



## 1 **1. Introduction**

2 Limiting the penetration of atmospheric water in building façades is a key task for preventing illnesses [1-  
3 2], increasing energy efficiency [3-4] and reducing building maintenance costs [5-8]. Currently, each  
4 normative framework uses different watertightness tests to assess the resistance of façade designs against  
5 this penetration [9-14]. In these tests, this resistance is related to the ability of the sample façade to  
6 withstand a constant supply of water (water spray rate) and a specific pressure difference ( $\Delta P$ ) between  
7 their outer and inner surfaces, thereby preventing the passage of liquid water into its inner surface.  
8 However, there are significant differences among water spray rate values,  $\Delta P$ , and duration of the  
9 exposure interval used in these tests [15].

10 Moreover, until recently, there have been no completely satisfactory procedures for estimating the value  
11 of parameters that recreate the real exposures under operating conditions [15-19]. However, two methods  
12 have recently been developed to accurately determine the parameter values that should be used to verify a  
13 particular watertightness performance in building façades [19-22]. Thus, for the first time, both methods  
14 allow assessing the occurrence probability of simultaneous rainfall and wind velocity events (i.e., the  
15 expected performance of the facade under a specific operation condition or equivalent test parameters).

16 To this end, the methods start from different theoretical foundations, resulting in two independent  
17 procedures to resolve the same problem. This article reviews the characteristics of these methods and  
18 analyses their possibilities and uncertainties. The analysis is performed by applying both methods to the  
19 same case study, a generic façade from the city of Bilbao (Spain). A comparison of the obtained results  
20 can be used to analyse the convergence of both procedures.

21 Together, this study offers a broad perspective of the two most complete methods for relating the real  
22 exposure conditions to water penetration with the test parameters used to determine the performance of  
23 façade designs. The results shown suggest that the application of either method would contribute to  
24 improving current façade designs in any location and under any operating condition. However, each

1 method has specific characteristics that would make it recommendable for use in different concrete  
2 applications.

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## 4 **2. Background**

5 In watertightness tests, full-scale façade models are subjected to a constant water spray rate and  
6 increasing  $\Delta P$  rate over short exposure intervals. The maximum  $\Delta P$  that the design withstands without  
7 allowing water to pass to the interior face of the model characterises its watertightness. The water spray  
8 rate and  $\Delta P$  are attempts to simulate the two most influential climatic conditions in the water penetration  
9 of façades: wind-driven rain (WDR) and driving rain wind pressure (DRWP).

10 The water spray rate varies for each test, normally between 2 and 4 l/m<sup>2</sup>min. In any case, a continuous  
11 flow of runoff water over the model surface must be ensured. Meanwhile, the applied  $\Delta P$  acts as the main  
12 test parameter, increasing by intervals until watertightness is lost. The  $\Delta P$  ranges used also vary for each  
13 test, as well as the exposure time interval of these ranges [9-14].

14 However, these standardised parameters do not adequately represent façade exposure under all possible  
15 operating conditions. WDR and DRWP exposures vary by storm event and location and depend on the  
16 rainfall intensity and concurrent wind velocity [23-26]. Other aspects, such as the building geometry,  
17 height or surrounding terrain, also influence the quantity of water and wind pressure received by each  
18 point of the building enclosure [27-30].

19 The influence of both climatic conditions on water penetration also depends on the surface finish of the  
20 façade [17, 31]. On new façades, without pores or major defects (openings < 1 mm), only a high DRWP  
21 exposure is able to produce water penetration, and WDR becomes a secondary factor. At a practical level,  
22 watertightness tests only simulate high  $\Delta P$  and low water spray rate. Given that the façade samples are

1 created for the test and lack major surface defects, the  $\Delta P$  parameter is always used as the main test  
2 parameter (maximising applied pressure), and the water spray rate falls to secondary importance.

3 To establish more realistic watertightness tests, the least favourable WDR (i.e., water spray rate) and  
4 DRWP (i.e.,  $\Delta P$ ) which may occur on each façade should be simulated. For this purpose, one can set the  
5 frequency or return period associated with the climatic conditions that the façade should withstand  
6 without losing watertightness. Taking into consideration longer return periods to evaluate watertightness  
7 performance leads to simulating more infrequent and extreme WDR and DRWP exposures (i.e., more  
8 demanding test parameters). Thus, the return period for climatic conditions to be examined (normally 10-  
9 30 years) [15, 32] can be used as a design factor that defines the operating performance of the building  
10 façade.

11 Calculating WDR and DRWP exposure combinations associated with a specific return period has  
12 represented a challenge for researchers [15-18] that has finally been resolved using both alternative  
13 methods [19-22]. A presentation and analysis of the theoretical foundations, comparative advantages and  
14 differences of each method are given below.

### 15 *2.1 Pareto-front analysis*

16 This method allows estimating extreme combinations of wind velocity and rain intensity for different  
17 locations and return periods. For this purpose, only the highest climatic records were selected using the  
18 notion of Pareto efficiency. These records are then subjected to a Point-Over-Threshold (POT) analysis  
19 [33-36]. Each estimated extreme combination for a set return period allows obtaining the WDR and  
20 DRWP values that define the test parameters to be used (water spray rate and  $\Delta P$ , respectively) [22].

21 To apply the method, available climatic records of the location should be represented using a scatterplot  
22 (X: wind velocity U; Y: rainfall intensity  $R_h$ ). These records should match with short time intervals,  
23 similar in duration to those of the tests (5-20 min). The farthest points from the origin represent the most

1 extreme records. Thus, the Pareto efficiency criterion can be used to determine the Pareto-optimal front  
2 that connects each Pareto-point (i.e., those records for which there is no higher value in either the X or Y  
3 axis, without simultaneously leading to a lower value in the other axis). The records contained in this first  
4 Pareto-front represent the  $R_h$  and U values that have caused the highest combinations of WDR and DRWP  
5 exposures over the data collection period. Discarding the points of this first Pareto-front, a second Pareto-  
6 front between the remaining records can be identified, and the first 10 Pareto-fronts can similarly be  
7 determined.

8 The Pareto-points contained in the first 10 Pareto-fronts represent a sufficiently low number of records  
9 above a high exposure threshold. Thus, proposing a POT analysis to determine  $R_h$ -U combinations  
10 associated with different return periods is reasonable. However, to apply the POT analysis, each Pareto-  
11 point defined by two variables ( $R_h$ -U) should be previously associated with a single representative value.

12 For this, the 10 straight lines that best fit the records of the first 10 Pareto-fronts should be determined.

13 The mean slope of these lines can be calculated to determine the intersection between the mean slope line  
14 that passes through each Pareto-point and one of the axes (X or Y). Thus, each Pareto-point is associated  
15 with a unique intersection value that can be used in the POT analysis. To apply this analysis, a  
16 Generalised Pareto Distribution (GPD) is defined that is fitted to the cumulative distribution function  
17 (CDF) of the intersection values, thereby allowing the intersection associated with a specific return period  
18 to be estimated.

19 Fixing a return period to assess the façade's watertightness performance, the mean slope line based on the  
20 estimated intersection value represents the  $R_h$ -U combinations associated with that return period in the  
21 location (i.e., it represents approximately the Pareto-front associated with this return period).

22 To limit maximum U and minimum  $R_h$  combinations, only the Pareto-point of highest U of each of the  
23 first 10 Pareto-fronts are selected, determining a line of best fit. Similarly, selecting only the Pareto-point  
24 of the greatest  $R_h$  of each front can also define a best fit line that limits the combinations of maximum  $R_h$

1 and minimum  $U$ . The intersection points between these two limit lines and the mean slope line represent  
2 the extreme  $R_h$ - $U$  combinations that may arise in the enclosure for the set return period. The bisecting line  
3 of both limit lines can also be used to define average combinations of both climatic records [22]. Fig. 1  
4 shows a schematic summary of these steps. An application example of the method is also developed in  
5 section 3.1.

6 The parameters for the water spray rate (i.e., WDR) and  $\Delta P$  (i.e., DRWP) can be estimated based on these  
7 extreme  $R_h$ - $U$  values via different semi-empirical approximations [17, 27, 37-40], CFD [28-30, 41-43] or  
8 combinations thereof [21]. The operating condition of each façade should be considered when making  
9 these approximations (e.g., the height or roughness of the surrounding terrain) as this condition influences  
10 the WDR and DRWP values. Thus, when a pair of extreme  $R_h$ - $U$  values associated to a fixed return  
11 period is determined, the test parameters will vary for each possible operating condition of the façade.

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13 **Fig. 1.** Overall scheme of Pareto-front method and its graphic procedure.

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## 15 *2.2 Bayesian performance-based analysis.*

16 This second method enables the estimation of the simultaneous WDR and DRWP values associated with  
17 a specific return period. For this purpose, the return period associated with any combination of WDR and  
18 DRWP exposure is estimated via a Bayesian analysis of conditioned probability [19]. To resolve this  
19 probability equation, the WDR and DRWP values are estimated using approximations similar to those of  
20 the previous method. Thus, the same prior climactic records are used in this method. The solution to the  
21 resulting system of equations allows the determination of the test parameters associated with specific  
22 design performances, operating conditions and locations.

1 To estimate the  $DRWP_z$  (Pa) value, the Bernoulli equation can be used (Eq. 1), considering the height  $z$   
 2 (m) of the façade and the roughness of the surrounding terrain  $\alpha$  (-) by means of the wind profile power-  
 3 law [44]. The records for wind velocity  $U_{10}$  (m/s) are only those that are simultaneous with precipitation  
 4 and are taken under reference conditions (open and clear areas at a height of 10 m above ground level)  
 5 [45]. For a conservative estimate, a pressure coefficient  $C_p$  of 1 and a constant air density  $\rho$  of 1.2 kg/m<sup>3</sup>  
 6 can be used [46].

$$DRWP_z = C_p \cdot \frac{1}{2} \cdot \rho \cdot (U_{10})^2 \cdot \left(\frac{z}{10}\right)^{2\alpha} \quad (1)$$

7 To estimate WDR exposure, one can combine the semi-empirical equation defined by Straube and  
 8 Burnett [27], the terminal velocity value for raindrops determined by Dingle and Lee [47] and the  
 9 calculation for the predominant diameter of water droplets developed by Cornick et al. [48]. As a result,  
 10 Eq. 2 represents the  $WDR_z$  value (l/m<sup>2</sup>) at the uppermost corners of a building of height  $z$  (m) and  
 11 surroundings  $\alpha$  (-), where  $R_h$  (l/m<sup>2</sup>) represents the intensity of precipitation and  $U_{10}$  (m/s) the simultaneous  
 12 records of wind velocity.

$$WDR_z = \frac{U_{10} \cdot \left(\frac{z}{10}\right)^\alpha}{-0.184478 \cdot (R_h)^{-1} + 5.471534 \cdot (R_h)^{-0.768} - 0.988909 \cdot (R_h)^{-0.536} + 0.061193 \cdot (R_h)^{-0.304}} \quad (2)$$

13 Finally, the combined probability of two values for  $WDR_{z_i}$  and  $DRWP_{z_i}$  (i.e., its combined return period)  
 14 can be established as a conditional probability analysis using Bayes' Theorem (Eq. 3) [49].

$$\frac{1}{Return\ period} = P(WDR_{z_i} \cap DRWP_{z_i}) = P(DRWP_{z_i}) \cdot P(WDR_{z_i} | DRWP_{z_i}) \quad (3)$$

15 Given that  $DRWP_{z_i}$  depends only on the climatic variable  $U_{10}$  (see Eq. 1), its probability may be  
 16 substituted by the occurrence probability of the necessary  $U_{10_i}$  value. Similarly, the probability of  $WDR_{z_i}$   
 17 if there is a simultaneous wind velocity  $U_{10_i}$  (see Eq. 2) may be substituted by the occurrence probability

1 of the necessary  $R_{hi}$  value (Eq. 4). To calculate both probabilities  $P(U_{10i})$  and  $P(R_{hi})$ , this method  
 2 suggests an analysis of the annual maxima series of the two climatic records and the application of the  
 3 Gumbel Distribution [50].

$$\frac{1}{\text{Return period}} = P(WDR_{zi} \cap DRWP_{zi}) = P(U_{10i}) \cdot P(R_{hi}) \quad (4)$$

4 The resulting three-equation system (Eqs. 1, 2, 4) raises five unknowns ( $WDR_z$ ,  $DRWP_z$ , *Return period*,  $R_h$   
 5 and  $U_{10}$ ) for each façade defined by its operating conditions  $z$  and  $\alpha$ . By setting two of these unknowns,  
 6 the remaining three can be determined. Thus, setting the  $WDR_z$  as equal to the water spray rate used in the  
 7 test and determining a *Return period* for evaluating the watertightness design performance yields the  
 8  $DRWP_z$  (i.e.,  $\Delta P$ ) value that must be overcome in the test. Similarly, by setting the water spray rates and  
 9  $\Delta P$  surpassed during a test ( $WDR_z$  and  $DRWP_z$ , respectively), the design *Return period* of the façade for  
 10 any operating condition  $z$ - $\alpha$  in the location can be obtained. Fig. 2 shows a schematic summary of the  
 11 steps described here. An application example of the method is also developed in section 3.2.

12 Given that  $\Delta P$  is always the main test parameter for watertightness tests, setting the  $DRWP_z$  value and the  
 13 design *Return period* to calculate the  $WDR_z$  (i.e., the water spray rate) to surpass does not make practical  
 14 sense [19]. Similarly, although the equation system always leads to a dual solution (higher  $U_{10}$  - lower  $R_h$ ;  
 15 higher  $R_h$  - lower  $U_{10}$ ), only the first solution ends up being interesting for application to these tests as it  
 16 offers a greater value for DRWP (i.e.,  $\Delta P$ ).

17 A later development of this method has also defined an additional step for extrapolating those results to  
 18 different exposure intervals using only the original climatic records [20]. Thus, the necessary test  
 19 parameters to recreate different exposure intervals from those of the original records can be estimated. In  
 20 turn, this allows for a comparison of the results obtained from watertightness tests with different exposure  
 21 intervals.



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**Fig. 2.** Overall scheme of the Bayesian performance-based method and its equation system solution.

*2.3 Discussion*

The different theoretical approximations used by both procedures and its development establish the uncertainties and comparative advantages between them. Thus, different methodological aspects in the Pareto-front analysis that may affect the accuracy and usefulness of the estimated test parameters can be highlighted:

- Frequently, several Pareto-points of a Pareto-front are due to the same storm event and would thus be associated with a single return period. Identifying and discarding those points generated by events that are already included in prior Pareto-fronts is a complex task, and the criteria adopted for this elimination can alter the geometry of the fronts and thus the final results. The number of Pareto-fronts analysed (10 in the description shown herein) is also an arbitrary factor. These characteristics can alter the slope of the best fit lines and, thus, the mean slope used for the POT analysis. The simplification of the Pareto-fronts using best fit lines is another source of uncertainty as these fits are not precise [22]. Additionally, the mean slope used can be determined based on one fit line determined from all Pareto-points of the first 10 Pareto-fronts.
- For each operating condition and return period, the method determines a unique combination of test parameters (water spray rate and  $\Delta P$ ). Modifying both test parameters to evaluate each possible operating condition of the façade is not a functional proposition. In turn, using the method to establish water spray rate and  $\Delta P$  standardised ranges for the tests would reduce the ability of the test to precisely estimate watertightness performance under each specific service condition.

- 1 - The calculation is also difficult to automate due to the high number of intermediate steps and  
2 laborious analysis that they require (spreadsheet programs and tools for extreme value analysis are  
3 needed). However, the results found only refer to a single exposure interval (equal to the duration of  
4 the  $R_h-U$  records). Defining and adding additional steps would be necessary to estimate the test  
5 parameters associated with different exposure intervals used in the current tests.
- 6 - Although the three extreme combinations obtained can be interesting at the theoretical level, only the  
7 result for the extreme U combination is of interest for practical application in watertightness tests.  
8 The other combinations (extreme  $R_h$  and moderate  $R_h-U$ ) determine lower DRWP values and thus a  
9 lower main test parameter  $\Delta P$ .

10 Nevertheless, the method directly analyses recorded physical phenomena, leading to an exhaustive  
11 analysis of simultaneous  $R_h$  and U conditions (and with them, of WDR and DRWP exposures) on the  
12 façades of the location, which is a positive outcome. The limits of the extreme combinations identified  
13 can also be used to improve the standardised ranges for current test parameters.

14 The Bayesian performance-based analysis also shows methodological aspects that affect the precision and  
15 usefulness of its results:

- 16 - The mathematical approximations adopted to define Eqs. 1 and 2 (e.g., the wind profile power-law,  
17 terminal velocity of raindrops, or diameter of water droplets) can change the precision of the results.  
18 There are other equations that can also be used [15, 21], which would result in different test  
19 parameters. In addition, the method does not directly analyse the statistical simultaneity of the  $R_h-U_{10}$   
20 records but instead uses these approximations to define their conditioned probability. Thus, an  
21 additional source of uncertainty is added to the results.
- 22 - In turn, the equation system may not produce a result in certain cases, if the curves of both equations  
23 do not intersect (see Fig. 2). These cases can occur under conditions of high WDR exposure and  
24 relatively short return periods (which translate into lower DRWP values). However, this situation is

- 1 not simulated in the tests in which the water spray rate is always reduced and comparatively lower  
2 than the main test parameter  $\Delta P$ .
- 3 - The use of the Gumbel Distribution (based on annual maxima series) for calculating the occurrence  
4 probability of  $R_{h_i}$  and  $U_{10_i}$  values is less reliable than the POT analysis for limited historical records  
5 [51]. The calculation of the combined probability of  $WDR_{z_i}$  and  $DRWP_{z_i}$  exposures also does not  
6 guarantee that both values will occur during the same storm event.
  - 7 - Finally, the method does not identify the most unfavourable WDR and DRWP combinations that can  
8 arise on a façade for the set return period. Instead, it determines the most unfavourable  $R_h$  and  $U_{10}$   
9 combinations (i.e.,  $DRWP_z$  or  $\Delta P$ ) that may arise for the set return period and a WDR exposure equal  
10 to the water spray rate standardised in the test.

11 However, the Bayesian method allows for a functional and automatable application, making large-scale  
12 applications possible through specific tools [20]. If the set water spray rate for each test is known, the  
13 method is able to use the surpassed  $\Delta P$  to determine the design return period under any operating  
14 condition in the location. Similarly, it can estimate the  $\Delta P$  that should have been surpassed in the test to  
15 simulate a specific design return period. In addition, modifying current test equipment is not necessary  
16 because the method adapts to any exposure interval or water spray rate. All of these factors allow  
17 established parameters for different tests to be compared, assessing similar return periods and façade  
18 operating conditions [20]. Similarly, this method also enables one to take advantage of test results already  
19 performed on façade systems available on the market by estimating their performance under any  
20 operating condition and on any location.

21

### 22 **3. Application of both methods to a case study in Bilbao (Spain)**

23 Both methods are applied here to define test parameters associated with the same generic façade in Bilbao  
24 (Spain), which was 10 meters in height, located in flat surroundings, and free from obstacles. The

1 watertightness performance of the generic façade was set according to different return periods for climatic  
2 conditions for which the enclosure would maintain watertightness.

3 Bilbao is located on the northern coast of the Iberian Peninsula and is characterised by a high level of  
4 wind-driven rain exposure [52-53] and strong Atlantic winds occurring simultaneously with precipitation  
5 [53-54]. The meteorological station is located in the city airport and shares the open surroundings of the  
6 proposed façade. The availability of 10-minute climatic data, gathered over 14 years (1997-2010) by the  
7 Spanish Meteorological Agency (AEMET), determined the site selection. The number of gaps in the data  
8 is less than 7% (due to errors, meteorological instrument failures and maintenance works that cannot be  
9 associated with extreme weather conditions), giving a representative series of records to compare both  
10 methods.

### 11 *3.1 Pareto front analysis*

12 Following the procedure described above, Fig. 3 represents a scatterplot with the simultaneous  
13 combinations of wind velocity  $U_{10}$  (m/s) and precipitation intensity  $R_h$  ( $l/m^2$  10 min) present in the  
14 available 10-minute records. The first 10 Pareto-fronts have been identified on this scatterplot, and for  
15 each one, the slope of the line that best adjusts to its Pareto-points has been obtained. These slopes allow  
16 for the calculation of the mean slope, representing the slope that a generic Pareto-front would have in  
17 light of these records. Drawing a straight line with this mean slope from each Pareto-point provides  
18 different intersections with the Y axis. In total, 174 Pareto-points were identified in these first 10 Pareto-  
19 fronts, for a total of 174 Y-intersections (for the sake of simplicity, Fig. 3 only shows the line of the  
20 highest Y-intersection).

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22 **Fig. 3.** Scatterplot showing 15 years of 10-minute rainfall intensities and simultaneous wind velocities  
23 (grey dots represent Pareto-points not contained in the first 10 Pareto-fronts).

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2 Given that these Y-intersections represent the least favourable events from all available records, a POT  
3 analysis can be used to estimate the probability (i.e., return period) associated with each possible  
4 intersection value. Fig. 4 shows the empirical CDF for the Y-intersections and the theoretical CDF  
5 created from the best fit GPD for the empirical distribution. The Method of Moments was used to adjust  
6 the parameters of this GPD, also verifying the Mean Squared Error between both distributions.

7

8 **Fig. 4.** Empirical CDF of intersection values (grey line) and theoretical CDF of a GPD with  $\gamma =$   
9  $0.0161647$ ,  $\sigma = 1.77267$  and optimal threshold  $u = 10.1895$  (black line).

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11 The intersection values associated with different return periods can be obtained using Eq. 5, considering  
12 the time period analysed ( $n_{year} = 14$ ) and the number of intersections above the optimal threshold ( $N_u =$   
13  $81$ ). Table 1 shows the estimated intersection points for different return periods and the mean slope lines  
14 that represent a generic Pareto-front associated with each one.

$$\text{Y-Intersection} \approx u + \frac{\sigma}{\gamma} \left[ \left( \frac{n_{year}}{N_u \cdot \text{Return period}} \right)^{-\gamma} - 1 \right] \quad (5)$$

15

16 **Table 1.** Intersection points and line equations associated with different return periods, obtained via POT  
17 analysis.

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1 Fig. 5 shows these representative lines for different return periods and their intersection with the extreme-  
2 combination lines of most interest (dotted lines). Thus, the upper dotted line corresponds to the best fit  
3 line for the points of highest  $R_h$  in the first 10 Pareto-fronts, and the lower dotted line corresponds to the  
4 points of highest  $U_{10}$ . The intermediate dotted line (bisector of the previous two lines) can be used to  
5 estimate moderate combinations of both climatic variables.

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7 **Fig. 5.** The line intersections represent the interesting climate variables for different exposure  
8 combinations and return periods (*maximum  $R_h$  or  $U_{10}$  Pareto-point of each Pareto-front are also shown*).

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10 Finally, Table 2 gathers the  $R_h$  and  $U_{10}$  intersection values between the lines shown as well as the WDR  
11 and DRWP exposures (i.e., water spray rate and  $\Delta P$ ) that should be applied in the watertightness tests to  
12 simulate each return period and extreme combination for the façade in question ( $z = 10$  m). For a  
13 homogenous comparison of both procedures, the same approximations for estimating the WDR and  
14 DRWP exposures from the  $R_h$  and  $U_{10}$  values were used (Eqs. 1 and 2). Thus, the divergences that are  
15 truly attributable to each of the theoretical approaches can be estimated without alteration by these  
16 estimates.

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18 **Table 2.** Watertightness test parameters calculated by the Pareto-front method for different return periods  
19 and exposure combinations in the considered façade ( $z = 10$  m, Bilbao).

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21 *3.2 Bayesian performance-based analysis*

1 Following the above procedure involves using the system composed of Eqs. 1, 2 and 4. An annual  
 2 maxima series analysis based on the Gumbel Distribution is used to calculate the probabilities of Eq. 4.  
 3 The result is Eq. 4', where the values for mode  $\lambda$  and dispersion parameter  $\beta$  for each climatic variable  
 4 depend only on the annual maxima series recorded in the location. The detailed calculation of these  
 5 Gumbel Distribution parameters  $\lambda$  and  $\beta$  for each variable is shown in Tables 3 and 4.

$$\frac{1}{\text{Return period } (WDR_{z_i} \cap DRWP_{z_i})} = \left( 1 - \exp^{-\exp \left( \frac{-(U_{10_i} - \lambda(U_{10}))}{\beta(U_{10})} \right)} \right) \cdot \left( 1 - \exp^{-\exp \left( \frac{-(R_{h_i} - \lambda(R_h))}{\beta(R_h)} \right)} \right) \quad (4')$$

6  
 7 **Table 3.** Summary of the highest annual precipitation recorded in a 10-minute interval and the calculation  
 8 of Gumbel distribution parameters.

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 10 **Table 4.** Summary of the highest annual wind velocity recorded in a 10-minute interval and the  
 11 calculation of the Gumbel distribution parameters.

12  
 13 Normally, the water spray rate of the test and the design return period are considered to determine the  $\Delta P$   
 14 value to surpass in the watertightness trial. However, to compare both methods, the system composed of  
 15 Eqs. 1, 2 and 4' was solved by setting the return periods and WDR values that were already identified by  
 16 the Pareto-front analysis for different extreme combinations (see Tables 2 and 5). The results DRWP (i.e.,  
 17  $\Delta P$ ),  $R_h$  and  $U_{10}$  permit an analysis of divergences between both procedures for identical conditions.

18 As indicated in section 2.3, the equation system offers two possible solutions: a solution with a higher  $R_h$   
 19 value and another solution with a higher  $U_{10}$  value. An intermediate solution can also be defined,

1 considering the middle point between both previous solutions. For example, Fig. 6 shows the solutions  
2 associated with 5- and 10-year return periods for the extreme  $R_h$  combination. The return period was set  
3 as 5 and 10 years, and the WDR value was set as 20.72 and 26.78 l/m<sup>2</sup> 10 min, respectively (see Table 2).  
4 From a practical standpoint, the most interesting solution for watertightness tests is the one with a higher  
5  $U_{10}$  value as it will determine higher and more demanding DRWP values (i.e.,  $\Delta P$ ) for testing.

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7 **Fig. 6.** Graphic solution of the Eqs. 2 and 4' system for the extreme  $R_h$  combination (Table 2): 5-year  
8 return period (black lines) and 10-year return period (grey lines).

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10 As shown, the system of equations proposed in the Bayesian method does not solve the extreme  $R_h$   
11 combination for the WDR values presented in Table 2 and associated with return periods longer than 5  
12 years. In these cases, the curves shown in Fig. 6 do not intersect, and thus a mathematical solution cannot  
13 be found. This lack of a solution means there is no DRWP value that can occur with the set WDR, within  
14 the determined return period. The necessary return period to produce the set WDR would itself be greater  
15 than that proposed in Table 2. Table 5 demonstrates this impossibility and the results for the other  
16 extreme combinations.

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18 **Table 5.** Watertightness test parameters calculated by the Bayesian performance-based method for  
19 different return periods and exposure combinations in the considered façade ( $z = 10$  m, Bilbao). *The*  
20 *values fixed for solving the equation system are shown in bold type.*

21

22 *3.3 Comparison of results*



1 Using the results shown in Tables 2 and 5, Fig. 7 compares the estimated DRWP values (i.e.,  $\Delta P$ ) by each  
2 method for different return periods and the extreme  $U_{10}$  combination. This combination represents the  
3 least favourable conditions for watertightness tests as it returns the highest  $\Delta P$  values for developing the  
4 test. These values show divergences of less than 15%, and are identical for a 30-year return period. For  
5 longer return periods, the Bayesian method returns slightly more conservative test parameters.

6 One may also observe how the climatic variables  $R_h$  and  $U_{10}$  estimated by both methods show differences  
7 of 10% and 8% respectively, for identical return periods and WDR values. Given that the approximations  
8 for the exposure to other altitude  $z$  conditions or  $\alpha$  surroundings are based on these  $R_h$  and  $U_{10}$  variables  
9 (Eqs. 1 and 2), parameters estimated by both methods for any other operating condition will have a  
10 similar convergence.

11

12 **Fig. 7.** Estimated  $\Delta P$  values calculated by both methods to simulate extreme  $U_{10}$  combinations for the  
13 same WDR values and return periods in the considered façade ( $z = 10$  m, Bilbao).

14

15 If DRWP or  $\Delta P$  values associated with the WDR exposures identified in the moderate  $R_h$ - $U_{10}$   
16 combination are compared, a small difference is also observable. Fig. 8 represents the estimated  $\Delta P$   
17 values for different return periods as well as the solutions of higher  $R_h$  and  $U_{10}$  necessary for calculating  
18 the moderate combination in the Bayesian method (see Fig. 6). The  $R_h$  and  $U_{10}$  values show differences of  
19 less than 7% and 6%, respectively, whereas the maximum divergence between DRWP (i.e.,  $\Delta P$ ) values  
20 does not exceed 10%.

21 Again, the Bayesian method determines slightly more demanding test parameters than the Pareto-front  
22 analysis, remaining on the conservative side of any possible return period. As in the prior combination,  
23 these differences would be extrapolatable to any other operating condition in the location. In any case,

1 this moderate combination would impose less demanding values on the main test parameter ( $\Delta P$ ), which  
2 would suppose more favourable conditions for conducting the watertightness test.

3

4 **Fig. 8.** Estimated  $\Delta P$  values calculated by both methods to simulate moderate  $U_{10}$ - $R_h$  combinations for the  
5 same WDR values and return periods in the considered façade ( $z = 10$  m, Bilbao).

6

7 For the extreme  $R_h$  combination, the absence of the solution to the Bayesian method equation system  
8 prevents the comparison of methods. As such, there is no mathematical value for DRWP or  $\Delta P$  when  
9 combined with the WDR value presented in Table 5, which can arise during the specific return period.

10 The interpretation (based on the Bayesian analysis) is that the WDR values identified by the Pareto-front  
11 analysis could not arise during these return periods and could only exist in longer return periods.

12 However, this extreme combination does not represent the test scenarios, where the water spray rate fills a  
13 secondary role compared to the main test parameter  $\Delta P$ .

14

#### 15 **4. Conclusions**

16 This study is a comprehensive comparison of two alternative methods developed for determining realistic  
17 parameters to façade watertightness tests. Both methods are able to relate the real climatic conditions and  
18 the parameters used in these tests, based on a performance criterion. This should help to improve the  
19 current façade designs, accurately characterising its watertightness performance. By applying the  
20 Bayesian and Pareto-front methods to the same case study, the test parameters obtained are reasonably  
21 similar, with the Bayesian performance-based method being slightly more conservative. In order to  
22 completely validate the convergence of these methods would be advisable to extend the analysis to other

1 locations, climates and datasets. However, the results presented suggest an adequate overall convergence  
2 of both methods.

3 In addition, a theoretical comparison has identified the potential and uncertainties present in both  
4 methods, opening the door for future improvements. The Bayesian method is ideal for efficient use in the  
5 construction industry, given its greater functionality and potential of automation. So, the results of a  
6 standardised test can be used to assess the watertightness performance of a façade system under any  
7 operating condition. However, the need to use the water spray rate of the test to resolve the equation  
8 system may lead to omit the simulation of the most extreme climatic combinations. Therefore, the review  
9 of this test parameter, establishing more suitable and adjusted test values for reasonable return periods can  
10 improve the reliability and accuracy of the Bayesian method. In this regard, the Pareto-front analysis is  
11 capable of determining realistic extreme limits for these combinations and so it could be used to review  
12 the current standardised water spray rates in a general way (the practical application of this method for  
13 each operating condition is not functional).

14 Thus, both procedures can contribute to improve the current design of building façades: allowing a  
15 functional assessment of its watertightness performance in any operating condition or location (Bayesian  
16 method), and adjusting the current water spray rates for standardised tests (Pareto-front method),  
17 indirectly increasing the reliability and accuracy of the Bayesian method.

18

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1

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**Table 1.**

Intersection points and line equations associated with different return periods, obtained by a POT analysis.

<b>Return period</b>	<b>Y-Intersection</b>	<b>Line equation in the scatterplot</b>
5	16.319	$R_h = -0.75897 \cdot U_{10} + 16.319$
10	17.624	$R_h = -0.75897 \cdot U_{10} + 17.624$
15	18.394	$R_h = -0.75897 \cdot U_{10} + 18.394$
20	18.944	$R_h = -0.75897 \cdot U_{10} + 18.944$
25	19.371	$R_h = -0.75897 \cdot U_{10} + 19.371$
30	19.722	$R_h = -0.75897 \cdot U_{10} + 19.722$
40	20.278	$R_h = -0.75897 \cdot U_{10} + 20.278$
50	20.711	$R_h = -0.75897 \cdot U_{10} + 20.711$
75	21.501	$R_h = -0.75897 \cdot U_{10} + 21.501$
100	22.065	$R_h = -0.75897 \cdot U_{10} + 22.065$

**Table 2.**

Watertightness test parameters calculated by the Pareto-front method for different return periods and exposure combinations in the considered façade ( $z = 10$  m, Bilbao).

Return period	<i>Extreme <math>R_h</math> combination</i>				<i>Extreme <math>U_{10}</math> combination</i>				<i>Moderate <math>U_{10}</math> and <math>R_h</math> combination</i>			
	$R_h$	$U_{10}$	WDR	DRWP	$R_h$	$U_{10}$	WDR	DRWP	$R_h$	$U_{10}$	WDR	DRWP
<b>5</b>	21.68	7.06	2.072	29.900	0.96	20.24	0.449	245.740	5.89	13.75	1.342	113.405
<b>10</b>	23.97	8.37	2.678	42.004	1.31	21.49	0.613	277.195	6.22	15.03	1.536	135.534
<b>15</b>	25.33	9.14	3.067	50.111	1.52	22.24	0.714	296.648	6.41	15.79	1.655	149.519
<b>20</b>	26.30	9.69	3.359	56.332	1.67	22.76	0.789	310.931	6.55	16.33	1.743	159.917
<b>25</b>	27.05	10.12	3.595	61.429	1.78	23.18	0.848	322.288	6.66	16.75	1.812	168.258
<b>30</b>	27.67	10.47	3.793	65.773	1.88	23.51	0.897	331.750	6.75	17.09	1.870	175.253
<b>40</b>	28.65	11.03	4.117	72.955	2.03	24.05	0.976	347.016	6.89	17.64	1.963	186.624
<b>50</b>	29.41	11.46	4.377	78.808	2.14	24.47	1.040	359.145	7.00	18.06	2.038	195.729
<b>75</b>	30.80	12.25	4.871	90.078	2.35	25.23	1.158	381.833	7.20	18.84	2.176	212.916
<b>100</b>	31.79	12.82	5.238	98.580	2.51	25.77	1.245	398.445	7.35	19.39	2.277	225.621

Return period (years);  $R_h$  ( $l/m^2$  10 min);  $U_{10}$  (m/s); WDR ( $l/m^2$  min); DRWP (Pa)

**Table 3.**

Summary of the highest annual precipitation recorded in a 10-minute interval and the calculation of Gumbel distribution parameters.

<b>Bilbao airport. Station 1082. 43°17'53"N 02°54'21"W</b>			
Year	Máximum $R_h$ (l/m <sup>2</sup> in 10 minutes)	Year	Máximum $R_h$ (l/m <sup>2</sup> in 10 minutes)
1997	10.1	2004	6.5
1998	9.1	2005	12.7
1999	6.3	2006	10.7
2000	9.0	2007	10.2
2001	9.9	2008	8.9
2002	6.7	2009	10.2
2003	6.9	2010	6.7

Magnitude	Value	Comment
$N$	14	Number of $x_i$ data.
$\bar{x}$	8.85000	Data average: $\bar{x} = \sum x_i / N$
$\sigma_x$	1.88443	Standard deviation: $\sigma_x = \sqrt{\sum (x_i - \bar{x})^2 / N}$
$\lambda_y$	0.51000	Data average of 1 to $N y_i$ values (reduced variable): $y_i = -\ln(\ln(N + 1/i))$ (Only depends on $N$ value and could be approximated by a constant value of 0,5772)
$\sigma_y$	1.00950	Standard deviation of 1 to $N y_i$ values (reduced variable): $y_i = -\ln(\ln(N + 1/i))$ (Only depends on $N$ value and could be approximated by a constant value of 1,2856)
$\lambda_{(Rh)}$	<b>7.89788</b>	Mode: $\lambda = \bar{x} - \lambda_y \frac{\sigma_x}{\sigma_y}$
$\beta_{(Rh)}$	<b>1.86674</b>	Dispersion parameter: $\beta = \frac{\sigma_x}{\sigma_y}$

**Table 4.**

Summary of the highest annual wind velocity recorded in a 10-minute interval and the calculation of Gumbel distribution parameters.

<b>Bilbao airport. Station 1082. 43°17'53"N 02°54'21"W</b>			
Year	Máximum $U_{10}$ (m/s)	Year	Máximum $U_{10}$ (m/s)
1997	15.0	2004	17.7
1998	13.0	2005	16.7
1999	17.6	2006	18.2
2000	12.4	2007	19.1
2001	12.7	2008	18.6
2002	13.6	2009	20.9
2003	16.8	2010	15.4

Magnitude	Value	Comment
$N$	14	Number of $x_i$ data.
$\bar{x}$	16.26429	Data average: $\bar{x} = \sum x_i / N$
$\sigma_x$	2.54660	Standard deviation: $\sigma_x = \sqrt{\sum (x_i - \bar{x})^2 / N}$
$\lambda_y$	0.51000	Data average of 1 to $N y_i$ values (reduced variable): $y_i = -\ln(\ln(N + 1/i))$ (Only depends on $N$ value and could be approximated by a constant value of 0,5772)
$\sigma_y$	1.00950	Standard deviation of 1 to $N y_i$ values (reduced variable): $y_i = -\ln(\ln(N + 1/i))$ (Only depends on $N$ value and could be approximated by a constant value of 1,2856)
$\lambda_{(U10)}$	<b>14.97760</b>	Mode: $\lambda = \bar{x} - \lambda_y \frac{\sigma_x}{\sigma_y}$
$\beta_{(U10)}$	<b>2.52269</b>	Dispersion parameter: $\beta = \frac{\sigma_x}{\sigma_y}$

**Table 5.**

Watertightness test parameters calculated by the Bayesian performance-based method for different return periods and exposure combinations in the considered façade ( $z = 10$  m, Bilbao).

The values fixed for solving the equation system are shown in bold type.

Return period	<i>Extreme <math>R_h</math> combination</i>				<i>Extreme <math>U_{10}</math> combination</i>				<i>Moderate <math>U_{10}</math> and <math>R_h</math> combination</i>			
	$R_h$	$U_{10}$	WDR	DRWP	$R_h$	$U_{10}$	WDR	DRWP	$R_h$	$U_{10}$	WDR	DRWP
5	10.40	13.18	<b>2.072</b>	104.230	1.06	18.75	<b>0.449</b>	210.979	5.52	14.51	<b>1.342</b>	126.339
10	-	-	<b>2.678</b>	-	1.38	20.64	<b>0.613</b>	255.684	5.85	15.82	<b>1.536</b>	150.094
15	-	-	<b>3.067</b>	-	1.56	21.72	<b>0.714</b>	282.972	6.05	16.56	<b>1.655</b>	164.620
20	-	-	<b>3.359</b>	-	1.69	22.47	<b>0.789</b>	302.934	6.20	17.09	<b>1.743</b>	175.263
25	-	-	<b>3.595</b>	-	1.79	23.04	<b>0.848</b>	318.569	6.32	17.50	<b>1.812</b>	183.758
30	-	-	<b>3.793</b>	-	1.88	23.51	<b>0.897</b>	331.652	6.42	17.83	<b>1.870</b>	190.796
40	-	-	<b>4.117</b>	-	2.01	24.25	<b>0.976</b>	352.735	6.57	18.36	<b>1.963</b>	202.161
50	-	-	<b>4.377</b>	-	2.11	24.81	<b>1.040</b>	369.411	6.69	18.76	<b>2.038</b>	211.177
75	-	-	<b>4.871</b>	-	2.29	25.85	<b>1.158</b>	400.965	6.91	19.50	<b>2.176</b>	228.048
100	-	-	<b>5.238</b>	-	2.41	26.58	<b>1.245</b>	423.920	7.07	20.02	<b>2.277</b>	240.404

Return period (years);  $R_h$  ( $l/m^2$  10 min);  $U_{10}$  (m/s); WDR ( $l/m^2$  min); DRWP (Pa)

## Figure captions

**Fig. 1.** Overall scheme of Pareto-front method and its graphic procedure.

**Fig. 2.** Overall scheme of Bayesian performance-based method and its equation system solution.

**Fig. 3.** Scatterplot showing 15 years of 10-minute rainfall intensities and simultaneous wind velocities (*grey dots represent Pareto-points not contained in the first 10 Pareto-fronts*).

**Fig. 4.** Empirical CDF of intersection values (grey line) and theoretical CDF of a GPD with  $\gamma = 0.0161647$ ,  $\sigma = 1.77267$  and optimal threshold  $u = 10.1895$  (black line).

**Fig. 5.** The line intersections represent the interesting climate variables for different exposure combinations and return periods (*maximum  $R_h$  or  $U_{10}$  Pareto-point of each Pareto-front are also shown*).

**Fig. 6.** Graphic solution of the Eqs. 2 and 4' system for the extreme  $R_h$  combination (Table 2): 5-year return period (black lines) and 10-year return period (grey lines).

**Fig. 7.** Estimated  $\Delta P$  values calculated by both methods to simulate extreme  $U_{10}$  combinations for the same WDR values and return periods in the considered façade ( $z = 10$  m, Bilbao).

**Fig. 8.** Estimated  $\Delta P$  values calculated by both methods to simulate moderate  $U_{10}$ - $R_h$  combinations for the same WDR values and return periods in the considered façade ( $z = 10$  m, Bilbao).



Figure 1

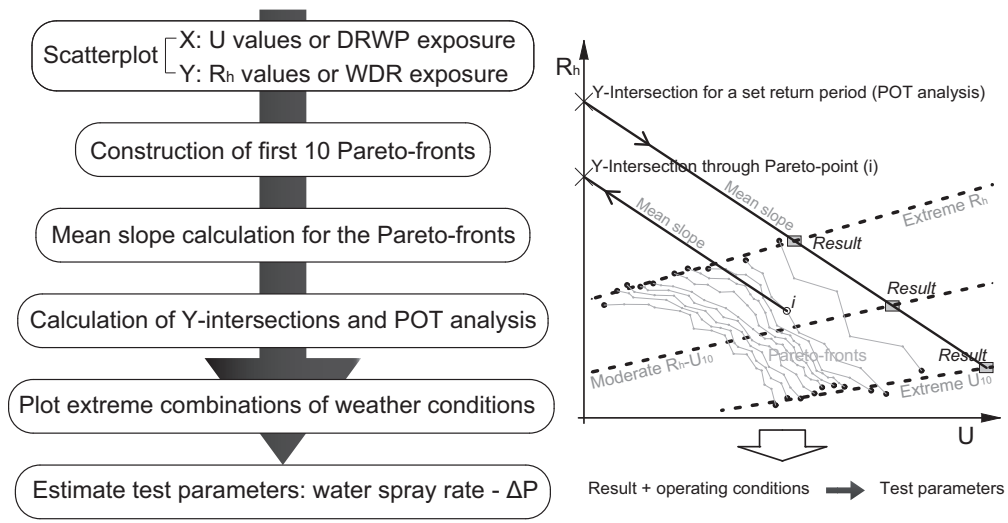


Fig. 1. Overall scheme of Pareto-front method and its graphic procedure.

Figure 2

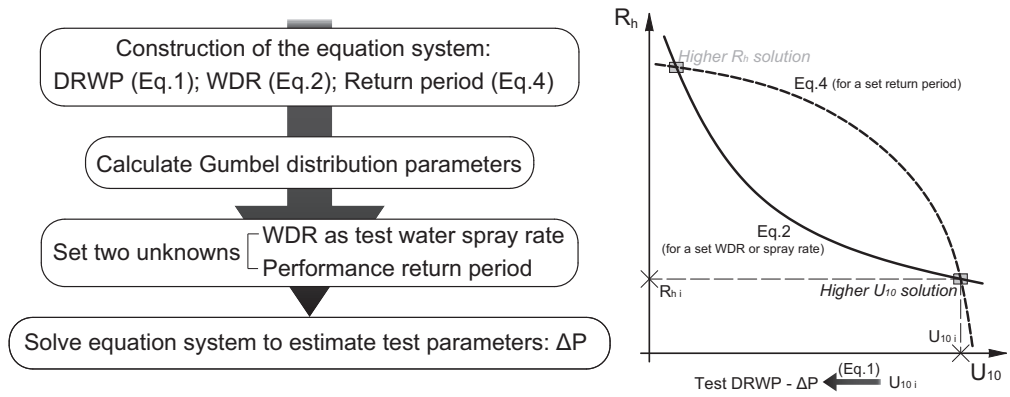


Fig. 2. Overall scheme of Bayesian performance-based method and its equation system solution.

Figure 3

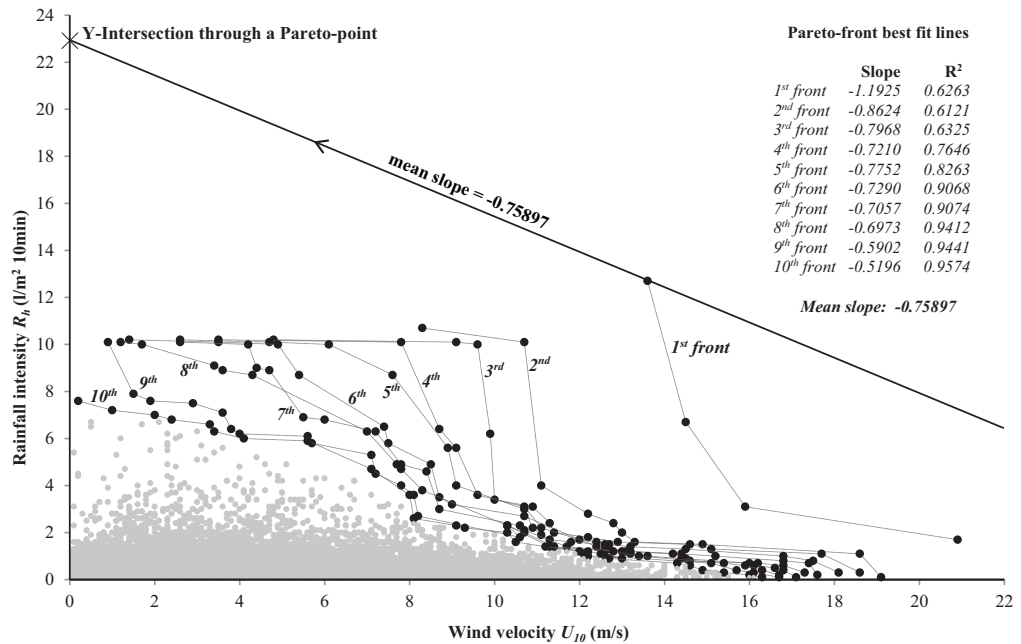
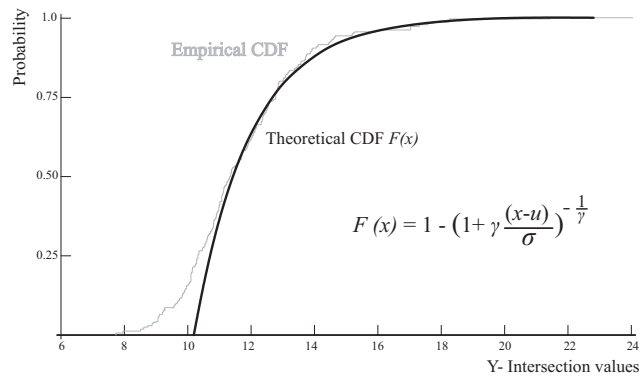


Fig. 3. Scatterplot showing 15 years of 10-minute rainfall intensities and simultaneous wind velocities (grey dots represent Pareto-points not contained in the first 10 Pareto-fronts).

Figure 4



**Fig. 4.** Empirical CDF of intersection values (grey line) and theoretical CDF of a GPD with  $\gamma=0.0161647$ ,  $\sigma=1.77267$  and optimal threshold  $u=10.1895$  (black line).

Figure 5

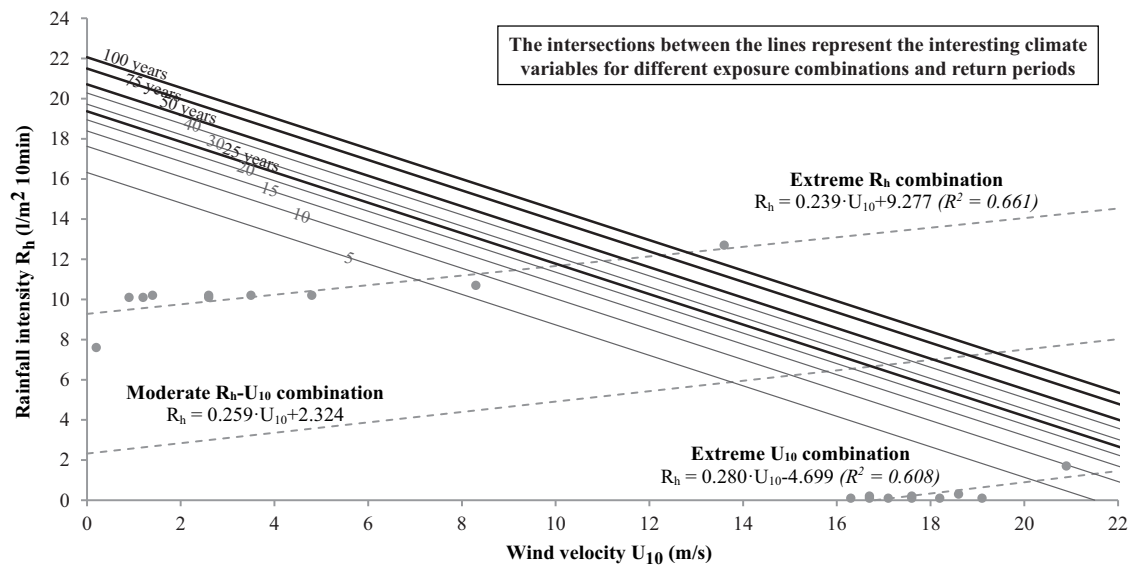
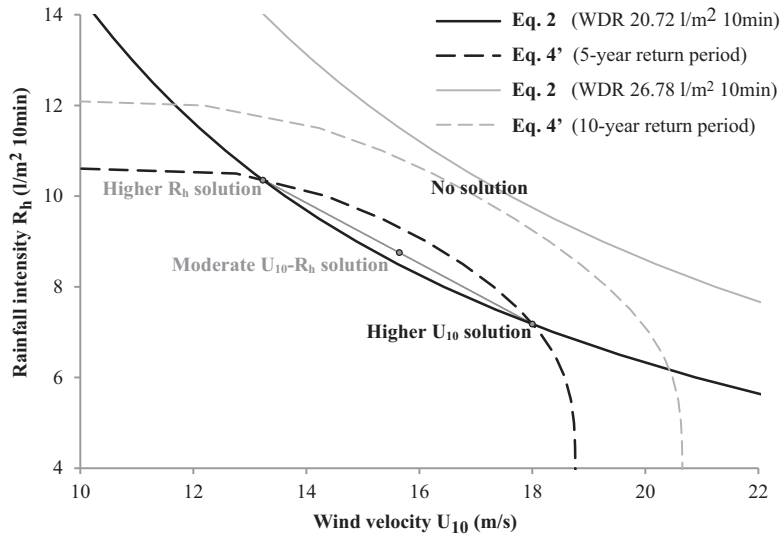


Fig. 5. The line intersection represent the interesting climate variables for different exposure combinations and return periods (*maximum  $R_h$  or  $U_{10}$  Pareto-point of each Pareto-front are also shown*).

Figure 6



**Fig. 6.** Graphic solution of the Eqs. 2 and 4' system for the extreme  $R_h$  combination (Table 2): 5-year return period (black lines) and 10-year return period (grey lines).

Figure 7

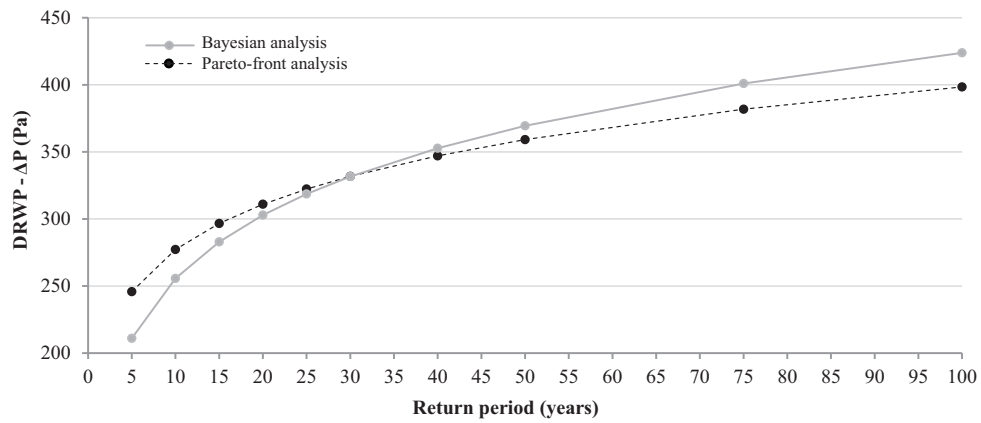


Fig. 7. Estimated  $\Delta P$  values calculated by both methods to simulate extreme  $U_{10}$  combinations for the same WDR values and return periods in the considered façade ( $z = 10$  m, Bilbao).

Figure 8

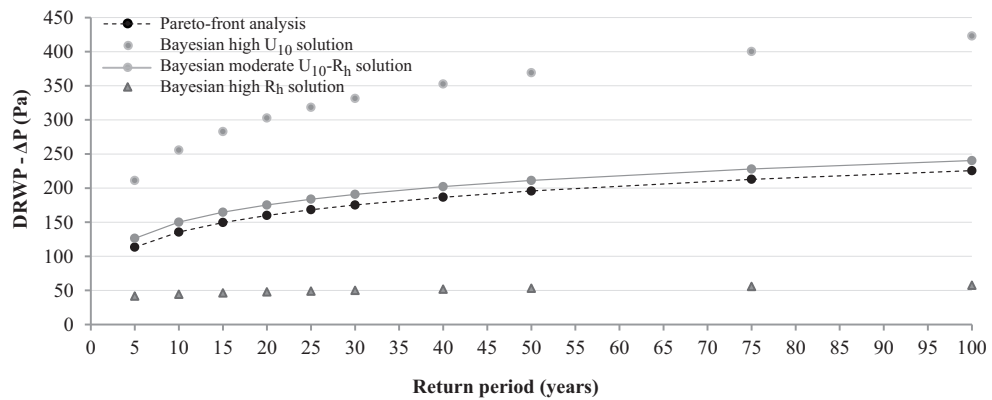


Fig. 8. Estimated  $\Delta P$  values calculated by both methods to simulate moderate  $U_{10}$ - $R_h$  combinations for the same WDR values and return periods in the considered façade ( $z = 10$  m, Bilbao).