the end of up states. The  
285 slope of this transition was as well evaluated at the network level by measuring the  
286 decay in the local relative firing rate (Fig. 3C). The progressive removal of inhibition  
287 also lead to a steeper downward transition, reflecting that the transition is occurring  
288 more synchronously in the local population. This was also the case when all slices were  
289 considered (Fig. 3D). In the case of the downward transition, epileptiform responses  
290 did not have a faster downward transition but a slower one. In conclusion, there was a  
291 parametric linear relation between removal of inhibition and increase in upward  
292 (downward) slope, and in both cases this relation became nonlinear when epileptiform  
293 activity appeared. The intracellular correlate of the increased downward transition is a  
294 faster repolarization of the up states while they became shorter (Fig. 3E). We provide a  
295 possible mechanistic explanation below, based on observations in a computational  
296 model.

297 In the course of gradual blockade of inhibition we often (56%) observed what we  
298 referred to as “diplets” or even “triplets” (Fig. 4). Diplets (triplets) where up states of  
299 shorter duration and higher firing frequency that appeared in pairs (trios) (Fig. 4B, C,  
300 F), as if they were up states fractioned into two (three) parts. This activity was most  
301 often transitory, suggesting a short-lived activity occurring while the network was  
302 adapting to a lower level of inhibition (Fig. 4E). No relationship was found between the  
303 occurrence of diplets and the generation of epileptiform discharges. Even when this  
304 may be a phenomenon of inherent interest, in order to quantify the evolution of up  
305 states with changing inhibition as in Fig.2, diplets (triplets) were excluded.

306  
307

## 308 **Inhibition and the wave propagation speed**

309 The speed of propagation of the wave reflects the horizontal propagation of the  
310 locally generated up states along the cerebral cortex. By horizontal we refer to the  
311 propagation perpendicular to the cortical columns and along cortical layers. We know  
312 that the speed of the travelling wave increases around one order of magnitude when  
313 inhibition is removed completely (Compte et al. 2003; Sanchez-Vives and McCormick  
314 2000). Here we found that the speed of wave propagation increased linearly with the  
315 progressive removal of inhibition. Up states were recorded with two separate electrodes  
316 (Fig. 5A) and the time lags between the initiation of every up state recorded from both  
317 electrodes were measured for different bicuculline concentrations (Fig. 5B). The  
318 distribution of time lags for each bicuculline concentration was calculated, and the  
319 mean time that the activity takes to travel from one electrode to the other was taken as  
320 the peak. Sometimes the activity would travel in the opposite direction and then  
321 bimodal histograms of time lags occur with almost symmetrical peaks: in these cases  
322 we considered the most populated peak to estimate the speed of propagation.

323 The average speed of propagation determined in that way was calculated in 5 slices  
324 for 5 concentrations of BMI (Fig. 5C). Before reaching epileptiform activity, the speed  
325 of propagation of activity had approximately doubled (from 6 to 12 mm/s). However  
326 the increase in speed once full-blown epileptiform activity occurred was nonlinear with  
327 the previous ones, reaching an average speed of 43 mm/s. During activity propagation,  
328 up states are locally regenerated along the network, such that the initiation of up states  
329 appears intracellularly as a summation of synaptic potentials (Fig. 3E). The local  
330 recruitment of network activity is also represented by the transition from down to up  
331 states of the firing rate (Fig. 3A, B). We observed that the faster the down to up state  
332 transition is, the faster the speed of wave propagation (Fig. 5D), thus the local build up  
333 of the upward transition influences the speed of the wave.

334

## 335 **Transition towards epileptiform discharges**

336 We further investigated the changes at higher levels of disinhibition capable to  
337 elicit the onset of epileptic-like activity. Full-blown epileptiform discharges occurred

338 unexpectedly as a dramatic and sustained increase of activity simultaneously  
339 observable from distant electrodes as in Fig. 6A (at ca. 460 ms). Dynamics of probed  
340 populations of neurons had an abrupt and qualitative change as witnessed by the time  
341 course of the latency between the onset of up states detected by distant electrodes (Fig.  
342 6A, bottom panel), showing a discontinuity in the speed of propagation that induced an  
343 almost simultaneous state transition in different points of the slice (see also Fig. 5C).

344 When inhibition is progressively removed, there is a transformation of the  
345 emergent pattern of activity until eventually becomes epileptiform. This transformation  
346 is illustrated in Fig. 6D. Stage 0 represents up states and down states during  
347 physiological slow oscillations. As we have characterized in this study, up states become  
348 progressively shorter with inhibition blockade, and this is illustrated in Stage 1: shorter  
349 up states and elongated down states. The parametric changes in up/down states  
350 induced by a progressive removal of inhibition described above correspond to the  
351 transformation between Stage 0 and Stage 1 (Figs. 1, 2). Before full-blown epileptiform  
352 discharges appear (Stage 3), there are short bursts of activity of steep rise characterized  
353 by their triangular or cuneiform shape (Stage 2), instead of the fusiform shape of up  
354 states in Stages 0 and 1. The triangular (or cuneiform) shape corresponds to an  
355 abnormally fast recruitment of the local network, inducing a heavy spike discharge and  
356 that has a progressive decay. This pattern of activity may be local (Fig. 6B) or propagate  
357 between electrodes.

358

### 359 **Computational modelling and predictions**

360 In order to test the consistence of our findings with our mechanistic  
361 interpretation, we used a previously defined computational network model of the slow  
362 oscillation (Compte et al., 2003), which relies on strong recurrent coupling and  
363 activity-dependent potassium channels for the generation of the slow rhythm. This  
364 model consists of 1,024 excitatory cells and 256 inhibitory cells modeled with detailed  
365 Hodgkin-Huxley-type channels and interconnected through realistic synaptic dynamics  
366 (Materials and Methods). Critical for the model behavior is strong recurrent excitation  
367 and inhibition, and  $\text{Ca}^{2+}$ - and  $\text{Na}^{+}$ -dependent potassium channels in pyramidal cells,

368 which are responsible for the transitions between up and down states. The model  
369 network displays activity organized in recurring up states that propagate across the  
370 network, closely resembling the experimental data (Compte et al., 2003; Compte et al.,  
371 2008; Compte et al., 2009).

372 We wanted to study what effects progressive inhibition blockade induced in the  
373 network activity of the computer model in (Compte et al., 2003). Because we know in  
374 detail the mechanisms that generate the network activity in the model (Compte et al.,  
375 2003), this tested specifically our mechanistic understanding of the experimental  
376 results. The removal of inhibition was simulated by the reduction of GABA<sub>A</sub> channel  
377 conductances in inhibitory synapses to pyramidal neurons of the network. This  
378 manipulation resulted in increased excitability, as measured by the firing rate of  
379 neurons during the up state (Fig. 7C), but also induced significant reductions in the  
380 duration of the up states (Fig. 7D,E) and an increase in the interval between oscillations  
381 (Fig. 7C, E), in agreement with the experimental results. In addition, the model also  
382 reproduced the augmented slope of down-to-up activity build-up after inhibition  
383 blockade (Fig. 7D) and the progressive increase in wave propagation speed as inhibition  
384 was gradually blocked (Fig. 10B in Compte et al., 2003). We explored what model  
385 mechanisms were underpinning these network activity changes. The membrane  
386 potential traces of excitatory neurons in the network revealed a larger  
387 afterhyperpolarization (AHP) following up states after inhibition was decreased (Fig.  
388 7F). This observation led us to suggest that the activation of K<sup>+</sup> channels with the  
389 neuronal firing during up states could be a relevant mechanism in the termination of  
390 up states (Compte et al. 2003; Cunningham et al. 2006), some of which could be  
391 associated to GABA<sub>B</sub> receptors (Mann et al. 2009; Parga and Abbott 2007). The  
392 outcome of our investigations of the computational network model therefore prove that  
393 the combination of strong recurrent feedback and activity-dependent potassium  
394 currents is sufficient to explain the typical modulations of network activity patterns  
395 observed upon inhibition manipulation.

396

397

398           **Experimental testing of model predictions**

399           Intracellular recordings from neurons in a network with reduced inhibition  
400 revealed that AHPs following up states showed increased amplitude relative to control  
401 conditions with intact inhibition (Fig. 8), as predicted by the model (Fig. 7F). An  
402 increase in the afterhyperpolarization following up states with the removal of inhibition  
403 is suggestive of a more efficiently recruited mechanism to terminate up states. This is  
404 compatible with the increased firing rate during up states with lesser inhibition (Fig. 1,  
405 2). Consistent with this, the increase in firing rate during up states was significantly  
406 correlated with the slope of the subsequent downward transition (Fig. Supplemental  
407 Fig. S2 ).

408

## 409 **Discussion**

410

411 We studied the functional contribution of inhibition to the slow oscillatory  
412 patterns generated by the cortical network *in vitro*. It is agreed that excitation and  
413 inhibition balance each other both during spontaneous and sensory activated cortical  
414 activity (Anderson et al. 2000; Compte et al. 2009; Haider et al. 2006; Monier et al.  
415 2008; Okun and Lampl 2008; Shadlen and Newsome 1998; Shu et al. 2003a; Wehr and  
416 Zador 2003). When this balance is altered, pathological patterns of activity such as  
417 epilepsy are generated (Prince and Wilder 1967; Steriade et al. 1998; Timofeev et al.  
418 2002). Our objective was to analyze from a network perspective how inhibition  
419 regulates cortical emergent activity by inducing a progressive excitatory/inhibitory  
420 imbalance.

421 Progressive reduction of inhibition resulted in a parametric decrease in the  
422 duration and frequency of up states. As a result, lesser inhibition lead to an apparently  
423 decreased activity in the network (see Fig. 1B), a rather counterintuitive effect. We  
424 suggest here that the link between reduction of inhibition and shorter and less frequent  
425 up states is the concurrent, parametric increase in firing rate during up states. Our  
426 investigations with a computational model of the slow oscillation (Compte et al., 2003)  
427 show that activity-dependent  $K^+$  channels together with strong intracortical recurrence  
428 are sufficient to account for our typical experimental results (Fig. 7). In this reduced  
429 model of a cortical network, lesser inhibition during up states leads to higher firing  
430 rates, that recruit more efficiently activity-dependent  $K^+$  channels, shortening up states  
431 and elongating down states. In support of the proposed mechanism there are various  
432 experimental results showing, on one hand that the higher the firing rate during up  
433 states, the longer down states become (Fig. 2B,D). On the other hand, the firing rate  
434 during up states was significantly correlated with the up to down state slope that  
435 reflects how fast network activity decreases at the end of up states (Supplemental Fig.  
436 2. Thus, the larger the firing rate during the up state, the faster the silencing of the  
437 network. Furthermore, the increased AHP following up states when there is lesser  
438 inhibition also supports this mechanism (Fig. 8). Potassium channels are plausible  
439 candidates to initiate and maintain down states (Compte et al. 2003; Cunningham et al.

440 2006; Sanchez-Vives and McCormick 2000) and the main results presented here  
441 concur with this working model, although other activity-dependent mechanisms such  
442 as synaptic depression (Holcman and Tsodyks 2006) or GABA<sub>B</sub> activation (Mann et al.  
443 2009; Parga and Abbott 2007) may also participate.

444 These results suggest that the transformation of the emergent activity when  
445 inhibition is decreased requires a dynamic interplay between up and down states. For  
446 that to occur is necessary that the oscillatory frequency is close to the physiological one.  
447 The frequency of slow oscillations was originally described as being <1Hz (Steriade et  
448 al. 1993), specifically 0.3-0.4 Hz in association (areas 5 and 7), motor and visual  
449 cortical areas of the anesthetized cat. The same frequency (0.3 Hz) was described in the  
450 ferret visual and prefrontal cortex *in vitro* and cat visual cortex *in vivo* (Sanchez-Vives  
451 and McCormick 2000). Rat neocortex *in vivo* generates higher frequencies, in the  
452 range 0.3-1.5 Hz (Cowan and Wilson 1994). The frequency of up states varies in other  
453 areas or preparations, including: 1.8 Hz in ferret piriform cortex *in vitro* (Sanchez-  
454 Vives et al. 2008), lower frequencies in rat entorhinal cortex *in vitro* varying between  
455 0.17 Hz (Cunningham et al. 2006) and 0.02Hz (Mann et al. 2009), and still lower in  
456 cortical slabs (0.002-0.008 Hz) (Timofeev et al. 2000) . This diversity of frequencies  
457 imply that down states vary between 0.5 s and over 1 min and thus must respond to the  
458 participation of different network mechanisms. In cases where the average duration of  
459 the down states lasts tens of seconds, K<sup>+</sup> channel activation is probably not the main  
460 mechanism that maintains the complete duration of down states.  
461 Afterhyperpolarizations (AHPs) are often observed following up states (Sanchez-Vives  
462 and McCormick, 2000) and they increased for higher preceding firing rates (Fig. 8).  
463 However, the discharge in an up state is not enough to induce AHPs that would last for  
464 as long as a 10 s-down state. We propose that the mechanism determining the duration  
465 of down states in these cases is not an AHP but the time it takes for the mechanisms  
466 that give rise to a new up state to build up. For example, summation of randomly  
467 occurring miniature synaptic potentials is a mechanism proposed for up state initiation  
468 in cortical slabs where up states occur at frequencies well below 0.2 Hz, with down  
469 states of up to 60 s (Timofeev et al. 2000).

470

471

472 **Transitions between up and down states and wave propagation**

473         The transition from down to up state corresponds to the recruitment of the local  
474 network. A network that is recruited faster has a steeper slope in the transition and vice  
475 versa, and we have shown this here both for the population firing rate and for the  
476 accumulation of synaptic potentials while recorded intracellularly. Excitation and  
477 inhibition accumulate at a similar rate during the down to up transition in cortical  
478 slices (Compte et al. 2009; Shu et al. 2003b). The EPSPs impinging onto cortical  
479 neurons in cortical slices may originate in distant excitatory neurons through  
480 horizontal connections or in local ones, while IPSPs are originated in local  
481 interneurons. Long horizontal connections also have inhibitory interneurons as a target  
482 (20%), although in lesser proportion than excitatory target neurons (80%) (McGuire et  
483 al. 1991). Therefore, excitatory neurons that are firing during an up state would  
484 contribute to the build up of excitatory and inhibitory activity in the distant regions  
485 they project to. This initial depolarization still requires the local reverberation of  
486 activity in order to generate an up state, which appears to activate local neurons in an  
487 stereotypical order (Luczak et al. 2007). The local reverberation necessary for wave  
488 propagation is probably the reason why there is a relationship between the speed of  
489 wave propagation and the slope of the transition from down to up states (Fig. 5D). Long  
490 range connectivity in the cortical model had relevant influence in the speed of wave  
491 propagation, decreasing with the length of horizontal connections (Compte et al. 2003).  
492 Progressive elimination of network inhibition accelerates the transition from down to  
493 up states, synaptic events accumulating faster. Not only distant excitation is then  
494 balanced towards excitation, but the local circuit is also not slowed down by inhibitory  
495 summation. Still, the progressively faster propagation with the removal of inhibition is  
496 nonlinear towards the highly fast propagation of epileptiform activity (Fig. 5C; 6A). The  
497 speed of wave propagation approximately doubles with progressive inhibition blockade,  
498 but then it leaps towards speeds almost one order of magnitude higher when  
499 epileptiform activity appears, as in (Sanchez-Vives and McCormick 2000).  
500 Epileptiform bursts should thus not be seen as the last step of a progressive

501 transformation of up states when inhibition is removed, since every aspect of them are  
502 the result of a nonlinear change. Radically different network mechanisms are at play.

503         The transition from up to down states also increases its rate with the removal of  
504 inhibition. The more efficient activation of K<sup>+</sup> channels following a higher firing rate  
505 during up states would induce neuronal AHPs (Compte et al. 2003). Since the up state  
506 maintenance requires reverberation of activity in the network, this sudden  
507 hyperpolarization would stop this process and induce a transition towards the down  
508 state. A faster network transition would therefore reflect a more synchronized end of  
509 the reverberatory activity, associated to lesser inhibition. A similar mechanism based  
510 on K<sup>+</sup> channel recruitment terminates epileptiform bursts in hippocampus (Alger and  
511 Nicoll 1980). Even when the mechanisms that determine the down to up and the up to  
512 down transitions are totally different, they seem to covary. We have found the same  
513 covariation in the transformation of slow oscillations with temperature (Reig et al.  
514 2010). The link between both slopes is the firing rate during up states. A fast upward  
515 transition is usually associated to a high frequency rate once the up state occurs, which  
516 will normally induce a fast transition towards the next down or silent state.

517

### 518 **Sufficient mechanisms: recurrent coupling and adaptation channels**

519         We used a computational network model of the slow oscillation (Compte et al.,  
520 2003) and prove that the combination of strong recurrent coupling and activity-  
521 dependent hyperpolarizing currents was sufficient to explain the modulations of  
522 network activity patterns induced by inhibition manipulations in our experiments.  
523 Investigations with the model network showed the same trends in up state firing rate,  
524 up state duration, down state duration, down-to-up transition slope and wave  
525 propagation speed than experiments upon gradual inhibitory transmission  
526 manipulations. At least qualitatively, the control of network activity by inhibition  
527 during the slow oscillation *in vitro* can be understood primarily as the interplay of  
528 excitation, inhibition and slow adaptation currents. This underscores the importance of  
529 adaptation currents in the control of physiological network activity, and suggests its  
530 possible compensatory role upon failing inhibitory regulation.

531

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535  
536

537 **Figure captions**

538

539 **Figure 1. Effects of progressive inhibition blockade on slow oscillations.**

540 **A:** Raw multiunit recording (blue trace), relative firing rate (black trace; see Materials  
541 and Methods). Up states were detected on the relative firing rate (red trace). The  
542 transformation of spontaneous slow oscillations with bicuculline is shown. From top to  
543 bottom: control activity, 0.4  $\mu\text{M}$ , 1.2 $\mu\text{M}$  and 3  $\mu\text{M}$ . **B:** Raster plots of the relative firing  
544 rate. 100 aligned up states are represented for each bicuculline concentration  
545 corresponding to the ones in A. The firing rate is colour coded. **C:** Average relative  
546 firing rate for up states during the control and 6 increasingly higher concentrations of  
547 bicuculline. The shadow corresponds to the s.e.m. Notice the progressive shortening of  
548 up states and increase in firing rate with the removal of inhibition. **D:**  
549 Autocorrelograms illustrating the transformation of the emerging activity in control  
550 (left) and 1.2  $\mu\text{M}$  bicuculline (right). **E:** Histograms of the relative firing rate values  
551 illustrating the bimodality for control (left) and 1.2  $\mu\text{M}$  bicuculline (right). **F:**  
552 Shortening of the duration of up states and **G:** increase in relative firing rate during up  
553 states with the progressive blockade of inhibition in this particular case.

554

555 **Figure 2. Transformation of up and down states during the progressive**  
556 **removal of inhibition, population average.**

557 **A:** Representation of frequency of up/down cycles, **B:** relative firing rate **C:** up states  
558 duration and **D:** down state duration for increasingly higher bicuculline  
559 concentrations in the bath.

560

561 **Figure 3. Evolution of transitions between up and down states with the**  
562 **removal of inhibition.**

563 **A:** A case illustrating the progressive increase of the firing rate slope of down to up  
564 state transition for control and 5 increasing concentrations of bicuculline (see colour  
565 code). **B:** Average increase in the slope of the upward transition in the population. **C:**  
566 Same case as in A illustrating the progressive increase of the slope of firing rate  
567 decrease during the transition from up to down state for control and 5 concentrations

568 of bicuculline (see colour code). **D**: Average increase in the slope of the upward  
569 transition in the population. **E**: From top to bottom, three raw traces of the  
570 intracellular recordings that here were maintained subthreshold ( $V_m = -84$  mV). From  
571 left to right, control up states and increasing blockade of inhibition induced by the local  
572 application of  $200 \mu\text{M}$  BMI. This is the intracellular counterpart of the progressive  
573 increase in down to up state transition slope (in red) during the progressive removal of  
574 inhibition. The slope here represents the accumulation of synaptic potentials building  
575 up towards the up state. In blue, the downward transition slope illustrates the  
576 termination of up states. The slope was calculated by fitting a line to the membrane  
577 potential values during the transition (inset). For all measures  $p < 0.0001$ , R value  
578 between 0.83 and 0.98. Note the progressive increase in the upward and downward  
579 slope; the values of the slope are displayed.

580

581 **Figure 4. Appearance of “diplets” during reduced inhibition.** **A**: Spontaneous  
582 up and down states. Example of intracellular (top trace) and multiunit recording  
583 (bottom trace). **B**: Spontaneous rhythmic activity after local application of bicuculline  
584 ( $100 \mu\text{M}$ ). Note that the up states are transformed in “diplets”, or doubled up states. **C**:  
585 Twelve minutes after starting the washing out of bicuculline. **D**: Spontaneous activity  
586 30 minutes after washing out bicuculline ( $V_m = -64$  mV). **E**: The vertical black lines  
587 indicate the times of occurrence of individual “diplets” during steps of progressive  
588 inhibition removal in 3 cases. Note that in 2 of them (traces 1 and 3) it was a transitory  
589 effect, and by the time that epileptiform activity occurred (discontinuous red lines),  
590 “diplets” had already disappeared. **F**: Raster plots illustrating up states or “diplets” (in  
591 red) for the case in panel E3 for different concentrations of bicuculline. Note that  
592 “diplets” had hardly appeared at  $0.2 \mu\text{M}$  and their frequency increased at  $0.6 \mu\text{M}$ . At  $1$   
593  $\mu\text{M}$  up states are shorter and “diplets” were not occurring anymore.

594

595 **Figure 5. Effects of decreasing inhibition on propagation of up states**  
596 **across the cortical network.**

597 **A**: Example of the same up states as recorded from two electrodes separated by  $840 \mu\text{m}$   
598 ( $\text{BMI} = 0.2 \mu\text{M}$ , 71 extracted up states per rasterplot). Up states were aligned at the

599 electrode 2 (below) and the arrival of the up state at electrode 2 is represented above.  
600 **B:** Histogram of time lags for different bicuculline concentrations (colour code). Note  
601 how the time lags become shorter for higher bicuculline concentrations. **C:** The speed  
602 of propagation between two electrodes was calculated ( $n=5$ ; circles in colour) for  
603 increasingly higher concentrations of bicuculline, and before epileptiform activity was  
604 reached. The averaged values are represented as empty squares with error bars (s.e.m.).  
605 Inset, average increase of the speed of propagation before epileptiform activity was  
606 reached. Note that the speed doubled. When epileptiform activity appeared, the speed  
607 of propagation increased significantly. **D:** Relationship between the down to up slope of  
608 firing rate at electrodes 1 and 2 and the speed of propagation between them. Same  
609 example slice as in panels **A** and **B**. Each symbol represents an extracted couple of up  
610 states and different colours code for different bicuculline concentrations (see legend  
611 inset in panel B).

612

613 **Figure 6. Epileptiform discharge onset at high disinhibition levels.**

614 **Epileptic rating. A:** Top, the relative firing rates sampled by two simultaneous  
615 recordings MU1 and MU2 (grey and black respectively), within the period between the  
616 change of BMI concentration from 1 to 1.5  $\mu\text{M}$  ( $t = 0$  s) and the onset of epileptiform  
617 discharge. Bottom, latencies between the onsets of up states detected in MU1 and MU2.  
618 The shorter the latency, the higher the speed of propagation of up states across the  
619 slice. The distance between electrode tips was 0,84 mm. **B:** Magnifications of MUA  
620 traces in the underlined traces in A for both, MU1 and MU2. At about 460 s is the onset  
621 of the epileptiform discharge. The wider events (one in MU1, two in MU2) correspond  
622 to discharge patterns as the ones shown in panel D (stage 2). These are not full-blown  
623 epileptiform discharges and in the illustrated cases were local and propagated as  
624 normal up states. **C:** Raw traces illustrating the multiunit activity recorded by the  
625 electrodes MU1 and MU2 during the same recording shown in A and B. The top two  
626 traces correspond to the control, pre-bicuculline up states during slow oscillations. In  
627 the bottom two traces, the activity right before the full-blown epileptiform discharge (to  
628 the right end of trace) is illustrated. It shows the intermixed occurrence of discharges  
629 from stages 1 and 2 (in panel D). **D:** Epileptic rating observed during the progressive

630 removal of inhibition. On stage 0, control up states. On stage 1, shortened up states,  
631 still of fusiform shape. On stage 2, a different dynamic state, consisting in not full-  
632 blown epileptiform discharges. The shape is not fusiform, but triangular. The shape  
633 reflects a very fast upward transition and a slow and progressive decay of the discharge.  
634 Stage 3 is the full-blown epileptiform discharge.

635

636 **Figure 7. Reducing inhibition in a cortical network model.**

637 Manipulation of inhibition in a computational network model that relies on recurrent  
638 coupling and activity-dependent adaptation mechanisms (Compte et al., 2003)  
639 produces the same effects on the duration and interval between up states and on mean  
640 firing rate as in experiments. **A:** Sample network rastergram in control. **B:** Sample  
641 network rastergram after partial inhibition blockade to a remaining 25% of inhibition  
642 relative to control (A). **C:** The activity profiles for model neurons aligned at the  
643 beginning of the up state show that reducing inhibition (from control to 50% and  
644 further to 25% inhibition) increases firing rates in the up state and the interval between  
645 successive up states (down state). **D:** Normalized activity profiles for one up state show  
646 how inhibition blockade reduces up state duration and increases down-to-up activation  
647 slope. **E:** Summary graph of up state duration (measured as time duration for which  
648 curves in panel D exceed 10% of maximum value, black trace) and interval of oscillation  
649 (measured as interval between maxima in panel C, red trace) for intermediate values of  
650 inhibition in the network (100%, 90%, 80%, 70%, 60%, 50%, 40%, 30%, and 25%)  
651 shows progressive gradual changes as in experiments. **F:** Membrane potential traces  
652 from two neurons (left and right panels) in the cortical network model during slow  
653 oscillations. In both examples, control traces are shown on the left column (traces  
654 expanded around resting voltage in the bottom panel). On the right column, membrane  
655 potential traces in the same neurons after network inhibition was decreased. Notice the  
656 shortening of the up states and the increased after-hyperpolarization following the up  
657 states.

658

659 **Figure 8. Afterhyperpolarizations following up states are increased when**

660 **there is lesser inhibition. A:** Intracellular recordings of a neuron in an oscillating

661 slice in control (left) and during the partial blockade of inhibition with bicuculline  
662 (right). The bottom trace is expanded to better see the increased afterhyperpolarization  
663 that followed up states when inhibition was partially blocked. **B:** Multiunit recording of  
664 network activity in the close vicinity of the intracellular recording shown in A. **C, D:**  
665 represent another neuron example equivalent to A, B in a different slice. Vertical scale  
666 bar corresponds to 10 mV and horizontal scale bar to 1 s.  
667

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