

# Potential control of forest diseases by solutions of chitosan oligomers, propolis and nanosilver

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## Abstract

There is a growing necessity to replace chemical agents with ecofriendly materials, arising from their impact on the environment and/or human health, which calls for the design of new broad-spectrum fungicides. In this work, chitosan oligomers (COs), propolis (Ps) and silver nanoparticles (AgNPs) mixtures in solution were assessed to control the growth of different phytopathogenic fungi and oomycetes *in vitro*. Binary solutions of COs-Ps and COs-AgNPs evinced the highest antifungal effect against *Fusarium circinatum* and *Diplodia pinea* fungi, respectively, with a *ca.* 80% reduction in their mycelial growth. The COs solution by itself also proved to be greatly effective against *Gremmeniella abietina*, *Cryphonectria parasitica* and *Heterobasidion annosum* fungi, causing a reduction of 78%, 86% and 93% in their growth rate, respectively. Likewise, COs also attained a 100% growth inhibition on the oomycete *Phytophthora cambivora*. On the other hand, Ps inhibited totally the growth of *Phytophthora ×alni* and *Phytophthora plurivora*. The application of AgNPs reduced the mycelial growth of *F. circinatum* and *D. pinea*. However, the AgNPs in some binary and ternary mixtures had a counter-productive effect on the anti-fungal/oomycete activity. In spite of the fact that the anti-fungal/oomycete activity of the different treatments showed a dependence on the particular type of microorganism, these solutions based on natural compounds can be deemed as a promising tool for control of tree diseases.

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41 **Keywords:** anti-fungal; anti-oomycetes; forest pathogens; natural compounds.

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### 43 **1. Introduction**

44 Phytopathogenic microorganisms are responsible for major economic losses and ecological  
45 impacts, affecting from seedling nurseries to mature trees in plantations, seed orchards, landscape  
46 plantings, or native forests (Hirooka and Ishii 2013; Gordon et al. 2015). All over the world, several  
47 species of conifers are affected by common ascomycete fungi, such as *Fusarium circinatum*  
48 Nirenberg & O'Donnell, responsible for pitch canker disease (Wingfield et al. 2008); *Diplodia pinea*  
49 (Desmaz.) J. Kickx fil. (= *Sphaeropsis sapinea* (Fr.) Dyko & Sutton), which causes *Diplodia* tip blight  
50 and stem canker disease (Gibson 1979; Adamson et al. 2015); and *Gremmeniella abietina*  
51 (Lagerberg) Morelet (anamorph: *Brunchorstia pinea* (P. Karsten) Höhnelt) that produces shoots  
52 dieback and cankers on stems and trunks (Kaitera and Jalkanen 1992; Romeralo et al. 2015), causing  
53 the death of conifers including spruce, fir, larch, pine and juniper. In the same way, another of the  
54 most important pathogens in coniferous forests is *Heterobasidion annosum* (Fr.) Bref. (= *Fomes*  
55 *annosus* (Fr.) Cooke) basidiomycete, which causes root and butt rot (Asiegbu et al. 2005; Garbelotto  
56 and Gonthier 2013).

57 Other main forest pathogens include *Cryphonectria parasitica*, one of the most undesirable  
58 introduced plant pathogens, which causes chestnut blight on species in the genus *Castanea* (Heiniger  
59 and Rigling 1994; González-Varela et al. 2011); and oomycetes species such as *Phytophthora*. These  
60 latter comprise *P. cambivora* (Petri) Buisman, also a common pathogen of *Castanea*, *Fagus* and other  
61 hardwoods (Jung et al. 2005); *P. ×alni* (Brasier & S.A. Kirk) Husson, Ioos & Marçais, nothosp. nov.,  
62 which cause alder decline by dieback, small sparse and yellowish leaves, excessive fructification, and  
63 tarry and rusty exudates (Husson et al. 2015); and *P. plurivora* T. Jung and T.I. Burgess, which causes  
64 aerial canker and collar rot in several species, including beech, oaks and alders (Jung and Burgess  
65 2009; Haque et al. 2014; Haque et al. 2015).

66 To date, control of plant diseases has typically been performed by application of high toxic  
67 chemicals, whose excessive use has occasioned undesired impacts on the environment and on human  
68 health (Hirooka and Ishii 2013). Moreover, regulations are increasingly limiting the utilization of  
69 high toxic chemicals and promoting the use of integrated pest management and non-chemical  
70 alternatives to pesticides (Directive 2009/128/EC).

71 Chitosan is a natural polymer composed of randomly distributed  $\beta$ -(1-4) D-glucosamine and N-  
72 acetyl-D-glucosamine units. It can be found in the form of chitin in the shells of crustaceans and in

73 the cell walls of fungi (Jayakumar et al. 2011). This cationic biopolymer is characterized by being  
74 biocompatible, biodegradable, non-toxic and features antimicrobial, antiviral and antifungal  
75 properties (Ngo et al. 2015). Indeed, all fungi are expected to be vulnerable to chitosan, except those  
76 containing chitosan as a major wall compound (i.e. zygomycetes) (Leuba & Stössel, 1986, cited in  
77 Laflamme et al. (2000)).

78 Another natural compound that has been widely used for its antiseptic properties, mainly in  
79 traditional medicine, but also in plant protection (Özcan et al. 2004), is propolis. It is a resinous  
80 material collected by bees from different parts of plants, buds and exudates, which –once mixed with  
81 their own enzymes– is used as a void sealant or as a sanitization agent in the hive (Marcucci 1995).  
82 Propolis is rich in flavonoids, polyphenols, steroids, aldehydes, amino acids and quinones, which  
83 account for its strong antimicrobial power (Farooqui 2012; Mărghitaş et al. 2013).

84 Regarding silver nanoparticles, they have gained attention in the past decade as a very promising  
85 bactericidal and antifungal agent, with a much higher activity than silver ions (Kashyap et al. 2012).  
86 Silver nanoparticles have the ability to destroy the cellular walls and interfere with bacterial DNA  
87 replication and protein production processes (Wei et al. 2009).

88 Natural alternatives based on chitosan products have been widely studied against plant diseases,  
89 mainly in the crop protection, such as rice (Boonlertnirun et al. 2008), soybean (Zeng et al. 2012)  
90 and potatoes (Kurzawińska and Mazur 2006), to name a few. So that, the development of application  
91 strategies such as seeds coating, foliar treatment and soil amendment is very broad (El Hadrami et al.  
92 2010). Nonetheless, against forest diseases there are very few reports regard of chitosan uses (e.g.  
93 Reglinski et al. 2004; Fitza et al. 2013).

94 In this work, the anti-fungal/oomycete activity of chitosan oligomers (COs), propolis (Ps) and  
95 silver nanoparticles (AgNPs) and their binary and ternary combinations in solution has been assessed  
96 against eight forest pathogens through an *in vitro* study. The information on their effectiveness against  
97 each of the pathogens could pave the way for the development of novel natural compound-based  
98 antifungals, useful in an integrated management approach.

## 100 **2. Materials and methods**

### 101 **2.1. Fungal material and reagents**

102 To assay *in vitro* the effects of the different mixtures, eight species –five fungi and three  
103 oomycetes– were chosen. All these pathogens were isolated in natural areas in the North-West of

104 Spain (see Table 1). The pathogens were kept in dark at 25 °C in Potato Dextrose Agar (PDA) culture  
 105 medium in order to preserve the standard mycelial growth before the treatments.

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**Table 1.** Assayed fungi and oomycetes, isolated in previous studies.

Species	Isolate	Host tree	Origin	Isolation year	References
<b>Fungi</b>					
<i>Fusarium circinatum</i>	FcCa1	<i>Pinus radiata</i>	Cantabria	2009	(Martínez-Álvarez et al. 2012)
<i>Diplodia pinea</i>	HP154	<i>Pinus radiata</i>	Cantabria	2009	(Martínez-Álvarez et al. 2016)
<i>Gremmeniella abietina</i>	VAI-13	<i>Pinus halepensis</i>	Valladolid	2003	(Botella et al. 2010)
<i>Cryphonectria parasitica</i>	EU1	<i>Castanea sativa</i>	Zamora	2005	(Zamora et al. 2012)
<i>Heterobasidion annosum</i>	A14009-AFZAPR001	<i>Pinus pinaster</i>	Zamora	2014	
<b>Oomycetes</b>					
<i>Phytophthora cambivora</i>	PH14012-LR2-2	<i>Quercus ilex</i>	Segovia	2014	
<i>Phytophthora ×alni</i>	PA02	<i>Alnus glutinosa</i>	Zamora	2012	(Zamora-Ballesteros et al. 2016)
<i>Phytophthora plurivora</i>	SORLDD4	<i>Alnus glutinosa</i>	Soria	2012	(Haque et al. 2014; Zamora-Ballesteros et al. 2016)

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110 Unless otherwise stated, all chemicals and reagents were supplied by Sigma-Aldrich Química S.A.  
 111 (Tres Cantos, Madrid) and were used without further purification. Chitosan with medium molar mass  
 112 was purchased from Hangzhou Simit Chemical Technology Co. (Hangzhou, China). Propolis with a  
 113 content of polyphenols and flavonoids of ca. 10% (w/v) came from Burgos (Spain).

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## 2.2. Synthesis of chitosan-based mixtures in solution

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The synthesis of the solutions based on COs with Ps and AgNPs was conducted according to the procedure described by Matei et al. (2015), with some modifications. COs aqueous solutions were prepared from medium molecular weight commercial chitosan (140000–300000 g/mol) in AcOH 2% at pH 4–6, after neutralization with KOH. However, when the final pH of the substrate was close to 6, there was no influence on the growth of the pathogen (Jönsson-Belyazio and Rosengren 2006). Then, 0.3 M H<sub>2</sub>O<sub>2</sub> was added to obtain 2000 g/mol oligomers. Ps extraction was carried out by grinding raw propolis to fine powder and by maceration in a hydroalcoholic solution 7:3 (v/v), which was subsequently percolated (1 L/min) and filtrated with a stainless steel 220 mesh to remove any residues. AgNPs were prepared with the procedure described by Venkatesham et al. (2012), where the nanoparticles from an aqueous solution of AgNO<sub>3</sub> (50 mM) were obtained with chitosan acting as both reducing and stabilizing agent without using any toxic chemicals. The reaction was carried

127 out in an autoclave at 120 °C for 15 min to obtain a clear yellow color indicating the formation of  
128 silver nanoparticles.

129 COs-Ps, COs-AgNPs, Ps-AgNPs binary and COs-Ps-AgNPs ternary solutions were prepared by  
130 mixing –under vigorous stirring– the necessary volumes of each solution in order to obtain a  
131 concentration of 10 mg/mL of COs, 1 mg/mL of Ps and 10 µg/mL of AgNPs in every solution. The  
132 AgNPs content was kept to a minimum to preserve the stability of the nanoparticles.

133 In order to characterize the mixtures and identify the interaction of the chemical functional groups,  
134 the samples in solution were freeze-dried (lyophilized) for 24 hours and their infrared spectra in the  
135 400-4000 cm<sup>-1</sup> spectral range was measured using a Thermo Scientific (Waltham, MA, USA) Nicolet  
136 iS50 FT-IR Spectrometer, equipped with an in-built diamond attenuated total reflection (ATR)  
137 system.

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### 139 **2.3. *In vitro* experiments**

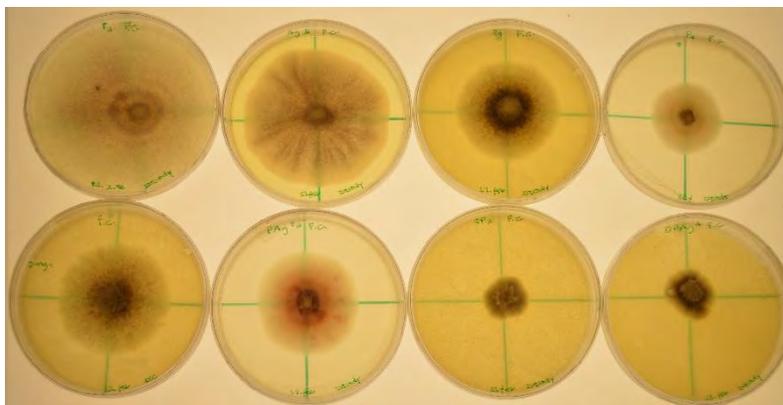
140 To assay the anti-fungal/oomycete activity, a typical *in vitro* mycelial growth inhibition test was  
141 performed. Indeed, the experimental design consisted of a factorial scheme with three factors: (1)  
142 COs (presence/absence), (2) Ps (presence/absence) and (3) AgNPs (presence/absence). So, the anti-  
143 fungal/oomycete activity of the three compounds separately and their binary and ternary  
144 combinations was analyzed for each pathogen (Figure 1). Each solution was uniformly incorporated  
145 at a ratio of 1:10 (v/v) into PDA after its sterilization for 20 min at 121 °C, as described by Wang et  
146 al. (2014), obtaining a final concentration of 1 mg/mL of COs, 0.1 mg/mL of P and 1 µg/mL of  
147 AgNPs in every treatment. These concentrations correspond to the minimum inhibitory  
148 concentrations used in other similar studies (Yoksan and Chirachanchai 2010; Torlak and Sert 2013;  
149 Olicón-Hernández et al. 2015). 20 mL of the mixtures were spread in Petri dishes (9 cm in diameter)  
150 setting four replicates for each treatment.

151 Once the culture medium had solidified, an inoculum of every pathogen (a 5×5 mm<sup>2</sup> plug cut from  
152 the margins) was placed at the center of the Petri dish. Then, Petri dishes were sealed and incubated  
153 at 25 °C in the dark. The mycelial growth (*g*) was measured on a daily basis until the day in which  
154 the dishes of the control treatment were fully covered with mycelium (*n*).

155 The radial growth rate was calculated according to the following equation:

$$156 \text{ Radial growth rate} = \frac{\sum_{i=1}^n g_i}{n} \quad \text{Eq. (1)}$$

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159 **Figure 1.** *In vitro* growth inhibition test for *Fusarium circinatum* (day 7). Control and treatments with AgNPs,  
160 COs, Ps, COs-AgNPs, Ps-AgPNs, COs-Ps, COs-Ps-AgNPs (left-to-right, top-to-bottom).

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#### 162 **2.4. Statistical analyses**

163 Analyses of variance (ANOVAs) and multiple comparison procedures were performed to test the  
164 effect of three different anti-fungal/oomycete agents (chitosan, propolis and nanosilver) and their  
165 combinations on the mycelia growth of the eight forest pathogens. As the raw data violated two  
166 ANOVA assumptions (normality and homogeneity of variances), robust methods were applied  
167 (García Pérez 2011). In particular, three-way fixed factor ANOVAs were performed under non-  
168 normality and inequality of variances, using the generalized Welch procedure, a 0.2-trimmed mean  
169 transformation and alpha value of 0.05. ANOVAs were carried out using the “Wilcox' Robust  
170 Statistics (WRS2)” package, in particular the functions “t3way” and “lincon” (see Wilcox (2016)),  
171 implemented in the R software environment (R Development Core Team 2016).

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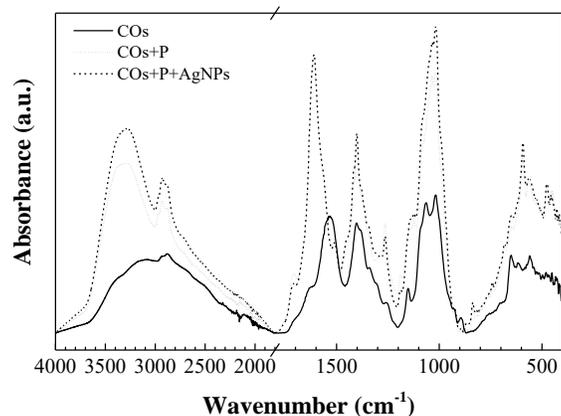
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### 174 **3. Results**

#### 175 **3.1. Aqueous solutions characterization**

176 Insight into the interaction of COs with the functional groups (phenolic and acids) from Ps and  
177 into the chelation of AgNPs in the binary and ternary aqueous solutions was gained by attenuated  
178 total reflectance Fourier-transform infrared (ATR-FTIR) spectroscopy. The vibrational spectra of the  
179 COs, COs-Ps binary and COs-Ps-AgNPs ternary mixtures were depicted in Figure 2.

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182 **Figure 2.** ATR-FTIR spectra of chitosan oligomers (COs), binary solution of chitosan oligomers and propolis  
 183 (COs-Ps) and ternary solution of chitosan oligomers, propolis and silver nanoparticles (COs-Ps-AgNPs). A  
 184 break has been inserted in the  $x$ -axis at  $1800\text{ cm}^{-1}$  to allow a clearer representation of the fingerprint region.  
 185

186 The COs spectrum (solid line in Figure 2) showed the characteristic absorption peaks of chitosan  
 187 at  $3256\text{ cm}^{-1}$  (stretching vibration of the O-H and N-H bonds); at  $1633$  and  $1550\text{ cm}^{-1}$  (amide I (C=O  
 188 stretching) and to N-H (amine) vibration overlapped to amide II (N-H vibration), respectively); at  
 189  $1152\text{ cm}^{-1}$  (C–O in oxygen bridges resulting from deacetylation of chitosan); and at  $1065$  and  $1018$   
 190  $\text{cm}^{-1}$  (C-O-C and C-O vibrations).

191 The spectrum of the binary mixture of COs-Ps (dotted line in Figure 2) sensitized the interaction  
 192 between the two components by significant changes vs. the COs spectrum, caused by the bonded Ps  
 193 components (mainly flavonoids and lipids). An increase in the intensity of the bands at  $1165\text{ cm}^{-1}$  (C–  
 194 O and C–OH vibration),  $1434\text{ cm}^{-1}$  (C–H vibration),  $1508$  and  $1610\text{ cm}^{-1}$  (aromatic ring  
 195 deformations), and  $1681\text{ cm}^{-1}$  (C=O stretching) took place. Another important difference between  
 196 the COs-Ps and COs spectra was a shift of the band associated to  $\nu(\text{C}_\phi\text{-O})$  from  $1257\text{ cm}^{-1}$  to  $1263$   
 197  $\text{cm}^{-1}$ , which occurs when hydrogen bonding between COs and phenolic groups from Ps components  
 198 takes place.

199 The lyophilizate of the ternary mixture COs-Ps-AgNPs (dashed line in Figure 2) showed a very  
 200 similar pattern to the infrared spectrum of the COs-Ps binary mixture, albeit with a decrease in  
 201 intensity for the bands at  $1721$ ,  $1271$  and  $1130\text{ cm}^{-1}$ . This change, unaccompanied by a shift in the  
 202 bands, suggests weak bonding of  $\text{NH}_2$ -AgNPs.

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### 204 **3.2. Anti-fungal activity**

205 All individual agents and some mixtures demonstrated the ability to reduce the mycelial growth  
 206 of fungi (Figure 3), in particular those with COs, however, their antifungal activity was dependent on  
 207 the particular type of pathogen assayed. With regard to *F. circinatum* fungi (Figure 3a), an interaction

208 amongst the three agents was observed in the Post-hoc analysis ( $F=354.6$ ,  $p<0.001$ ). Nevertheless,  
209 the binary mixture of COs-Ps showed the best antifungal effect, where the radial growth rate ( $1.4$   
210  $\text{mm}\cdot\text{day}^{-1}$ ) was 5.6 times lower than of the control treatment ( $8.0 \text{ mm}\cdot\text{day}^{-1}$ ), corresponding to 82%  
211 of inhibition. In this case, the addition of AgNPs did not increase the effectiveness of the COs-Ps  
212 binary solution, whereas it reduced the effect of Ps and COs as individual compounds, which  
213 presented a high capacity to inhibit the mycelial growth, with a 68% and 53% of inhibition,  
214 respectively. However, AgNPs by itself, although in a lesser extent, also inhibited the mycelial growth  
215 regarding the control (27% of inhibitions).

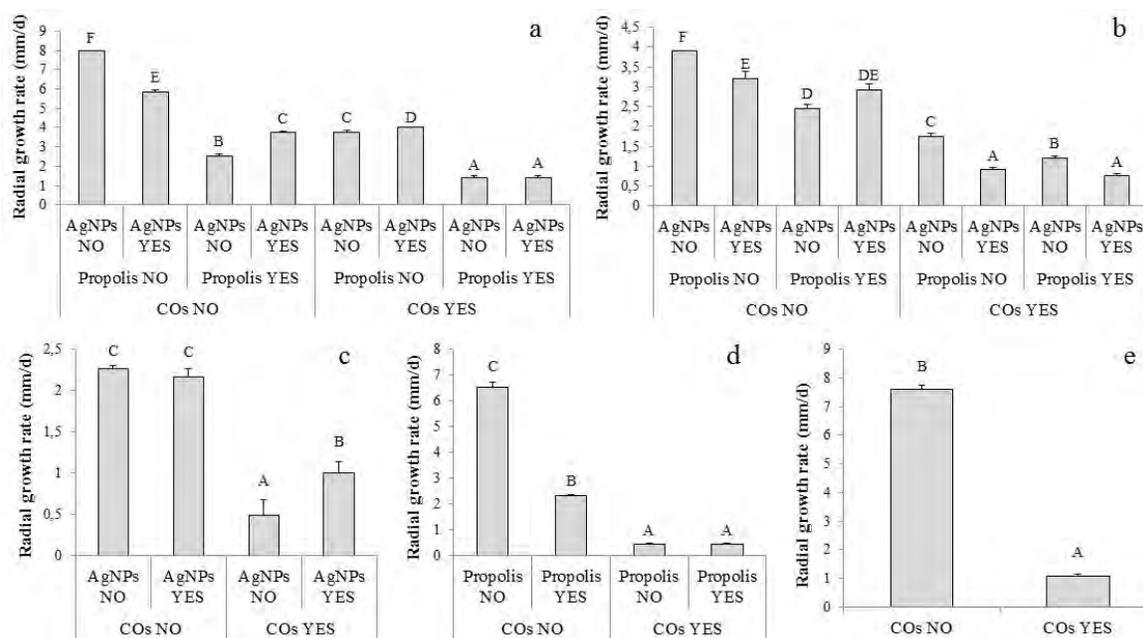
216 The treatments against *D. pinea* (Figure 3b) also showed an interaction amongst the three agents  
217 in the Post-hoc analysis ( $F=7.4$ ,  $p=0.02$ ). The binary mixture COs-AgNPs showed the best antifungal  
218 effect, with a radial growth rate of  $0.9 \text{ mm}\cdot\text{day}^{-1}$ , over 4.3 times lower than the control treatment ( $3.9$   
219  $\text{mm}\cdot\text{day}^{-1}$ ), that is equivalent to 77% of inhibition. No significant differences were found considering  
220 the addition of Ps in the ternary mixture (COs-Ps-AgNPs). Nonetheless, the binary mixture of COs-  
221 Ps showed a 69% of inhibition. The separate application of COs, Ps and AgNPs also evinced some  
222 antifungal activity, with lower radial growth rates of 1.7, 2.4 and  $3.2 \text{ mm}\cdot\text{day}^{-1}$ , i.e., 55, 37 and 18%  
223 of inhibition, respectively.

224 With regard to *G. abietina* (Figure 3c), there was an interaction between COs and AgNPs ( $F=6.6$ ,  
225  $p=0.03$ ). The best antifungal effect was associated to COs, which caused a 78% reduction of the  
226 growth rate. On the contrary, the addition of AgNPs to COs not only did not improve the antifungal  
227 activity, but had a counter-productive effect. No significant differences were found with AgNPs  
228 solution in comparison to the control.

229 In relation to *C. parasitica* ascomycete (Figure 3d), the results showed an interaction between COs  
230 and Ps ( $F=371$ ,  $p=0.001$ ), and the effectiveness of COs both with and without Ps was around 93%.  
231 This study seems to point out that there is no advantage in adding Ps to the COs, in spite of that the  
232 inhibition percentage of the individual Ps solution was also high ( $2.35 \text{ mm day}^{-1}$ , 64% inhibition).

233 The treatments on *H. annosum* basidiomycete (Figure 3e) showed a high antifungal activity of  
234 individual COs solution (86% of inhibition), without any interactions amongst the three elements, so  
235 it may be inferred that the use of Ps and AgNPs, by themselves, or in addition to COs did not  
236 significantly increase the inhibitory effect.

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241 **Figure 3.** Radial growth rate and interaction among treatments based on chitosan oligomers (COs), Propolis  
 242 and silver nanoparticles (AgNPs) against (a) *F. circinatum*; (b) *D. pinea*; (c) *G. abietina*; (d) *C. parasitica*; and  
 243 (e) *H. annosum* fungi. Different letters above bars indicate significantly different means (generalized Welch  
 244 procedure 0.2 trimmed means,  $\alpha = 0.05$ ). Error bars show the standard deviation. Note: Only significant  
 245 interactions from the Post hoc analyses are shown.

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### 3.3. Anti-oomycete activity

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As regards the assays conducted with oomycetes (Figure 4), a remarkable inhibitory activity was  
 250 attained for COs and Ps. Treatments against *P. cambivora* (Figure 4a) evidenced an interaction among  
 251 the three agents ( $F=64.1$ ,  $p=0.001$ ), but all treatments with COs (individual, binary and ternary  
 252 mixtures) presented 100% of growth inhibition. The treatment with the individual Ps solution also  
 253 showed growth inhibition (43%), but AgNPs and Ps-AgNPs treatments did not exhibit any significant  
 254 differences vs. the control.

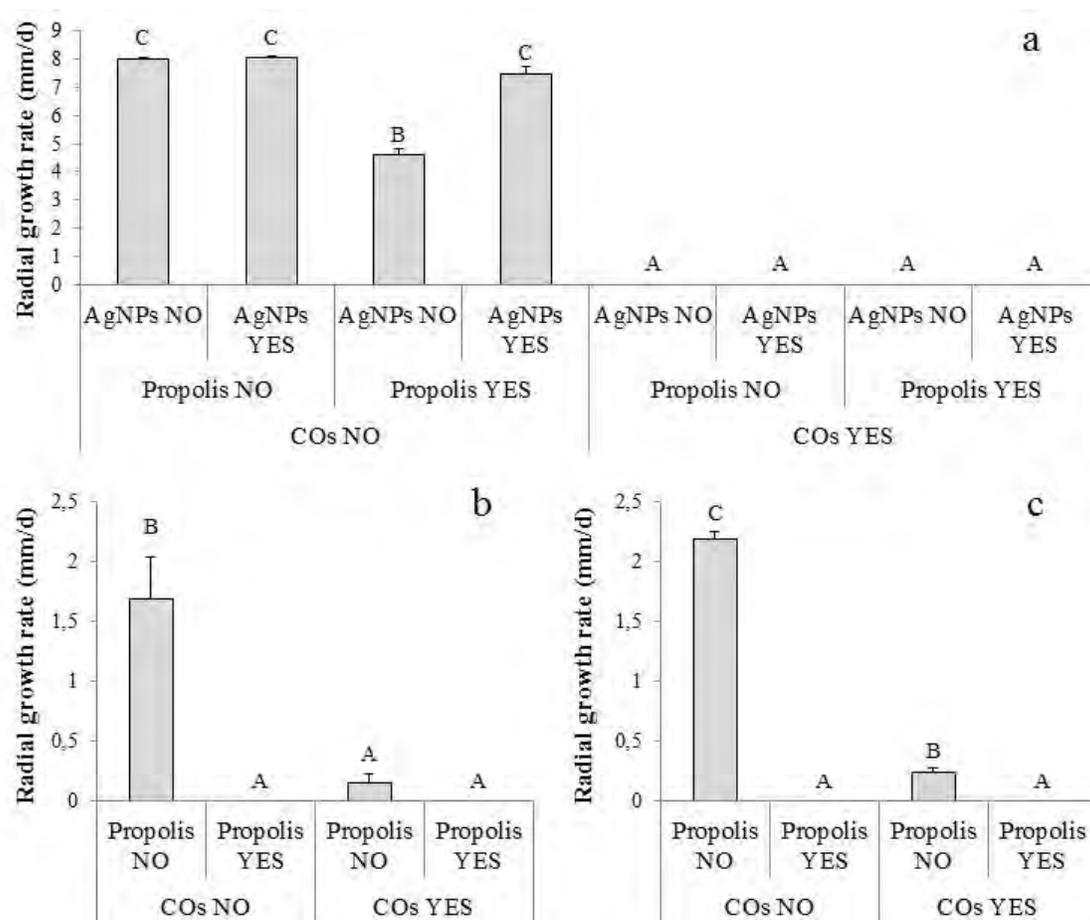
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On the other hand, an interaction between COs and Ps was found in treatments against *P. ×alni*  
 256 and *P. plurivora* ( $F=23$ ,  $p=0.002$  and  $F=722.8$ ,  $p=0.001$ , respectively). While the application of COs  
 257 and Ps (individual or mixed) resulted in a similar growth inhibition for *P. ×alni*, the addition of Ps  
 258 played a leading role in the growth inhibition for *P. plurivora* (Figure 4b and Figure 4c).

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**Figure 4.** Radial growth rate and interaction among treatments based on chitosan oligomers (COs), Propolis and silver nanoparticles (AgNPs) against (a) *P. cambivora*; (b) *P. xalni*; and (c) *P. plurivora* oomycetes. Different letters above bars indicate significantly different means (generalized Welch procedure 0.2 trimmed means,  $\alpha = 0.05$ ). Error bars show the standard deviation. Note: Only significant interactions from the Post hoc analyses are shown.

#### 4. Discussion

The present study has demonstrated that the three compounds (COs, Ps and AgNPs) have an antifungal effect on different forest pathogens. COs by itself showed an inhibitory effect on the mycelial growth of all pathogens tested. Although the antifungal activity of chitosan polymer has been already reported by other authors both in *in vitro* and *in vivo* experiments, for example, chitosan applications to increase the resistance of pine seedlings to *F. circinatum* and *D. pinea* (Reglinski et al. 2004; Fitza et al. 2013), this study confirms the importance of the use of low molecular weight chitosan such as COs. It is worth noting that when chitosan with higher molecular weight than that used in this study (e.g., 50,000 Da instead 2,000 Da) are applied, a lower antifungal activity is attained, with 35% of reduction of mycelial growth of *D. pinea* in the first day as reported by Singh

281 et al. (2008). This is consistent with the results reported by Avelelas et al. (2014), Qiu et al. (2014)  
282 and Cobos et al. (2015), who demonstrated that chitosan antifungal activity increased in inverse  
283 proportion to its molecular weight. Consequently, COs of molecular weight under 2,000 Da, might  
284 be a preferable option as compared to commercial 'low molecular weight' chitosan (i.e., 50,000 to  
285 190,000 Da, CAS Number 9012-76-4) in terms of its activity against *D. pinea*. On the other hand, in  
286 an *in vitro* study by Ziani et al. (2009), the use of chitosan solutions proved to be more effective  
287 against *Aspergillus niger*, *Alternaria alternata* and *Rhizopus oryzae* than the use of films, where  
288 presumably, the chitosan solution had positive charges on the quaternary amino groups that interacted  
289 with the fungal cell walls, while for the films a protonation loss occurred.

290 The inhibitory effect of Ps was demonstrated on most of the pathogens tested (*F. circinatum*, *D.*  
291 *pinea*, *C. pararisitica*, *P. cambivora*, *P. ×alni* and *P. plurivora*). The use of propolis has not been as  
292 well studied as chitosan, although its inhibition capacity against *F. circinatum* was already reported  
293 by Iturrutxa et al. (2013). However, they reported a fungicidal effect, whereas in this study a growth  
294 inhibition effect was found.

295 The application of AgNPs by itself also reduced the mycelial growth of *F. circinatum* and *D.*  
296 *pinea*, which is consistent with the results reported by Narayanan and Park (2014), who observed  
297 slight to moderate inhibition against wood-degrading fungi when a low dose of AgNPs was used.  
298 Nevertheless, AgNPs had not a significant anti-oomycete activity on the species tested in this study,  
299 contrasting with Mahdizadeh et al. (2015), who found that another oomycete (*Pythium*  
300 *aphanidermatum* (Edson) Fitzp.) was the most sensitive pathogen to nanosilver among the six tested  
301 species.

302 The effect of the binary solutions of the compound tested varies according to the species. While  
303 the COs-Ps binary solution showed the highest antifungal effect against *F. circinatum*, the result of  
304 the application of AgNPs in the binary solutions varies according to the pathogen. Indeed, the binary  
305 solution COs-AgNPs recommended by Wang et al. (2015) was the most promising mixture in order  
306 to control *D. pinea*. Nevertheless, the use of COs-AgNPs and Ps-AgNPs solutions had a counter-  
307 productive effect on the anti-fungal/oomycete activity against *G. abietina* and *P. cambivora*,  
308 respectively. This is in contrast to other studies in which nanosilver was also incorporated into  
309 chitosan, although in higher doses. For example against ascomycete *Colletotrichum gloeosporioides*  
310 (Penz.) Penz. & Sacc., the mixture showed excellent results: the inhibitory action increased from 44%  
311 to 100% as the AgNPs concentration was increased from 0.1 up to 100.0 µg/mL (Chowdappa et al.  
312 2014). It is also noteworthy that the solution consisting only of AgNPs did not show statistically  
313 significant difference vs. the control treatment, in contrast to the study by Narayanan and Park (2014),

314 who observed slight to moderate inhibition against wood-degrading fungi when a low dose of AgNPs  
315 was used. In the same vein, Saharan et al. (2013) and Saharan et al. (2015) found that the nanocopper-  
316 chitosan complex showed growth inhibition against other ascomycota such as *Fusarium oxysporum*  
317 and *A. alternata*. They suggested that addition of nanometals increased the surface charge density and  
318 provided more electrostatic interaction with fungal membrane.

319 Differences in the inhibitory behavior of the similar COs-Ps-AgNPs mixture have been reported  
320 for other fungal species and different application procedures: the COs-Ps-AgNPs ternary complex did  
321 not improve the antifungal/anti-oomycete activity compared to the binary solutions in this study,  
322 which contrasts with the complete inhibition obtained using similar COs-Ps-AgNPs mixtures applied  
323 to *D. seriata* and *Bipolaris oryzae* (Breda de Haan) Shoemaker (Matei et al. 2015; Araujo-Rufino et  
324 al. 2016). This discrepancy may be associated to that the gel phase used was ascribed to the higher  
325 concentrations of chitosan oligomers in the gel (20-25 mg/mL) vs. the aqueous solution of this study  
326 (1 mg/mL).

327 The bands in the ATR-FTIR spectrum of the COs-Ps-AgNPs composite evidenced a weak  
328 interaction among COs and AgNPs, even weaker than that reported for chitosan-AgNPs thin films  
329 and nanocomposites manufactured by spin-coating (Wei et al. 2009; Wang et al. 2015), whose  
330 infrared spectra showed shifts between 5 and 10  $\text{cm}^{-1}$ . On the other hand, the spectrums of COs and  
331 COs-Ps showed very similar bands to those reported in other works for chitosan (Matei et al. 2015;  
332 Stroescu et al. 2015; Branca et al. 2016) and propolis extracts (Franca et al. (2014); Siripatrawan and  
333 Vitchayakitti (2016).

334 A differential feature of this investigation in comparison to the literature was that in the  
335 preparations described above Green Chemistry procedures were used, without need for the addition  
336 of chemical bond reinforcing agents, widely used in other works (Gu et al. 2014; Jemec et al. 2016).  
337 Accordingly, these eco-friendly compounds could be useful in management strategies based on  
338 integrated approach, for example in the use of appropriate nursery hygiene practices. Likewise, the  
339 application of chitosan had been suggested using the chitosan-based Biochikol 020 PC, a biological  
340 agent with fungicidal properties and resistance stimulator, in order to control *P. xalni* complex in  
341 forest nurseries (Oskazo 2007).

342 In conclusion, from the results of the *in vitro* growth inhibition experiments respect the anti-  
343 fungal/oomycete effect of individual, binary and ternary mixtures of COs, Ps and AgNPs, assayed  
344 against eight plant pathogens, it could be inferred that: (i) the inhibitory activity against fungi and  
345 oomycetes of the individual low molecular weight COs solutions was significantly high (reaching  
346 growth rate reductions of up to 78, 86, 93% and 100% against *G. abietina*, *C. parasitica*, *H. annosum*

347 and *P. cambivora*, respectively); (ii) the growth inhibition is enhanced by association of COs with Ps  
348 (e.g., *F. circinatum*) and COs with AgNPs (e.g. *D. pinea*); and (iii) the COs-P-AgNPs ternary complex  
349 did not improve the antifungal/anti-oomycete activity compared to the binary solutions. Thus, the  
350 weak interactions that appear in solution amongst the three components (evidenced by FTIR)  
351 suggested that strong interactions are necessary to achieve the desired anti-fungal/oomycete effect.  
352 Additionally, further studies are essential to determine the effect of the COs-Ps-AgNPs combinations  
353 on seeds, tree seedlings and mature trees infested by different pathogens, as an innovative application  
354 system useful in an integrated management approach.

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## 6. Compliance with Ethical Standards

370 The authors declare that they have no conflict of interest.

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