1 2 2	Cheese whey valorisation: Production of valuable gaseous and liquid chemicals from lactose by aqueous phase reforming
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11	ABSTRACT
13	Cheese effluent management has become an important issue owing to its high
14	biochemical oxygen demand and chemical oxygen demand values. Given this scenario,
15	this work addresses the valorisation of lactose (the largest organic constituent of this
16	waste) by aqueous phase reforming, analysing the influence of the most important
17	operating variables (temperature, pressure, lactose concentration and mass of catalyst/
18	lactose mass flow rate ratio) as well as optimising the process for the production of
19	either gaseous or liquid value-added chemicals. The carbon converted into gas, liquid
20	and solid products varied as follows: 5-41%, 33-97% and 0-59%, respectively. The gas
21	phase was made up of a mixture of H_2 (8-58 vol.%), CO_2 (33-85 vol.%), CO (0-15
22	vol.%) and CH ₄ (0-14 vol.%). The liquid phase consisted of a mixture of aldehydes: 0-
23	11%, carboxylic acids: 0-22%, monohydric alcohols: 0-23%, polyhydric-alcohols: 0-
24	48%, C3-ketones: 4-100%, C4-ketones: 0-18 %, cyclic-ketones: 0-15% and furans: 0-
25	85%. H ₂ production is favoured at high pressure, elevated temperature, employing a
26	high amount of catalyst and a concentrated lactose solution. Liquid production is
27	preferential using diluted lactose solutions. At high pressure, the production of C3-
28	ketones is preferential using a high temperature and a low amount of catalyst, while a
29	medium temperature and a high amount of catalyst favours the production of furans.
30	The production of alcohols is preferential using medium temperature and pressure and a
31	low amount of catalyst.

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33	Keywords: cheese whey, lactose, aqueous phase reforming, renewable hydrogen and
34	value-added liquids.
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50 1. Introduction

51 Cheese whey is a yellowish liquid resulting from the coprecipitation and removal of 52 milk casein in cheese making processes. On average, during the production of 1 kg of 53 cheese, 9 kg of cheese whey is produced as a by-product. This corresponds to 5 million 54 tons a year of whey worldwide [1-4]. The typical composition of this waste is as 55 follows: 4.5-6 wt.% lactose, 0.6-1.1 wt.% proteins, 0.8-1 wt.% minerals, 0.05-0.9 wt.% 56 lactic acid, 0.06-0.5 wt.% fats and 93-94 wt.% water [1-4]. It has biochemical oxygen demand (BOD) and chemical oxygen demand (COD) values ranging from 27-60 kg/m³ 57 and 50-102 kg/m³, respectively. Therefore, it should not be directly discharged into the 58 59 environment without appropriate treatment and/or valorisation [3] hence cheese whey 60 management has become an important issue [1-4].

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62 Two alternative methods of cheese whey management have traditionally been addressed 63 [3]. The first is the application of physicochemical treatments and filtration 64 technologies. Physicochemical treatments include thermal and isoelectric precipitation 65 [5, 6], as well as protein precipitation with coagulant/flocculant agents [7]. Filtration 66 technologies include the use of ultrafiltration membranes and reverse osmosis [2]. The 67 second option relies on the application of biological treatments without valorisation, 68 such as aerobic digestion, and with valorisation such as anaerobic digestion, lactose 69 hydrolysis and fermentation [3]. Aerobic digestion consists of the degradation of the 70 organic matter in the whey at room temperature using short hydraulic retention times 71 [8]. Anaerobic digestion is conducted to convert lactose into propionic acid, ethanol and 72 lactose acetates [9]. Lactose hydrolysis is a preliminary step for other processes [4]. 73 Cheese whey fermentation includes the production of ethanol, lactic acid, and hydrogen and many more [10]. The bioconversion of lactose to ethanol has a theoretical maximum yield of 0.538 kg ethanol/kg of lactose [11, 12]. Anaerobic fermentation has a theoretical yield of 4 mol H_2 /mol lactose and produces a gas made up of a mixture of H_2 , CO₂ and CH₄.

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79 Another interesting and very promising option for the treatment and valorisation of 80 cheese whey effluents is aqueous phase reforming (APR). APR is a catalytic process carried out at quite low temperatures and moderate pressures that allows the 81 82 simultaneous production of different gases and liquids from an organic feedstock. The 83 gas phase consists of a gas with a high H₂ content, the liquid phase being a complex 84 mixture of different organic compounds in water such as alcohols, ketones, acids, esters, 85 aldehydes, furans, phenols and anhydro-sugars [13-16]. The product distribution 86 strongly depends on the operating conditions under which the process is conducted. 87 Therefore, APR can be customised either for the production of gases, helping to reduce 88 the BOD and COD values of the feed or for the production of valuable liquids. In 89 addition, the APR process dispenses with the need to vaporise the water and the organic 90 compounds of the feedstock, thus reducing the energy requirements [17]. To the best of 91 the authors' knowledge, there are no studies in the literature concerning the effect of the 92 operating conditions on the aqueous phase reforming of lactose or cheese whey. 93 Skoglund and Holles [18] developed different pseudomorphic overlayer catalysts (Ni/Al₂O₃, Co/Al₂O₃, Pt/Al₂O₃, Ni/SiO₂-Al₂O₃, Co/SiO₂-Al₂O₃ and Pt/SiO₂-Al₂O₃) for 94 95 the aqueous phase reforming of lactose. Furthermore, works dealing with the aqueous 96 phase reforming of sugars are very scarce. Such studies that there are analyse the 97 aqueous phase reforming of xylitol, sorbitol and galactitol.

99	Jiang et al. [19] studied the APR of xylitol for pentane production over Pt/HZSM-5 and
100	Ni/HZSM-5, analysing the effects of the reaction temperature, pressure and metal
101	loading on the xylitol conversion and pentane selectivity. Kirilin et al. [20] reported the
102	APR of xylitol in a continuous fixed bed reactor over three catalysts: Pt/Al_2O_3 , Pt/TiO_2
103	and Pt-Re/TiO ₂ . Xi et al. [21] prepared different M/NbOPO ₄ multifunctional catalysts
104	(M= Pt, Pd, Ru, Ir, Rh and Ni) for alkane production by the hydrodeoxygenation of
105	sorbitol in aqueous solution. Aiouache et al. [22] developed a lumped kinetic model for
106	the aqueous phase reforming of sorbitol. The model was tested at temperatures ranging
107	from 473 K to 523 K, using monometallic Ni and bimetallic Ni-Pd catalysts supported
108	on Al ₂ O ₃ , ZrO ₂ and CeO ₂ . Kirilin et al. [23] investigated the APR of xylitol and sorbitol
109	using a Pt/Al ₂ O ₃ catalyst. Godina et al. [24] analysed the APR of sorbitol and galactitol
110	using a Pt/Al_2O_3 catalyst in a continuous fixed-bed reactor at 225 °C.

112 Given this background, prior to deal with cheese whey, this work analyses the aqueous 113 phase reforming of a lactose solution, the major organic constituent of this waste, as a 114 possible option for the treatment and valorisation of cheese whey effluents and/or 115 lactose solutions recovered from whey. Specifically, the work addresses the effect of the 116 temperature, pressure, lactose concentration and W/mlactose ratio on the APR of lactose 117 using a Ni-based catalyst. The effect of the operating conditions on the production and 118 selectivity to the different gas and liquid products has never been reported to date. 119 Therefore, the effect of the operating variables on gas, liquid and solid production and 120 on the compositions of the gas and liquid phases has been exhaustively analysed. 121 Furthermore, optimal values for the production of gas and liquid products have also 122 been sought for their selective production. Consequently, this work represents a

123 challenging and novel investigation not only for the management and valorisation of

124 cheese whey but also for the valorisation of sugars or sugar-based streams.

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126 **2. Experimental**

127 2.1 Materials

128 The experiments were carried out in a small bench scale continuous unit for 3 hours

129 employing a Ni-La/Al₂O₃ catalyst. The catalyst was prepared by coprecipitation, having

130 28% (relative atomic percentage) of Ni expressed as Ni/(Ni+Al+La), an atomic La/Al

131 ratio of 0.035 and a Brunauer-Emmett-Teller (BET) surface area of 187 m^2/g . The

132 lactose solutions were prepared dissolving D-lactose monohydrate ($C_{12}H_{22}O_{11}\cdot H_2O$

133 Sigma Aldrich, CAS Number 64044-51-5, Bio-Ultra >99.5 % HPLC) in Milli-Q water.

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135 2.2 Experimental rig

136 The experimental rig used in the experiments was a microactivity unit designed and 137 built by PID (Process Integral Development Eng & Tech, Spain). It consists of a 138 stainless steel tubular reactor with an inner diameter of 9 mm, heated up by means of an 139 electric furnace [25, 26]. The system pressure is reached with the aid of a micrometric 140 valve that automatically adapts its position with the help of a rotor. A pressure gauge 141 located at the exit of the reactor measures the pressure of the reaction section. A PDI 142 control system is used to keep the reactor pressure constant during the experiments. The 143 aqueous solutions of lactose are fed into the reactor by means of a high performance 144 liquid chromatography (HPLC) pump (Gilson, model 307). The reaction products (gas 145 and liquids) leave the reactor from its upper part, pass through the valve, where they are 146 depressurised, and arrive at the condensation system. This system consists of several

147	condensers where the liquid products are separated from the gas mixture at intervals of
148	1 h to analyse the evolution over time of the liquid phase. The gas mixture is made up of
149	N ₂ , used as an internal standard, and the different gaseous products formed during the
150	aqueous phase reforming reaction. An Agilent M3000 micro chromatograph equipped
151	with thermal conductivity detectors (TCD) was used for the online analysis of the gas
152	phase. The liquid fractions were collected and analysed offline with a gas
153	chromatograph (Agilent 7890 GC-system, model G3440A) equipped with Flame
154	Ionization (FID) and Mass Spectrometry (MS) detectors. A schematic diagram of the
155	experimental system is shown in Figure S1.
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157 158	2.3 Operating conditions, response variables and statistical analyses
159	The effect of the temperature (200-240 °C), pressure (38-50 bar), lactose concentration
160	(1-10 wt.%) and catalyst mass/lactose mass flow rate ratio (W/m _{lactose} =10-40 g catalyst
161	min/g lactose) was experimentally analysed using a design of experiments (DOE) with
162	statistical analysis of the results carried out by means of an analysis of variance
163	(ANOVA). The effect of the operating conditions on the process was analysed for the
164	following response variables: global lactose conversion (X lactose, %), carbon
165	conversion to gas, liquid and solid products (CC gas %, CC liq %, and CC sol %) as
166	well as the composition of the gas (N ₂ and H ₂ O free, vol.%) and liquid (relative
167	chromatographic area free of water and un-reacted lactose, %). Table S1 summarises
168	the response variables and the analytical methods used for their calculation.
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172	The experiments, listed in Table S2, were designed using a 2^k factorial design, where k
173	indicates the number of factors studied (4 operating conditions) and 2 ^k represents the

174 number of runs (16). In addition, 5 replicates at the centre of the variation interval of 175 each factor were carried out in order to evaluate both the experimental error and the 176 curvature shown by the evolution of each variable. This factorial design minimises the 177 number of experiments needed to understand the effect on the process of the operating 178 variables and the interactions between them. As the response variables did not show a 179 linear trend, this design was increased with 8 axial runs following a Box-Wilson Central 180 Composite Face Centred (CCF, α : \pm 1) design, enabling the operating variables and 181 interactions responsible for the curvature to be identified without modifying the range 182 of study initially considered for the operating variables. The lower and upper limits of 183 all the operating variables were normalised from -1 to 1 (codec factors) to identify their 184 influence in comparable terms.

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186 For the analysis of the results, firstly the evolution over time of the response variables 187 was studied. To do this, the results were divided into three intervals, each corresponding 188 to the average value of the studied response variables obtained during each one of the 189 three hours of experiment. All these values (three per experiment) have been compared 190 using a one-way analysis of variance (one-way ANOVA) and Fisher's least significant difference (LSD) test, both with 95% confidence. The results of the ANOVA analyses 191 192 are provided as p-values. P-values lower than 0.05 indicate that at least two values are 193 significantly different. Furthermore, the LSD test was used to compare pairs of data, i.e. 194 either between two intervals of the same experiment or between two intervals of two 195 different experiments. The results of the LSD tests are presented graphically in the form 196 of LSD bars. To ensure significant differences between any pairs of data, their LSD bars 197 must not overlap. Secondly, the effect of the operating conditions was studied 198 considering the results corresponding to the first hour using a statistical analysis of

variance (one-way ANOVA) test with 95% confidence. This strategy allows not having
to include the effect of the variations with time of the different response variables in the
analysis. In addition, the cause-effect Pareto principle was used to calculate the relative
importance of the operating variables on each response variable.

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204 2.4 Possible reaction network for lactose aqueous phase reforming

205 A plausible reaction pathway for the aqueous phase reforming of lactose is shown in 206 Figure 1. The reaction network includes the formation of gases and liquid products. The 207 formation of these compounds starts with an initial lactose decomposition by hydrolysis 208 into glucose and galactose [27], which can subsequently be decomposed into other 209 intermediate liquids. Three possible parallel routes explain the formation of 210 intermediate liquids from these monomers: glucose/galactose isomerisation to fructose 211 (A) [27-30] and/or retro-aldol fragmentation to erythrose and 2-hydroxyacetaldehyde 212 (B) [30] and/or dehydration to 5-hydroxymethyl-2-furancarboxaldehyde [21, 22, 27, 28, 213 31-33] (C). Gases, mainly H₂ and CO, are produced by the thermal decomposition 214 and/or reforming reactions of the lactose and all the liquid intermediates (Eq.1) as well 215 as by all the decarbonylation reactions that release CO. In addition, the WGS reaction 216 (Eq.2) and the methanation reaction (the inverse of methane steam reforming reactions) 217 (Eq.3-4) are also possible, explaining the presence of CO_2 and CH_4 in the gas phase [34, 218 35].

219	$C_nH_mO_k + (n-k) H_2O \Leftrightarrow n CO + (n+m/2 -k) H_2$	(Eq.1)
220	$CO + H_2O \Leftrightarrow CO_2 + H_2$	(Eq.2)

221 $CO + 3 H_2 \Leftrightarrow CH_4 + H_2O$ (Eq.3)

 $222 \quad CO_2 + 4 H_2 \Leftrightarrow CH_4 + 2 H_2O \tag{Eq.4}$

224 2.4.1 Formation of products via fructose: route A

225 2,3-dihydroxypropanal and/or 1,3-dihydroxypropan-2-one are produced from fructose 226 via retro-aldol fragmentation [27-30]. The latter can undergo hydrogenation to produce 227 glycerol and/or decarbonylation to form ethane-1,2-diol [29, 30]. On the one hand, 228 glycerol can be dehydrated to 1-hydroxypropan-2-one, which can then undergo 229 hydrogenation to produce propane-1,2-diol [13, 14, 36-38]. Propane-1,2-diol can 230 subsequently be dehydrated to form propan-2-one and/or propionaldehyde, which can 231 be hydrogenated to propan-2-ol and propan-1-ol, respectively [36]. Afterwards, these 232 two chemicals can be further transformed into butane and propane [14, 36-38]. Ethanol 233 might be formed from the hydrogenation of propan-2-ol [14]. On the other hand, 234 ethane-1,2-diol can evolve towards 2-hydroxyacetaldehyde formation via 235 dehydrogenation, which might lead to the formation of methanol by decarbonylation 236 [13, 14], or to the production of acetaldehyde and/or ethanol via dehydration and 237 dehydration/hydrogenation, respectively [13, 14, 37]. Acetaldehyde can subsequently be 238 transformed into acetic acid and/or methane, while ethene and ethane can be produced 239 from ethanol [13, 14, 37]. 240 241 242

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259 Figure 1. Possible reaction pathways during the aqueous phase reforming of lactose.

- 261 2.4.2 Formation of products via erythrose and 2-hydroxyacetaldehyde: route B
- 262 Erythrose can undergo further hydrogenation to erythritol and/or

263	hydrogenation/dehydration to 1,2-butane-diol. Subsequently, 2-butanol can be produced
264	via hydrogenation/dehydration [30]. Butan-2-one and butane can be produced from the
265	dehydrogenation and hydrogenation/dehydration of this latter compound. Ethane-1,2-
266	diol can be produced from the hydrogenation of 2-hydroxyacetaledhyde and can either
267	undergo further hydrogenation/dehydration to ethanol and/or dehydration to
268	acetaldehyde. Ethanol can subsequently be dehydrated to ethene and hydrogenated to
269	produce ethane, while acetaldehyde can subsequently be transformed into acetic acid
270	and/or methane [13, 14, 37].

272 2.4.3 Formation of products via 5-hydroxymetyl-2-furancarboxaldehyde: route C

5-hydroxymetyl-2-furancarboxaldehyde can be transformed into 5-methyl-2-

274 furaldehyde via hydrogenation/dehydration, and/or hydrogenated to 5-hydroxymethyl-

tetrahydrofuran-2-carbaldehyde and/or decarbonylated/dehydrogenated to produce

furfural and/or can evolve to (E)-4-(5-(hydroxymethyl)furan-2-yl)but-3-en-2-one via

aldol-condensation [28, 31, 39, 40]. Subsequently, 5-methyl-2-furaldehyde can be

278 dehydrated giving formic acid and levulinic acid. This latter can be hydrogenated to 5-

279 methyldihydrofuran-2(3H)-one [33, 39, 40]. In addition, (1E,4E)-1,5-bis(5-

280 (hydroxymethyl)furan-2-yl)penta-1,4-dien-3-one and 2-(hydroxy(5-

281 (hydroxymethyl)tetrahydrofuran-2- yl)methyl)-5(hydroxymethyl)tetrahydrofuran-2-

282 carbaldehyde can be produced from (E)-4-(5-(hydroxymethyl)furan-2-yl)but-3-en-2-one

and 5-hydroxymethyl-tetrahydrofuran-2-carbaldehyde, respectively, via retro-aldol

condensation [28, 31, 39, 40]. Furthermore, furfural can undergo hydrogenation to 2-

furomethanol and/or decarbonylation to furan [32, 39, 41]. Furan can be hydrogenated

to tetrahydrofuran, which can firstly evolve to butanol through ring opening and

287 subsequently to butane via dehydration [42]. 2-furomethanol can undergo further 288 hydrogenation to 2- furanmethanol, tetrahydro- and/or hydrogenation/dehydration to 2-289 methylfuran and/or dehydration to 2-cylcopenten-1one [39]. Subsequently, 2-290 furanmethanol, tetrahydro can be hydrogenated/dehydrated to 2-methyl-tetrahydrofuran. 291 2-pentanol and 1,5-pentane-diol can be obtained from this latter chemical through 292 hydrogenation and ring opening, respectively [32]. 2-methylfuran can be hydrogenated 293 to 2-methyl-tetrahydrofuran and/or hydrogentated/dehydrated to 2methyl-2cyclopenten-294 lone and/or evolve to 1-pentanol via ring opening. Cyclopentanone can be produced 295 from the hydrogenation of 2cyclopenten-1one. This latter can be hydrogenated to 296 cyclopentanol [32].

297

3. Results and discussion

3.1 Global lactose conversion and carbon distribution: CC gas, CC liq and CC sol.

300 A complete and steady global lactose conversion (X lactose) was achieved in all the

301 experiments, indicating that all the lactose was converted into gas, liquid and solid

302 products. The C/O ratio close to 1 of lactose allows a complete conversion to be

achieved [34, 43]. Figure S2 shows the CC gas, CC liq and CC sol obtained for the

304 experiments, which vary as follows: 5-41%, 33-97% and 0-59%, respectively.

305 Increases and reductions in these variables over time are detected in some experiments.

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307 The general trend for the CC gas is a steady evolution. However, decreases over time

- 308 occur for some experiments (2-4, 6, 8, 13-15, 23, 24, 27 and 29). These decreases are
- 309 particularly marked for experiments 8, 13, 15 and 29. One exception is experiment 8,
- 310 where a lower W/m_{lactose} ratio (10 g catalyst min/g lactose) was used. The drops for the

311 CC gas are more marked at operating conditions under which gas production is more 312 favoured [25]. The CC liq displays increases (experiments 1,9-13, 23-25, 27-29) and 313 decreases (experiments 6 and 8) over time. In particular, sharp increases are observed 314 for runs 1, 11 and 13. Most of the slight decreases observed for the CC gas take place 315 along with increases in the CC liq (experiments 13, 14, 23, 24, 27 and 29). This 316 evolution of the C product distribution is consistent with the work of Wawrzetz et al. 317 [37] and Remón et al. [25], who demonstrated that the formation of H_2 and CO_2 via 318 dehydrogenation followed by decarbonylation with the subsequent water gas shift is one 319 of the fastest reactions during aqueous phase reforming. In addition, gas production 320 decreases with catalyst deactivation, thus increasing the production of intermediate 321 liquid products.

322 Sharp increases in the CC sol are observed for experiments 6, 8 and 15, while decreases 323 take place for experiments 1 and 11. Most of the decreases in the CC sol occur along 324 with increases in the CC liq and vice versa (6 and 8-10). The decrease observed in the 325 CC gas for experiments 13 and 15 accounts for the increases in the CC liq and CC sol, 326 respectively, which might indicate a change in the product selectivity over time. 327 Experiments 6 and 8 were performed using the highest temperature (240 °C) and lactose 328 concentration (10 wt.%), along with the lowest W/m_{lactose} ratio used in this work. Sugar 329 molecules are unstable at the temperatures of this process and quickly decompose 330 through pyrolysis, leading to the formation of char particles and gases [35]. This solid 331 residue (char) can also be obtained from polymerised degradation products such as 332 humic acids and large organic compounds ranging from C₈ to C₁₅ [23, 27, 28, 31, 33, 333 39, 41]. The formation of these macromolecules can occur from furfural and 5-334 hydroxymethyl-2-furancarboxaldehyde (route C). Furthermore, both lactose monomers 335 (glucose and galactose) can react with other liquid intermediates or with 5-

hydroxymethyl-2-furancarboxaldehyde by cross-polymerisation. These reactions are
favoured at high temperature and pressure [27, 28, 31, 33]. In addition, char obtained
from the pyrolysis of different biomass materials has been reported to have catalytic
activity for the reforming and cracking of different hydrocarbons [44-50], which
accounts for the decreases over time in the CC sol [34, 35] and the increases in the CC
liq observed in some experiments.

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343 The specific effect of the operating conditions as well as their possible interactions on 344 the process has been studied considering the results obtained during the first hour of 345 reaction. The models created in terms of codec factors considering the ANOVA analysis 346 and the relative importance of the operating variable taking into account the cause-347 effect Pareto analysis are shown in Table S3. The CC gas is strongly affected by the 348 lactose concentration, both linear and quadratic terms, and the interaction between the temperature and the $W/m_{lactose}$ ratio (T²W). An increase in the lactose concentration 349 increases the CC gas. The temperature and its interaction with the $W/m_{lactose}$ (T²W) are 350 351 the operating variables with the greatest effect on the CC liq and the CC sol. In addition, 352 significant interactions between the operating variables also influence the CC gas, CC 353 liq and CC sol.

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Figure 2 illustrates the effect of the operating variables and the most important

356 interactions on the product distribution in carbon basis, according to the ANOVA

analysis. Specifically, Figures 2 a and b, e and f, and i and j show the effects on the CC

358 gas, CC liq and CC sol of the reaction temperature, employing a pressure of 38 bar for

359 W/m_{lactose} ratios of 10 and 40 g catalyst min/g lactose, when feeding 1 and 10 wt.%

360 lactose solutions, respectively. Figures 2 c and d, g and h, and k and l display the effect 361 of the temperature for $W/m_{lactose}$ ratios of 10 and 40 g catalyst min/g lactose employing 362 a pressure of 50 bar when lactose solutions of 1 and 10 wt.%, respectively, were used.

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Figure 2. Interaction plots for the initial CC gas (a-d), CC liq (e-h) and CC sol conversion (i-l). Bars are
LSD intervals with 95% confidence.

369 3.1.1 Carbon conversion to gas: CC gas

370 The effect of the temperature on the CC gas depends on the pressure and concentration

371 of lactose in the solution. At 38 bar, two trends are observed depending on the lactose

372 concentration. When a 1 wt.% lactose solution is fed, the CC gas is low (8-14%) and

- 373 neither the temperature nor the $W/m_{lactose}$ exert a significant effect on the CC gas.
- 374 Conversely, for a 10 wt.% lactose solution, the temperature has a greater influence on
- 375 the CC gas and the effect of the temperature depends on the $W/m_{lactose}$ ratio. For 10 g

376	catalyst min/g lactose, the CC gas remains low and steady (around 12%) between 200
377	and 220 °C and decreases slightly with a further increase in the temperature up to 240
378	°C. For a $W/m_{lactose}$ ratio of 40 g catalyst min/g lactose an increase in the temperature
379	from 200 to 240 °C decreases the CC gas. In general, the CC gas is relatively low,
380	which indicates that gas formation is not favoured at low pressure [19, 23, 51]. In
381	addition, at 38 bar feeding a 10 wt.% lactose solution, the W/m _{lactose} ratio significantly
382	promotes gas production and an increase from 10 to 40 g catalyst min/g lactose
383	produces an increase in the CC gas due to its positive kinetic effect on cracking and
384	reforming reactions [25].
385	
386	At 50 bar of pressure, the temperature exerts a greater effect on gas production than at
387	38 bar, and greater amounts of CC gas are obtained, especially for concentrated lactose
388	solutions and high $W/m_{lactose}$ ratios. These conditions favour gas production from
389	lactose and its liquid intermediate due to the positive kinetic effect of the catalyst. An
390	increase in pressure favours gas formation [25] due to a increase in the rate of C-C bond
391	cleavage reactions [52]. An increase in the CC gas when increasing the total pressure of

the system has also been reported for the APR of xylitol [19] and other oxygenated

compounds [17, 53, 54].

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Regardless of the lactose concentration, the gas production displays a minimum with
temperatures between 200 and 240 °C. Specifically, an increase in temperature from 200
to 220 °C decreases the CC gas, while a further increase from 220 to 240 increases the
CC gas regardless of the lactose concentration and W/m_{lactose} ratio. This evolution
depends on the W/m_{lactose} ratio. For 10 g catalyst min/g lactose the CC gas is relatively
low and the decrease and the subsequent increase in the CC gas observed with the

401	variation in temperature are not as marked as for 40 g catalyst min/ g lactose. For 40 g
402	catalyst min/g lactose a pronounced decay followed by a sharp increase in the CC gas
403	occurs. At low temperatures (200-220 °C) the positive kinetic effect helps to shift the
404	reaction towards the formation of intermediate liquids, increasing the CC liq.
405	Conversely, a further increase in temperature up to 240 °C favours the transformation of
406	these liquid products into gases, decreasing the CC liq [25]. As regards the W/m _{lactose}
407	ratio, an increase from 10 to 40 g catalyst min/g lactose does not greatly modify the CC
408	gas for a 1 wt.% lactose solution, while it increases the CC gas for a 10 wt.% lactose
409	solution within the whole temperature range considered. Gas production is kinetically
410	favoured at high pressure and using a concentrated lactose solution due to the positive
411	kinetic effect of these variables [25].

The effect of the lactose concentration can be gathered comparing Figures 2 a with b and 2 c with d. This effect depends on the pressure and W/m_{lactose} ratio. For 10 g catalyst min/g lactose, the effect of the lactose concentration is very weak regardless of the pressure. Conversely, for 40 g catalyst min/g lactose the lactose concentration exerts a greater influence. At 38 bar, the CC gas increases within the whole temperature range considered, especially between 200 and 220 °C. At 50 bar, a great increase in the CC gas takes place for 40 g catalyst min/g lactose regardless of the reaction temperature.

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As regards the effect of the pressure, a comparison between Figures 2 a with 2 c and 2 b
with d shows the effect of this operating variable on the CC gas when 1 and 10 wt.%
lactose solution are used, respectively. For a 1 wt.% lactose solution, the effect of the
pressure is very weak. Conversely, for 40 g catalyst min/g lactose the decrease observed
in the CC gas is slightly greater. For a 10 wt.% lactose solution the CC gas does not

426 greatly vary when the pressure increases from 38 to 50 bar between 200 and 220 °C, 427 while a great increase in the CC gas takes places between 220 and 240 °C. The effect of 428 pressure is only significant when gas production is favoured [25]. The pressure exerts a 429 significant influence on the kinetics of the process, since an increase in the total 430 pressure of the system also increases the partial pressures of lactose and its 431 intermediates, increasing the reaction rates of lactose APR reactions and thus enhancing 432 gas production as reported in other works studying the APR of glycerol [17, 53, 54] and 433 sorbitol [19, 21].

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435 3.1.2 Carbon conversion to liquid and solid products: CC liq and CC sol

The CC liq and CC sol show opposite tendencies, i.e. an increase in the former takes place along with a decrease in the latter and vice versa. A statistically significant and high relationship (p-value < 0.0001; R² = 0.91) was found between the CC liq and CC sol by means of the Spearman's multivariate test.

440

441 The effect of the temperature on the CC liq and CC sol depends on the concentration of 442 lactose in the solution and the W/m_{lactose} ratio. For a 1 wt.% lactose solution and a 443 W/m_{lactose} ratio of 10 g catalyst min/g lactose, an increase in the reaction temperature 444 increases the CC liq and decreases the CC sol regardless of the pressure. Conversely, 445 when a W/m_{lactose} ratio of 40 g catalyst min/g lactose is used, the CC liq increases 446 between 200° and 220° and decreases with a further increase in the temperature up to 240 °C regardless of the lactose concentration. For a 10 wt.% lactose solution different 447 448 evolutions for the CC liq and CC sol are observed depending on the temperature, pressure and W/mlactose ratio. An increase in temperature decreases the CC liq and 449 450 increases the CC sol when a W/m_{lactose} ratio of 10 g catalyst min/g lactose is used. The

451 increase in the CC sol only occurs at low pressure (38 bar), while at high pressure (50

452 bar) the CC sol remains steady with the temperature and the decrease in the CC liq

453 occurs along with an increase in the CC gas. Conversely, for 40 g catalyst min/g lactose

the CC liq increases between 200 and 220 °C and decreases with a further increase in

455 temperature up to 240 °C.

456

457 The effect of the pressure on the CC liq/CC sol can be gathered comparing Figures 2 e/i

458 with g/k and 2 f/j with h/l for lactose concentrations of 1 and 10 wt.%, respectively.

459 The effect of the pressure is at its highest for 40 g catalyst min/g lactose, and an

460 increase from 38 to 50 bar between 200 and 230 °C increases the CC liq and reduces the

461 CC sol for a 1 wt.% lactose solution. For a 10 wt.% lactose solution an increase in the

462 pressure from 38 to 50 bar decreases the CC liq and increases the CC sol between 200

463 and 220 °C, while minor changes occur between 220 and 240 °C.

464

465 The effect of the W/m_{lactose} ratio depends on the lactose concentration and pressure. At 466 38 bar, an increase in the W/mlactose ratio from 10 to 40 g catalyst min/g lactose 467 decreases the CC liq and increases the CC sol for a 1 wt.% lactose solution. For a 10 468 wt.% lactose solution, an increase in the W/mlactose ratio from 10 to 40 g catalyst min/g 469 lactose increases the CC liq and decreases the CC sol between 210 and 230 °C. A 470 further increase in temperature up to 240 °C decreases the CC liq and increases the CC 471 sol. The analysis of the liquid product reveals that the concentration of furans in the 472 liquid increases when increasing the concentration of lactose in the solution for a low 473 W/m_{lactose} ratio at 38 bar. This suggests that polymerisation reactions might occur with a 474 lesser spread as the concentration of lactose increases. Therefore, for a diluted lactose

475 solution, an increase in the W/m_{lactose} ratio promotes polymerisation reactions, thus

476	increasing the CC sol. Conversely, for a concentrated lactose solution the thermal
477	decomposition of lactose might be responsible for char formation and an increase in the
478	$W/m_{lactose}$ ratio mitigates char formation and/or helps its removal. At temperatures
479	between 230 °C and 240 °C this increase in the $W/m_{lactose}$ ratio increases char
480	production, probably due to the higher spread of polymerisation reactions. At 50 bar, an
481	increase from 10 to 40 g catalyst min/g lactose does not significantly influence the CC
482	liq and CC sol between 200 and 220 °C for a 1 wt.% lactose solution. Conversely, for a
483	10 wt.% lactose solution, this increment in the $W/m_{lactose}$ ratio decreases and increases
484	the CC liq and CC sol, respectively, from 200 to 210 °C. Between 220 and 240 °C, an
485	increase in the W/m _{lactose} ratio from 10 to 40 g catalyst min/g lactose decreases the CC
486	liq and increases the CC sol regardless of the pressure due to the greater spread of
487	condensation and cross-polymerisation reactions [27, 28, 31, 33].

489 The temperature exerts a positive kinetic effect on the process. When a low amount of 490 catalyst is used, an increase in the temperature helps to decrease solid formation. 491 However, an increase in the amount of catalyst in the bed also produces a greater spread 492 of polymerisation, cross-polymerisation and condensation reactions from furfural and 5-493 hydroxymethyl-2-furancarboxaldehyde. These two latter compounds, found in some of 494 the liquid condensates, might lead to the formation of large molecules [23, 27, 28, 31, 495 33, 39, 41] that are insoluble in water and can promote char formation [27, 28, 31, 33]. 496 These chemical reactions leading to the formation of polymers are favoured at high 497 temperature and pressure. Therefore, at temperatures between 220 and 240 °C the CC 498 liq decreases and the CC sol increases very sharply as high temperatures promote 499 polymerisation reactions [27, 28, 31, 33] and lactose decomposition through pyrolysis, 500 leading to the formation of char particles [35].

502 3.2 Effect of the operating conditions on the volumetric composition of the gas

Figure S3 shows the composition of the gas obtained for the experiments divided into three intervals of 60 minutes each. The gas phase is made up of a mixture of H_2 (8-58 vol.%), CO₂ (33-90 vol.%), CO (0-18 vol.%) and CH₄ (0-14 vol.%). As regards the evolution of the gas composition over time, H_2 and CO₂ display the greatest variations. Statistically significant decreases are detected for the proportion of H_2 , while increases occur for the relative amount of CO₂ in the gas.

509

510 This H₂ depletion was also observed in the work of Kirilin et al. [23] during the APR of 511 xylitol and sorbitol. They reported that the oxygenated compounds formed during the 512 APR process might adsorb on the surface of the catalyst, thus hindering the catalyst 513 performance and leading to a decrease in the H₂ selectivity. This development is also 514 responsible for the increase in the proportion of CO_2 in the gas over time, as thermal 515 lactose decomposition might be more favoured over reforming with the progressive 516 deactivation of the catalyst. In addition, the decrease in the proportion of H₂ in the gas 517 may also be attributed to the structural changes of the alumina of the support [23]. The 518 alumina of the catalyst support can be transformed into boehmite by water [52, 55, 56]. 519 This transformation was reported in a previous work where this catalyst was used for 520 the APR of crude glycerol [25].

521

522 The proportions of CO and CH₄ remain steady for the vast majority of the experiments.

523 In general, small variations are detected for the proportion of CO in the gas. One

- 524 exception is experiment 16, where a relatively high increase in the proportion of CO
- 525 over time takes place. The proportion of CH₄ shows small variations over time. In some

experiments an initial decrease in the proportion of CH₄ takes place between the first
and second hour of reaction, along with a posterior increase in its relative amount
between the second and third hour. However, these variations are not important from a
practical point of view due to the relatively low amount of CH₄ in the gas.

530

531 The specific effects of the operating conditions as well as their possible interactions on 532 the volumetric composition of the gas were studied considering the results obtained 533 during the first 60 minutes of reaction. Table S4 shows the results of the statistical 534 analyses performed. These analyses show that the temperature, the W/mlactose ratio and 535 the interaction between these two operating variables are the variables with the greatest 536 influence on the proportion of H_2 in the gas. The temperature and the W/m_{lactose} are the 537 operating variables exerting the greatest influence on the proportion of CO₂. The 538 concentration of CO in the gas is mostly affected by the interactions between the temperature and the concentration of lactose (TC and T^2C). The concentration of lactose 539 540 substantially influences the relative amount of CH₄ in the gas.

541

Figure 3 illustrates the effect of these interactions according to the ANOVA analysis on the composition of the gas. The effects of the reaction temperature, at 38 bar and 50 bar for W/m_{lactose} ratios of 10 and 40 g catalyst min/g lactose, feeding a 1 wt.% lactose solution, are shown in Figures 3 a and c, e and g, i and k, and m and o. Figures 3 b and d, f and h, j and l, and n and p display the effect of the temperature and the W/m_{lactose} ratio at 38 and 50 bar for a 10 wt.% lactose solution.

548 *3.2.1 H*₂ and *CO*₂

549 The reaction temperature exerts a great influence on the concentrations of H_2 and CO_2 . 550 An increase in the former gas occurs along with a decrease in the latter and vice versa, 551 as explained above. Therefore, the effect of the operating conditions is fully described 552 for the proportion of H₂, the relative amount of CO₂ showing the opposite effect in most 553 cases. In general, two trends are observed for the evolution of H₂ and CO₂ depending on 554 the W/m_{lactose} ratio. For a low W/m_{lactose} ratio (10 g catalyst min/g lactose) an increase in 555 the temperature has little impact on the volumetric composition of these two gases 556 regardless of the lactose concentration and pressure. Exceptionally, for a 10 wt.% 557 lactose solution at low pressure (38 bar), a substantial increase in the proportion of H₂ 558 occurs. The sharp increase in the CC sol obtained at 38 bar using a 10 wt.% lactose 559 might account for this development, as most of the carbon in the feed is transformed to 560 solid, which results in a gas with a higher H₂ content.

562 Conversely, for a W/mlactose ratio of 40 g catalyst min/g lactose two trends are observed 563 depending on the lactose concentration. For a 1 wt.% lactose solution, an increase in the 564 temperature from 200 to 220 °C decreases the proportion of H₂ in the gas regardless of 565 the pressure. An increase in the $W/m_{lactose}$ ratio increases H_2 generation by reforming. 566 Between 200 and 220 °C the proportions of monohydric and polyhydric alcohols in the 567 liquid increase dramatically, which is accounted for by a greater spread of H₂ reactions 568 which increase the consumption of H_2 [25]. Conversely, for a 10 wt.% solution this 569 same increase in temperature does not modify the proportion of H₂ in the gas, while the 570 increase observed in the proportion of CO₂ still takes place, although milder than that 571 occurring for a 1 wt.% lactose solution. Hydrogen solubility increases with pressure, 572 thus hydrogenation reactions in the liquid phase may be more favoured. The higher the







Figure 3. Interaction plots for initial relative amounts (vol.%) of H₂ (a-d), CO₂ (e-h) CO (i-l) and CH₄ (mp) in the gas. Bars are LSD intervals with 95% confidence.

587 The W/m_{lactose} ratio exerts a significant effect on the concentrations of H_2 and CO_2 588 between 200 and 215 °C and 225 to 240 °C. This effect depends on the concentration of 589 lactose in the feed. For a 1 wt.% lactose solution, an increase in the W/mlactose ratio from 590 10 to 40 g catalyst min/g lactose results in an increase in the proportion of H_2 . An 591 increase in the W/m_{lactose} ratio favours reforming reactions, increasing H₂ production 592 [25]. For a 10 wt.% lactose solution, the effect of the W/m_{lactose} ratio is only significant 593 between 225 and 240 °C. Within this temperature range, an increase in the amount of 594 catalyst leads to an increase in the proportions of H₂ due to the positive kinetic effect of 595 the catalyst on the reforming reactions together with the decrease in the H₂ solubility 596 with temperature. At low temperature, the compensation between H₂ production and H₂ 597 consumption in hydrogenation reactions accounts for the negligible effect of the 598 $W/m_{lactose}$ ratio.

599

600 The effect of the concentration of lactose in the feed on the proportion of H_2/CO_2 in the 601 gas can be gathered comparing Figures 3 a/e with b/f at 38 bar and Figures 3 c/g with 602 d/h at 50 bar. This comparison reveals that the effect of the lactose concentration 603 depends on the temperature and pressure. Between 200 and 220 °C the concentration of 604 lactose exerts a weak impact on the proportions of these two gases. Conversely, 605 between 220 and 240 °C the concentration of lactose substantially influences the 606 proportions of H₂ and CO₂ in the gas. At 38 bar, a sharp increase in the proportion of H₂ 607 takes place due to the increase in the partial pressure of the reagents inside the reactor 608 [25]. At 50 bar the effect of the lactose concentration depends on the $W/m_{lactose}$ ratio. 609 For 10 g catalyst min/g lactose, an increase in the lactose content from 1 to 10 wt.% very slightly decreases and increases the proportions of H2 and CO2, respectively, 610 611 probably due to the increase in char formation. An increase in the W/mlactose ratio lowers

612 the effect of the lactose concentration on the relative amount of H_2 . As a result, the

613 effect of the lactose concentration on the proportion of H_2 for a W/m_{lactose} ratio of 40 g

614 catalyst min/g lactose is not significant, while the proportion of CO₂ decreases slightly

between 230 to 240 °C. Under these conditions the decrease in the proportion of CO₂ is

616 the consequence of the increase observed in the proportion of CO in the gas.

617

618 A comparison between Figures 3 a/e and b/f with 3 c/g and d/h shows the effect of the 619 total pressure on the proportion of H_2/CO_2 in the gas when lactose solutions of 1 and 10 620 wt.% are fed, respectively. This comparison reveals that the effect of the pressure 621 depends on the concentration of lactose. For a 1 wt.% lactose solution and 10 g catalyst 622 min/g lactose, an increase in the total pressure from 38 to 50 bar does not greatly 623 modify the proportions of H₂ and CO₂ in the gas. Conversely, for 40 g catalyst min/g 624 lactose, this increase in pressure slightly increases the proportion of CO₂ between 200 625 and 220 °C; the effect of the pressure being insignificant from 220 to 240 °C. For a 10 626 wt.% lactose solution the effect of the pressure depends on the reaction temperature. 627 The pressure does not exert a great impact between 200 and 220 °C, while the 628 proportions of these two gases are greatly modified with varying the system pressure 629 when a temperature between 220 and 240 °C is used. From 220 to 240 °C, an increase in 630 pressure from 38 to 50 bar leads to a decrease in the relative amount of H₂ when using a 631 W/m_{lactose} ratio of 10 g catalyst min/g lactose. In addition, these variations depend on the 632 $W/m_{lactose}$ ratio; the lower the ratio, the greater are the variations in the proportions of H_2 633 and CO_2 . An increase in pressure produces an increase in the partial pressure of the 634 reagents inside the reactor, which promotes their transformation into gases [25] due to 635 an increase in the rate of C-C bond cleavage reactions [52] favouring H₂ production.

636

637 *3.2.2 CO and CH*₄

638 The effect of the operating variables on the proportions of CO and CH₄ is weak and the 639 relative amounts of these two gases during the first hour of reaction are lower than 15 640 vol.%. Under the temperatures studied in this work, the water gas shift (WGS) reaction 641 is shifted towards H₂ and CO₂, which explains the low proportion of CO in the gas. The 642 effect of the temperature on the proportion of CO depends on the pressure and the 643 W/m_{lactose} ratio. In general the effect of the temperature on the proportion of CO in the 644 gas is very weak. At 38 bar, the temperature does not influence the proportion of CO in 645 the gas, which is lower than 4 vol.% regardless of the $W/m_{lactose}$ ratio and the lactose 646 concentration. At 50 bar, the effect of the temperature is only significant when feeding a 647 10 wt.% lactose solution using a W/mlactose ratio of 40 g catalyst min/g lactose. Under 648 these conditions an increase in temperature increases the proportion of CO in the gas 649 very sharply, which could indicate that decarbonylation reactions are intensified under 650 these operating conditions [25].

651

652 The effect of the W/m_{lactose} ratio on the proportion of CO depends on the pressure and 653 lactose concentration. At low pressure (38 bar), an increase from 10 to 40 g catalyst 654 min/g lactose does not modify the relative amount of CO in the gas. Conversely, at 50 655 bar, this same increase leads to a small decrease in the proportion of CO when feeding a 656 1 wt.% lactose solution, while a sharp increase is observed for a 10 wt.% lactose 657 solution as explained above. The effects of the pressure and the lactose concentration on 658 the proportion of CO in the gas are negligible, as CO formation is not 659 thermodynamically favoured at temperatures lower than 240 °C.

660

661 The effect of the temperature on the proportion of CH₄ in the gas depends on the 662 concentration of lactose, pressure and W/m_{lactose} ratio. Specifically, the temperature does 663 not significantly influence the proportion of CH₄ for a diluted lactose solution (1 wt.%), 664 while it exerts a moderate influence for a 10 wt.% lactose solution. For a 10 wt.% 665 solution the effect of the temperature depends on the pressure and the W/m_{lactose} ratio. 666 At 38 bar, an increase in the reaction temperature increases the proportion of CH₄ for a 667 low W/m_{lactose} ratio, while this increase in temperature decreases the relative amount of 668 CH₄ for a high W/m_{lactose} ratio. At 50 bar, an increase in temperature leads to a decrease 669 in the proportion of CH₄ in the gas regardless of the W/m_{lactose} ratio. The decreases in 670 the proportion of CH₄ in the gas are the consequence of the endothermic nature of the 671 methane reforming reaction [14] which is thermodynamically favoured at high 672 temperatures and kinetically promoted using a high W/m_{lactose} ratio.

673

674 As a result of the dependence of the effect of the temperature with the lactose 675 concentration and the W/m_{lactose} ratio, the effect of the W/m_{lactose} ratio is only significant 676 for a 10 wt.% lactose solution. The lower excess of water for a concentrated lactose 677 solution reduces the development of the methane reforming reactions, increasing the 678 proportion of CH₄ in the gas [57-61]. For a concentrated solution, an increase in this 679 ratio (from 10 to 40 g catalyst min/g lactose) increases the proportion of CH₄ in the gas 680 between 200 and 220 °C, as methanation is favoured within this temperature range [25]. 681 The effect of the total pressure is only significant for a 10 wt.% lactose solution. 682 Between 200 and 220 °C an increase in pressure leads to an increase in the proportion of 683 CH₄ in the gas, while a further increase up to 240 °C reduces the relative amount of this 684 gas.

685

687	Figure S4 summarises the relative amount of each of the different families of liquid
688	compounds for the different experiments represented in 3 intervals of 60 minutes each.
689	The liquid phase is made up of a mixture of aldehydes, carboxylic acids, alcohols,
690	ketones and furans in water. Acetaldehyde is the most abundant compound for the
691	aldehydes, while the carboxylic acids are mainly made up of acetic and propionic acids
692	and, in a lower proportion, pentanoic acids. Alcohols include monohydric alcohols
693	(mainly methanol and ethanol, and in lower proportions 1-butanol, 2-butanol, 1-
694	pentanol and 2-pentanol), polyhydric alcohols (1,2-propanediol, 1,2-ethanediol, 2,3-
695	butanediol) and, in lower proportions, monohydric substituted (2-methyl-3-pentanol)
696	and alicyclic alcohols (2-furanmethanol). Ketones include C3-ketones such as 2-
697	propanone (acetone) and 2-propanone-1-hydroxy, C4-ketones (2-butanone-3-hydroxy
698	and 2-butanone-1-hydroxy, 2,3-butanedione and 2 butanone) and small amounts of
699	cyclic ketones (cyclopentanone and cyclohexanone) and furanic ketones (2-hydroxy-
700	gamma-butyrolactone). Furans comprise furfural, 5-hydroxymethyl-2-
701	furancaboxaldehyde and 5-methyl-2-furancaboxaldehyde. The presence of these
702	compounds in the condensates is consistent with the pathway proposed in Figure 1 and
703	those proposed by several authors studying the APR of polyols and sugar based
704	compounds [13, 14, 19-23, 27-33, 36-39, 41, 42, 54, 62, 63].
705	

The statistical analysis reveals significant differences (p-values<0.005) in the relative amounts of these compounds in the liquid phase. The results of the Fisher's LSD test are plotted in Figure S4. The relative concentration for the most abundant compounds, expressed as relative chromatographic area, varies as follows. Aldehydes: 0-11%, carboxylic acids: 0-22%, monohydric alcohols: 0-23%, polyhydric-alcohols: 0-48%,

711 C3-ketones: 4-100%, C4-ketones: 0-18%, cyclic-ketones: 0-15% and furans: 0-85%. 712 Increases and decreases in the proportions of these families with time are detected. 713 Carboxylic acids, monohydric alcohols and C3 and C4 ketones display increases and 714 decreases over time. Aldehydes and cyclic ketones mostly show decreases, while 715 increases are mainly observed for furans. These variations have been analysed with the 716 multivariate Spearman's. The multivariate analysis reveals significant relationships for 717 the proportion of C3-ketones with the proportions of monohydric alcohols (p-value = 0.0001; $R^2 = 0.55$) and carboxylic acids (p-value = 0.0215; $R^2 = 0.24$); and between the 718 proportion of cyclic ketones and the proportions of C4-ketones (p-value = 0.0001; $R^2 =$ 719 0.41) and aldehydes (p-value = 0.0001; $R^2 = 0.56$). In addition, the proportion of furans 720 depends on the proportion of carboxylic acids (p-value = 0.0001; $R^2 = 0.42$). These 721 722 relationships can explain most of the variations of the liquid phase composition and 723 confirm the pathway shown in Figure 1.

724

725 Table S4 shows the significant terms in the codec model and their relative influence in 726 the process according to the ANOVA analysis for the proportions of the most abundant 727 families of compounds present in the liquid phase during the first hour of reaction: 728 aldehydes, carboxylic acids, monohydric and polyhydric alcohols, ketones (C3, C4 and 729 cvclic) and furans. The interactions of the temperature with the W/mlactose ratio and 730 lactose concentration with the W/m_{lactose} ratio greatly influence the composition of 731 aldehydes in the liquid. Carboxylic acids are influenced by the pressure and the interactions of temperature with both the lactose concentration (TC and T^2C) and the 732 pressure (T^2P). The interactions of the temperature with the lactose concentration (T^2C) 733 and with the system pressure (T^2P^2) are the major factors responsible for the evolution 734 735 of the proportions of monohydric and polyhydric alcohols. In addition, polyhydric

alcohols are greatly influenced by the temperature (T^2) . The pressure (P^2) and the
interaction of the temperature with the lactose concentration (T^2C) have a high impact
on the proportion of C3-ketones, while C4-ketones mainly depend on the interactions
between the temperature, pressure, lactose concentration and $W\!/m_{lactose}$ ratio (TPC and
TCW). Cyclic ketones are strongly influenced by the lactose concentration and its
interaction with the temperature and pressure (TPC). Many different interactions
between the operating variables influence the proportion of furans in the liquid.
Figures 4 and 5 illustrate the effect of these interactions according to the ANOVA
analysis for the relative amounts of the most abundant compounds present in the liquid
phase. Figure 4 displays these effects for the proportions of carboxylic acids,
monohydric and polyhydric alcohols, and aldehydes, while Figure 5 shows these effects
for the proportions of C3, C4 and cyclic ketones and furans. Specifically, the effects of
the reaction temperature, employing a pressure of 38 bar for W/m_{lactose} ratios of 10 and

in Figures 4 and 5 a and b, e and f, i and j, and m and n. Figures 4 and 5 c and d, g and

40 g catalyst min/g lactose, feeding lactose concentrations of 1 and 10 wt.%, are shown

752 h, k and l, and o and p display the effects of the temperature and the W/m_{lactose} ratio

employing a pressure of 50 bar when lactose solutions of 1 and 10 wt.% were used,

respectively.

755



756 757

759 Figure 4. Interaction plots for the relative amounts in the liquid of carboxylic acids (a-d), monohydric 760 alcohols (e-h), polyhydric alcohols (i-l) and aldehydes (m-p). Bars are LSD intervals with 95% 761 confidence.

763 3.3.1 Carboxylic acids

764 Acetic and propionic acids are the most abundant carboxylic acids in the liquid phase. 765 Propionic acid formation occurs to a lesser extent due to the higher proportion of 1-766 hydroxypropan-2-one hydrogenated compounds than dehydrated compounds in the 767 liquid. Acetic acid is mainly responsible for the variations observed in the proportion of 768 carboxylic acids during the first hour of reaction (Figures 4 a-d). Acetic acid is obtained 769 in the final steps of routes A and B. The temperature exhibits two different effects on

770 the proportion of carboxylic acids depending on the pressure. At 38 bar an increase in 771 the temperature leads to an initial increase in the proportion of carboxylic acids from 772 200 to 220 °C followed by a substantial decrease between 220 and 240 °C. In addition, 773 this latter depletion strongly depends on the concentration of lactose in the feed; the 774 lower the concentration of lactose, the sharper is the decrease observed. The 775 temperature exerts a positive catalytic effect on the process. Therefore, between 200 and 776 220 °C, an increase in temperature helps the formation of liquid end products. A further 777 increase in temperature might shift their decomposition to gases. In addition, high 778 temperatures can also partially hinder acetic acid formation as the solubility of H₂ in 779 water decreases with temperature. Conversely, at 50 bar the temperature exerts a weaker 780 effect on the relative amount of carboxylic acids. This increase in pressure kinetically 781 enhances the acetic acid decomposition rate [25]. This effect depends on the lactose 782 concentration. For a 1 wt.% lactose solution a mild increase with temperature occurs 783 between 200 and 220 °C, followed by a small decrease from 220 to 240 °C. However, 784 this evolution with temperature is not as pronounced as it is at 38 bar. For a 10 wt.% 785 lactose solution, the effect of the temperature is negligible between 200 and 220 °C, 786 while a small increase takes place with a further increase in the temperature up to 240 787 °C.

788

The effect of the W/m_{lactose} on the proportion of carboxylic acids depends on the concentration of lactose, the temperature and the pressure. At 38 bar the W/m_{lactose} ratio does not significantly influence the proportion of carboxylic acids between 200 and 220 °C regardless of the lactose concentration. Within this temperature range, the positive kinetic effect of the temperature can mask the effect of the W/m_{lactose} ratio on the process and the lowest W/m_{lactose} ratio used in this work (10 g catalyst min/g lactose)

795 might be sufficient to achieve high concentrations of acetic acid in the liquid. Between 796 220 and 240 °C an increase in the W/mlactose ratio results in an increase in the proportion 797 of this family of compounds for a 1 wt.% lactose solution, while a decrease is observed 798 for 10 wt.%. An increase in the amount of catalyst promotes acetic acid production, 799 which accounts for the increase observed. However, an increase in the concentration of 800 lactose in the solution also increases the partial pressure of acetic acid inside the reactor, 801 which kinetically favours its decomposition to gases [25]. At 50 bar, the opposite effect 802 is observed. For a diluted lactose solution, an increase in the W/mlactose ratio promotes 803 acetic acid formation, while for a concentrated solution it favours its decomposition due 804 to the increase in the partial pressure of the liquid intermediates [25]. In addition, the 805 increase observed in the proportion of carboxylic acids takes places along with a 806 decrease in C3-ketones, and vice versa. The greatest effect of the pressure on the 807 proportion of carboxylic acids takes place between 220 to 240 °C. An increase in the 808 pressure from 38 to 50 bar leads to a decrease in the proportion of carboxylic acids due 809 to the positive kinetic effect of this variable for acetic acid decomposition [25].

810

811 *3.3.2 Alcohols: monohydric and polyhydric alcohols*

812 The variations in the relative amount of monohydric alcohols basically correspond to

813 variations in methanol and ethanol, while the variations observed for polyhydric

alcohols are accounted for by variations in the proportions of 1,2-propane-diol and 1,2-

815 ethane-diol. The temperature has different influences on the proportion of monohydric

816 and polyhydric alcohols depending on the lactose concentration, pressure and W/m_{lactose}

ratio, due to the large number of interactions detected between these variables.

819 For a diluted lactose solution (1 wt.%), an initial increase in the temperature from 200 to 820 220 °C leads to an initial increase in the proportion of monohydric and polyhydric 821 alcohols. The proportions of both families of alcohols decrease when the temperature is 822 further increased up to 240 °C regardless of the pressure and W/mlactose ratio. In 823 addition, the increase and the decrease observed for the proportion of monohydric 824 alcohols are sharper than for polyhydric alcohols. The temperature exerts a positive 825 kinetic effect on lactose decomposition, which favours the production of liquid end 826 products [25]. These results suggest that routes A and B are prevalent over route C 827 between 200 and 220 °C. This is in good agreement with the results from other works 828 that report that sugar dehydration to produce furanic compounds is preferential at high 829 temperatures [27, 28, 31, 33]. A further increase in the temperature from 220 to 240 °C 830 helps the transformation of monohydric and polyhydric alcohols to gases [37, 52, 54, 831 64, 65]. In addition, high temperatures also potentiate dehydration reactions from 832 lactose [27, 28, 31, 33]. This leads to the formation of furan compounds, which favours 833 route C over A and B.

834

835 For a 10 wt.% lactose solution, the effect of the temperature depends on the pressure 836 and W/m_{lactose} ratio. At 38 bar, an increase in temperature between 200 and 220 °C increases the proportion of monohydric alcohols without significantly modifying the 837 838 relative amount of polyhydric alcohols in the liquid regardless of the W/m_{lactose} ratio. A 839 further increase up to 240 °C results in a decrease in the proportion of monohydric alcohols irrespective of the W/mlactose ratio and in a decrease in the relative amount of 840 841 polyhydric alcohols only when the highest W/m_{lactose} ratio is used. An increase in the 842 lactose concentration favours the advancement of the reactions in the liquid phase [25]. 843 As a result, a lower temperature is required to achieve the highest proportion of

polyhydric alcohols when the highest W/m_{lactose} is used for a 10 wt.% than for a 1 wt.%
lactose solution. In addition, an increase in the concentration of lactose produces a
greater spread of sugar dehydration reactions towards furanic compounds [28]. This
development produces a competition between routes A and B and route C. Therefore, a
greater amount of catalyst is needed to produce the end products obtained from routes A
and B.

850

At 50 bar two different trends are observed depending on the W/m_{lactose} ratio for a 10 851 wt.% lactose solution. When using a W/m_{lactose} ratio of 10 g catalyst min/g lactose, an 852 853 increase in the temperature does not significantly modify the proportion of monohydric 854 alcohols but slightly decreases the proportion of polyhydric alcohols. High temperature 855 and pressure favours dehydration reactions from sugars [27, 28, 31, 33], and therefore a 856 low proportion of liquid end products obtained via routes A and B is achieved. 857 Conversely, for 40 g catalyst min/g lactose, this increment in the temperature produces a 858 sharp increase in the proportion of monohydric alcohols, without greatly modifying the 859 proportion of polyhydric alcohols. This might account for the increase in the 860 concentration of end products obtained from routes A and B. 861

The pressure exerts a weaker effect on the proportions of monohydric and polyhydric alcohols than the temperature. The variations observed for the proportion of monohydric alcohols are relatively low when the system pressure increases from 38 to 50 bar, while variations in polyhydric alcohols are not important from a practical point of view. The variations observed for monohydric alcohols depend on the lactose concentration. On the one hand, when a diluted lactose solution (1 wt.%) is fed, an increase in pressure from 38 to 50 bar slightly increases the proportion of monohydric

869 alcohols between 200 and 215 °C when a W/mlactose ratio of 10 g catalyst min/g lactose 870 is used. Within this range, an increase in pressure increases the development of the 871 reaction leading to the formation of liquid end products. This effect is only significant 872 for a low W/m_{lactose} ratio, as the positive kinetic effect of the catalyst can compensate for 873 the lower amount of catalyst used, thus masking the effect of the pressure for high 874 W/m_{lactose} ratios. Conversely, for 40 g catalyst min/g lactose, this increase in pressure 875 decreases the proportion of monohydric alcohols between 210 and 230 °C. This 876 increase in pressure kinetically enhances the decomposition of monohydric alcohols 877 when a high amount of catalyst is used [25]. On the other hand, for a 10 wt.% lactose 878 solution, the effect of the pressure is relatively weak for 10 g catalyst min/g lactose, 879 while a moderate decrease in the proportion of monohydric alcohols is observed for 40 880 g catalyst min/g lactose between 200 and 230 °C.

881

882 The effect of the W/m_{lactose} ratio is relatively weak and depends on the pressure and 883 lactose concentration. At 38 bar, an increase in the W/mlactose ratio from 10 to 40 g 884 catalyst min/g lactose does not modify the proportion of monohydric alcohols when a 885 diluted solution is used (1wt.%). Conversely, an increase in the lactose concentration 886 makes the effect of the W/m_{lactose} ratio significant, increasing the proportions of 887 monohydric alcohols in the liquid. An increase in the lactose concentration makes route C prevalent over A and B, as explained above. This increase in the W/mlactose ratio 888 889 increases the proportion of polyhydric alcohols between 200 and 220 °C regardless of 890 the lactose concentration due to the positive kinetic effect of the catalyst, which favours 891 lactose decomposition. At 50 bar an increase in the W/mlactose ratio decreases the 892 proportion of monohydric alcohols between 200 and 220 °C for a 1 wt.% lactose 893 solution. This depletion occurs along with an increase in the proportions of aldehydes

894 and carboxylic acids in the liquid. Increases in the proportion of acetaldehyde and acetic 895 acid are accounted for by these variations. The positive catalytic effect of the pressure 896 and W/m_{lactose} ratio helps shift the decomposition of monohydric alcohols to end liquids 897 and gases [25]. Conversely, an increase in this family of compounds occurs between 898 220 and 240 °C when a 10 wt.% lactose solution is fed. Dehydration reactions are 899 favoured at high temperature, pressure and using a concentrated lactose solution, which 900 makes route C prevalent over routes A and B. Therefore, at these operating conditions 901 under which routes A and B are not predominant, it might be necessary to use a high 902 W/mlactose ratio to produce a greater spread of the reactions leading to the formation of 903 end products obtained via routes A and B. In addition, the proportion of polyhydric 904 alcohols increases between 220 and 240 °C regardless of the lactose concentration due 905 to the positive kinetic effect of the catalyst.

906

907 *3.3.3 Aldehydes*

908 The relative amount of this compound in the liquid phase is low (0-12%), probably 909 because it is one of the end products of lactose aqueous phase reforming and/or it can 910 easily be transformed into gas in the final steps of the process [25]. The relative amount 911 of aldehydes strongly depends on the W/m_{lactose} ratio and the concentration of lactose in 912 the solution. Very interestingly, the pressure (between 38 and 50 bar) does not 913 significantly influence the proportion of aldehydes in the liquid. This was also reported 914 in a previous work analysing the effect of the pressure on the proportion of this family 915 of compounds during the APR of crude glycerol [25].

917 When a 1 wt.% lactose solution is fed, the liquid product has a negligible concentration 918 of aldehydes for a W/m_{lactose} ratio of 10 g catalyst min/g lactose, regardless of the 919 pressure. Conversely, a sharp increase in the proportion of aldehydes occurs when 920 increasing the temperature, especially between 220 and 240 °C, for 40 g catalyst min/g 921 lactose within the whole interval of pressure considered (38-50 bar). This increase in the 922 proportion of aldehydes accounts for the decrease observed in the relative amount of 923 monohydric alcohols. This development indicates that the dehydration of ethane 1,2-924 diol to acetaldehyde is more likely to occur than its hydrogenation to ethanol since at 925 high temperatures H₂ solubility decreases with temperature. In addition, high 926 temperatures and W/m_{lactose} ratios favour the advancement of the reaction in the liquid 927 phase towards the formation of liquid products. Therefore, when a diluted lactose 928 solution is fed, an increase in the W/m_{lactose} ratio from 10 to 40 g catalyst min/g lactose 929 leads to a substantial increase in the proportion of aldehydes in the liquid.

930

931 3.3.4 Ketones: C3-ketones, C4-ketones and cyclic ketones

932 The ketonic fraction is made up of ketones of three and four carbon atoms (C3-ketones
933 and C4-ketones) together with cyclic ketones and, in lower proportions, furanic ketones.
934 C3-ketones, mainly obtained from dehydration reactions, are the most abundant ketones
935 in the liquid phase.



Figure 5. Interaction plots for the relative amounts in the liquid of C3-ketones (a-d), C4-ketones (e-h),
cyclic ketones (i-l) and furans (m-p). Bars are LSD intervals with 95% confidence.



950 polyhydric alcohols [25]. For this diluted lactose solution, an increase in the W/m_{lactose} 951 ratio from 10 to 40 g catalyst/min g lactose leads to a decrease in the proportion of C3-952 ketones. This drop occurs between 200 and 230 °C at 38 bar and between 210 to 240 °C 953 at 50 bar. These depletions account for a greater advancement of the reaction in the 954 liquid phase towards the formation of liquid products [25].

955

956 For a 10 wt.% lactose solution the effect of the temperature strongly depends on the 957 pressure. At 38 bar, the temperature does not significantly affect the proportion of C3 958 ketones in the liquid, and the W/m_{lactose} ratio is only significant between 200 and 215 °C, 959 where an increase from 10 to 40 g catalyst min/g lactose slightly decreases the relative 960 amount of C3-ketones. It is believed that the non-significant effect of the temperature is 961 the consequence of two developments. On the one hand, between 200 and 220 °C an 962 increase in the lactose concentration increases the partial pressure of C3-ketones in the 963 liquid, favouring their transformation towards liquid end products, thus decreasing their 964 relative amount in the liquid. On the other hand, between 220 and 240 °C the formation 965 of furanic compounds from the dehydration of glucose and galactose through route C is 966 favoured, decreasing the proportion of C3-ketones in the liquid. At 50 bar, the effect of 967 the temperature is not significant for a low W/m_{lactose} ratio. However, an increase in the 968 W/m_{lactose} ratio up to 40 g catalyst min/g lactose increases the proportion of C3-ketones 969 at low temperatures very sharply. This increase is accounted for by the decrease in the 970 proportion of monohydric alcohols in the liquid. For this W/mlactose ratio, an increase in 971 the temperature results in a substantial reduction in the proportion of this family of 972 compounds in the liquid, which accounts for the increase observed in the proportion of 973 monohydric alcohols.

974

975 The effect of the pressure on the relative amount of C3-ketones strongly depends on the 976 temperature and lactose concentration. The effect of the pressure is minimal between 977 200 and 220 °C, while significant variations occur between 220 and 240 °C. When a 1 978 wt.% lactose solution is used, an increase in pressure from 38 to 50 bar results in an 979 increase and a decrease in the proportion of C3-ketones for $W/m_{lactose}$ ratios of 10 and 980 40 g catalyst min/g lactose, respectively, between 220 and 240 °C. An increase in the 981 total pressure raises the solubility of H₂ in the liquid, which can compensate for the 982 decrease in the solubility of this gas with the temperature when a high amount of 983 catalyst is employed.

984

985 For a 10 wt.% lactose solution, the effect of the pressure depends on the $W/m_{lactose}$ ratio. 986 For 10 g catalyst min/g lactose, an increase in the pressure from 38 to 50 bar does not 987 significantly modify the proportion of C3-ketones in the liquid. Conversely, for 40 g 988 catalyst min/g lactose, this increase in pressure increases the proportion of C3-ketones 989 in the liquid very sharply between 200 and 230 °C. The chemical analysis of the liquid 990 reveals that this increase is accounted for by a sharp increase in 1-hydroxypropan-2-one. 991 For concentrated lactose solutions, route C is favoured over route A and B. However, an 992 increase in the W/mlactose ratio can promote the isomerisation of glucose and galactose to 993 fructose and the posterior retro-aldol reaction [27, 29], thus increasing the presence in 994 the liquid of initial products obtained from route A. In addition, a high W/m_{lactose} ratio 995 produces a greater spread of condensation and cross-polymerisation reactions from the 996 furan compounds obtained via route C [27, 28, 31, 33].

997

998 The proportion of C4-ketones and cyclic-ketones in the liquid phase is lower than the

999 relative amount of C3-ketones. 2-butanone-3-hydroxy, 1-hydroxy-2-butanone and 2,3-1000 butanedione, which are obtained from the dehydration/hydrogenation of erythritol 1001 (route B), are the most abundant C4-ketones in the liquid. The effect of the temperature 1002 on the relative amount of C4 ketones depends on the pressure and the concentration of 1003 lactose. At 38 bar, the effect of the temperature depends on the concentration of lactose 1004 and the W/m_{lactose} ratio. For a 1wt.% lactose solution and using 10 g catalyst min/g 1005 lactose, the proportion of C4 ketones at low temperatures is negligible, and an increase 1006 in temperature from 200 to 240 °C leads to a substantial increase in the proportion of 1007 C4-ketones. The decrease in the H₂ solubility with temperature, decreasing the 1008 proliferation of hydrogenation reactions [25] of C4-ketones to alcohols, might be 1009 responsible for this increase in the proportion of C4-ketones. Conversely, an increase in 1010 the W/m_{lactose} ratio up to 40 g catalyst min/g lactose increases the proportion of C4-1011 ketones at low temperature very sharply as the presence of a catalyst favours retro-aldol 1012 reactions [30]; thus increasing the presence of liquid products obtained via route B in 1013 the liquid. For this latter ratio, an increase in temperature decreases the proportion of 1014 C4-ketones between 200 and 220 °C. A further increase in temperature up to 240 °C 1015 leads to a substantial increase in the proportion of C4-ketones. At low temperature, an 1016 increase in the temperature kinetically favours hydrogenation reactions, while a further 1017 increase in temperature decreases the H₂ solubility in water, thus hindering 1018 hydrogenation reactions [25]. Between 220 and 240 °C an increase in the W/mlactose ratio 1019 from 10 to 40 g catalyst min/g lactose reduces the relative amount of C4-ketones in the 1020 liquid.

1021

At 50 bar and using a W/m_{lactose} ratio of 10 g catalyst min/g lactose, the effect of the
temperature on the relative amount of C4-ketones in the liquid has a similar evolution

1024 regardless of the lactose concentration. The proportion of C4-ketones increases when 1025 increasing the temperature from 200 to 220 °C, while a further increase in temperature 1026 up to 240 °C results in a decrease in the proportion of C4-ketones. High pressure 1027 promotes retro-aldol reactions in hot compressed water [66]. Therefore at 50 bar, an 1028 increase in temperature increases the proportion of C4-ketones in the liquid, which are 1029 obtained through route B due to the greater spread of glucose/galactose decomposition 1030 via reatro-aldol reactions at high pressure. Conversely, for 40 g catalyst min/g lactose 1031 the effect of the temperature depends on the concentration of lactose. When feeding a 1 1032 wt.% lactose solution, the concentration of C4-ketones decreases with temperature, 1033 while for a 10 wt.% solution, an increase takes places as the temperature increases from 1034 200 to 240 °C. For a low lactose concentration the catalyst favours hydrogenation 1035 reactions [25], which leads to a decrease in the proportion of C4-ketones. Conversely, 1036 for a concentrated lactose solution, route C prevails over routes A and B [27, 28, 31, 1037 33]. Therefore, an increase in temperature might shift the retro-aldol reactions [66], 1038 which results in a liquid with a higher concentration of initial products obtained via 1039 route B. At this pressure (50 bar), an increase in the W/mlactose ratio from 10 to 40 g 1040 catalyst/min leads to a decrease in the proportion of C4-ketones regardless of the lactose 1041 concentration, as the catalyst favours the advancement of route B towards liquid end 1042 products.

1043

An increase in the pressure for a 1 wt.% lactose solution has two different effects
depending on the W/m_{lactose} ratio. When a low amount of catalyst is used, an increase in

1046 pressure from 38 to 50 bar increases and decreases the proportion of C4-ketones

- 1047 between 200 and 230 °C and between 230 and 240 °C, respectively. This is the
- 1048 consequence of two developments. High pressure promotes retro-aldol reactions in hot

1049 compressed water [66]. In addition, their subsequent transformation to end liquids is not favoured due to the low amount of catalyst, which globally results in an increase in the 1050 1051 amount of C4-ketones in the liquid. For a W/m_{lactose} ratio of 40 g catalyst min/g lactose, 1052 the same increase in pressure does not provoke great differences in the proportion of 1053 C4-ketones; the proportion slightly decreases between 200 and 240 °C. For a 10 wt.% 1054 lactose solution, this increase in pressure leads to a decrease in the proportion of C4-1055 ketones between 200-215 °C and 225-240 °C; the effect of the pressure being negligible 1056 from 215 to 225 °C. For a 10 wt.% lactose solution, route C is favoured over routes A 1057 and B [27, 28, 31, 33], which can explain the decay observed between 225 and 240 °C. 1058 1059 Cyclopentanone, 2-hydroxy-3-methyl-2-cyclopenten-1-one and 2-methyl-2-1060 cyclopenten-1-one are responsible for the variations observed in the proportion of cyclic 1061 ketones during the first hour of reaction. These ketones are obtained from the 1062 hydrogenation of different liquid intermediates obtained through the decomposition of 1063 5-hydroxymethyl-2-furancarboxaldehyde, which is obtained from glucose/galactose 1064 dehydration (route C). The effect of the temperature depends on the pressure. On the 1065 one hand, at 38 bar and regardless of the W/mlactose ratio and lactose concentration, the 1066 temperature does not significantly influence the proportion of cyclic ketones between 1067 200 and 220 °C, while an increase in the relative amount of this family of ketones occurs as the temperature increases from 220 to 240 $\,^{\circ}\text{C}$. The decrease in the H₂ 1068 1069 solubility with temperature might be responsible for the increase observed in this family 1070 of compounds, as this H₂ limitation stops the advancement of the reaction in the liquid 1071 phase towards end products obtained through route C. In addition, high temperatures 1072 favour route C over routes A and B [27, 28, 31, 33], which accounts for the increase in 1073 this family of compounds and the decreases observed in the proportions of carboxylic

1074acids and monohydric alcohols. At this pressure (38 bar), an increase in the W/mlactose1075ratio from 10 to 40 g catalyst min/g lactose increases the concentration of cyclic ketones1076in the liquid. The W/mlactose ratio does not significantly modify the proportion of cyclic1077ketones in the liquid for a diluted lactose solution. However, the higher the lactose1078concentration, the greater is the impact of the W/mlactose ratio on the proportion of this1079family of ketones.

1080

1081 On the other hand, at 50 bar the effect of the temperature depends on the W/m_{lactose} ratio 1082 and lactose concentration. For a 1 wt.% lactose solution, the proportion of cyclic 1083 ketones sharply decreases when increasing the temperature from 200 to 220 °C and a 1084 liquid free of cyclic ketones is obtained between 220 and 240 °C. For a low W/mlactose 1085 ratio this decrease accounts for the increase in the proportion of C3-ketones, while for a 1086 high W/m_{lactose} ratio the sharp increase in the relative amount of furans in the liquid is 1087 responsible for the decrease in the proportion of cyclic ketones. For a 10 wt.% lactose 1088 solution two different trends are observed depending on the W/mlactose ratio. Between 1089 200 and 220 °C, the proportion of cyclic ketones drops when increasing the temperature 1090 for 10 g catalyst min/g lactose. Within this temperature interval the proportion of cyclic 1091 ketones is negligible for a W/m_{lactose} ratio of 40 g catalyst min/g lactose. Between 220 1092 and 240 °C, the W/mlactose ratio does not influence the proportion of cyclic ketones, and 1093 an increase in the relative amount of cyclic ketones occurs as the reaction temperature 1094 increases regardless of the W/m_{lactose} ratio. The increase observed in the CC gas between 1095 220 and 240 °C might be responsible for this development. An increase in temperature 1096 favours the evolution of the intermediate liquid compounds obtained from route A and 1097 B towards gases [25], thus increasing the proportion of liquids obtained from route C. 1098 This transformation is favoured at high pressure [25]. In addition, the decrease in the H_2

solubility with temperature might be responsible for the increase observed in this familyof compounds.

1101

1102 The effect of the pressure depends on the concentration of lactose, the temperature and 1103 the $W/m_{lactose}$ ratio. When a diluted lactose solution (1 wt.%) is fed, an increase in 1104 pressure from 38 to 50 bar increases the proportion of cyclic ketones between 200 and 1105 220 °C and reduces their relative amount between 220 and 240 °C, regardless of the 1106 W/m_{lactose} ratio. At low temperatures route C is not favoured, and an increase in the 1107 pressure increases the partial pressure of H₂, which promotes hydrogenation reactions to 1108 produce cyclic ketones. Conversely, between 220 and 240 °C an increase in pressure 1109 favours the formation of C3-ketones and furans. When a 10 wt.% lactose solution is fed, 1110 the effect of the pressure is only significant between 200 and 220 °C, an interval under 1111 which two different evolutions are observed depending on the W/mlactose ratio. For 10 g 1112 catalyst min/g lactose, the pressure does not greatly influence the proportion of cyclic 1113 ketones in the liquid, while for 40 g catalyst min/g lactose this increase in pressure 1114 results in a decrease in the proportion of this family of ketones in the liquid product. 1115

1116 *3.3.5 Furans*

Furanic compounds, mainly 5-hydroxymethil-2-furancarboxaldehyde, 5-methyl-2furancarboxaldehyde and furfural, are obtained from the dehydration of glucose and galactose via route C. The proportion of this family of compounds in the liquid is relatively low. Exceptionally, the proportion of furans in the liquid dramatically increases with temperature at high pressure and employing a high W/m_{lactose} ratio for a diluted lactose solution.

1123 The effect of the temperature on the relative amount of furans strongly depends on the 1124 pressure. At 38 bar, the proportion of furans in the liquid is very low. For 10 g catalyst 1125 min/g lactose, an increase in the temperature from 200 to 220 °C slightly increases their 1126 proportion in the liquid, while a further increase in the temperature up to 240 °C leads to 1127 a decrease in the relative amount of this family of compounds. Between 220 and 240 °C 1128 the CC liq decreases and the CC sol increases very sharply as high temperatures 1129 promote the polymerisation and aldol-condensation reactions of furans [27, 28, 31, 33]. 1130 At this pressure an increase from 10 to 40 g catalyst min/g lactose reduces the 1131 proportion of furans regardless of the lactose concentration for the whole temperature 1132 interval considered, probably due to the greater spread of polymerisation and condensation reactions from furans [27, 28, 31, 33] which might help the advancement 1133 1134 of the reactions occurring via route C.

1135

1136 At 50 bar, the concentration of furans in the liquid depends on the lactose concentration 1137 and the $W/m_{lactose}$ ratio. When a low amount of catalyst is used, the proportion of furans 1138 in the liquid is very low and the effect of the temperature is very weak. Conversely, an 1139 increase in the W/m_{lactose} ratio has a great impact on the proportion of furans when 1140 diluted lactose solutions are used. Specifically, for a 1 wt.% lactose solution and 40 g 1141 catalyst min/g lactose, an increase in temperature from 200 to 240 °C increases the 1142 proportion of furans very sharply. This increase in the temperature also increases the 1143 CC sol as described above, suggesting that under these operating conditions the glucose 1144 and galactose dehydration is favoured, thus increasing the amount of liquids produced 1145 via route C. An increase in the concentration of lactose in the solution can potentiate the 1146 condensation and polymerisation of furans, thus decreasing their relative amount in the 1147 liquid [27, 28, 31, 33]. As a result, a negligible concentration of furans is obtained with

1148 a 10 wt.% lactose solution using 40 g catalyst min/g lactose.

1149

1150	The effect of the pressure depends on the lactose concentration and $W/m_{lactose}$ ratio.
1151	When a $W/m_{lactose}$ ratio of 10 g catalyst min/g lactose is used, an increase in pressure
1152	from 38 to 50 bar reduces the proportion of furans regardless of the lactose
1153	concentration. An increase in the system pressure favours the advancement of the
1154	reactions leading to the formation of end products [25]. Conversely, an increase in the
1155	$W/m_{lactose}$ ratio modifies the effect of the pressure. The proportion of furans augments
1156	when the $W/m_{lactose}$ ratio increases and decreases when increasing the concentration of
1157	lactose in the solution.
1158	
1159	3.4 Prediction of optimal operating conditions within the range of study
1160	Optimal conditions for gas and liquid production were sought for this process, making
1161	use of the experimental models developed. The predicted R^2 of all the models is higher
1162	than 0.90, allowing their use for prediction purposes. Four different optimisations were
1163	carried out. The first aims at the production of a gas with a high H_2 content, and
1164	therefore comprises the maximisation of the CC gas and the relative amount of H_2 in the
1165	gas. The second, third and fourth seek to maximise the production of alcohols
1166	(monohydric and polyhydric alcohols), ketones and furans, respectively. Therefore, the
1167	CC liq and the proportion of each family of liquids were maximised. In addition, the CC
1168	sol was minimised for all the optimisations. To meet these objectives, a solution that
1169	strikes a compromise between the optimum values for all the response variables was
1170	sought. To do this, a relative importance (from 1 to 5) was given to each of the

1171 objectives in order to come up with the solution that satisfies all the criteria. To globally

1172 maximise gas and liquid production, a relative importance of 5 was assigned to the CC

1173 gas and CC liq, while a relative importance of 3 was given to the properties of the gas or

1174 liquid (vol. H₂ and relative amount of each family of compound) and to the

1175 minimisation of the CC sol in all cases. Table 1 lists the optimisation results.

1176

1177 Table 1. Theoretical optimisation: Objectives, optimum values for the operating1178 variables and optimised values for some responses

Ont	Variable/a maximized	Т	P [Lactose]		W/m _{lactose}	CC gas	CC liq	CC sol	H_2	Alcohols	C3-ketones	Furans
Opt	variable/s maximised	(°C)	(bar)	(wt.%)	$(g_{cat} \min/g_{lactose})$	(%)	(%)	(%)	(vol.%)	(%)	(%)	(%)
1	CC gas, H ₂ (vol.%)	240	50	10	40	32	36	31	44	48	6	0
2	CC liq, (Alcohols %)	230	43	1	13	10	93	0	19	43	44	1
3	CC liq (C3-ketones)	240	50	1	10	10	93	0	29	1	98	0
4	CC liq, (Furans %)	224	48	1	40	18	74	4	24	48	7	16

1180	Taking these restrictions into account, optimisation 1 predicts a possible optimum for
1181	H ₂ production at the highest values of the temperature, pressure, lactose concentration
1182	and $W/m_{lactose}$ ratio used in this work. Under these conditions 4 mol H ₂ /mol lactose is
1183	produced. This H_2 yield is similar to that obtained in anaerobic fermentation (4 mol
1184	$\mathrm{H}_2/\mathrm{mol}$ lactose) and anaerobic fermentation plus photo-fermentation with L-malic acid
1185	(2-10 mol H_2 /mol lactose), but lower than the H_2 yield obtained during the catalytic
1186	steam reforming of lactose (16 mol H ₂ /mol lactose) [67]. The production of value-added
1187	liquids (optimisations 2, 3 and 4) is favoured when feeding a diluted lactose solution (1
1188	wt.%). Specifically, optimisation 2 indicates that the production of alcohols is
1189	preferential at medium temperature and medium pressure, using a low $W/m_{lactose}$ ratio.
1190	Under these conditions the proportions of monohydric and polyhydric alcohols in the
1191	liquid are 26 and 17%, respectively. Optimisation 3 predicts a selective production of
1192	C3-ketones at high temperature and pressure, using a low amount of catalyst. Under
1193	these conditions, the hydrogen solubility in the liquid is relatively low. This hinders

1194 hydrogenation reactions, thus increasing the proportion of C3-ketones in the liquid.

1195 Optimisation 4 predicts a maximum for the proportion of furans at medium temperature

- 1196 and high pressure using a high $W/m_{lactose}$ ratio.
- 1197

1198 **4. Conclusions**

1199 Aqueous phase reforming turned out to be a highly customisable process for the 1200 valorisation of lactose, the major organic constituent of cheese whey, for the production 1201 of either gas or liquid value-added chemicals. The operating variables exert a significant 1202 influence on the global results of the aqueous phase reforming (carbon converted into 1203 gas, liquid and solid products) as well as on the composition of the gas and liquid 1204 phases. The gas phase is composed of H₂, CO₂, CO and CH₄. The liquid phase consisted 1205 of a mixture of aldehydes, carboxylic acids, monohydric alcohols, polyhydric-alcohols, 1206 C3, C4 and cyclic-ketones and furans. The optimisation of the process revealed that an 1207 elevated temperature and a high pressure favour gas production when using a high 1208 W/mlactose ratio and a concentrated lactose solution. Liquid production is favoured at 1209 high temperature using a diluted lactose solution and a low W/mlactose ratio. An elevated 1210 temperature and a high W/m_{lactose} ratio favour solid production due to the proliferation 1211 of condensation and polymerisation reactions.

1212

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SUPLEMENTARY MATERIAL

FIGURES



Figure S1. Schematic diagram of the aqueous phase reforming experimental rig.



Figure S2. Carbon conversion to gas (a), liquid (b) and solid (c) obtained during the APR experiments. Results are presented as the overall values obtained every 60 minutes and expressed as mean \pm 0.5 Fisher LSD intervals with 95% confidence.



Figure S3. Relative amounts (vol.%) of H_2 (a), CO_2 (b) CO (c) and CH_4 (d) in the gas obtained during the APR experiments. Results are presented as the overall values obtained every 60 minutes and expressed as mean \pm 0.5 Fisher LSD intervals with 95% confidence.



Figure S4. Proportions (% chromatographic area) of aldehydes (a), carboxylic acids (b), monohydric alcohols (c), polyhydric alcohols (d), C3-ketones (e), C4-ketones (f), cyclic ketones (g) and furans (h) in the liquid obtained during the APR experiments. Results are presented as the overall values obtained every 60 minutes and expressed as mean \pm 0.5 Fisher LSD intervals with 95% confidence.

TABLES

Table S1. Response variables. Definitions and analytical techniques used in their

determination.

Product	Response variable	Analytical method
Gas	$CC gas (\%) = \frac{C in the gas (g)}{C fed (g)} 100$	Micro Gas Chromatograph (Micro GC). N ₂ as internal standard
	Composition (vol. %) = $\frac{\text{mol of each gas}}{\text{total mol of gas}}$ 100	Online analyses
	$CC \operatorname{liq}(\%) = \frac{C \operatorname{in the liquid products}(g)}{C \operatorname{fed}(g)} 100$	Total Organic Carbon (TOC).
Liquid	$Composition (area \%) = \frac{area of each compound}{total area} 100$	GC-MS (Gas Chromatography-Mass Spectrometry)
	$X \text{ lactose } (\%) = \frac{\text{lactose fed } (g) - \text{lactose in the liquid } (g)}{\text{lactose fed } (g)} 100$	HPLC (High Performance Liquid Chromatography) Offline analyses
Solid	$CC \text{ sol } (\%) = 100 - CC \text{ gas } (\%) - CC \text{ liq}^* (\%)$	

 $CC \ liq = Carbon \ conversion \ to \ liquid \ products \ (unreacted \ lactose \ free).$ $CC \ liq^* = Carbon \ conversion \ to \ liquids \ including \ unreacted \ lactose$

Table S2. Operating conditions employed in the experiments

Run	Lact (wt.	tose %)	Pres (b	ssure ar)	Tempe (°C	rature C)	Wcatalyst/m _{lactose} (g cat min/g lactose)			
	Actual	codec	actual	codec	actual	codec	Actual	codec		
1	1	-1	38	-1	200	-1	10	-1		
2	1	-1	38	-1	240	1	10	-1		
3	1	-1	50	1	200	-1	10	-1		
4	1	-1	50	1	240	1	10	-1		
5	10	1	38	-1	200	-1	10	-1		
6	10	1	38	-1	240	1	10	-1		
7	10	1	50	1	200	-1	10	-1		
8	10	1	50	1	240	1	10	-1		
9	1	-1	38	-1	200	-1	40	1		
10	1	-1	38	-1	240	1	40	1		
11	1	-1	50	1	200	-1	40	1		
12	1	-1	50	1	240	1	40	1		
13	10	1	38	-1	200	-1	40	1		
14	10	1	38	-1	240	1	40	1		
15	10	1	50	1	200	-1	40	1		
16	10	1	50	1	240	1	40	1		
17* (17,18,19, 20, 21)	5.5	0	44	0	220	0	25	0		
22	5.5	0	44	0	200	-1	25	0		
23	5.5	0	44	0	240	1	25	0		
24	5.5	0	38	-1	220	0	25	0		
25	5.5	0	50	1	220	0	25	0		
26	1	-1	44	0	220	0	25	0		
27	10	1	44	0	220	0	25	0		
28	5.5	0	44	0	220	0	10	-1		
29	5.5	0	44	0	220	0	40	1		

Table S3. Relative influence of the operating conditions on the CC gas, CC liq and CC sol according to the ANOVA analysis for the first hour of reaction.

	R ²	Indep.	Т	Р	С	W	TP	TC	TW	PC	PW	CW	T^2	\mathbf{P}^2	C^2	W^2	TPC	TPW	TCW	PCW	T ² P	T^2C	T^2W	TP ²	T^2P^2	TPCW
CC gas (%)	0.05	20.07	n.s	-3.28	4.04	n.s	1.83	n.s	n.s	2.10	n.s	2.30	-3.79	-7.12	-7.29	4.17	1.90	n.s	n.s	1.09	5.72	n.s	3.93	n.s	8.40	n.s
	0.95			(6)	(14)		(6)			(7)		(8)	(2)	(7)	(10)	(6)	(6)			(4)	(6)		(13)		(4)	
CC liq	0.07	78.28	n.s	n.s	-5.23	n.s	n.s	-3.97	-5.91	-2.60	n.s	4.92	-8.12	-9.73	n.s	n.s	3.45	n.s	3.22	-4.15	n.s	n.s	-15.17	-5.61	n.s	3.13
(%)	0.97				(8)			(6)	(8)	(4)		(7)	(14)	(6)			(6)		(4)	(6)			(21)	(8)		(4)
CC sol (%)	0.97	3.74	n.s	n.s	n.s	n.s	-3.88	4.07	6.3	n.s	n.s	-6.87	8.48	13.42	n.s	n.s	-5.12	n.s	-2-12	2.93	n.s	n.s	10.90	5.24	n.s	-3.12
							(6)	(6)	(9)			(10)	(17)	(9)			(7)		(3)	(4)			(16)	(8)		(5)

n.s: Non significant with 95% confidence

Numbers in brackets indicate the percentage Pareto influence of each factor on the response variable. Pareto values represent the percentage of the orthogonal estimated total value.

Response = Indep. + Coefficient T·T + Coefficient P·P + Coefficient C·C + Coefficient W·W + Coefficient TC·T·C + Coefficient TW·T·W + Coefficient PC·P·C + Coefficient PW·P·W + Coefficient CW·C·W + Coefficient T²·T² + Coefficient P²·P² + Coefficient C²·C² + Coefficient W²·W² + Coefficient TPC·T·P·C + Coefficient TPW·T·P·W + Coefficient TCW·T·C·W + Coefficient PCW·P·C·W + Coefficient T²·C² + Coefficient T²·C² + Coefficient TP²·T²·P + Coefficient T²·C²·C + Coefficient TP²·T·P² + Coefficient TP²·T·P² + Coefficient TP²·T·P² + Coefficient TP²·C·W + Coefficient T²·C·W +

	\mathbb{R}^2	Ind.	Т	Р	С	W	TP	TC	TW	PC	PW	CW	T^2	\mathbf{P}^2	C^2	W^2	TPC	TPW	TCW	PCW	T^2P	T^2C	T^2W	TP ²	T^2P^2	TPCW
H_{a} (vol %)	0.95	16.69	4.34	n.s	n.s	n.s	-1.67	4.35	2.73	-2.48	n.s	n.s	n.s	-4.98	n.s	6.03	-3.03	n.s	1.72	n.s	n.s	-1.64	7.23	5.17	10.5	n.s
11 ₂ (VOI.70)	0.95		(20)				(4)	(9)	(6)	(5)				(1)		(17)	(7)		(4)			(4)	(16)	(4)	(5)	
CO ₂ (vol %)	1	71.51	-4.13	n.s	n.s	3.01	1.82	-4.50	-2.20	1.70	n.s	-1.59	3.53	9.60	6.64	-6.77	n.s	-1.53	-1.88	-2.16	n.s	-2.76	-5.54	-5.54	-19.52	1.04
0.02 ((011/0)			(16)	n.s	n.s	(11)	(3)	(7)	n.s	(3)		(3)	(8)	(3)	(2)	(8)	(6)	(3)	(3)	(4)	n.s	(5)	(6)	(3)	(4)	(2)
CO (vol.%)	0.97	1.54	n.s	n.s	n.s	n.s	0.91	1.07	0.50	0.73	0.59	0.76	n.s	1.17	n.s	n.s	0.76	0.89	0.99	1.03	0.87	1.29	n.s	0.97	n.s	0.75
							(7)	(9)	(4)	(6)		(6)		(6)			(6)	(7)	(8)	(8)	(7)	(11)		(8)		(6)
CH ₄ (vol.%)	0.93	7.62	n.s	n.s	2.89	n.s	-1.03	-0.84	-0.83	n.s	n.s	0.74	n.s	-3.62	-3.29	2.90	-1.07	n.s	-0.86	n.s	n.s	n.s	n.s	-0.87	n.s	n.s
		1.07			(22)		(7)	(6)	(6)			(5)		(8)	(18)	(9)	(8)		(6)			0.00		(6)		
Aldehydes (%)	0.94	1.27	n.s	n.s	n.s	n.s	n.s	-0.53	1.30	n.s	n.s	-1.70	n.s	n.s	n.s	n.s	n.s	n.s	-0.85	n.s	n.s	-0.60	1.61	1.62	1.45	n.s
a 1		4.00		(5)				(/)	(17)	1.07		(23)	2.1.1	7.74					(11)		()7	(8)	(21)	1.25	(13)	1 40
Acids (%)	0.93	4.23	n.s	-6.54	n.s	n.s	n.s	2.25	n.s	-1.2/	n.s	-1.32	-3.11	/./4	n.s	n.s	n.s	n.s	n.s	n.s	6.27	3.69	n.s	1.25	-4.18	1.40
ricids (70)				(5)		0 0 7		(12)		(7)		(7)		(14)					0.67		(11)	(20)		(7)	(5)	(7)
Mono-OH (%)	0.96	7.44	n.s	-2.32	-4.19	0.97	n.s	1.88	1.79	n.s	-1.37	2.06	n.s	n.s	4.42	2.92	1.32	1.28	0.67	n.s	2.92	6.93	n.s	0.76	-10.92	n.s
		a a a t		(1)	(9)	(5)		(8)	(8)		(6)	(9)			(9)	(6)	(6)	(6)			(3)	(10)		1.00	(11)	
Poly-OH (%)	0.75	28.31	n.s	n.s	n.s	4.99	n.s	n.s	n.s	n.s	n.s		12.71	n.s	n.s	n.s	n.s	4.16	n.s	n.s	n.s	7.04	n.s	-4.99	-30.22	n.s
						(13)							(23)					(10)				(17)		(12)	(25)	
C3-Ketones	0.95	23.28	n.s	n.s	n.s	-8.97	-3.58	-4.50	-4.50	4.11	n.s	6.73	n.s	13.7	n.s	n.s	-4.78	-8.70	n.s	5.85	n.s	-18.9	n.s	-4.38	14.95	n.s
(70)					• • • •	(10)	(4)	(5)	(5)	(4)		(7)		(18)			(5)	(9)		(6)		(19)		(4)	(5)	
C4-ketones	0.95	11.86	n.s	4.88	2.06	-4.02	-1.14	1.0	n.s	0.74	-1.98	-1.21	n.s	-2.48	n.s	n.s	2.65	0.91	2.85	-1.0	-6.89	n.s	3.85	n.s	n.s	-1.43
(70)				(5)		(3)	(5)	(4)		(3)	(8)	(5)		(7)			(11)	(4)	(12)	(4)	(9)		(5)			(6)
Cyclic Ketones	0.93	9.08	1.68	n.s	2.02	0.76	-1.98	1.82	0.79	n.s	-0.89	n.s	-4.1	n.s	-3.60	.4.40	3.01	0.88	n.s	-0.97	n.s	n.s	n.s	n.s	9.94	0.93
(70)			(9)		(11)	(4)	(10)	(10)	(4)		(5)		(2)		(3)	(2)	(16)	(5)		(5)					(9)	(5)
Furans (%)	1	0	n.s	n.s	n.s	-3.86	2.76	-2.62	2.62	-3.55	3.55	-3.90	n.s	n.s	n.s	3.86	-3.24	-3.24	-3.38	-2.45	2.45	-2.10	5.96	3.38	0.036	-2.76
						(3)	(6)	(5)	(5)	(7)	(7)	(8)				(5)	(7)	(7)	(7)	(5)	(5)	(4)	(4)	(7)	(1)	(6)

Table S4. Relative influence of the operating conditions on the volumetric composition of the gas according to the ANOVA analysis for the first hour of reaction.

n.s: Non significant with 95% confidence

 $Response = Ind. + Coefficient T \cdot T + Coefficient P \cdot P + Coefficient C \cdot C + Coefficient W \cdot W + Coefficient T C \cdot T \cdot C + Coefficient T W \cdot T \cdot W + Coefficient P C \cdot P \cdot C + Coefficient P W \cdot P \cdot W + Coefficient C W \cdot C \cdot W + Coefficient T W \cdot T \cdot W + Coefficient P C \cdot P \cdot C + Coefficient P W \cdot P \cdot W + Coefficient C W \cdot C \cdot W + Coefficient C W \cdot C \cdot W + Coefficient C W \cdot C \cdot W + Coefficient P C \cdot P \cdot C + Coefficient P W \cdot P \cdot W + Coefficient C W \cdot C \cdot$

 $Coefficient \ T^2 \cdot T^2 + Coefficient \ P^2 \cdot P^2 + Coefficient \ C^2 \cdot C^2 + Coefficient \ W^2 \cdot W^2 + Coefficient \ TPC \cdot T \cdot P \cdot C + Coefficient \ TPW \cdot T \cdot P \cdot W + Coefficient \ TCW \cdot T \cdot C \cdot W + Coefficient \ T^2P \cdot T^2 \cdot P + Coefficient \ TCW \cdot T \cdot C \cdot W + Coefficient \ TCW \cdot T \cdot C \cdot W + Coefficient \ T^2P \cdot T^2 \cdot P + Coefficient \ TCW \cdot T \cdot C \cdot W + Coefficient \ TCW \cdot T$

 $Coefficient \ T^2 C \cdot T^2 \cdot C + Coefficient \ T^2 \cdot W \cdot T^2 \cdot W + Coefficient \ T \cdot P^2 \cdot T \cdot P^2 + Coefficient \ TPCW \cdot T \cdot P \cdot C \cdot W.$

Numbers in brackets indicate the percentage Pareto influence of each factor on the response variable. Pareto values represent the percentage of the orthogonal estimated total value.