# BMJ Open Efficacy of a mindful-eating programme to reduce emotional eating in patients suffering from overweight or obesity in primary care settings: a clusterrandomised trial protocol

Hector Morillo Sarto, 1,2 Alberto Barcelo-Soler, 1,3 Paola Herrera-Mercadal, 1,3 Bianca Pantilie, Mayte Navarro-Gil, 1,3 Javier Garcia-Campayo, 1,3 Jesus Montero-Marin<sup>1,5</sup>

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#### **Correspondence to**

Dr Jesus Montero-Marin; imonteromarin@hotmail.com

#### **ABSTRACT**

Introduction Little is known about the applicability of mindfulness-based interventions in Spanish adults with overweight/obesity. The objective of the present study protocol is to describe the methods that will be used in a cluster randomised trial (CRT) that aims to evaluate the effectiveness of a mindfulness eating (ME) programme to reduce emotional eating (EE) in adults with overweight/ obesity in primary care (PC) settings.

Methods and analysis A CRT will be conducted with approximately 76 adults with overweight/obesity from four PC health centres (clusters) in the city of Zaragoza, Spain. Health centres matched to the average per capita income of the assigned population will be randomly allocated into two groups: 'ME +treatment as usual (TAU)' and 'TAU alone'. The ME programme will be composed of seven sessions delivered by a clinical psychologist, and TAU will be offered by general practitioners. The primary outcome will be EE measured by the Dutch Eating Behaviour Questionnaire (DEBQ) at post test as primary endpoint. Other outcomes will be external and restrained eating (DEBQ), binge eating (Bulimic Investigatory Test Edinburgh), eating disorder (Eating Attitude Test), anxiety (General Anxiety Disorder-7), depression (Patient Health Questionnaire-9), mindful eating (Mindful Eating Scale), dispositional mindfulness (Five Facet Mindfulness Questionnaire) and self-compassion (Self-Compassion Scale). Anthropometric measures, vital signs and blood tests will be taken. A primary intention-to-treat analysis on EE will be conducted using linear mixed models. Supplementary analyses will include secondary outcomes and 1-year follow-up measures; adjusted models controlling for sex, weight status and levels of anxiety and depression; the complier average causal effect of treatment; and the clinical significance of improvements. Ethics and dissemination Positive results of this study may have a significant impact on one of the most important current health-related problems. Approval was obtained from the Ethics Committee of the Regional Authority. The results will be submitted to peer-reviewed journals, and reports will be sent to participants.

Trial registration number NCT03927534 (5/2019).

# Strengths and limitations of this study

- ► This study is going to lead the first intervention based on mindful eating applied to overweight and obesity in the Spanish primary care (PC) context.
- It will open new perspectives about current recommendations included in the clinical guidelines for the treatment of these conditions in Spanish PC settings.
- It will carry out a long-term follow-up using both biological and psychological assessments to analyse changes that the treatment may produce.
- The sample size used will be a main limitation, because it has been estimated based on foreign works due to the lack of previous studies in the Spanish context.

# **BACKGROUND**

According to WHO, overweight and obesity are disorders in which there is abnormal or excessive fat accumulation in adipose tissue that can be harmful to health. They are defined operationally as a body mass index (BMI)—weight in kilograms divided by the square height in metres (kg/m<sup>2</sup>)-from 25 to 29.9 and ≥30 kg/m<sup>2</sup>, respectively. WHO estimated that, in 2014, 39% of adults worldwide were overweight (approximately 38% of men and 40% of women), and 13% were obese (approximately 11% of men and 15% of women).<sup>2</sup> In absolute terms, it is estimated that approximately 1 billion adults are overweight and that at least 300 million are obese throughout the world, with an increasing prevalence in most countries. Unhealthy diet and the lack of physical activity are important causes of obesity<sup>4</sup> and also the most important non-communicable obesity-related diseases, such as cardiovascular disease, type 2 diabetes and certain types of cancer. <sup>56</sup> They contribute



substantially to global morbidity, mortality and disability burden.<sup>7</sup> Obesity has been associated with a higher prevalence of hypertension, dyslipidaemia, sedentary lifestyle, diabetes, subclinical organ injury and cardiovascular disease,<sup>8</sup> depression,<sup>9</sup> migraine<sup>10</sup> and hypertension.<sup>11</sup>

Even in the Mediterranean region (in which Spain is located), traditionally associated with a healthy lifestyle, the evolution of eating habits has led to a progressive abandonment of the traditional pattern, for example, decrease in the consumption of fruits and vegetables and increase in the consumption of fast food, especially among the youngest age groups.<sup>12</sup> These eating style changes have also been complemented by a physical activity decrease pattern and longer sedentary time.<sup>13</sup> In fact, Mediterranean countries currently express high obesity prevalence rates. 1415 The investigation of new ways to treat this problem in the primary care (PC) context is developing successfully, although incipiently, throughout virtual platforms that allow for the training and interaction of different professionals. <sup>16</sup> The most common users of the PC system are patients between 45 and 75 years, and precisely this age range presents a higher prevalence of overweight and obesity than other age groups in Spain.<sup>17</sup> Reducing the prevalence of obesity is not an easy task because a multiplicity of factors may be involved. <sup>18</sup> In most cases, an individualised and multidisciplinary treatment is recommended because of the efficiency and resource complexities that such treatment may involve. 1920 Obesity treatment is a challenge to which societies have not yet adequately responded, and the prevalence of obesity has increased, leading to an encrusted problem.<sup>21</sup>

There are factors on which experts agree: diet and physical activity are fundamental pillars for the treatment of obesity to achieve a 'negative' energy balance.<sup>22</sup> The fundamental objective in the initial treatment of overweight and obesity is to reduce body fat until it reaches a level that allows for an improvement in health and a reduction, as much as possible, in risk factors.<sup>23</sup> To achieve long-term maintenance of weight loss, it is essential to modify and establish habits related to diet, eating patterns and physical activity. 19 24 25 However, between 25% and 30% of patients with obesity seeking treatment to reduce their weight suffer from a marked depression condition or other psychological disorders. Thus, physicians or psychologists should routinely inquire about the mood, sleep, appetite, fun activities and eating patterns of patients with obesity. In fact, individuals with obesity with marked depression, anxiety or binge-eating problems may require pharmacological treatment and/or psychotherapy before trying to lose weight.<sup>26</sup>

Psychological treatments, mostly included in the cognitive–behavioural therapy umbrella, have shown favourable, but not definitive, results for weight loss. <sup>27</sup> However, not all the studies reviewed have showed such positive outcomes, <sup>28</sup> and given the intense debate in this field, there is an evident need for more trials and long-term evaluations. Less encouraging in their long-term results are other psychological treatments employed, such as

psychoanalysis or hypnosis.<sup>30 31</sup> On the other hand, it has been established that between one and two-thirds of the people who follow restricted diets gain more weight than they lose.<sup>32</sup> The degree to which restricted diets are counterproductive has probably been underestimated due to several methodological problems, focusing on the results of successful weight loss instead of the long-term maintenance of weight loss. Moreover, studies do not provide consistent evidence that dietary restriction results in significant health improvements, regardless of possible weight change<sup>32</sup>; in fact, it is a significant contributor to binge eating.<sup>33</sup> Nevertheless, changes in dietary habits do not necessarily have associated restrictions, since healthy changes may be made to food consumption without restriction, such as a healthy choice of food.<sup>34–36</sup>

At this point, a fundamental debate in the field has been the consideration or not of weight loss as a main outcome.<sup>37</sup> Weight is a very simple measure that is not always directly related to the interaction that a person has with food, because there may be other factors to take into account, such as endocrine disorders or drug treatments. However, it has been observed that the consumption of certain problematic foods and eating behaviours, such as binge eating and eating linked to the satisfaction of emotional needs rather than the satisfaction of physical hunger, are related to the presence of obesity.<sup>38</sup> In fact, our relationship with food is an important issue. Recently, a line of study interested in promoting the conscious choice of food, developing awareness of the differences between physical hunger and psychological hunger, noticing the satiety signs and eating healthily as a response to all those signals, has been developed. 39 40 Within this current stream of the literature, the 'mindful eating' (ME) movement emphasises being aware of the present moment when one is eating, paying attention to the effect that food has on the senses and taking into account the physical and emotional sensations in response to the eating process.<sup>37 41</sup>

The first ME study 42 showed that, through a 7-session group programme, it was possible to reduce the number of binge-eating episodes, as well as depressive symptomatology. The meditative practice related to eating was the best predictor regarding the improvement in eating control. Later, although a pilot study found no effects on weight change, 43 it was observed that ME produces improvements in depressive symptoms, expectations of results, food-related self-efficacy and cognitive control with respect to eating behaviours in patients suffering from diabetes.<sup>39 44</sup> More robust studies have concluded that dispositional mental awareness plays an important role in eating behaviours, supporting the use of ME feeding techniques in diabetes self-management interventions. 45 It has recently been stated that ME seems to be an effective approach to weight and glycaemic control in people with diabetes, and thus, promoting mindfulness could be one of several behavioural tools to support key self-care aspects to regulate glycosylated haemoglobin.<sup>46</sup> ME has also shown efficacy in binge-eating disorders<sup>47</sup> and could contribute to weight loss and a reduction in sweets consumption and fasting glucose levels, <sup>36</sup> although only moderate effects have been found when specifically trying to reduce weight in the long term.<sup>48</sup>

It has been said that ME appears to be effective in addressing emotional eating (EE), binge eating and eating in response to external cues, with the potential of improving problematic eating behaviours and controlling food intake. 48 However, evidence of ME training on weight is mixed and additional research to determine comparative and long-term effects is needed.<sup>49</sup> In recent years, EE—that is overeating in response to negative emotions<sup>50</sup>—has been seen as a potential explanatory factor of the complexity of overweight and obesity.<sup>51</sup> It has been observed that: (1) EE interacts with loss of control eating, increasing disordered eating attitudes, BMI and adiposity<sup>52</sup>; (2) EE is implicated in the use of compensatory behaviours to regulate weight<sup>50</sup>; and that (3) decreases in EE are associated with weight loss success in overweight adults.<sup>53</sup> It has been suggested that ME approaches might have a positive influence as adjuvant therapies for weight management and for the development of a more conscious eating style with healthier eating behaviours. 54 55 This could be achieved because mindfulness-based programmes which usually incorporates training in dispositional mindfulness and self-compassionate attitudes improve emotion regulation processes,<sup>56</sup> which in turn are inversely related to EE,<sup>51</sup> suggesting the treatment of overweight and obesity should focus on calorie-restricted diets and on emotion regulation skills. However, to the best of our knowledge, no studies have attempted to improve these eating variables in patients suffering from overweight or obesity using ME interventions in the Spanish PC context.

# **Objectives**

The main aim of this study will be to evaluate an ME psychological intervention plus treatment as usual ('ME +TAU') to promote a healthy change in relations with food by reducing EE in patients with overweight or obesity in PC settings, compared with a control group that will receive medical treatment as usual ('TAU alone'). The secondary aims will be to assess the possible differences between groups in external, restrained, binge and ME as well as eating disorder risk, anxiety, depression, dispositional mindfulness and self-compassion. Finally, we will also explore the possible differences in anthropometric, vital sign and blood test measures to determine the scope of the intervention on these physical parameters.

The principal hypothesis is that 'ME +TAU' will be more effective than 'TAU alone' in reducing EE in patients with overweight or obesity in PC settings. The secondary hypothesis is that 'ME +TAU' will function better than 'TAU alone' to improve the levels of external, restrained, binge and ME, eating disorder risk, anxiety, depression, dispositional mindfulness and self-compassion, as well as the anthropometric, vital sign and blood tests physical parameters.

### **Trial design**

This study is a multicentre, two-armed, parallel, cluster randomised trial (CRT) with PC health centres as clusters. with pretreatment, post-treatment and 1-year follow-up measures, and an equal cluster allocation rate between groups as well as equal cluster size. PC centres (ie, clusters) in the city of Zaragoza, Spain, will be randomly assigned to two different conditions, with one psychological intervention group ('ME +TAU') or one usual treatment group ('TAU alone') managed by their general practitioner (GP), to test the superiority of the 'ME +TAU' provision compared with the 'TAU alone' provision, considering outcomes at the individual participant level. A CRT design will be used because the intervention will be performed in PC settings and persons within the same PC health centre may respond more similarly to the intervention than persons drawn from different PC health centres—they could have more characteristics in common owing to the fact of sharing the same environment and deliverer of intervention treatment (GP).<sup>57</sup> For ethical reasons, those PC health centres allocated to 'TAU alone' will also be offered the ME programme after finishing the trial at 1-year follow-up.

# **METHODS**

This protocol was designed in accordance with the Standard Protocol Items: Recommendations for Interventional Trials 2013 statement.<sup>58</sup> The timeline of the study is presented in table 1.

# Participants, study setting and eligibility criteria

Participants will be chosen from the four PC health centres of Las Fuentes Norte, Parque Goya, La Almozara and La Jota in the city of Zaragoza (Spain). The city of Zaragoza has a population of 663 023 inhabitants, with approximately an average age of 44 years, a percentage of women of 52%, an average per capita income of €11 800, a percentage of emigrants of 14% and a dependency rate of 53%. 59 Each of the four PC health centres has an assigned population of between 10000 and 24000 patients whose average age is between 41 and 46 years, a percentage of women between 51% and 53%, an average per capita income between €9000 and €12 000, a percentage of emigrants between 6% and 17% and a dependency rate of between 41% and 58%, and thus can be considered representative of the PC health centres of Zaragoza according to the previously described variables. Patients will be selected by GPs working in the PC centres (with one GP for each PC health centre, which will function as a cluster). When the GPs observe potential participants who meets the eligibility criteria, they will be informed about the general characteristics of the study, providing them with the opportunity to participate. The inclusion and exclusion criteria of the patients are specified in table 2.

Within the general framework of promoting healthy and active lifestyles in the community, participants are required to have two of the following three risk factors in

Table 1 Schedule of enrolment, interventions and assessments

#### Study period

	Enrolment April 2019	Allocation May 2019	Intervention			12-month follow-up	
Timepoint			June 2019	July 2019	August 2019	September 2019	June/August 2020
Enrolment							
Eligibility form	Χ						
Informed consent	Χ						
Allocation		Χ					
Interventions							
ME+TAU C1(1)			←		<b>→</b>		
ME+TAU C1(2)			<b>←</b>		<b>→</b>		
ME+TAU C2(1)					←		→
ME+TAU C2(2)					←		→
TAU alone C3				<b>←</b>		<b>→</b>	
TAU alone C4					<b>←</b>		→
Assessments							
Sociodemographic			Χ				
DEBQ			Χ	Χ	Χ	Χ	Χ
BITE			Χ	Χ	Χ	Χ	Χ
EAT-26			Χ	Χ	Χ	Χ	Χ
FFMQ			Χ	Χ	Χ	Χ	Χ
SCS			Χ	Χ	Χ	Χ	Χ
MES			Χ	Χ	Χ	Χ	Χ
GAD-7			Χ		Χ		Χ
PHQ-9			Χ		Χ		Χ
Weight (kg)			Χ		Χ		Χ
Waist perimeter (cm)			Χ		Χ		Χ
Vital signs (DBP, SBP)			Х		Χ		Χ
Cholesterol (HDL, LDL)			Χ		Χ		X
Glucose			Х		Χ		Χ
HbA1c			Χ		Χ		Χ
ALT			Χ		Χ		X

ALT, alanine aminotransferase; BITE, Bulimic Investigatory Test Edinburgh; C, cluster (subgroup in brackets); DBP, diastolic blood pressure; DEBQ, Dutch Food Behaviour Questionnaire; EAT-26, Eating Attitude Test; FFMQ, Five Facet Mindfulness Questionnaire; GAD-7, General Anxiety Disorder; HbA1c, glycosylated haemoglobin; HDL, high density lipoprotein; LDL, low density lipoprotein; MES, Mindful Eating Scale; ME+TAU, Mindful eating; PHQ-9, Patient Health Questionnaire; SBP, systolic blood pressure; SCS, Self-Compassion Scale; TAU, treatment as usual.

order to be included in the study: (1) sedentary lifestyle, (2) poor diet or (3) binge episodes. GPs will evaluate the suitability of patients for the trial in terms of their age and overweight/obesity condition, ability to understand Spanish and willingness to participate in the study. A psychologist assessor will assess the level of physical activity, using the International Physical Activity Questionnaire—a sedentary lifestyle will be established in case of a low level of physical activity<sup>60</sup>; a possible poor diet, using the Diet Quality Index-International—a poor diet

will be considered when obtaining a score <50<sup>61</sup>; and the presence of binge episodes, using the Bulimic Investigatory Test Edinburgh (BITE)—with a cut-off point of two binge episodes in a week.<sup>47</sup>

Exclusion criteria were derived from the typical criteria used to rule out patients in this type of study, including serious mental illness, acute-phase depression, schizophrenia or psychotic disorders, drug abuse or dependence and medical conditions that make it difficult to participate in the intervention. <sup>62–64</sup> These exclusion



#### Table 2 Inclusion and exclusion criteria

#### Inclusion criteria

- ▶ Age between 45 and 75 years
- ► Overweight or obesity condition (individuals with BMI ≥25)
- ► Two of these three risks: sedentary lifestyle, poor diet or binge episodes
- Ability to understand oral and written Spanish
- Willingness to participate in the study and sign informed consent

#### **Exclusion criteria**

- ► Any diagnosis of a disease that may affect the central nervous system (brain condition, traumatic brain injury, dementia, etc.)
- Other psychiatric diagnoses or acute psychiatric illness (eg, substance dependence or abuse, schizophrenia or other psychotic disorders), except for anxiety or personality disorders
- Presence of delusional ideas or hallucinations whether consistent or not with mood
- Suicide risk

BMI, body mass index.

criteria will add proper functioning to the intervention groups and also patient safety, avoiding possible exacerbations of psychosis, mania or suicidal ideation. Anxiety or personality disorders will not be excluded so as not to bias the selected sample, because they are particularly related to eating disorders. All in all, an adequate monitoring through the study will be carried out regarding the safety of all patients (described next). If patients are interested in participating, they will be asked to sign a written informed consent form. Patients who do not accept participation will be treated in their PC centres as usual due to ethical reasons.

# Patient and public involvement

The research question was based on one of the most principal problems that the PC population suffers from. Patients and GPs require more tools to face the existing high prevalence of overweight and obesity, which leads to more risk and high costs for society. Taking this into account, we started with an 8-session uncontrolled open-label pilot study offered to the public in general, with the main aim of adjusting an ME programme to the PC population.

We recruited 10 patients from the Arrabal PC health centre in the city of Zaragoza who were willing to participate (8 women and 2 men, with an age range of between 45 and 65 years). After the last session of this early iteration, we inquired specifically about the content of the programme and the results they could have experienced during these 8 weeks, the appropriateness of the length of the intervention and about homework management. We did not quantitatively assess the potential changes of this pilot presentation because we were more interested in how they experienced the programme, as well as possible implementation issues. We specifically asked for the following aspects: 'How do you feel about the content and results of the programme?', 'Has the programme been long enough?' and 'Did you follow the weekly home task?' The most common responses pointed out that the content of the programme was helpful and had changed their level of awareness of unhealthy eating patterns, but also that the programme had too many sessions, and

that the home tasks were too difficult to be carried out during the programme. Due to the good participation and feedback obtained, and considering the difficulties reported, we decided to continue working on this research line involving patients and GPs. Following the comments of the pilot participants, we adjusted the original programme length down to seven sessions, and also lightened the homework load.

The individual results of the present study will be shared by email with the corresponding participants who express their desire to know the results. The resulting publications will also be disseminated to participants, and a free lecture of a summarised report, once the study has finished, will be offered. In addition, participants will answer a questionnaire that includes open questions regarding the professionals involved, the programme and their own experience.

# Sample size

The sample size estimation has been based on the main comparison, which contemplates the possible differences between the 'ME +TAU' and 'TAU alone' groups of patients. Based on previous research, 72 we assume that 'ME +TAU' would be able to present high effects compared with 'TAU alone' on EE at post test. To operationalise this, we consider a standardised difference between arms on the referred main outcome of 0.80.72 To detect this difference between the groups, assuming a common SD, a 5% significance level and a statistical power of 80% using a 1:1 ratio, we need 25 subjects in each group. However, these numbers correspond to the sample size needed under individual randomisation, which is the absolute upper bound for cluster size—clusters are randomised and thus we need to allow for the correlation between the EE outcomes of participants from the same cluster.<sup>73</sup> To determine the minimum number of clusters needed, we used the formula 'n \* ICC', where n is the sample size for each arm under individual randomisation and ICC is the intracluster correlation coefficient that quantifies the amount of within-cluster correlation for the outcome of interest, assuming a typical fairly value in CRTs of ICC=0.03,<sup>74</sup> with a result of approximately one cluster in each arm. Because the number of clusters in the trial is limited by the number of PC settings available to implement the ME programme, we increased the number of clusters to one more than the minimum, supposing that the cluster size would be at most 'n/1'. Thus, we fixed the number of clusters per arm to 2 in order to determine the required cluster size.<sup>75</sup> In order to maintain the same absolute difference and significance level described above to achieve 80% power with 2 clusters in each arm, we needed a cluster size of 16 participants. In addition, by fixing the cluster size at 16 participants in the same conditions, we obtained the need of 2 clusters per arm, equating to a total sample size of 64 subjects under the condition of equal cluster sizes. Finally, we inflated the numbers to reach a total sample size of 76 patients (38 per arm), considering a drop-out rate of approximately 20% at 1-year follow-up. 76 This can be considered an efficient design based on the rule that cluster size should not exceed the number estimated in each arm under individual randomisation.<sup>73</sup> This number also enlarges the sample size under individual randomisation in a measure that includes the design effect 'DEFF=1 + (m -1) \* ICC'—where m is the average number of patients per cluster—with a value of DEFF=1.45, and thus demanding an increase with regard to individual randomisation of around 45%.<sup>57</sup>

# Recruitment

Participants will be identified and recruited in PC settings by involving the GPs of patients who fulfil the study criteria. The GPs will complete referral forms, indicating that the patients meet the criteria and will provide a brochure and an information sheet to present the study. The GPs will send the referrals and the patients' signed written consent forms by mail to a local researcher. Some patients may prefer to postpone their decision to participate in the study, in which case they will be provided with information about how to contact the research team (by phone, email or leaving their information on the group's website).

An assessor-researcher, who is not part of the study team, will contact the participants to agree on the established evaluation times and will clarify any points, ensure that the participants have read the information about the study, and ensure they have understood the two experimental conditions. The assessor will then finally determine their inclusion in the study after considering the inclusion and exclusion criteria described above, and before contacting an independent researcher to implement the randomisation sequence to the clusters. Recruitment will be performed consecutively until the final sample size is reached. The assessor will collect the baseline data, including the primary outcome. GPs will adhere to the study protocol before the randomisation of clusters, and they will be blinded to the allocation group of clusters together with the assessor-researcher. Possible migrations of participants out of clusters will be especially

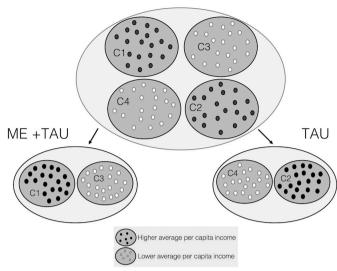


Figure 1 Restricted randomisation applied to primary care (PC) health centres. Figure represents the matching process: the maximally homogeneous PC health centres pairs in terms of the predefined average per capita income of the assigned population (higher average per capita income pair and lower average per capita income pair) will be divided randomly between the 'mindful eating (ME) + treatment as usual (TAU)' (intervention) and only 'TAU' (control) arms. The number of points reflects the cluster size. C, cluster.

taken into account so as not to lose post-treatment and follow-up measures.

# Randomisation, allocation and masking of study groups

The identification and inclusion of participants will take place before the randomisation of clusters, ensuring allocation concealment to cluster guardians (who are the corresponding GPs), assessors and patients during recruitment. 77 78 Restricted randomisation will be applied to balance clusters, creating comparable arms in terms of the number of clusters but also in the average per capita income of the assigned population to each PC health centre. 79 The rationale of this is that this variable has been inversely related to the presence of overweight and obesity, 80 81 and also to possible limitations in gaining benefits after mindfulness-based programmes.<sup>82</sup> The maximally homogeneous cluster pairs in the average per capita income at the level of PC health centres will be matched and randomly divided between the intervention and control arms, as shown in figure 1. As a result of this matching process, we will obtain a number of strata that is half the total number of clusters to be allocated, which is considered the minimum number of clusters to safely achieve balance.83 This matching procedure is particularly useful when there are few clusters in the study,<sup>84</sup> and it provides face validity regarding balance between allocation arms.<sup>85</sup> No other cluster or individual-level imbalances for important covariates related to the main outcome are expected between arms.

An independent assistant not involved in the study will be in charge of performing the cluster randomisation by using a computer programme to generate an



unpredictable random allocation sequence. This assistant will be unaware of the characteristics of the study and will inform the data manager of a code that corresponds to the type of treatment to be added to the baseline data. The meaning of this code will be unknown by the person in charge of randomisation and by the data manager it will be known only to the data monitoring committee (DMC) and will be kept under a password-protected database system. The DMC will receive the baseline data from the data manager and will be in charge of implementing the random sequence.

Participants will agree to their inclusion before finding out the treatment to which they will be allocated. As we have previously explained, the baseline assessor will be blind to the type of treatment that will be administered to clusters and patients. This assessor will be different from the person responsible for collecting the post-test and follow-up measurements, who will be a blinded external assistant specifically advised not to ask for the study aims and the allocation of clusters/patients. GPs will be blinded to the intervention arm to which each patient is allocated since their intervention should be based only on usual practice, and they will also be advised not to ask for that information. The person responsible for performing the data analysis will be blinded to the type of intervention in the main outcome analysis, receiving the database without any data that permits this identification. Any doubt regarding the data will be resolved in contact with the data manager, and the other researchers will be blinded while analysis and data interpretation processes are taking place. However, due to the characteristics of the intervention, participants will be able to know what kind of intervention they are receiving; thus, this will be a single blind study—although both 'ME +TAU' and 'TAU alone' groups will be treated one way or another. A verification of successful blinding at all stages referred will be performed by the DMC.

# **Data management**

A researcher psychologist will perform the data handling and monitoring. All study information will be confined in secure drawers with limited access. Electronic data files will be password protected. Participant codes and personal information will be stored in a separate passwordprotected file. Data will be stored for 5 years after the end of the study. Only the researchers directly involved in the study and led by the principal investigator will have access to the final dataset. Paper-based data entry will be double checked, and possible out-of-range values will be specifically revised. In case data are actually correct, they will be analysed altogether by boxplot graphical representations to see whether the previously described values constitute extreme outliers. If extreme outliers appear, a sensitivity analysis after removing them will be performed to ensure robustness of results. The study results will be presented via peer-review publications and congresses. In addition, the study participants will receive a summarised report on

request, and the funders and involved clinicians will also receive an anonymised report.

#### Interventions

All participants, regardless of the treatment arm in which they have been assigned, will receive the TAU at the PC level. According to the pragmatic nature of the present study, ad hoc adherence optimisation procedures will not be used, as not to interfere with diverse routine practices. In fact, patients will be free to decide whether they will discontinue intervention on request. If participants decide to be part of any other medical or psychological treatment focused on changing their relation with the food or weight reduction, the contact person of the study should be informed to exclude those participants when developing the corresponding data analyses. The rest of the treatments will be allowed.

#### Treatment as usual

TAU in PC is any kind of treatment administered by the GP to the patient with overweight or obesity. According to nutritional status, overweight or obesity, as well as the presence of comorbidity, different actions can comprise the treatment offered at the PC level. For individuals presenting with overweight (BMI 25–29.9 kg/m<sup>2</sup>) or obesity (≥30 kg/m<sup>2</sup>) but with no comorbidities, PC teams organise care plans adjusted to the characteristics of patients to enable them to achieve a normal BMI range (BMI 18.5–24.9 kg/m<sup>2</sup>). In cases of suicide risk, severe social dysfunction or worsening of symptoms, it is recommended that patients are referred to mental health facilities.<sup>86</sup> In Spain, GPs spend a minimum of 4 years completing their medical specialty. The GPs who will be participating in the present study have more than 20 years of clinical experience treating patients with overweight or obesity, and they will have undergone specific training focused on endocrinology and internal medicine for a better understanding of obesity and its implications and comorbidities such as diabetes and hypertension.

# Mindful eating

The ME group will be composed of 7 weekly group sessions with a minimum duration of 2hours, mixing theoretical content with practices. Sessions will always be the same day of the week, except for bank holidays or eventualities, and will be conducted by a clinical psychologist. This psychologist has been specially trained in ME programmes, for example, Mindfulness-Based Eating Awareness<sup>87</sup> and Mindful Eating Conscious Living,<sup>88</sup> and he is also a board member of The Center for Mindful Eating (TCME).<sup>89</sup> The TCME is a non-profit international organisation that provides resources for educating professionals in the field of ME. This clinical psychologist has also run several ME groups over the past 5 years and he is a coauthor of the manual on which the present programme was based. 90 Group sizes will range between 8 and 12 participants in four different subgroups of treatment. At the end of each session, participants will receive

Table 3 Summary of the mindful eating sessions and content							
Session	Content	Practices	Home task				
Session 1 Mindful eating introduction	<ul> <li>Brief introduction</li> <li>Importance of the present moment</li> <li>Attention and motivation</li> </ul>	<ul><li>Breathing practice</li><li>Raisin practice</li><li>Minimeditation</li></ul>	<ul><li>Breathing practice</li><li>Decreasing the eating rhythm</li></ul>				
Session 2 Mindful eating and compassion	<ul> <li>What to do with your body and your mind while meditating</li> <li>What emotional eating is and how to distinguish it from physical eating</li> <li>Using compassion to achieve change</li> </ul>	<ul><li>▶ Body scan practice</li><li>▶ Healing self-touch</li></ul>	<ul><li>▶ Minimeditation</li><li>▶ Body scan</li></ul>				
Session 3 Integrating consciousness	<ul><li>▶ Body signals</li><li>▶ Hunger and satiety</li></ul>	<ul> <li>Mindful eating practice</li> <li>Integrating consciousness practice</li> <li>Self-acceptance</li> </ul>	<ul> <li>Breathing practice</li> <li>Becoming aware of hunger and satiety signals</li> </ul>				
Session 4 Satiety and appetite values	<ul> <li>How to structure mindfulness practice (formal and informal)</li> <li>Eating psychoeducation</li> </ul>	<ul><li>Compassionate body scan</li><li>Personal value practice</li><li>Chocolate practice</li></ul>	<ul> <li>Minimeditation</li> <li>Stopping in the middle of the meal</li> <li>Body scan</li> </ul>				
Session 5 Conscious choice and forgiveness	<ul> <li>Compassionate coping</li> <li>Knowing when to stop eating</li> <li>Potluck preparing</li> </ul>	<ul><li>▶ Conscious choice</li><li>▶ Full stomach practice</li><li>▶ Forgiveness meditation</li></ul>	<ul> <li>Conscious movements</li> <li>Becoming aware of the continuous feeling while eating until satiety</li> </ul>				
Session 6 New balance consciousness	<ul> <li>My plate</li> <li>Potlucking</li> <li>Nutrition and emotional eating triggers (chain)</li> </ul>	<ul><li>Mindful walking practice</li><li>Emotional self-regulation</li><li>Potluck practice</li></ul>	<ul> <li>Emotional eating chain</li> <li>Mindful walking</li> <li>Becoming aware of our feelings and their adjustment</li> </ul>				
Session 7 Wisdom and pursuing the path	<ul><li>Keeping up with the knowledge</li><li>Facing yourself after relapses</li></ul>	<ul><li>Breaking the chain</li><li>Wisdom meditation</li><li>Our inner critical voice</li></ul>	-				

theoretical content and homework activities to be practised during the week. The programme is summarised in table 3 and described as follows:

- ▶ Session 1: this session is aimed at motivating home practice and is complemented by an introduction to ME. Observation is an attitude that will be developed during this session, allowing patients to connect with their emotions, thoughts and body sensations, moment by moment, to be aware of possible unhealthy eating patterns. The awareness of automatised patterns can give patients the chance to change behaviour, making decisions more connected with necessities. The ME efficacy mechanisms are explained.
- ► Session 2: the objective of this session is to be aware, at any moment, of one's hunger level, observing eating patterns and their possible relation with the emotions that are felt at the same time. This session works by identifying EE triggers and knowing how compassion and the inner critical voice are related to the food.
- ▶ Session 3: the objective is to recognise the differences between real hunger signals and other body sensations generated by the environment that trigger the desire to eat. Training at the body consciousness level helps one find the point of balance that allows for eating and enjoying, paying attention to eating, feelings, body sensations, emotions and thoughts.
- ▶ Session 4: This session aims to work with the corporal sensations that food produces. When the physical sensations are of discomfort, swelling or

heaviness, this indicates that the food intake was excessive. When the sensation is related to satiety, this is a good moment to stop eating. The satiety signal is identified through mindfulness (chocolate practice).

- ▶ Session 5: it address the fullness sensation as something different from not being hungry and the 'EE' concept. It encourages us to accept all we experiment, managing the inner critical voice. The practice of conscious movements to become aware of the body and bring full attention to the present moment is a guide in decision-making.
- ▶ Session 6: this session emphasises the relationship between the knowledge of feeding and nutrition and conscious and balanced decision-making. It works with emotional triggers through the chain practice and also integrates the potluck practice. Foods that trigger greater EE are shared and discussed in the group.
- ▶ Session 7: this session is focused on binge episodes and how we can manage EE in the future by substituting the inner critical voice with a compassionate voice. The way we are aware of our thoughts, emotions and decisions is what allows us to break the chain of EE and start a new relationship with food, managing and making decisions about emotions and food in a wiser way.

#### **Outcomes**

Patients will be assessed at baseline, after treatment and at 1-year follow-up to test whether the improvements achieved during therapy are maintained in the long term. Several variables will be assessed to compare the 'ME +TAU' and 'TAU alone' groups to evaluate the effects of the ME programme. EE styles, which will compose the main outcome, have a direct relation with overweight and obesity. These eating styles are related to how much you eat and even more to how you eat. The Dutch Eating Behaviour Questionnaire (DEBQ) is a good evaluator of this construct (EE is a subscale of this questionnaire) and has been rated 'up to the mark', that is, appropriate, by the Dutch Committee on Test and Testing and by the European Federation of Psychologists Association. 91

The secondary outcomes will be other eating patterns that could be modified as a result of the application of the programme, such as external and restrained eating, also measured by the DEBQ (both are also subscales of this questionnaire); binge eating, measured by the BITE<sup>92</sup>; and eating disorder risk, measured by the Eating Attitude Test (EAT). 93 Other secondary outcomes will be emotional states, which affect the way we eat. To evaluate this, the General Anxiety Disorder (GAD-7), which is one of the most frequent screening scales in PC, will be used to assess anxiety.<sup>94</sup> The GAD-7 is often used in combination with the Patient Health Questionnaire (PHQ-9) to measure depressive symptoms, which will also be included. 95-100 Physiological variables will also be important to evaluate whether changes in eating style and emotional state have consequences for the general health condition in the long term. For this purpose, anthropometric and vital signs will be assessed—including weight, abdominal diameter, diastolic blood pressure (DBP) and systolic blood pressure (SBP)—as well as blood tests, all of which are described next.

To relate the awareness of the present moment and the way in which compassionate and critical attitudes towards the self can be managed as possible emotion regulation strategies, <sup>56</sup> 101 the evaluation of the dispositional mindfulness and self-compassion levels will be pursued as mechanistic variables of the programme through application of the widely used Five Facet Mindfulness Questionnaire (FFMQ), the Mindful Eating Scale (MES) and the Self-Compassion Scale (SCS). Mindfulness is a way of self-regulating attention to focus it on the present moment experience with an attitude of curiosity, openness and acceptance of bodily sensations, thoughts and emotions. 102 Deficits in dispositional mindfulness have been reported in patients suffering from eating disorders and obesity. 103 104 It has also been observed that the non-judgemental awareness of physical and emotional sensations, specifically associated with eating, correlates inversely with the severity of eating disorders 105 and increases healthy food choices. 106 Finally, self-compassion has received much attention in assisting individuals with eating behaviours and weight regulation. 107 It is a kind approach towards oneself, with a mindful awareness and

understanding of one's experiences as part of shared reality that all people go through during personally challenging or difficult times. It has been observed that receiving a self-compassionate induction to cope after the experience of breaking the diet enables further regulation to occur, 109 and that self-compassion could be a determinant for weight regulation and losing weight. Overall, the combination between mindfulness and self-compassion appears to be complementary, creating better health-related outcomes for both eating behaviours and obesity. All the study measurements, together with the sociodemographic data taken at baseline, are summarised in table 4.

# Sociodemographics

The following sociodemographic information will be collected at baseline: age, sex, nationality, marital status (single, married, separated/divorced or widowed), work activity (student, worker, sick leave, unemployment, housewife, disability or retired), study level (no studies, primary, secondary or university) and the PC health centre of provenance in the city of Zaragoza, Spain (*Las Fuentes Norte, Parque Goya, La Almozara* or *La Jota*).

#### Main outcome

The DEBQ was designed to measure eating styles that may attenuate or contribute to the development of overweight and obesity. 112 It comprises three scales that measure emotional, external and restrained eating. The EE pattern corresponds to the tendency towards overeating in response to negative emotions 113 and will be considered the main outcome of this study (both external and restrained subscales will be included as secondary outcomes even though they are presented here). Emotional and external eating are correlated with BMI. The restraint factor has shown relationships with other scales, such as the EAT-26 and the Restrain Scale, which measure eating disorders and restrained eating, respectively. The Spanish version<sup>112</sup> of the DEBQ<sup>114</sup> has 33 items, 13 of which refer to the EE scale (eg, 'Desire to eat when irritated') and 10 of which refer to the external (eg, 'Eating when you feel lonely') and restrictive (eg, 'Difficult to resist delicious food') scales. The items can be rated on a five-point Likert-type scale, with a score of 1 indicating 'never' and 5 indicating 'very often'. In a non-clinical sample of normal weight, overweight, and obese participants, Cronbach's alpha coefficients presented values between 0.96 and 0.97 for the DEBQ-EE subscale, between 0.79 and 0.84 for the DEBQ-external eating subscale and between 0.92 and 0.94 for the DEBQrestrained eating subscale.<sup>33</sup>

# Secondary outcomes

We will administer the BITE<sup>92</sup> in its Spanish version.<sup>115</sup> This questionnaire is used to measure the presence of bulimic symptoms in non-clinical samples and has two subscales measuring symptoms (eg, 'Desire to eat when irritated'; with 30 items in a 'yes'/'no' dichotomous scale;

Table 4 Study outcomes							
Variables	Assessment area	Level of measurement	Time to assessment				
Sociodemographic Age, sex, nationality, marital status, work activity, study level and PC health centre		Varies depending on the distribution of data	Baseline				
DEBQ	Eating style: emotional, external and restrained eating	Treated as interval	Baseline, post treatment and 1-year follow-up				
BITE	Binge eating: symptoms, severity and frequency of bingeing	Treated as interval	Baseline, post treatment and 1-year follow-up				
EAT	Eating disorder: dieting, bulimia and food preoccupation, and oral control	Treated as interval	Baseline, post treatment and 1-year follow-up				
GAD-7	General anxiety symptoms	Treated as interval	Baseline and 1-year follow-up				
PHQ-9	Depression symptomatology	Treated as interval	Baseline and 1-year follow-up				
FFMQ	Mindfulness: observing, describing, acting with awareness, non-judging and non-reactivity	Treated as interval	Baseline, post treatment and 1-year follow-up				
MES	Mindful eating: acceptance, awareness, non- reactivity, acting with awareness, routine and unstructured eating	Treated as interval	Baseline, post treatment and 1-year follow-up				
SCS	Self-compassion: self-kindness, self-judgement, common humanity, isolation, mindfulness, and overidentification	Treated as interval	Baseline, post treatment and 1-year follow-up				
Anthropometrics	Weight, height, waist circumference and abdominal diameter	Treated as ratio	Baseline and 1-year follow-up				
Blood test	Blood test Cholesterol total, LDL, HDL, triglycerides, ALT, glucose and glycated haemoglobin		Baseline and 1-year follow-up				
Vital signs	Systolic and diastolic blood pressure	Treated as ratio	Baseline and 1-year follow-up				
Attendance	Compliance with the programme	Treated as interval	Post treatment				

ALT, alanine aminotransferase; BITE, Bulimic Investigatory Test Edinburgh; DEBQ, Dutch Eating Behaviour Questionnaire; EAT, Eating Attitude Test; FFMQ, Five Facet Mindfulness Questionnaire; GAD-7, General Anxiety Disorder; MES, Mindful Eating Scale; PC, primary care; PHQ-9, Patient Health Questionnaire; SCS, Self-Compassion Scale.

range=0–30) and severity (eg, 'Do you do any of the following to help you lose weight?'; with 6-dimensional items addressing bulimic behaviours; range=0–39), with a total of 33 items. In addition to normative values for total and subscale scores in clinical and nonclinical samples, <sup>116</sup> another measure has been derived to report the frequency of bingeing (item no. 27, 'If you do binge, how often is this?'; this item will be mainly framed in the last month so as not to overlap pretest and post-test measures, with the following type of response: '2–3 times a day'=6; 'Once a day'=5; '2–3 times a week'=4; 'once a week'=3; 'once a month'=2; 'almost never'=1). The BITE has shown an internal consistency value of  $\alpha$ =0.96 for the symptoms and of  $\alpha$ =0.62 for the severity subscales. <sup>117</sup>

To assess any other eating disorder, we will use the EAT-26, 93 in its Spanish version. 118 This questionnaire has the following three subscales: dieting (eg, 'Am terrified about being overweight'), bulimia and food preoccupation (eg, 'Find myself preoccupied with food') and oral control (eg, 'Avoid eating when I am hungry'). The EAT-26 is an abbreviated version of the original EAT-40, with an excellent correlation between them (r=0.98). In the EAT-26, each item is answered on a 6-point Likert-type scale ('always'=3, 'usually'=2, 'often'=1, 'sometimes'=0 'rarely'=0 and 'never'=0), and if the score is 20 or higher,

the patient should seek professional advice. The EAT-26 has an elevated reliability ( $\alpha$ =0.80).

The GAD-7 is one of the most frequently used diagnostic self-report scales for screening, diagnosis and anxiety disorder severity assessment, defined as an excessive anxiety and worry (apprehensive expectation) related to a number of events or activities associated with experiencing difficulties to control that worry. Items (eg, 'Not being able to stop or control worrying') are rated on a 4-point Likert-type scale (between 0 = 'not at all' and 3 = 'nearly every day'). The GAD-7 inquires about events occurring over the last 2weeks to know how often the patient has been bothered by them, and it has shown a sensitivity of 89% and a specificity of 82% when discriminating patients suffering from generalised anxiety disorder. The Spanish version of the GAD-7 has shown appropriate psychometric characteristics.

We will use the PHQ-9<sup>122</sup> to assess depressive symptomatology. This scale is one of the most widely used questionnaires to assess the intensity of depression in pharmacological and psychological studies. <sup>123</sup> 124 It is useful to monitor the changes experienced by patients over time and presents a sensitivity value of 88% and a specificity of 88% to detect major depression. Through items such as 'Little interest or pleasure in doing things'

and using a Likert-type scale between 0 ('not at all') and 3 ('nearly every day'), the PHQ-9 reflects the experience of participants during the last 2weeks. The Spanish validated version, which has shown adequate psychometrics, will be used.  $^{86}$ 

#### Process measures

The FFMQ-short form 125 is a 24-item questionnaire that measures 5 aspects of trait mindfulness, and there is a Spanish version based on it with appropriate psychometrics. 126 The five facets of the FFMQ are observing (eg, 'I pay attention to physical experiences, such as the wind in my hair or sun on my face'; four items,  $\alpha$ =0.65), describing (eg, 'I am good at finding words to describe my feelings'; five items,  $\alpha$ =0.79), acting with awareness (eg. 'It seems I am running on automatic without much awareness of what I am doing'—item reversed; five items,  $\alpha$ =0.80), non-judging towards inner experience (eg. 'I make judgements about whether my thoughts are good or bad'—item reversed; five items, α=0.73) and nonreacting of inner experience (eg, 'When I have distressing thoughts or images, I do not let myself get carried away by them'; five items,  $\alpha$ =0.68). The participants indicate, on a 5-point Likert-type scale, the degree to which each item is generally true for them, ranging from 1 ('never or very rarely true') to 5 ('very often or always true'). Higher scores indicate greater levels of mindfulness.

It has been observed that mindful awareness towards eating may minimise automatic and impulsive reactions, thereby fostering self-regulation. 127 We will measure ME through the MES questionnaire. 128 The MES questionnaire has 28 items, including the following 6 factors: acceptance (α=0.89; assessed through items such as 'I criticize myself for the way I eat'), awareness ( $\alpha$ =0.82; for example, 'It is easy for me to concentrate on what I am eating'), non-reactivity ( $\alpha$ =0.77; for example, 'I can tolerate being hungry for a while'), acting with awareness  $(\alpha=0.81;$  for example, 'I eat something without really being aware of it'), routine ( $\alpha$ =0.75; for example, 'I have a routine for what I eat') and unstructured eating ( $\alpha$ =0.60; for example, 'I multi-task while eating'. Items can be rated on a 4-point Likert-type scale, with 1 indicating 'never' and 4 indicating 'very often'. The MES Spanish validated version has shown adequate psychometric features. 128

The SCS<sup>129</sup> is the most used self-report instrument to measure self-compassion. It is divided into six subscales: self-kindness (eg, 'I am kind to myself when I am experiencing suffering'); self-judgement (eg, 'When I see aspects of myself that I do not like, I get down on myself'); common humanity (eg, 'I try to see my failings as part of the human condition'); isolation (eg, 'When I fail at something that is important to me, I tend to feel alone in my failure'); mindfulness (eg, 'When something upsets me, I try to keep my emotions in balance'); and overidentification (eg, 'When something upsets me, I get carried away with my feelings'). The items can be rated on a five-point Likert-type scale, with 1 indicating 'almost never' and 5f indicating 'almost always'. After reversing the

negatively formulated items, a total score can be calculated, which may range from 24 to 120, with higher scores indicating greater self-compassion. The SCS total has shown good internal reliability (Cronbach's  $\alpha = 0.92$ ), as did the six subscales (ranging from  $\alpha = 0.75$  to  $\alpha = 0.81.^{129}$  The Spanish validated version of the SCS has demonstrated good psychometric properties.  $^{130}$ 

# Physical parameters

Weight and body measurements will be quantified, with weight in kilograms, and height, waist circumference and abdominal perimeter in centimetres (cm); weight without any anthropometric measures is not an accurate assessment of the physical changes associated with a healthy food relation. The evaluations will be made the same day and just before the psychometric assessments, using a measuring tape and the same digital scales. Blood tests will evaluate the levels of total cholesterol (HDL and LDL), triglycerides, alanine aminotransferase, glucose and glycated haemoglobin. The blood test will be performed in the morning (between 08:00 and 09:00, and all participants should have fasted for 8 hours prior to the test. Vital signs such as DBP and SBP will also be assessed. To evaluate vital signs, we will use a vascular screening system (version VaSera VS-1500).

#### Other measures

In addition, the number of ME programme group sessions attended, as well as the number of visits to the corresponding GP in both groups, will be recorded. Video recordings will not be taken in order not to interfere with the natural way in which exercises are held.

#### **Harms**

Thus far, there is no described evidence in the literature regarding any side effect as a result of specific ME practice, although participation in meditation programmes in general might exacerbate negative experiences to the same extent as psychotherapy. 131 Any adverse event observed by the GPs or psychologist in charge of the groups or referred from patients during the sessions or along the whole programme will be communicated to the trial manager (the leader of the research group, who is a psychiatrist and will be able to refer the patient directly to mental health services should this be necessary), and also to an independent GP and an independent psychologist with broad experience in the clinical field of mindfulness and eating disorders; they will act together to form the DMC. If the unintended effects are related to the treatment, the DMC will be committed to informing the corresponding PC physician and providing the individualised treatment to each case scenario in PC settings or derived to mental health services by their PC physician or the trial manager if required. Then, the DMC and the corresponding PC physician will decide whether to discontinue or modify the allocated intervention depending on the nature and severity of the side effects. The DMC will audit trial conduct at least three times throughout the study (after baseline, post treatment and follow-up assessments, as well as at the request of any of the members of the research group), in coordination with the psychologist responsible for data handling and the psychologist in charge of the groups—but independently of the other parts. To ensure that the procedures are being correctly implemented and that the trial works adequately, audits will revise aspects such as the level of attendance in group sessions and TAU, adverse events emerged through the study and possible extreme cases that might require specific attention in any of the variables assessed.

# **Analysis strategy**

The reporting of the results will follow the Consolidated Standards of Reporting Trials recommendations. 132 133 Sociodemographic and clinical data will be described at baseline by means of frequencies and percentages, for categorical variables, or by means and SDs, for continuous variables. Treatment conditions will be compared at baseline by visual inspection to ensure the success of randomisation, as recommended. 134

# Main analysis

The effectiveness of 'ME +TAU' compared with 'TAU alone' will be estimated at post test, based on the EE main outcome, which will be considered a continuous variable at the individual level. Multilevel mixed-effect regression models-including time as an independent variable and subjects and PC health centre (cluster) as random-effect variables-will be developed by means of a repeatedmeasures (RM) design on an intention-to-treat basis, using the restricted maximum likelihood method. This method is considered robust in the case of small and/or unbalanced sample sizes. 135 Non-standardised and unadjusted slopes as well as 95% CI will be calculated. To study the specific trajectories of each group throughout the trial and determine whether the differences between groups are consistent over time, the 'group x time' interaction will be calculated as a supportive analysis (ie, the 1-year follow-up time point will be considered as a secondary analysis). Cohen's d effect sizes (ESs) from raw scores will be estimated at each time point using the combined SD at baseline. 136 ESs are considered small when  $d \le 0.2$ ; medium when d=0.5 and large when  $d\ge 0.8$ . 137

# Secondary analyses

A recent study observed that multiple imputation is not necessary before computing RM mixed effects model analysis irrespective of the type of missing data, <sup>138</sup> and other studies have suggested there are no gains in imputing outcomes in randomised trials. <sup>139</sup> Thus, imputed scores will not be calculated to estimate sensibility analyses regarding any statistics. Nevertheless, supportive analysis of the EE main outcome at 1-year follow-up, as well as models of EE at post test and at follow-up with the interaction of sex, weight status and the baseline levels of anxiety and depression as possible covariates, will be considered. Relationships have been observed between EE and sex

(females present higher EE), weight status (the more the EE the higher the weight status) and emotional disorders derived from anxiety and depression (higher levels of anxiety and depression are associated with greater levels of EE), 50 141 142 and thus these variables will be included in the adjusted models.<sup>143</sup> The effectiveness of the 'ME +TAU' compared with the 'TAU alone' group with regard to the secondary outcomes, process variables and physical parameters will be calculated following the same analytical strategy used for the main unadjusted analysis. In addition, secondary outcomes will be also analysed using adjusted models controlling for sex, weight status, anxiety and depression. ESs of all the adjusted models will be estimated from adjusted average marginal effects (AMEs), using the actual observed values for the variables whose values are not otherwise fixed. 144

Further exploratory analyses of the EE primary outcome will be developed to estimate the complier average causal effect of treatment (CACE) in order to assess the potentially unbiased effect of fully participating. 145 Compliers will be only observed among those randomised to receive the ME programme (ie, individuals assigned to the control group will not have access to the ME treatment), and will be defined as those patients who attend at least ≥50% of the ME sessions. <sup>146</sup> A new variable will be created to identify whether each patient randomised to the ME group finally complied or not. Both within-cluster and between-cluster baseline covariates of compliers and noncompliers (intervention group) will be compared, and the CACE estimation will be calculated using the robust maximum likelihood expectation-maximisation algorithm for a multilevel mixture model, considering both clustering and non-compliance. 147

The clinical significance of improvements between groups will be explored by calculating the absolute risk reduction and the number needed to treat (and their 95% CI). We will use two criteria for improvement: (1) changing to a less severe cluster in the EE main outcome compared with the one the patient was allocated to at baseline, and (2) calculating the clinical significance of improvements by establishing both the cut-off point and reliable change index on the EE main outcome using the Jacobson and Truax method. 149

# Level of significance

An alpha level of 0.05 will be established using a two-tailed test. The probability value relative to the sole primary model, as well as the probability values of secondary analyses proposed, will not be adjusted on the basis of number of tests done, although they will be interpreted with due caution. No interim analyses will be carried out.

# **DISCUSSION**

The present study proposes the evaluation of a leading and novel intervention based on ME in the field of overweight and obesity in the context of Spanish PC settings. The main interest of this study is to evaluate the effectiveness of this treatment in changing the relationship with food in patients suffering from overweight or obesity. The study addresses overweight and obesity from the point of view of the change in intake styles, specifically one of these, the emotional style of eating, which in turn has been linked to overweight and obesity conditions.<sup>50 51 53</sup> This study may be able to generate knowledge to expand the content included in the clinical guidelines for the treatment of these conditions in Spanish PC settings and throughout the healthcare system. To date, the implementation of these clinical guidelines has been shown to be improvable. 150 There is a lack of knowledge in this particular field due to the novelty of mindfulness and self-compassion interventions in PC settings. One of the most important goals here is to generate processes to facilitate new effective programmes to control the high prevalence of overweight and obesity in PC. Mindfulnessbased programmes seem to present certain effectiveness for treating obesity-related eating behaviours, such as EE, external eating and binge eating, with also possible beneficial effects in weight reduction, <sup>151–153</sup> as well as depressive symptoms <sup>154</sup> and well-being. <sup>155</sup>

No particular difficulties are expected regarding the recruitment of PC health centres and patients with overweight/obesity or their participation and monitoring throughout the study. 156–158 CRTs involve randomisation of groups of individuals to intervention or control groups, and their use is growing because they fit well with the functioning of healthcare systems when introducing new forms of treatment. However, CRTs are particularly limited owing to the loss of follow-up from individuals and even more importantly from entire clusters when using restricted randomisation methods such as matching, which could suppose a break in the pairs of randomised clusters. To avoid this limitation, possible missing data will be minimised by a careful trial management of the PC professionals involved as cluster guardians and by attempting to follow-up all study participants. 77 139 The long follow-up period could turn out to be an added difficulty when trying to evaluate all the participants at 1 year after the intervention—no outcome data will be collected for participants who do not complete follow-up. Nevertheless, with the assistance of the cluster guardians, efforts will be made to maintain low drop-out rates—ideally in the range of 20%—to reduce this possible limitation. We will also be cautious regarding 'breaking the matching' when determining the possible impact of baseline covariates on the intervention effect, because it might increase type I error. 159

Possible subsequent difficulties might be those derived from the fact that the sample size has been estimated based on foreign studies, <sup>72</sup> because there have previously been no similar publications in the Spanish context. Another limitation is that the intervention will be located only in the city of Zaragoza (located in the north-east of Spain), without having the possibility to expand the study area. In this respect, the low number of PC health centres playing the role of clusters is likely to underestimate standard errors, something that might lead to type

I error increases, even using analyses that are prepared to account for clustering. Thus, we will have to be aware of whether observed standard errors tend to exaggerate the significance of results. <sup>147</sup> Nevertheless, because we will model non-compliance and clustering in the CACE analysis, we will be able to reduce variance inflation due to the interaction between the two.

On the other hand, the measurement of different types of outcomes, using self-report instruments and anthropometric and vital signs measures, as well as blood tests, will be a strength of the present study, thus overcoming possible response biases. Furthermore, the exclusion criteria established, although may favour group functioning and patient safety, might also limit the range of potential affective symptoms and EE, which is likely to have implications for results. Finally, the fact that the instructor-led ME intervention is conducted in a group setting creates a particular context that could potentially introduce significant biases related to attention and support that are not present in the same way in other forms of treatment delivery, such as individual face-to-face treatments or online psychotherapies.

#### **Ethical aspects**

Written informed consent will be obtained from the participants before they are aware of the group to which they are allocated. Patients will be informed with a detailed overview of the aims and characteristics of the study and the intervention arms, and also that all participants will be free to withdraw from the study at any time, before they provide their consent. As mentioned above, patients in the TAU arm will be allowed to partake in the psychological intervention programme at the end of the study for ethical reasons—they will be informed of this possibility after the 1-year follow-up measure to avoid the intrusion of possible expectations that might affect the development of the programme, level of participation or outcomes.

The study has been developed according to national and international standards, for example, Declaration of Helsinki, Tokyo Convention and the corresponding later amendments. The data will be treated anonymously and will only be used for purposes related to the study. The limits to fully guarantee confidentiality and privacy of the participants included in the study will rely on Fair Information Practice Principles (FIPPS) 160—that is, openness and transparency, purpose specification, collection limitation and data minimisation, use limitation, individual participation and control, data quality and integrity, security safeguards and controls, accountability and oversight-and will be in accordance with the ethical standards laid out in the EU General Data Protection Regulation regime as well as the Spanish Organic Law on Protection of Personal Data and Guarantee of Digital Rights, ensuring that the responsibility for protecting data privacy rests primarily on the data holders. If the necessity of fulfilling the objectives of the CRT creates a scenario that could lead to a break in the total compliance with confidentiality, the FIPPS principles in accordance with the ethical standards referred above will ensure a framework of privacy, autonomy and respect for the participants that will minimise the breach of the confidence and in turn the possibility of error due to low quality of data. <sup>161</sup> In cases of adverse events or unintended effects, the DMC will be responsible for ensuring anonymity.

The research team will only have access to the numerical data of the results of the previously established tests derived from blood samples. Blood samples will be handled on a regular basis from the PC consultations and according to the regulation of the Spanish Royal Decree 1277/2003. This study protocol has been approved by the Research Ethics Committee of the regional authority (CEICA Aragon, Spain registry number PI19/086). Any important modification of the protocol will be immediately communicated to this committee by both letter and email. Publication authorship of the final trial report will be based on making substantial contributions according to published scholarly work in medical journals. <sup>162</sup>

#### **Author affiliations**

<sup>1</sup>Primary Care Prevention and Health Promotion Research Network (RedIAPP), Zaragoza, Spain

<sup>2</sup>Basic Psychology Department, Faculty of Psychology, University of Zaragoza, Teruel, Spain

<sup>3</sup>Institute of Health Research of Aragon (IIS), Zaragoza, Spain

<sup>4</sup>Oral and Maxillofacial Surgery Department, Miguel Servet University Hospital, Zaragoza, Aragón, Spain

<sup>5</sup>Spanish Association of Mindfulness and Compassion, Zaragoza, Spain

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**Collaborators** Dharamsala Institute of Mindfulness and Psychotherapy, Zaragoza (Spain).

Contributors HMS, JG-C, JM-M, and MN-G participated in the design and planning of the intervention evaluated here. HMS, JM-M and JG-C coordinated all the processes. JM-M designed the methods section and statistical analyses protocol. AB-S was in charge of the protocol registration. JM-M and HMS prepared the first draft of the manuscript. PH-M and BP collaborated in the editing of the final manuscript. All authors have read and corrected draft versions and approved the final version of this document.

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