

## Organisational perception on protected areas in Spain across spatial scales and protection levels

David Rodríguez-Rodríguez\*<sup>a,b</sup> & López, I<sup>c</sup>

<sup>a</sup> Institute of Economy, Geography and Demography, Spanish National Research Council (IEGD-CSIC), Associated Unit GEOLAB. C/Albasanz, 26-28; 28037, Madrid, Spain.

<sup>b</sup> University of Malaga, Andalucía Tech, European Topic Centre-Universidad of Malaga. Campus de Teatinos s/n, 29071 Málaga, Spain.

<sup>c</sup> University of Zaragoza, Faculty of Social Science and Work, Calle Violante de Hungría, 23, 50009 Zaragoza, Spain.

\*Corresponding author. (+34) 916022322; 951953102; [david.rodriguez@csic.es](mailto:david.rodriguez@csic.es) ; [davidrr@uma.es](mailto:davidrr@uma.es); [davidrgrg@yahoo.es](mailto:davidrgrg@yahoo.es)

### Acknowledgements

This paper recognizes contributions through the ‘sequence-determines-credit approach’. We would like to thank all the people and organisations that replied to the survey. DRR was funded for this study by the Spanish Ministry of Economy, Industry and Competitiveness in the framework of the SOSTPARK project (CSO2014-54611-JIN).

# Socioeconomic effects of protected areas in Spain across spatial scales and protection levels

## Abstract

Consequences of the legal designation of protected areas (PAs) may be different for different stakeholders, and at different spatial scales. In this study we analysed the organisational perception on the effects of PA designation on sustainability from all sectors of activity in Spain, accounting for PAs' legal stringency. A semi-structured questionnaire was administered to 197 organisations at national, regional (Andalusia), and local scales (two municipalities in the Almeria province, Andalusia) through an online survey. Local stakeholders and the primary, secondary and tertiary sectors were the most concerned about the social and economic impacts of PAs designation on their organisations. On the contrary, organisations at the national or regional scales together with public institutions, the quaternary sector and others miscellaneous perceived chiefly positive effects. Only national organisations perceived increased local social and economic effects from the designation of legally stringent PAs with regard to multiple-use PAs.

**Keywords:** Europe; institutional view; sustainability; national park; Natura 2000 site; stakeholder

## INTRODUCTION

### Protected areas: effects beyond nature

Protected areas (PAs) are legally and spatially defined areas set aside primarily for biodiversity conservation. PAs seek to conserve valuable genes, species and habitats that provide a range of benefits to nearby human populations and the society as a whole in terms of ecosystem services (Dudley 2008). They do this by applying a legal and, sometimes, managerial regime that forbids or restricts some human activities that may compromise biodiversity conservation (Schreckenberg et al. 2010; Rodríguez-

29 Rodríguez et al. 2016). As a result of those limitations, some stakeholders that live,  
30 work or use those areas may be affected in their wellbeing (Franks and Small 2016).

31 Currently, 14.7% of the land surface in the World is covered by PAs (Bhola et al. 2016).  
32 The Convention on Biological Diversity (CBD) set the target to reach 17.0% of  
33 terrestrial and freshwater ecosystems under protection by the year 2020 (CBD 2010) ,  
34 so approximately three more million square kilometers will need to be effectively  
35 conserved till 2020 to reach the target, with ampler consequences to land and freshwater  
36 users. Thus, it is important to identify which stakeholders are affected by PA  
37 designation, and how, in order to maximise gains and minimise or compensate losses so  
38 human wellbeing, social support for PAs, and nature conservation can be enhanced  
39 (Calvet-Mir et al. 2015; Blicharska et al. 2016).

40 Neither all stakeholders are affected equally by PAs, nor do all types of PAs affect  
41 stakeholders equally (Oldekop et al. 2016; Holmes and Cavanagh 2016). Stringent PA  
42 regulations forbidding or restricting most human activities are likely to be more  
43 effective at conserving biodiversity (Pallares-Blanch 2012; Rodríguez-Rodríguez and  
44 Martínez-Vega 2018) but also more impacting on local socio-economy than more  
45 lenient, multiple-use regulations. Moreover, stakeholders are likely to have different  
46 perceptions on the effects of PAs depending on the scale of the assessment, with local  
47 stakeholders being more likely affected by PA regulations (Jentoft et al. 2012; Bennett  
48 et al 2014). Nevertheless, the concept of local wellbeing and its monitoring are  
49 insufficiently developed by science and are regarded as primary research objectives  
50 (Palmer et al. 2015; Breslow et al. 2016; Corrigan et al., 2017).

## 51 **Study background**

52 Assessing the socioeconomic effects of PAs has been a long-lasting research topic that  
53 could be traced back to the late 1980s with the *sustainable development* concept, which  
54 accounts for environmental, social and economic issues (UN 1987). In the mid-2000s,  
55 the Programme of Work on Protected Areas recognised the essential role of PAs at  
56 conserving biodiversity and called Parties to the Convention on Biological Diversity to:  
57 “Assess the economic and socio-cultural costs, benefits and impacts arising from the  
58 establishment and maintenance of protected areas, particularly for indigenous and local  
59 communities, and adjust policies to avoid and mitigate negative impacts, and where  
60 appropriate compensate costs and equitably share benefits in accordance with the

61 national legislation” (CBD 2004). Following on that call, the CBD called on Parties to  
62 ensure that PAs contribute to poverty eradication and sustainable development (CBD  
63 2008). More recently, the CBD further insisted that, by 2020, valuable ecosystems  
64 contributing to human wellbeing are safeguarded considering the needs of local  
65 communities and other stakeholders (Aichi Target 14) through equitable PA  
66 management (Aichi Target 11) and ensuring fair benefit sharing from biodiversity  
67 (Aichi Target 16; CBD 2010). By 2010 there were a number of studies and methods to  
68 assess the social impact of conservation actions, although they had different objectives  
69 and used different methods and assumptions thus providing little consistent evidence on  
70 the socioeconomic effects of PAs (Schrekenberg et al. 2010). Thus, the CBD’s  
71 socioeconomic mandate remains unfulfilled and the socioeconomic effects of PAs are  
72 still largely unknown globally (Bhola et al. 2016) and at European scale, with  
73 environmental and social effectiveness indicator systems being scarce and urgently  
74 needed (Blicharska et al. 2016). Some methodologically detailed initiatives such as the  
75 Integrated Marine Protected Area Socioeconomic Monitoring and Assessment  
76 Framework (Rodríguez-Rodríguez et al. 2015a) or the Social Assessment of Protected  
77 Areas (Franks and Small 2016) have been recently developed to help to fill that gap.

## 78 **The Spanish case: The need for sustainable development**

79 Between 2008 and 2014, Spain went through a deep economic crisis deriving from a  
80 long-lasting unsustainable economic growth model based on mass construction and  
81 tourism that resulted in broad land use changes with serious implications for nature and  
82 people (Montes et al. 2011; Jiménez et al. 2012). Unemployment reached 27% of the  
83 active population in the first quarter of 2013 (INE 2018), with severe effects on  
84 wellbeing through widespread poverty, evictions, emigration, social exclusion and  
85 decreasing salaries which, in addition to reduced public services and state support,  
86 worsened living conditions for most (Jiménez et al. 2012; ADGSS 2017). Thus, it is  
87 paramount to explore more sustainable ways of development for a country with vast  
88 natural and cultural resources. Spain is a highly biodiverse country (Médail and Quézel  
89 1999). PAs cover 27.3% of its land and freshwater area (Múgica et al. 2016), one of the  
90 broadest national terrestrial PA coverage in the world (UNEP and IUCN 2018). Apart  
91 from its large amount of territory under biodiversity protection regulations, Spain has 46  
92 sites included in the UNESCO World Heritage List, being the third country in the world  
93 with more such sites (Spanish Government 2018; UNESCO 2018).

## 94 **Objectives**

95 In this study we sought to: 1) ascertain the views on the environmental and  
96 socioeconomic effects of PAs by a wide range of organisations from all sectors of  
97 Spanish society at three complementary scales: national, regional (Andalusia) and local  
98 (Almeria, Andalusia); 2) identify the stakeholders most affected by PA designation in  
99 Spain; 3) gather the views of stakeholders on the effects of different PA regulations  
100 (stringent regulation versus multiple-use regulation) on local social and economic  
101 variables; 4) determine the local socioeconomic aspects perceived to be most affected  
102 by the designation of PAs; and 5) analyse response consistency across spatial scales,  
103 socioeconomic guilds and respondent organisations. Results will assist not only  
104 scientists but also territorial planners, PA managers and decision-makers to make more  
105 informed and equitable decisions for greater sustainable development in the country.

106

## 107 **MATERIALS & METHODS**

### 108 **Data collection**

109 A reduced but comprehensive number of social (n=16) and economic (n=12) variables  
110 that influence local sustainability was derived (Appendix S1) after an initial, non-  
111 exhaustive literature review. They were classified in social or economic categories  
112 according to the Statistic Yearbook of Spain (INE 2016). The items represented by  
113 those variables define basic social and economic conditions for human wellbeing at  
114 national and international scales (INE 2016; EUROSTAT 2018; World Bank 2018) and  
115 are also policy-relevant (EEC 1992; CBD 2010). We tried to show a balanced  
116 representation of effects of PAs on local communities. Thus, we classified those  
117 variables from an, *a priori*, subjective perspective in *negative* and *positive* variables to  
118 local social or economic sustainability. Using the literature reviewed and our experience  
119 as a starting point, we also identified a comprehensive number of socioeconomic sectors  
120 and guilds that may be affected by PA designation in Spain. In order to reduce reported  
121 biases towards positive or negative effects of PAs (Schreckenberget al. 2010) and  
122 provide a balanced picture of the perceived effects of PAs by the Spanish society, we  
123 preliminarily classified those guilds as ‘positively affected’ (48%), ‘negatively affected’  
124 (48%), and ‘uncertainly affected’ (4%) by PAs (Appendix S1). Ecological farming and

125 stockbreeding organisations of the primary sector were identified for additional analysis  
126 given their likely different perceptions on the topic.

127 We then identified relevant organisations belonging to those guilds. At national and  
128 regional scales, we used criterion sampling whereby a maximum of five of the most  
129 representative meta-organisations per guild and scale was identified (e.g. associations,  
130 federations or ministries). Organisations were selected on the basis of our previous  
131 knowledge and purposive online search. At local scale, a preliminary GIS analysis was  
132 done to select a recently-designated, non-overlapping PA. The Special Area of  
133 Conservation of Sierra de Cabrera-Bedar, in the south-easternmost part of the Almeria  
134 province (Andalusia region), was selected. This area is a multiple-use, Natura 2000 site  
135 and was thus classified within PAs of medium level of protection. Among the seven  
136 municipalities in the PA, we selected those with at least 66% of their territories inside  
137 the PA for being the ones more likely affected by its designation: Bedar (71.4% of its  
138 territory in the PA) and Turre (78% of its territory in the PA). Two online business  
139 repositories were used to quota sample a maximum of three organisations per  
140 municipality and guild: Universia (2016) and Expansión (2016). Those business-type  
141 stakeholders were complemented with guild-purposive online search to identify non-  
142 commercial organisations (e.g., environmental NGOs; local councils, etc.). The whole  
143 set of socioeconomic sectors, guilds and organisations identified by scale can be  
144 consulted in Appendix S2.

145 Each of those organisations was contacted by phone, explained the aim of the survey  
146 and asked to participate providing the views of their respective organisations, in order to  
147 maximize representation (Dillman et al. 2015). A semi-structured, online questionnaire  
148 was created using Survey Monkey software. The survey was piloted prior to its  
149 administration, amended accordingly and administered between the 5<sup>th</sup> of June and the  
150 5<sup>th</sup> of July of 2017. A link to the questionnaire was sent to the respondents who agreed  
151 to fill it in via e-mail. The whole initial sample included 119 national organisations, 65  
152 regional organisations, and 13 local organisations. Two reminders were sent to non-  
153 respondents.

154 The questions and definitions in the survey were the same at the three scales (Appendix  
155 S3). The only changes referred to the scale-related introductions to some questions.  
156 Organisations were queried about their institutional view on three main subjects: 1)

157 PAs' general effects (environmental, social and economic); 2) the effects of PAs on  
158 their organisations; and 3) the intensity of PA effects on the socio-economy of the  
159 municipalities where they are designated. Response options were also the same across  
160 scales, the only difference being that local stakeholders were not asked to assess the  
161 local effects of PAs of high level of protection, as they were only asked about Sierra de  
162 Cabrera-Bedar Natura 2000 Site.

163

164 **[Fig. 1. Conceptual outline of the study]**

165

### 166 **Data analysis**

167 Closed-ended responses on the perceived general and organisational effects of PAs were  
168 numerically coded for statistical analysis according to the following ordinal scale: 'very  
169 negative effect' = -2; 'negative effect' = -1; 'No effect' = 0; 'positive effect' = 1; and  
170 'very positive effect' = 2. The intensity of PA effects on local socio-economy was  
171 coded on an entirely positive ordinal scale for valid mean comparison purposes, as we  
172 tried to ascertain variation in the (absolute) value of the set of socioeconomic variables  
173 as a result of PA designation, not the direction of such variation (*i.e.* increase or  
174 decrease of the variable): 'large decrease', 'large increase' = 2; 'No effect' = 0; 'slight  
175 decrease', 'slight increase' = 1. For communication purposes, the range of continuous  
176 mean values of the perceived intensity of PA effects was split into equal intervals using  
177 quartiles: 0–0.50/0–0.50 (no effect: 0–3% increase/decrease of the variable's baseline  
178 value); 0.51–1 (slight effect: 3–6% perceived increase/decrease); 1.01–1.50 (moderate  
179 effect: 6–10% perceived increase/decrease); and 1.51–2 (large effect: >10% perceived  
180 increase/decrease). Indicators for which moderate or large effect of PAs was averagely  
181 perceived by stakeholders at any scale of assessment or protection level were selected  
182 for creating a socially-relevant local PA socioeconomic assessment system for being the  
183 most likely influenced indicators by PA designation at local scale.

184 Differences in the organisational perception of the social and economic effects of PAs  
185 of medium and high levels of protection were analysed at national and regional scales  
186 via paired T-tests or Wilcoxon-signed-rank tests, depending on the normality of the  
187 differences between both levels of the factor 'protection'. We assumed that the same

188 organisation's representative responded to the whole survey. Differences in the  
189 organisational views of the local social and economic effects of PAs among  
190 organisations at different spatial scales were assessed via ANOVA tests or Kruskal-  
191 Wallis tests, according to the normality and homocedasticity of variables. Significance  
192 level for all tests was set at 0.05. Open responses were codified in a number of limited  
193 options. In cases when the same respondent gave different reasons for their responses,  
194 they were considered individually and summarized according to the number of mentions  
195 each codified response had among all respondents. For analysing response time, we just  
196 considered responses that were completed on the same day of being started.

197 For analysing perceived general effects of PAs, effects on organisations, and local  
198 effects, when more than one complete response was obtained by the same organisation  
199 for a given scale, we retained the response that took longer to be answered, assuming  
200 that a more careful reply to the questions was given. For analysing response  
201 consistency, all duplicated responses were used to test internal organisational response  
202 consistency. In order to avoid comparing responses by the same person, we made sure  
203 that each of those organisationally duplicated responses had been made from a different  
204 I.P. address.

205 We analysed response consistency on the perceived intensity of PAs of high level of  
206 protection on local socioeconomy on three analytical dimensions: 1) within guilds  
207 (same scale: national; different organisations), for the following guilds of similar  
208 foreseen response to the topic: research, environmental NGOs, mining, and hunting; 2)  
209 between spatial scales (same organisation; different scale: national vs regional), for the  
210 following organisations: COAG (farming organisation) and SEO-Birdlife  
211 (environmental NGO); and 3) within organisations (same organisation; same scale:  
212 national or regional; different respondent), for the following organisations: RADA  
213 (legal representatives; national scale), AAMA (rangers; regional scale), and Ecologistas  
214 en Acción-Andalucía (environmental NGO; regional scale). We codified the original  
215 responses on an ordinal, increasingly positive scale: 'very negative effect' = 1; 'negative  
216 effect' = 2; 'No effect' = 3; 'positive effect' = 4; and 'very positive effect' = 5. To test  
217 for differences in response consistency, we used Kruskal-Wallis tests after checking the  
218 non-normality of the original and log10-transformed variables, for a significance level  
219 of 0.05. All the statistical analyses were done using SPSS v.23 and Microsoft Excel.



220 **RESULTS**

221 **Response rate**

222 The response rate was 33% for the national survey (n=39), 35% for the regional survey  
 223 (n=23), and 46% for the local survey (n=6). The median time to complete the survey  
 224 was 18 minutes at national scale, 26 minutes at regional scale, and 16 minutes at local  
 225 scale.

226 **Sample characterization**

227 The sample of selected organisations was balanced according to their foreseen  
 228 preliminary stances on PAs (with a slightly greater initial selection of ‘positive’  
 229 organisations) and economic sectors, though at local scale primary and quaternary  
 230 sector organisations were absent. On the contrary, there was a stark difference in the  
 231 size of organisations between national and regional scales, on one side, and local scale,  
 232 on the other (Table 1).

233 **Table 1. Main characteristics of responding organisations**

Main characteristics	National organisation (n)	Regional organisation (n)	Local organisation (n)	N (%)	
Median membership (number of members)	> 250	>250	1 to 9		
Preliminary stance on PAs	Positive	19	13	4	36 (52,9%)
	Neutral	3	1	0	4 (5,9%)
	Negative	17	9	2	28 (41,2%)
	N (%)	39	23	6	68 (100%)
Sector	Primary	8	4	0	12 (17,6%)
	Secondary	4	3	2	9 (13,2%)
	Tertiary	16	4	1	21 (30,9%)
	Quaternary	6	1	0	7 (10,3%)
	Institutional	3	6	2	11 (16,2%)
	Miscellaneous	2	5	1	8 (11,8%)
	N (%)	39 (57,4%)	23 (33,8%)	6 (8,8%)	68 (100%)

234

235 **General effects of PAs**

236 The organisational perception of the sustainability of protected areas was ‘globally’  
 237 positive at national and regional scales but slightly negative at local scale. At all scales,  
 238 the environmental dimension was the best rated, followed by the social dimension and  
 239 the economic dimension, respectively (Table 2). The perception of the global  
 240 sustainability of PAs was the greatest by the quaternary sector and the lowest by the  
 241 primary sector. The main stated reasons in favour of PAs by national, regional and local  
 242 organisations were that PAs enhance economic development and nature conservation,  
 243 respectively. Restrictions to socioeconomic activities and insufficient local engagement  
 244 were stated as PAs’ main drawbacks.

245 **Table 2. Organisational perception of the environmental, social and economic effects of protected**  
 246 **areas in Spain by spatial scale and economic sector (on a -2 to +2 scale)**

247 Nat: National; Reg: Regional; Loc: Local; Prim: Primary; Eco-P: Eco-Primary; Sec: Secondary; Tert:  
 248 Tertiary; Quat: Quaternary; Inst: Institutional; Misc: Miscellaneous

Mean perceived effect	Scale	Sector							
		Prim	Eco- Prim	Sec	Tert	Quat	Inst	Misc	All
Environmental	Nat	0.88	2.00	1.50	1.56	1.83	2.00	2.00	1.51
	Reg	0.75	1.00	1.33	1.25	2.00	1.67	1.60	1.39
	Loc			0.00	-1.00		1.00	2.00	0.50
Social	Nat	0.13	0.50	1.25	0.81	1.50	2.00	2.00	0.97
	Reg	0.50	0.00	1.00	1.00	2.00	1.17	1.00	1.00
	Loc			-1.50	-1.00		0.00	2.00	-0.33
Economic	Nat	0.13	0.50	0.50	0.56	1.33	1.67	2.00	0.74
	Reg	0.50	0.00	0.33	0.25	2.00	0.83	0.60	0.61
	Loc			-1.50	-2.00		0.50	2.00	-0.33
Global (sustainability)	Nat	0.38	1.00	1.08	0.98	1.56	1.89	2.00	1.08
	Reg	0.58	0.33	0.89	0.83	2.00	1.22	1.07	1.00
	Loc			-1.00	-1.33		0.50	2.00	-0.06

249

250 **Effects of PAs on organisations**

251 On average, at national scale all economic sectors except the primary sector perceived  
 252 to be positively affected by PAs. The most positively affected sector was  
 253 ‘Miscellaneous’, represented by environmental NGOs. At regional scale, all sectors

254 perceived to be positively affected by PAs. Only the quaternary sector, represented by  
 255 journalists, perceived not to be affected by PAs. At local scale, both the secondary  
 256 sector and the ‘Miscellaneous’ sector perceived not to be affected by PAs. The  
 257 construction company perceived to be negatively affected whereas the cheese  
 258 manufacturer business perceived to be positively affected. The organisations that  
 259 provided some reasoning for that perception stated little or no effect of PAs on their  
 260 activities (Table 3).

261 **Table 3. Perception of the effects of protected areas on Spanish organisations by sector and scale,**  
 262 **and main stated reason**

Sector	National scale		Regional scale		Local scale		n
	Mean perceived effect on own organisation	Main stated reason	Mean perceived effect on own organisation	Main stated reason	Mean perceived effect on own organisation	Main stated reason	
Primary	-0.13	Restrictions to socioeconomic activities	0.75	Increased bureaucratic work			12
Eco-Primary	1.50	Greater environmental awareness	1.00	Positive. if there are incentives to eco-friendly businesses			3
Secondary	0.25	Restrictions to economic activities	0.67	It clarifies limitations to activities	0.00	No effect	9
Tertiary	0.25	PAs do not affect their activity directly	0.50	It increases economic activity	-2.00		21
Quaternary	0.83	It increases research	0.00			Journalists are not sufficiently considered in PAs	7
Institutional	1.67	PAs contribute substantially to nature	0.83	Socioeconomic development	1.00	Economic development; Little effect	11

		conservation				on daily tasks	
Misce-llaneous	2.00	PAs are one of their goals	1.20	PAs are one of their goals	0.00		8
All	0.46	Restrictions to socioeconomic activities	0.78	Socioeconomic development	0.00	No effect	68

263

## 264 **Effects of PAs on local communities**

### 265 *Mean perceived change of social and economic indicators*

266 Table 4 shows the average valuation of the intensity and direction of change of social  
 267 and economic indicators in the municipalities where PAs are designated at the three  
 268 surveyed scales. Eight socioeconomic variables were perceived to vary the most for  
 269 both protection levels of PA designation and at most scales: ‘residents’ environmental  
 270 awareness’ (social), ‘restrictions to local property rights’ (social), ‘number of regulation  
 271 breaches & sanctions’ (social), ‘scientific and technical research activities in/on the site’  
 272 (social), ‘local bureaucracy’ (economic), ‘local quality of life’ (economic), ‘local tourist  
 273 activity’ (economic), and ‘residential construction’ (economic).

### 274 **Table 4. Mean perceived change in the value of social and economic indicators at local scale as a** 275 **result of protected area designation (on a +2 to -2 point scale)**

276 **Note:** PAs of MLP: protected areas of medium level of protection; PAs of HLP: protected areas of high  
 277 level of protection. \*Sierra de Cabrera-Bedar Special Area of Conservation.

Social indicator	National scale		Regional scale		Local scale
	PAs of MLP	PAs of HLP	PAs of MLP	PAs of HLP	PAs of MLP*
Vulnerability of local populations to natural disasters	-0.42	-0.50	-0.60	-0.50	-0.17
Residents’ age	0.00	-0.06	0.15	0.20	0.17
Number of local health infrastructures	0.03	-0.03	0.11	-0.05	-0.50
Number of local security and justice infrastructures	0.08	-0.03	0.05	0.00	-0.50
Number of local education infrastructures	0.18	0.10	0.33	0.17	-0.33
Number of residents	0.18	-0.11	0.14	-0.48	-0.33

Educational degree of residents	0.19	0.25	0.24	0.10	-0.17
Local traditions	0.22	0.24	0.52	0.48	0.33
Local cultural, recreational and sport offer	0.32	0.51	0.89	0.79	0.33
Health of residents	0.46	0.53	0.89	0.94	-0.33
Number of local (non-commercial) associations	0.53	0.67	0.95	0.91	0.50
Residents' participation in local environmental decisions	0.59	0.56	0.85	0.90	0.33
Number of regulation breaches & sanctions	0.89	1.22	1.14	1.27	-0.17
Restrictions to local property rights	1.03	1.58	1.24	1.48	0.50
Residents' environmental awareness	1.05	1.30	1.14	1.36	0.50
Scientific and/or technical research activities in/on the site	1.06	1.43	1.18	1.64	0.25
		<b>National scale</b>	<b>Regional scale</b>	<b>Local scale</b>	
<b>Economic indicator</b>		<b>PAs of MLP</b>	<b>PAs of HLP</b>	<b>PAs of MLP</b>	<b>PAs of HLP</b>
					<b>PAs of MLP*</b>
Residential construction	-0.26	-0.43	-0.74	-1.26	-1.20
Number of local transport infrastructures	0.03	-0.03	-0.09	-0.36	-0.50
Number of local technological infrastructures	0.11	0.29	0.05	-0.10	0.33
Local taxes	0.26	0.39	0.50	0.43	0.33
Residents' income	0.29	0.46	0.52	0.45	-0.40
Number of local enterprises and businesses	0.44	0.84	0.59	0.53	-0.50
Local quality of life	0.46	0.74	1.00	1.14	-0.33
Local employment	0.53	0.67	0.47	0.55	-0.20
Local council's budget	0.54	0.94	0.95	1.00	-0.60
Prize of local products and services	0.64	0.86	0.65	0.80	0.00
Local bureaucracy	0.71	1.00	1.05	1.19	0.17
Local tourist activity	1.13	1.54	1.50	1.29	0.20

278

279

280 *Perceived change in local indicator values across scales and protection levels*

281 The mean perceived change in the intensity of local social effects was significantly  
282 greater for highly protected PAs than for PAs of medium level of protection for national  
283 stakeholders, from  $0.45 \pm 0.37$  to  $0.57 \pm 0.53$  ( $Z = -2.272$ ;  $p = 0.023$ ). Also, there was a  
284 statistically significantly higher mean perceived intensity of local economic effects of

285 highly protected PAs with regard to PAs of medium level of protection for national  
286 stakeholders, from  $0.45 \pm 0.30$  to  $0.68 \pm 0.40$  ( $t_{(11)} = -6.319$ ;  $p < 0.000$ ). There were no  
287 statistically significant differences in the mean perceived intensity of local social or  
288 economic effects between PAs of high and medium levels of protection for regional  
289 stakeholders.

### 290 **Effect of scale on stakeholder perception**

291 There were no statistically significant differences in organisational perception of the  
292 intensity of local social or economic effects of PAs of medium level of protection across  
293 the three spatial scales. Neither were there statistically significant differences in  
294 organisational perception of the intensity of local social or economic effects of PAs of  
295 high level of protection between national and regional scales.

### 296 **Response consistency**

#### 297 *Within socioeconomic guilds*

298 There were statistically significant differences in the valuation of the socioeconomic  
299 effects of PAs of high level of protection within three of the four analysed guilds,  
300 except for research, where responses across organisations were consistent: Environmental  
301 NGOs ( $\chi^2_{(1)} = 4.59$ ;  $p = 0.03$ ); Mining ( $\chi^2_{(1)} = 6.34$ ;  $p = 0.01$ ); and Hunting ( $\chi^2_{(1)} = 8.05$ ;  
302  $p = 0.01$ ).

#### 303 *Between spatial scales*

304 There were statistically significant differences in the valuation of the socioeconomic  
305 effects of PAs of high level of protection between spatial scales for some organisations.  
306 Regional COAG (farming organisation;  $\chi^2_{(1)} = 4.47$ ;  $p = 0.03$ ) stated greater perceived  
307 effect than its national representative. However, there were no statistically significant  
308 differences for SEO-Birdlife (environmental NGO).

#### 309 *Within organisations*

310 There were no statistically significant differences in the valuation of the socioeconomic  
311 effect of PAs of high level of protection on local communities within organisations.

312

## 313 **DISCUSSION**

### 314 **Perceived general effects of Spanish PAs**

315 The perceived general effects of the designation of Spanish PAs by Spanish  
316 organisations are positive on average. However, differences are apparent among  
317 territorial scales and sustainability dimensions. Firstly, there was a general gradient in  
318 the perceived sustainability of PAs across all scales: environmental sustainability >  
319 social sustainability > economic sustainability. Such gradient has been shown for  
320 chiefly local stakeholders at European scale (Blicharska et al. 2016) and also for  
321 national organisations in north-European marine environments (Rodríguez-Rodríguez et  
322 al. 2015b), which suggests a socially consistent perception pattern on the sustainability  
323 of (M)PAs, at least in Western Europe.

324 Local stakeholders were the most critical towards the general effects of PAs even  
325 though when they were only asked about a multiple-use, leniently regulated Natura  
326 2000 Site. Some authors suggest overemphasis on local drivers of environmental  
327 degradation by territorial planners, managers and decision-makers which may result in  
328 unnecessarily harsh restrictions to local activities and inequitable compensation to the  
329 most sensitive groups (Palmer et al. 2015; Suding et al. 2015). Additionally, insufficient  
330 and/or poor quality local involvement in PA planning and management processes  
331 leading to feelings of marginalisation is a broad concern Europe-wide (Ferranti et al.  
332 2014; Blicharska et al. 2016), and in Spain (Rodríguez-Rodríguez et al. 2017). Genuine,  
333 representative local stakeholder engagement in PA designation proposals results in good  
334 sustainability outcomes and broad acceptability (Pérez de Oliveira et al. 2013). Thus,  
335 responsible authorities should make adequate effort to adequately engage the most  
336 critical local stakeholders in PA initiatives in order to facilitate implementation and  
337 enhance socioeconomic outcomes (Oldekop et al. 2016).

### 338 **Perceived effects of PAs on socioeconomic sectors and guilds**

339 Two clearly differentiated opinion groups were apparent. On the one hand, public  
340 institutions (governance, PA managers and surveillance), the quaternary sector  
341 (essentially research centres) and the miscellaneous sector (chiefly the environmental  
342 NGO guild) generally had a positive stance towards PA contribution to socioeconomic  
343 and nature conservation outcomes, as shown previously (Rodríguez-Rodríguez et al.,

344 2015b). In contrast to the study by Rodríguez-Rodríguez et al. (2015b), here the  
345 hospitality guild stated consistently positive effects from PA designation across scales.  
346 This aligns with previous claims that accommodation makes one of the largest  
347 expenditure categories for travellers to PAs (Eagles et al. 2002). Apart from  
348 accommodation businesses, catering activities have also been mentioned as benefiting  
349 most from visitors to PAs (Alló et al. 2010).

350 On the other hand, some sectors and guilds perceived that PAs had a negative effect on  
351 their activities. The primary sector mostly perceived to be negatively affected at  
352 national scale due to restrictions to socioeconomic activities, but perceived to be  
353 positively affected at regional scale. Primary and secondary sector guilds and  
354 landowners greatly depend on natural resource use. Thus, they are among the most  
355 negatively affected guilds by PA regulations (Alló et al. 2010; Kati et al. 2015;  
356 Blicharska et al. 2016), especially in historically-used European cultural landscapes  
357 (Järv et al. 2016). In turn, ecological farming organisations consistently perceived to be  
358 positively affected by PAs across scales, probably as a result of their competitive  
359 advantage given by PA regulations over non as nature-friendly farming business (Basha  
360 et al. 2015) and the suggested greater environmental awareness of local populations  
361 near PAs (Štraus et al. 2010). Farmers, environmental managing agencies and  
362 landowners were considered the most influential stakeholder groups on farmland  
363 biodiversity issues at regional and local scales in other European settings (Hauck et al.  
364 2016), which suggests that their consideration in land management issues in Europe is  
365 paramount.

366 It is noteworthy that some guilds of the secondary sector, such as construction or  
367 mining, that are often the primary targets of PA regulations (Spanish Government 2007,  
368 2014; Järv et al. 2016) due to their serious effects on biodiversity (Forman and  
369 Alexander 1998; McKinney 2002; Brooks et al. 2014) did mostly not perceive to be  
370 affected by PAs in Spain at regional and local scales, or even stated positive effects of  
371 PAs on their organisations at national scale. Recent studies have shown that land  
372 artificialisation processes, to which both guilds largely contribute, were generally lower  
373 in Spanish PAs than in surrounding areas (Martínez-Fernández et al. 2015), whichever  
374 their levels of protection (Rodríguez-Rodríguez and Martínez-Vega 2018). In contrast to  
375 results in other European countries where MPAs were considered as impediments to  
376 resource extraction (Rodríguez-Rodríguez et al. 2015b), construction and mining



377 organisations in Spain seem to have assimilated the actual impact that PA regulations  
378 have on their activities and adopted (or at least, state) a pragmatic approach to existing  
379 *status quo*.

380 In turn, some guilds of the primary sector (hunting), and tertiary sector (recreation) felt  
381 generally negatively affected by PAs in Spain at different scales. Organisations  
382 pertaining to both guilds stated restrictions to their activities by PA regulations as their  
383 main effect. In a review on management effectiveness of European PAs, Nolte et al.  
384 (2010) identified recreational activities as the major threat to those areas. Thus,  
385 evidence points to the need of regulating organized or spontaneous recreational  
386 activities in European PAs to limit their impact on natural and cultural heritage  
387 (Blicharska et al. 2016). The recreation guild seems largely unaware of or unable to  
388 benefit from the alleged new opportunities generated by new regulatory frameworks and  
389 the suggested benefits to their activities from increased tourism in PAs (Phillips 1998;  
390 Christiansen and Conner 1999; Alló et al. 2010).

391 Our results are coherent with a recent study that also showed that national organisations  
392 from the primary sector (fishers), secondary sector (the aggregate industry) and also  
393 tertiary sector (recreation) perceived to be negatively affected by MPA designation in  
394 northern Europe, whereas organisations in the quaternary (research), institutional  
395 (governance and MPA managers), and miscellaneous sectors (environmental NGOs)  
396 perceived to experience a positive effect from MPAs (Rodríguez-Rodríguez et al.  
397 2015b).

### 398 **Perceived effect of PAs on local socioeconomic variables**

399 Half of the variables that were perceived to vary most by Spanish terrestrial  
400 stakeholders coincided with those that were perceived to vary most in intensity by  
401 marine stakeholders in the UK and France: ‘residents’ environmental awareness’,  
402 ‘number of regulation breaches & sanctions’, ‘scientific and technical research activities  
403 in/on the site’, and ‘local tourist activity’ (Rodríguez-Rodríguez et al. 2015b), which  
404 suggests a common pattern of socially perceived local effects of PAs regardless of their  
405 major environment. Other variables perceived to vary most in intensity have also been  
406 mentioned in the European literature on PA designation constraints: ‘local bureaucracy’  
407 (Järv et al. 2015; Blicharska et al. 2016), ‘restrictions to local property rights’ (Rekola et  
408 al. 2000), residential construction (Järv et al. 2015); and benefits: ‘local quality of life’

409 (Järv et al. 2015). In other parts of the world, and using a carefully designed research  
410 framework, Andam et al. (2010) found that PAs in some tropical countries resulted in  
411 alleviated poverty in surrounding communities when compared to suitable control  
412 communities.

413 Interestingly, local employment was not considered to vary much as a result of PA  
414 designation in Spain. In contrast to common claims (Dudley et al. 2013), Spanish PAs  
415 are not perceived to provide a strong-enough alternative to the usual employment-  
416 creating sectors for local development despite the intensity of the recent economic crisis  
417 in the country and the need to diversify its economy (INE 2016; Jiménez 2012; ADGSS  
418 2017). Further studies should confirm such perceptions.

419 A highly participative local socioeconomic assessment system of PAs was devised.  
420 Although perceived intensity of effects does not equal organisational importance, which  
421 should have been studied separately (Rodríguez-Rodríguez et al. 2015b), five of the  
422 eight socioeconomic variables perceived to vary most by Spanish stakeholders were  
423 included under priority indicators for marine stakeholders in the UK and France  
424 (Rodríguez-Rodríguez et al. 2015b), which suggests that a broadly applicable, socially  
425 relevant and efficient Local Socioeconomic Assessment System of PAs could be  
426 developed based on the aforementioned eight indicators. This system would help to fill  
427 the gap in social effectiveness research in European PAs (Blicharska et al. 2016).

#### 428 **Perceived effects of PAs across protection levels**

429 National organisations tended to assign greater effect to PAs of high level of protection  
430 than to PAs of medium level of protection, whereas regional stakeholders did not  
431 perceive such difference in local effect intensity. The small size of and discontinuous  
432 management activities in many nature reserves in Andalusia, and the fact that, to date  
433 (April of 2018), there are only two national parks in the region: Doñana National Park  
434 and Sierra Nevada National Park, might have made most regional stakeholders identify  
435 regional PAs with multiple-use PAs, likely perceived as generating less intense  
436 ecological (Oldekop et al. 2016) and socioeconomic effects (Holmes and Cavanagh  
437 2016).

#### 438 **Perceived effects of PAs across spatial scales**

439 Spatial scale does not seem to influence perception on the intensity of local  
440 socioeconomic effects of PAs in Spain. These results contrast with those by Ferraro  
441 (2002), who suggests uneven distribution of costs and benefits from establishing PAs in  
442 Madagascar across spatial scales, with most opportunity costs born to local residents but  
443 most benefits in terms of tangible (e.g. tourism) and intangible (ecosystem services)  
444 assets generated at other scales (regional and national). In developing regions of the  
445 world, local dwellers and PA users tend to identify PAs with restrictions to natural  
446 resource use and harsher living conditions (Ferraro 2011; Kelboro and Stellmacher  
447 2015). In Spain, the central national park administration provides subsidies to  
448 compensate local populations for opportunity costs from the designation of those highly  
449 protected PAs (Spanish Government 2014). However, to our knowledge, there is no  
450 such consistent economic compensation applied to any other PA category in the  
451 country. In our case, we think that local stakeholders might not have had a different  
452 opinion from that by regional or national stakeholders for having been asked about a  
453 leniently regulated, recently managed multiple-use Natura 2000 site that is unlikely to  
454 have caused intense local socioeconomic effects.

#### 455 **Response consistency**

456 Organisations in the same guilds generally provided different valuations of the  
457 socioeconomic effects of PAs on local communities even if the assessed guilds might be  
458 thought to have a similar view on the topic, such as environmental NGOs. Thus,  
459 surveyed organisations' responses on the topic are little representative of those of the  
460 same guild, resulting in undue generalisations. These results are consistent with  
461 previous studies in other settings which suggested (Calvet-Mir et al. 2015) and showed  
462 (Rodríguez-Rodríguez et al. 2015b) that organisation classification in categories is often  
463 more a conceptual artifact than an empirical reality. In contrast to the study by  
464 Rodríguez-Rodríguez et al. (2015b) in which scientific organisations rated the  
465 importance of socioeconomic indicators for MPAs differently, here Spanish research  
466 organisations showed a consistent perception of the effects of PAs on local socio-  
467 economy. The fact that scientific organisations in the study by Rodríguez-Rodríguez et  
468 al. (2015b) were from different countries may have increased response divergence.

469 Response consistency by the same organisation across scales was organisation-specific,  
470 which suggests that it should not be taken for granted. Responses by respondents of the

471 same organisation at the same spatial scale showed consistency, which suggests non-  
472 substantial inter-personal bias and the use of organisations as a valid unit of analysis in  
473 perceptual studies related to local socioeconomic effects of PAs in Spain.

#### 474 **Methodological considerations**

475 The non-random selection of the organisations taking the survey means that  
476 generalisations from our findings should not be made and, when they are, they should  
477 be made with caution. Though the survey's sample included a wide selection of meta-  
478 organisations that were supposedly representative of their guilds, a larger sample would  
479 have been needed mainly at local scale to enhance societal representation.

480 Some no-responses to the survey were noteworthy, especially among local stakeholders  
481 who are the ones most likely experiencing the limitations and opportunities of PAs  
482 (Coad et al. 2008; Blicharska et al. 2016). For instance, the a priori highly-affected local  
483 primary sector was absent from this analysis. This resulted from the inexistence of  
484 primary sector organisations in the consulted online local business repositories. Also,  
485 even though the broad spectrum of major political organisations was invited to  
486 participate in the survey (n=5, at national and regional scales), only one political  
487 response by a regional green party was obtained, suggesting low political interest in the  
488 topic (Kati et al. 2015; Rodríguez-Rodríguez et al. 2015c).

489 Finally, sector and guild-result comparison across scales should be made with much  
490 caution, as different numbers of organisations and even sectors completed the survey at  
491 different scales.

492

#### 493 **CONCLUSION**

494 There is broad social perception of the environmental benefits of PAs in Spain.  
495 However, the social and, chiefly, economic benefits of PAs are more contested, mostly  
496 at local scale and among tertiary, secondary and primary sector organisations. Input  
497 from those stakeholders should be the primary target of responsible authorities to  
498 smooth PA implementation processes and make them not only environmentally, but  
499 also socially and economically sustainable (Oldekop et al. 2016). Broad support to PAs  
500 as a public policy in Spain can be inferred from the quaternary sector, the institutional

501 sector, and some miscellaneous organisations, mostly environmental NGOs. Legal  
502 stringency of PAs was only perceived to impact locally by national stakeholders,  
503 although it could not be assessed at local scale.

504 A number of local socioeconomic indicators were perceived to vary most after the  
505 designation of PAs in Spain, regardless of regulation stringency and the spatial scale of  
506 respondents and would make a socially relevant PA socioeconomic assessment system.  
507 Responses on perceived local socioeconomic effects of Spanish PAs showed low  
508 consistency among socioeconomic guilds and spatial scales for the same organisations,  
509 and reinforces previous claims that stakeholder classification in socioeconomic sectors  
510 or guilds in PA sustainability studies is more a conceptual artifact than a reality  
511 (Rodríguez-Rodríguez et al., 2015b). However, intra-organisational consistency at a  
512 given scale was found, which suggests non substantial inter-personal bias and adequacy  
513 of organisations as a valid unit of analysis in socioeconomic studies on PAs in Spain.  
514 We hope that these results may help to steer current territorial development towards  
515 greater sustainability in a time when recent unsustainable dynamics seem to reappear in  
516 the country.

## 517 **Acknowledgements**

518 In a separated file for peer review.

519

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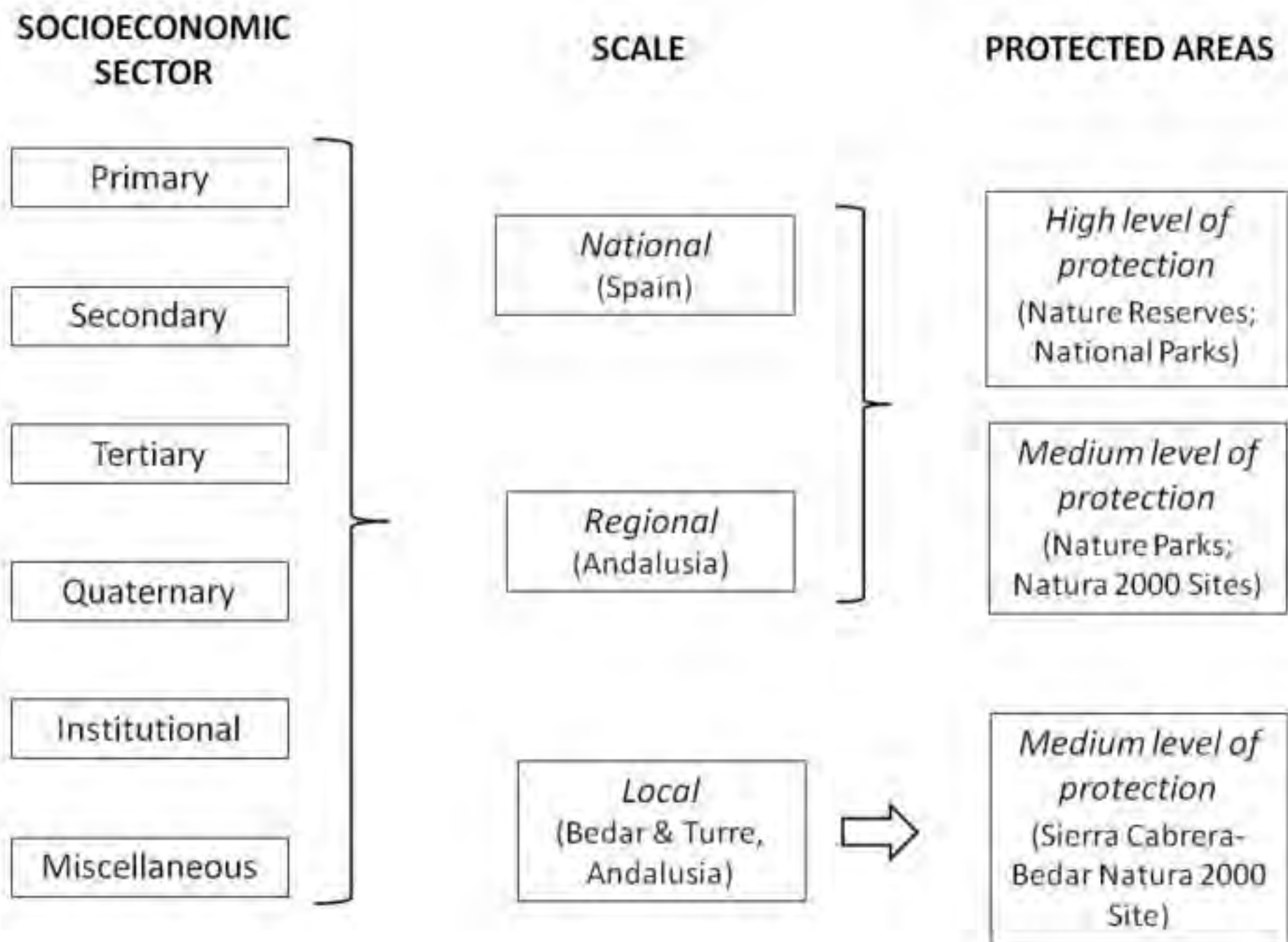
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## Response to the editor

We would like to thank Prof. Keskitalo for her comments to improve the manuscript. Please, find below the changes we made accordingly:

- We structured the Introduction a bit differently, including four sub-sections. Among them, a “Study background” section better contextualising our research from the point of view of policy and research was included.
- We also clarified the significance of the indicators used in Materials & Methods, adding a number of new relevant references (in “Data collection”).
- We modified the title to a more straightforward and appealing one.
- Finally, we slightly modified the Abstract and keywords for greater clarity and accurateness.

Please note that the requested additions, while enhancing the clarity and context of the study, have slightly increased the number of words and references in the manuscript.

We hope to have adequately addressed Prof. Keskitalo’s concern and that our manuscript can proceed, if so considered, to peer-review.

Looking forward to hearing from you.

Yours,

Dr. David Rodríguez-Rodríguez

# Ambio

## Socioeconomic effects of protected areas in Spain across spatial scales and protection levels --Manuscript Draft--

<b>Manuscript Number:</b>	AMBI-D-18-00137R1	
<b>Full Title:</b>	Socioeconomic effects of protected areas in Spain across spatial scales and protection levels	
<b>Article Type:</b>	Research Article	
<b>Keywords:</b>	Europe; institutional view; sustainability; national park; Natura 2000 site; stakeholder	
<b>Corresponding Author:</b>	David Rodríguez-Rodríguez, PhD Consejo Superior de Investigaciones Cientificas Madrid, SPAIN	
<b>Corresponding Author Secondary Information:</b>		
<b>Corresponding Author's Institution:</b>	Consejo Superior de Investigaciones Cientificas	
<b>Corresponding Author's Secondary Institution:</b>		
<b>First Author:</b>	David Rodríguez-Rodríguez, PhD	
<b>First Author Secondary Information:</b>		
<b>Order of Authors:</b>	David Rodríguez-Rodríguez, PhD Iván López, PhD	
<b>Order of Authors Secondary Information:</b>		
<b>Funding Information:</b>	Ministerio de Economía y Competitividad (CSO2014-54611-JIN)	Dr. David Rodríguez-Rodríguez
<b>Abstract:</b>	<p>Consequences of the legal designation of protected areas (PAs) may be different for different stakeholders, and at different spatial scales. In this study we analysed the organisational perception on the effects of PA designation on sustainability from all sectors of activity in Spain, accounting for PAs' legal stringency. A semi-structured questionnaire was administered to 197 organisations at national, regional (Andalusia), and local scales (two municipalities in the Almeria province, Andalusia) through an online survey. Local stakeholders and the primary, secondary and tertiary sectors were the most concerned about the social and economic impacts of PAs designation on their organisations. On the contrary, organisations at the national or regional scales together with public institutions, the quaternary sector and others miscellaneous perceived chiefly positive effects. Only national organisations perceived increased local social and economic effects from the designation of legally stringent PAs with regard to multiple-use PAs.</p>	