

1 **Research Article**

2 **Impairment of autophagy in scrapie-infected transgenic mice at**
3 **clinical stage**

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15 **Running title:** Autophagy in scrapie-infected mice

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21 **ABSTRACT**

22 Autophagy seems to play a role in the etiology and progress of misfolded protein
23 disorders. Although this process is dysregulated in prion diseases, it is still unknown if
24 this impairment is a cause or a consequence of prion neuropathology. The study of
25 autophagy during the progress of the disease could elucidate its role. For this purpose,
26 we have investigated its regulation at different stages of the disease in Tg338 mice, a
27 transgenic murine model that overexpresses the highly susceptible ovine VRQ prion
28 protein allele. Mice were intracerebrally inoculated with mouse-adapted classical
29 scrapie and euthanized at preclinical and clinical stages of the disease. Regulation of
30 autophagy was investigated analyzing the distribution of LC3-B and p62 proteins by
31 immunohistochemistry. Moreover, the expression of genes involved in autophagy
32 regulation was quantified by real-time PCR. LC3-B and p62 proteins were
33 downregulated and upregulated, respectively, in the central nervous system of infected
34 mice with clinical signs of scrapie. Accumulation of p62 correlated with scrapie-related
35 lesions suggesting an impairment of autophagy in highly prion-affected areas. In
36 addition, *Gas5*, *Atg5* and *Fbxw7* transcripts were downregulated in mesencephalon and
37 cervical spinal cord of the same group of animals. The impairment of autophagic
38 machinery seems to be part of the pathological process of scrapie but only during the
39 late stage of prion infection. Similarities between Tg338 mice and the natural ovine
40 disease make them a reliable *in vivo* model to study prion infection and autophagy side
41 by side.

42

43 **INTRODUCTION**

44 Prion diseases, also known as transmissible spongiform encephalopathies (TSEs), are
45 fatal infectious neurodegenerative disorders that affect both humans and animals [1].
46 They include the various forms of Creutzfeldt-Jakob disease in humans, bovine
47 spongiform encephalopathy in cattle, and scrapie in sheep and goats, which is one of the
48 first identified TSEs [2]. The causative agents of TSEs are prions, misfolded isoforms of
49 the physiological cellular prion protein (PrP^c), named PrP “scrapie” (PrP^{Sc}) [1]. Prions
50 accumulate into the central nervous system (CNS), which is believed to be the main
51 pathogenic event responsible for the pathological changes produced in TSE patients,
52 including spongiform degeneration, vacuolization, glial activation and neuronal loss [3,
53 4]. Prion diseases share common neurodegenerative features with other protein-
54 misfolding diseases like Alzheimer’s (AD), Huntington’s (HD) and Parkinson’s (PD)
55 diseases [5]. However, the underlying pathomolecular mechanisms of this
56 heterogeneous group of diseases are incompletely understood.

57 Autophagy is one of the most relevant protein homeostasis systems, and is involved in
58 the degradation of damaged organelles and altered proteins from the cell via the
59 lysosomal pathway [6]. In autophagic degradation, a double-membraned vacuole called
60 autophagosome engulfs the cytoplasmic cargo and eventually fuses with lysosomes to
61 form autophagolysosomes, where hydrolytic enzymes digest and recycle the luminal
62 content [7]. Autophagic activity requires multiple proteins, such as MAP1LC3
63 (microtubule-associated protein 1 light chain 3), or LC3, and SQSTM1 (sequestosome
64 1), also known as p62. Whereas LC3 is tightly associated to the autophagosomal
65 membrane and is therefore considered a reliable marker of autophagy [8], p62 is an
66 important cargo receptor located throughout the cell and specifically degraded by

67 autophagy [9]. Consequently, the evaluation of p62 accumulation has become a useful
68 assay to estimate defects in autophagic degradation [8-11].

69 Dysfunction of the autophagic-lysosomal pathway is associated with the progression of
70 several pathologies, including neurodegenerative diseases [12]. Indeed, there is
71 substantial evidence that autophagy is dysregulated in pathologies like AD, HD, PD and
72 TSEs [13-17], contributing to the accumulation of misfolded protein aggregates and
73 neuronal death. Since drug-induced stimulation of autophagy flux produces anti-prion
74 effects [18-25], autophagy is now emerging as a neuronal defense response in
75 controlling prion infection, facilitating the clearance of aggregation-prone proteins and
76 conferring a neuroprotective effect against prion-mediated neurotoxicity.

77 The presence of autophagic vacuoles is well demonstrated in experimentally induced
78 scrapie, in the natural human prion disease and in other experimental models of TSE
79 [26-30]. Using sheep naturally affected with classical scrapie as a natural TSE animal
80 model we described earlier an altered, brain region-dependent regulation of autophagy
81 [31]. The downregulation of transcripts for two autophagy-related genes, *ATG5* and
82 *ATG9*, and the accumulation of p62 but not LC3, suggested an extensive decrease of the
83 autophagic activity in injured CNS. However, the increased expression of LC3 and p62
84 proteins in some specific neuronal populations indicated that autophagy was still active
85 in less lesioned areas. Our subsequent study in induced ovine atypical scrapie confirmed
86 the impairment of autophagy in highly prion-affected brain areas [32]. However,
87 whether autophagic dysregulation is a cause or a consequence of prion-induced toxicity
88 is still unknown.

89 Besides naturally infected animals, experimentally induced murine models are
90 extremely useful for careful monitoring the disease progression and, especially, for

91 studies of early stages of the pathology. The aim of the present study was to validate if
92 the Tg338 mice that overexpress the ovine VRQ (V₁₃₆R₁₅₄H₁₇₁) allele for the *PRNP*
93 (prion protein) gene related to high susceptibility to scrapie [33] can serve as a reliable
94 model to mimic autophagy changes observed in the natural model. For this purpose,
95 autophagy regulation was investigated through the immunohistochemical determination
96 of LC3-B and p62 in Tg338 mice infected with ovine scrapie and the relationship
97 between autophagy markers and scrapie-related lesions was analyzed. Moreover, as the
98 use of murine models may facilitate the study of disease-associated molecular
99 mechanisms, we investigated the regulation of selected mRNAs and non-coding RNAs
100 involved in autophagic machinery in mesencephalon and cervical spinal cord of Tg338
101 mice at different stages of the disease.

102 **MATERIALS AND METHODS**

103 **Animals and sample processing**

104 Mouse bioassay was performed in Tg338 mice, a transgenic murine model that express
105 in the brain the VRQ allele of the ovine *PRNP* gene at levels 8- to 10-fold higher than
106 those detected in sheep brains [33]. All inocula were prepared manually in sterile saline
107 as 10% CNS homogenates and were cultivated in blood agar plates to test for the
108 absence of bacterial contamination. Intracerebral inoculations were performed under
109 gaseous anesthesia using 50- μ l syringes and 25-gauge hypodermic needles inserted into
110 the right frontal lobe, delivering 20 μ l of the brain homogenate to each animal. To
111 reduce post-inoculation pain, a subcutaneous injection of buprenorphine (0.3 mg/kg)
112 was administered to each mouse before recovery to consciousness.

113 Two groups of 6 mice of 49 and 53 days of age were inoculated using the same Tg338-
114 adapted classical scrapie source. This inoculum was prepared as a pool of cervical
115 spinal cord tissues from clinically-infected Tg338 mice previously inoculated with CNS
116 material from a naturally scrapie-affected ARQ/ARQ sheep. In order to study autophagy
117 at the preclinical and clinical stages of the prion disease, one of these groups
118 (preclinical) was inoculated with a delay of 55 days with respect to the first one
119 (clinical). Two other groups of 6 mice were intracerebrally inoculated at equivalent
120 times with an inoculum obtained from CNS of non-inoculated Tg338 mice. They were
121 selected as age-matched controls for the preclinical and clinical groups of mice. The
122 experimental groups are listed in Supplementary Table 1.

123 After inoculation, the mice were housed in filtered cages and their clinical status was
124 monitored three times a week. Mice of the clinical group were euthanized when
125 progression of the disease was evident upon detection of clinical signs of terminal prion
126 disease. Mice from the preclinical and control groups were euthanized when the last
127 mouse from the clinical group succumbed to the disease. After euthanasia, brains were
128 harvested and divided sagittally. One hemisphere was immediately frozen in dry ice and
129 conserved at -80 °C and the other one was fixed by immersion in 10% formalin for up to
130 48 hours (h). From each mouse a section of mesencephalon (Mes) and a caudal section
131 of the brain, including cervical spinal cord (SC), were preserved in RNAlater for gene
132 expression study.

133 **Neuropathological evaluation**

134 Tg338 brains fixed in formalin were embedded in paraffin wax, cut into 4- μ m-thick
135 sections and mounted on glass slides for morphological evaluation using haematoxylin
136 and eosin (HE) staining. Analysis of PrP^{Sc} deposition was performed using the paraffin-

137 embedded tissue (PET) blot method as previously described [34]. Briefly, paraffin-
138 embedded sections (4 μm) were collected onto nitrocellulose membranes (0.45 μm pore
139 size; Bio-Rad) and digested with 250 $\mu\text{g}/\text{ml}$ of proteinase K for 2 h at 56 $^{\circ}\text{C}$. Membrane-
140 attached proteins were denatured with 3M guanidine thiocyanate (Sigma-Aldrich) and
141 PrP^{Sc} was detected using Sha31 mouse monoclonal antibody (1:8000 dilution for 1 h;
142 SPI-Bio). Sections were then incubated with an alkaline phosphatase-coupled goat anti-
143 mouse antibody (1:500 dilution for 1 h; Dako) and enzymatic activity was visualized
144 using NBT/BCIP chromogen (Sigma-Aldrich).

145 **Immunohistochemical analysis of autophagy markers**

146 Paraffin-embedded CNS tissue sections from Tg338 mice were studied by
147 immunohistochemistry (IHC) to evaluate the brain expression and distribution of LC3-
148 B and p62 autophagy-related proteins. After deparaffination and rehydration, sections
149 were pre-treated by heat-induced epitope retrieval for 20 minutes at 96 $^{\circ}\text{C}$ in a PTLINK
150 (Dako), using Tris EDTA buffer (pH 9.0). Endogenous peroxidase activity was then
151 blocked and samples were incubated for 1 h at room temperature with the following
152 primary antibodies: mouse monoclonal anti MAP-LC3 β (1:200 dilution; G-2, sc-
153 271625; Santa Cruz Biotechnology) and rabbit polyclonal anti p62 (1:200 dilution;
154 PW9860; Enzo Life Sciences). Omission of the primary antibody served as a
155 background control for nonspecific binding of the secondary antibody. Subsequently,
156 the enzyme-conjugated polymer EnVision (Dako EnVision anti-mouse for MAP-LC3 β ;
157 Dako EnVision anti-rabbit for p62) was used as visualization system followed by an
158 incubation with diaminobenzidine chromogen (Dako).

159 **Quantification of gene expression**

160 Five genes, described to be involved in autophagic machinery, were selected for the
161 analysis of their expression profile in mesencephalon (Mes) and cervical spinal cord
162 (SC) of Tg338 mice: *Atg5* (autophagy-related 5), *Lc3*, *Fbxw7* (F-box and WD repeat
163 domain containing 7), *p62* and *Gas5* (growth arrest-specific 5). Supplementary Table 2
164 shows TaqMan assays (Thermo Fisher Scientific) used for the amplification of the
165 genes of interest. Total RNA was isolated from RNAlater-conserved Mes and SC, using
166 the Direct-Zol™ RNA kit (Zymo Research). Retrotranscription was performed from
167 200 ng of total RNA using qScript™ cDNA Supermix (Quanta Biosciences™),
168 according to the manufacturer's instructions. Resulting complementary DNA was
169 diluted 1:5 in water and gene expression was quantified by quantitative real-time PCR
170 using the TaqMan universal PCR master mix assays (Thermo Fisher Scientific) in a
171 StepOne Plus Real-Time PCR instrument (Applied Biosystems). All reactions were run
172 in triplicate and universal amplification conditions were used. Expression levels of
173 autophagy-related genes were normalized with *Sdha* housekeeping gene
174 (Supplementary Table 2).

175 **Data analysis**

176 Histopathological lesions (i.e. spongiform changes), and LC3-B and p62
177 immunolabelling, were evaluated in each brain section under a Zeiss Axioskop 40
178 optical microscope, whereas the intensity and distribution of PrP^{Sc} deposition were
179 analyzed with a Zeiss Stemi DV4 stereomicroscope. A semi-quantitative approach was
180 used to obtain comparable data from the different lesions and autophagy markers in a
181 total of 9 brain regions described by Fraser and Dickinson [35]: frontal cortex (Fc),
182 septal area (Sa), thalamic cortex (Tc), hippocampus (Hc), thalamus (T), hypothalamus
183 (Ht), mesencephalon (Mes), cerebellum (Cbl) and medulla oblongata (Mo). Each area

184 was investigated globally as a region for the scoring, except for Cbl, whose layers
185 [molecular layer (MI), Purkinje layer (PI), granular layer (GI), white matter (Wm) and
186 deep cerebellar nuclei (DCN)] were analyzed separately in order to obtain a more
187 detailed description. Brain regions studied were scored blindly and separately for the
188 degree of spongiosis, PrP^{Sc} deposition and autophagy proteins on a scale ranging from 0
189 (absence of spongiosis or immunolabelling) to 5 (maximum intensity of
190 neuropathological lesions or immunolabelling).

191 Significant differences of spongiform changes, PrP^{Sc} deposition profiles and LC3-B and
192 p62 protein distribution between the experimental mouse groups were evaluated using
193 the non-parametric Mann Whitney U test. Relative gene expression quantification was
194 determined using the $2^{-\Delta\Delta Ct}$ method and results were analyzed with the Student's *t*-test.
195 Previously, normal distribution of data was confirmed using the Shapiro-Wilk test.
196 Correlations between autophagy proteins immunostaining and histopathological lesions
197 were determined using the non-parametric Spearman's rank correlation coefficient (ρ ,
198 ρ). We used IBM® SPSS® statistics 22 software for all data analysis, and GraphPad
199 Prism version 6.0 to perform the graphs. In all tests, the results were considered
200 significant at $P < 0.05$.

201 **RESULTS**

202 **Clinical signs of murine prion disease in Tg338 mice inoculated with ovine scrapie**

203 The mouse-adapted classical scrapie inoculum used in the present study transmitted
204 with 100% attack rate in the group of Tg338 mice intended for autophagy evaluation in
205 the clinical stage of the disease (clinical group). These mice were euthanized with
206 terminal clinical signs compatible with TSE at ~170 days post-inoculation (dpi) and

207 aged ~219 days (Supplementary Table 1). Early clinical signs included mild dorsal
208 kyphosis, tail rigidity and poor hair coat. Subsequently, mice developed clinical signs of
209 terminal disease including severe ataxia, blepharitis, weight loss and hunched stance. In
210 contrast, mice inoculated with a delay of 55 days were euthanized at 136 dpi without
211 clinical signs (preclinical group). Control mice inoculated with healthy Tg338 brain
212 material and euthanized after 136 (age-matched controls for preclinical mice) or 191 dpi
213 (age-matched controls for clinical mice) did not display signs of disease.

214 **Histopathological profiles and PrP^{Sc} deposition patterns in the CNS of scrapie-**
215 **inoculated Tg338 mice are similar to those described in classical scrapie sheep**

216 Clinical Tg338 mice showed a significant increment of spongiform changes in all brain
217 areas evaluated compared to their control group, except for Cbl (Supplementary Fig.
218 1a). In these animals, T, Ht, Mes and Mo displayed the most severe spongiform lesions,
219 while lesions were moderate in Hc and cerebral cortex and minimal in Cbl. Preclinical
220 and control groups displayed minimal spongiform lesion scores. In addition, lesion
221 scores for clinically-affected mice were also significantly higher than those for the
222 preclinical group in T, Ht, Mes and Mo. No significant changes were detected when
223 comparing mice at preclinical stage to their age-matched control group, neither between
224 mock-treated control groups.

225 All Tg338 mice that had developed clinical signs were positive for PrP^{Sc} accumulation
226 in the brain (see representative PET blot in Supplementary Fig. 1b). In this group of
227 animals, PrP^{Sc} deposition was especially remarkable in Mes, Ht and Mo. However, Mo
228 showed large variability in PrP^{Sc} deposition intensity between clinical animals, leading
229 to a high deviation in the semi-quantitative scoring. No PrP^{Sc} deposition was detected in
230 mice at preclinical stage.

231 **LC3-B protein is downregulated and p62 is upregulated in some highly-affected**
232 **brain areas of scrapie-infected Tg338 mice at clinical stage**

233 Immunohistochemical determination of LC3-B and p62 in Tg338 mice revealed
234 differential regulation of autophagic activity in those inoculated with ovine scrapie.
235 Infected Tg338 mice at clinical stage obtained the lowest scores for LC3-B in all CNS
236 areas analyzed (Fig. 1a), this downregulation being statistically significant in T (P =
237 0.047), Ht (P = 0.028), Mes (P = 0.028), PI (P = 0.015) and Mo (P = 0.023) compared to
238 their controls (Fig. 1b). Moreover, the three first aforementioned areas and DCN also
239 showed a significant decrease (P = 0.038 for T, 0.033 for Ht, 0.023 for Mes and 0.028
240 for DCN, respectively) in clinical animals with respect to scrapie inoculated mice at
241 preclinical stage of the disease. Preclinical scrapie mice displayed similar LC3-B scores
242 than their age-matched controls (Supplementary Fig. 2a). In preclinical mice and the
243 clinical control group, LC3-B immunohistochemical pattern was characterized by an
244 intense and uniform intraneuronal immunolabelling affecting numerous cells, and by an
245 abundant punctiform diffuse staining in the neuropil (Fig. 2). However, the clinical mice
246 displayed a lower number of immunopositive cells and a slight neuropil
247 immunolabelling.

248 In contrast to LC3-B, p62 immunostaining was clearly stronger in brains from
249 clinically-affected Tg338 mice (Fig. 1c). These animals seemed to accumulate the
250 protein in all CNS areas analyzed, the increment being statistically significant in Ht (P =
251 0.019), MI (P = 0.019) and PI (P = 0.038) when comparing to the preclinical mice, and
252 in Ht (P = 0.045) when comparing to their control group (Fig. 1d). In addition, a
253 tendency to upregulation was detected in Tc (P = 0.088), PI (P = 0.095) and Wm (P =
254 0.077) in clinical mice with respect to the control group. Similar to LC3-B, no

255 significant differences were detected between preclinical mice and their control group
256 (Supplementary Fig. 2b), neither between control groups. Both neuronal and glial cells
257 displayed a strong intracellular p62 immunolabelling in the clinical scrapie group,
258 which also presented a remarkable granular staining of the neuropil (Fig. 3). Although
259 presenting the same pattern, both the number of stained cells and the intensity of
260 immunolabelling were lower in the preclinical and the control group.

261 **Dysregulation of LC3-B and p62 is associated to prion-related neuropathology**

262 To identify the relationship between scrapie-related lesions and autophagy, Spearman ρ
263 correlation was calculated between immunohistochemical and histopathological scores.
264 Table 1 shows correlation values and their statistical significance. Surprisingly,
265 although LC3-B expression decreased in clinical mice, LC3-B immunostaining scores
266 displayed a slight but statistically significant positive correlation with spongiform
267 lesions in the total set of animals ($\rho = 0.242$, $P < 0.001$). However, this correlation
268 seemed to be related with the preclinical stage of the disease where correlations were
269 significant in both the total set ($\rho = 0.462$, $P < 0.001$) and only when the scrapie
270 inoculated animals were analyzed ($\rho = 0.426$, $P = 0.003$). There was no correlation
271 between the scrapie lesions and LC3-B in the clinical stage of the disease. In contrast,
272 p62 expression was strong and positively correlated with spongiform lesions in all
273 groups of individuals ($\rho > 0.68$, $P < 0.001$ for all cases). This correlation suggests a
274 relationship between the accumulation of p62 and the course of the disease and degree
275 of spongiform lesion. Intensity of prion deposition determined by PET blot negatively
276 correlated with LC3-B immunostaining in the total set of scrapie inoculated animals
277 ($\rho = -0.473$, $P < 0.001$) but not when the preclinical or clinical groups were separated.
278 On the contrary, p62 immunostaining positively correlated with prion deposition in the

279 total set of scrapie animals ($\rho = 0.300$, $P = 0.009$) but not in the individual groups.
280 These correlations confirm the decrease of LC3-B and increase of p62 in clinical
281 animals but the observed distribution patterns do not seem to be related with the degree
282 of PrP^{Sc} deposition.

283 **mRNA expression of *Gas5*, *Atg5* and *Fbxw7* is downregulated in the CNS of**
284 **scrapie-infected Tg338 mice at clinical stage**

285 Murine models may provide valuable tools for analyzing the molecular mechanisms
286 underlying the pathological processes in TSEs. In this work, transcripts from a selected
287 group of genes encoding factors involved in autophagy were quantified in Mes and SC
288 of the four Tg338 mouse groups. Mes is one of the most prion-affected brain areas in
289 this murine model (Supplementary Fig. 1) and SC is adjacent to the medulla oblongata
290 and therefore they share similar levels of scrapie neuropathology in sheep [36]. As
291 shown in Fig. 4a, *Gas5* displayed a significant downregulation ($P = 0.03$) in Mes of the
292 clinical mice. In addition, a significant decrease of the expression of *Atg5* ($P = 0.04$) and
293 *Fbxw7* ($P = 0.03$) was detected in SC of the same group (Fig. 4b). Significant changes
294 were not detected at preclinical stage of the disease.

295 **DISCUSSION**

296 Prion diseases, progressive and fatal neurodegenerative disorders of humans and
297 animals, are characterized by the accumulation of misfolded and aggregated PrP^{Sc} in the
298 CNS, resulting in spongiform degeneration. Although autophagic vacuoles appear in
299 several models of TSEs [26-30], little is known about how this process is involved
300 during the course of the disease. Consequently, the relationship between autophagy and
301 prion-related pathology is still open to debate. In a previous work, we investigated the

302 dynamics of autophagy in the CNS of sheep naturally affected with classical scrapie
303 [31]. In this natural model, we described a possible impairment of autophagy in some of
304 the most highly-affected brain regions, whereas mechanisms compatible with induction
305 of autophagy were observed in less lesioned areas, suggesting a combination of anti-
306 prion and prion-promoting effects throughout the brain during the clinical stage of the
307 disease. The impairment of autophagy in highly prion-affected brain areas was
308 subsequently confirmed in sheep experimentally infected with atypical scrapie [32].
309 Murine models are widely used to perform *in vivo* assays and analyze the molecular
310 mechanisms of the disease at different stages of the pathological process. In the present
311 work, using a combination of immunohistochemical staining and real-time PCR
312 quantification of markers involved in autophagy, we further investigated the role of
313 autophagy in the Tg338 mouse model that overexpresses the most sensitive allele
314 (VRQ) to classical scrapie infection of the ovine *PRNP* gene [33], in order to evaluate
315 its potential as a model for the natural TSE.

316 We first confirmed that clinical Tg338 mice display consistent similarities in
317 neuropathological lesion profiles and PrP^{Sc} deposition patterns in PET blots to those
318 observed in classical scrapie sheep [31]. The scrapie-inoculated Tg338 transgenic mice
319 showed a minimal effect on Cbl and highest in T and brainstem. The presence of some
320 spongiform lesions in the preclinical and control Tg338 mice could be related to the
321 transgenic overexpression of PrP^c, as also described by Westaway *et al.* [37].

322 As comparative analysis about LC3-B and p62 accumulation is necessary for the
323 evaluation of autophagic activity [38, 39], we then investigated the dynamics of
324 autophagy during prion pathogenesis in scrapie-infected Tg338 mice by estimating the
325 expression levels of these proteins by IHC. Immunostaining assays are equally efficient

326 as Western blots to observe even slight changes of p62 aggregation and, in addition,
327 they can also provide further information, such as the number, size and intracellular
328 distribution of the aggregates [40].

329 The protein p62 is a common constituent of neuronal and glial inclusions in various
330 neurodegenerative diseases, such as AD and PD [41-43]. Furthermore, it is induced as a
331 response to the expression of mutant huntingtin [44]. Our study did not reveal
332 differential p62 regulation at the transcript level but the amount of this protein increased
333 significantly in Ht and some cerebellar layers of scrapie-infected Tg338 mice at clinical
334 stage. These animals also displayed p62 immunolabelling in glial cells. In agreement
335 with our results, levels of p62 protein increase in prion-infected cell cultures and in
336 brains of scrapie 263K-infected hamsters and scrapie 22L-infected mice [45]. In the
337 latter study, LC3-II protein was also upregulated in 22L-inoculated mice, suggesting
338 that activation of p62 could promote the clearance of PrP^{Sc} through the involvement of
339 autophagic degradation. In contrast, scrapie-infected mice at clinical stage in our study
340 displayed a significant downregulation of LC3-B at the protein level in the most prion-
341 affected areas (i.e. T, Ht, Mes and Mo) and in some cerebellar layers, such as Pl and
342 DCN. The interpretation of the results based on the detection of autophagy markers is
343 not straightforward. Whereas some studies relate the inhibition of autophagy with an
344 increase of LC3-II levels [46], many others consider that the increment of LC3-II
345 reflects an induction of autophagy [45, 47, 48]. This increment is accompanied by a
346 downregulation of p62 in the terminal stage of 263K-infected hamsters and in human
347 genetic prion diseases [48] and an upregulation of p62 in neuron cells treated with
348 amino-terminally truncated prion protein (PrP90-231) [47]. However, the latter study
349 suggested that PrP peptide stimulates autophagic flux but leads progressively to the
350 accumulation of autophagolysosomes with impaired resolution ability, because it is

351 widely accepted that autophagic flux inhibition leads to p62 accumulation [49].
352 Consequently, the increment of p62 and the downregulation of LC3-B in our
353 experimental model does not seem to reflect an enhancement of autophagic activity but
354 an impairment of it, which would promote the progression of the disease during the
355 terminal stages.

356 Our molecular results regarding the expression of autophagy-related genes are also in
357 agreement with the possible inhibition of the autophagy pathway. The rationale for
358 selecting the genes for the expression studies was that they are positive regulators of
359 autophagy through their action on formation of autophagic vesicles directly (*Atg5*) [50],
360 indirectly through microRNA sponging (*Gas5*) [51], or through inhibition of mTOR
361 complex (*Fbxw7*) [52]. Here, we have described a downregulation of *Atg5* in SC of
362 clinical-stage Tg338 mice, but not in the preclinical group. Neuron deletion of *Atg5* in
363 mice causes accumulation of ubiquitinated proteins, damaged organelles and autophagy-
364 specific substrates such as p62 [53]. In addition, downregulation of mRNA levels of
365 *beclin-1* and *Atg5* in brains of scrapie-infected wild-type mice has been associated with
366 an impairment of autophagy [17]. Our results also mimics the negative regulation of
367 *ATG5* in T observed in clinically scrapie-infected sheep [31].

368 In the same animals and tissue, also *Fbxw7* mRNA was downregulated. Knockdown of
369 *Fbxw7* inhibits autophagic flux and increases PrP^{Sc} accumulation in SMB-S15 cells
370 infected with brains of scrapie-agent 263K [52]. Moreover, the expression of the long
371 non-coding RNA *Gas5* decreased in mesencephalon of scrapie-infected mice at clinical
372 stage. Alterations in the expression of *Gas5* have been reported in a range of animal
373 pathological models [54] but it has not been investigated in prion diseases before.
374 Depletion of *Gas5* results in decreased autophagy in NSCLC cells [55] and, in epithelial

375 cells, knockdown of *Gas5* suppresses the expression of LC3-II, ATG3 and ATG5-
376 ATG12 complex formation, whereas p62 levels are promoted [56]. Although further
377 studies are needed to completely define the role of some of these regulatory factors in
378 the specific conditions of prion infection, the above-mentioned findings on autophagy
379 genes together with the decrease of LC3-B protein and the accumulation of p62 in the
380 clinical mice group suggest that autophagic machinery is impaired during the late stage
381 of prion infection.

382 To conclude, the specific role of autophagy in prion diseases is still controversial.
383 However, there is good evidence that autophagic process decreases in misfolded
384 protein-mediated neurodegenerative diseases like Alzheimer's [57] or Huntington's [58]
385 diseases. The present data suggest that autophagy is part of the pathological process and
386 that modulation of autophagic capacity could play an essential role in the pathogenesis
387 of prion diseases. The negative regulation of autophagy-related genes and the
388 upregulation and downregulation of p62 and LC3-B proteins, respectively, observed in
389 clinical scrapie-infected mice, but not in the preclinical group, likely reflect an
390 impairment of the autophagic pathway that seems to take place at the last stage of the
391 disease. Moreover, the absence of changes in autophagy regulation at preclinical stage
392 suggests the impairment of autophagy at clinical stage is not the result of depletion or
393 exhaustion of the autophagic machinery as suggested by our previous results in natural
394 scrapie, where changes compatible with autophagy induction were observed in less
395 affected CNS areas [31]. These discrepancies with the natural model may be related to
396 the more aggressive disease development in the experimental transgenic model used
397 and, therefore, the findings described here may not fully reflect the mechanisms
398 underlying the natural disease. Although further studies involving late preclinical
399 animals, different strains and experimental models are needed to clarify these

400 discrepancies, the alteration of autophagy during the clinical stage of the disease and the
401 resemblance of prion neuropathology between both models demonstrate the suitability
402 of Tg338 murine model to study the implication of autophagy in prion diseases.

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411 **AUTHOR CONTRIBUTIONS**

412 OLP carried out most of the experiments described in the manuscript and wrote the
413 article along with IMB; JMT participated in designing gene expression analysis,
414 drafting the article and revising it critically; AO and LS participated in specific
415 experiments; PZ, JJB and RO participated in the analysis and interpretation of data; RB
416 and IMB conceived the study, its design and coordination. All authors read and
417 approved the final manuscript.

418 **ETHICS STATEMENT**

419 All experimental procedures performed in animals were approved by the Ethics
420 Committee for Animal Experiments of the University of Zaragoza (Permit Number:
421 PI40/15) and were carried out in compliance with the recommendations for the care and

422 use of experimental animals established by Spanish law (R.D. 53/2013) and European
423 Directive 2010/63/UE.

424 **CONFLICT OF INTEREST**

425 The authors declare that they have no conflict of interest.

426

427 Supplementary information is available at *Laboratory Investigation's* website.

428

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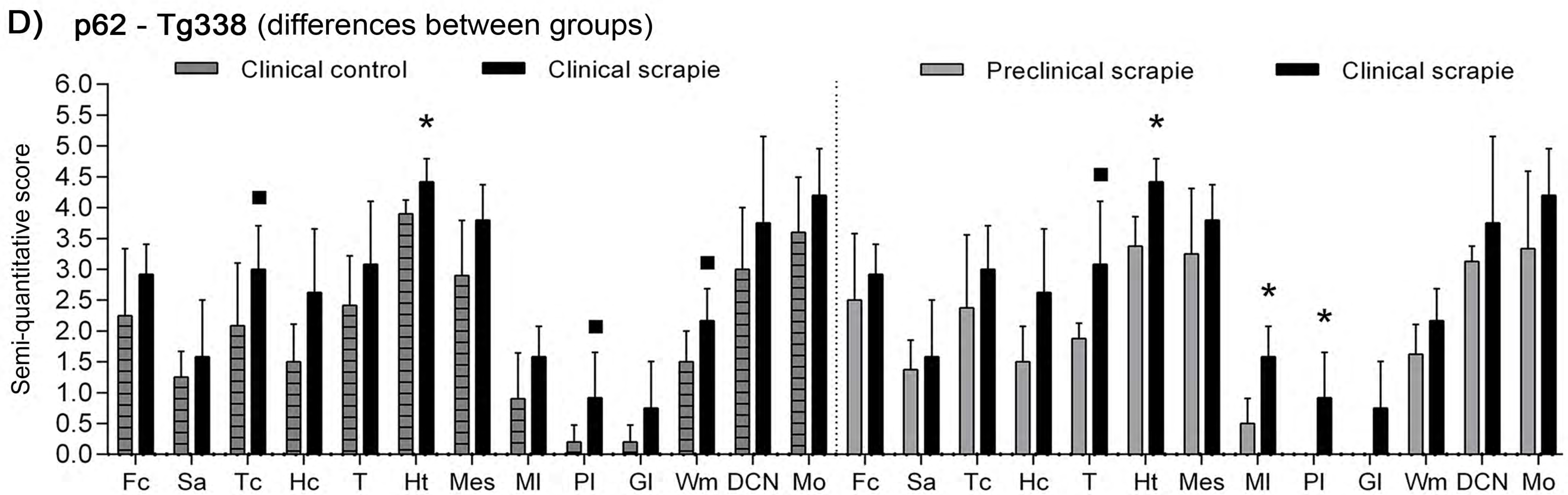
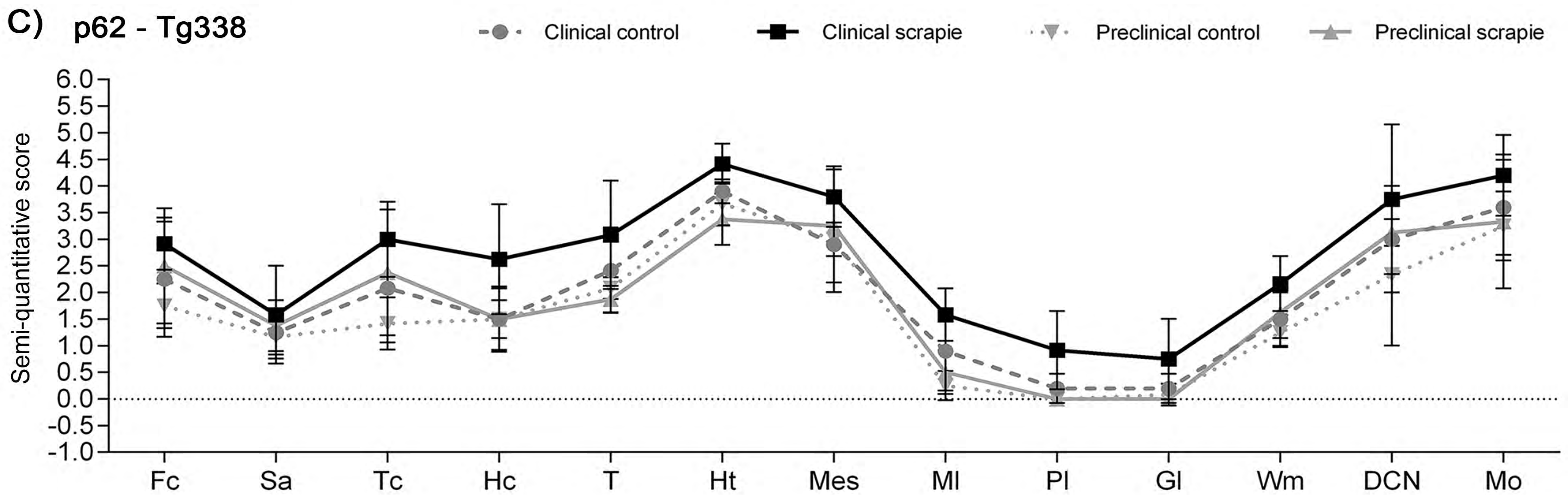
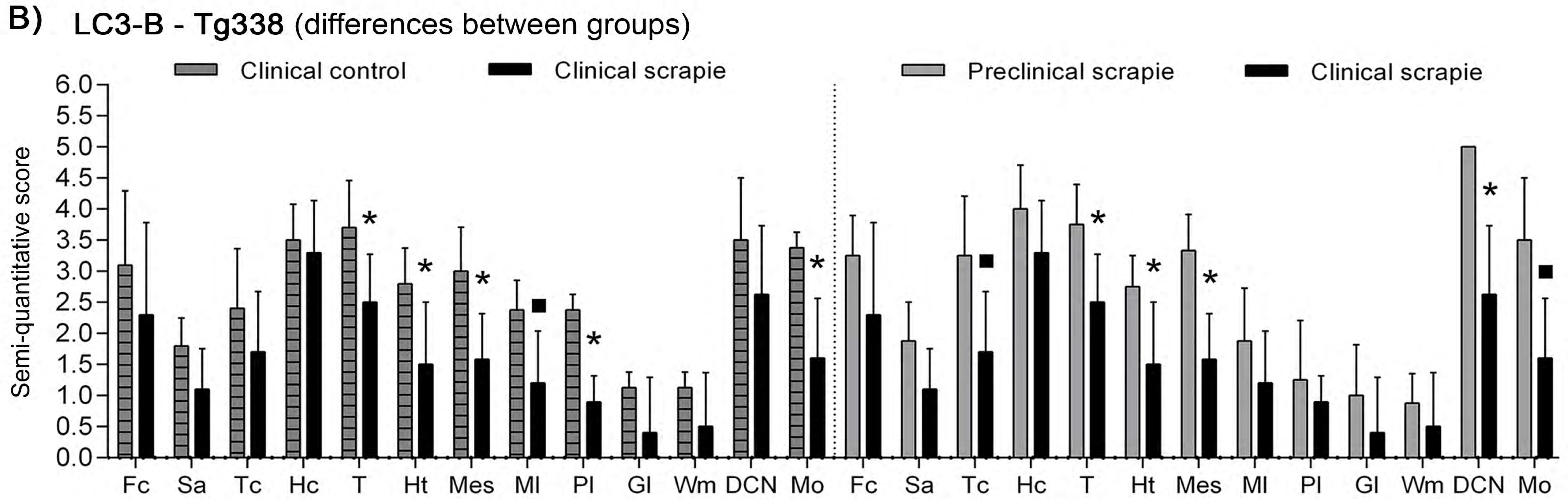
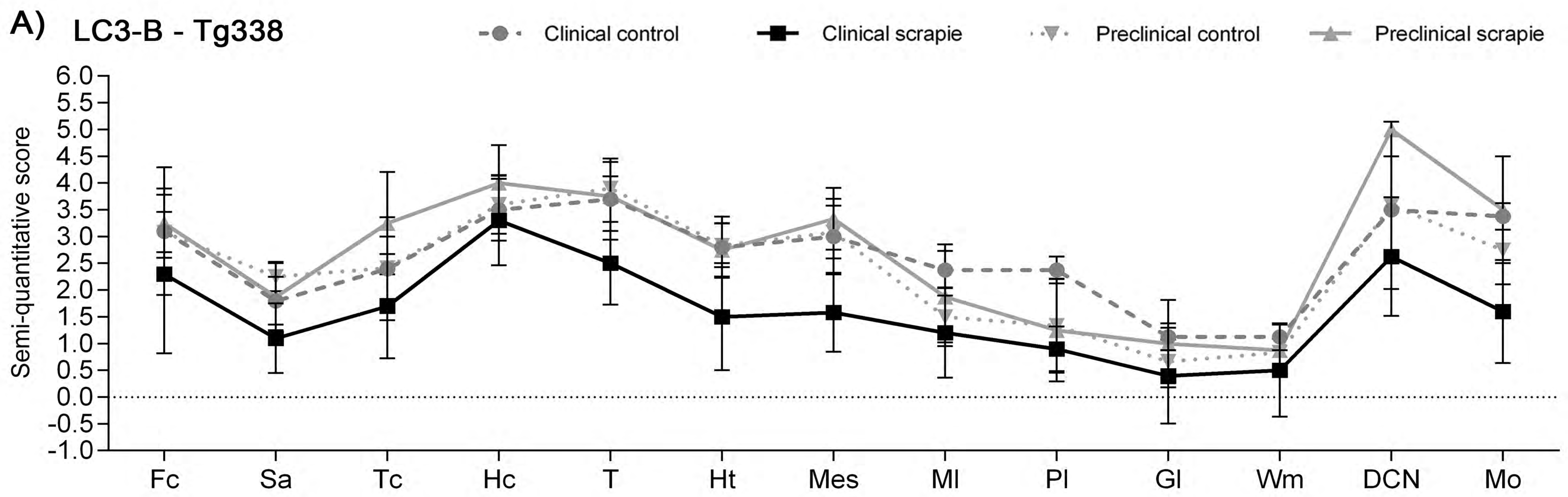
638 **FIGURE LEGENDS**

639 **Figure 1: Semi-quantitative scoring of LC3-B and p62 in the CNS of Tg338**
640 **scrapie-infected mice.** Figure shows (a and c) global graphs and (b and d) comparative
641 graphs of clinical group (black bars) with preclinical (grey bars) and control group (grey
642 striped bars). Comparative graphs between preclinical and their control group, and
643 between control groups, are not shown because no significant differences were detected.
644 Score values (from 0: negative, to 5: staining present at its maximum intensity)
645 evaluated in frontal cortex (Fc), septal area (Sa), thalamic cortex (Tc), hippocampus
646 (Hc), thalamus (T), hypothalamus (Ht), mesencephalon (Mes), cerebellum [which
647 includes molecular layer (Ml), Purkinje layer (Pl), granular layer (Gl), white matter
648 (Wm) and deep cerebellar nuclei (DCN)] and medulla oblongata (Mo). The differences
649 between the experimental groups were determined using the Mann Whitney U test ($\bullet P <$
650 0.1 and $*P < 0.05$).

651 **Figure 2: Immunostaining patterns of LC3-B in different CNS areas of Tg338**
652 **scrapie-infected mice.** Figure shows representative images of LC3-B immunostaining
653 in the clinical (middle), preclinical (right) and control group (left) ($50\ \mu\text{m}$). Clinical
654 scrapie-infected mice displayed a lower number of immunopositive cells and a slight
655 neuropil immunolabelling in thalamus, hypothalamus and mesencephalon compared to
656 the preclinical and control groups. In cerebellum, significant downregulation of LC3-B
657 was observed in Purkinje layer in clinical mice when comparing with their control
658 group, and in the deep cerebellar nuclei when comparing to the preclinical group. In
659 medulla oblongata LC3-B was significantly decreased in clinical mice compared to their
660 control group, but also a tendency to downregulation was observed with respect to the
661 preclinical scrapie mice. Notice the abundant punctiform staining in the neuropil in deep
662 cerebellar nuclei and medulla oblongata (arrowheads).

663 **Figure 3: Immunostaining patterns of p62 in different CNS areas of Tg338 scrapie-**
664 **infected mice.** Figure shows representative images of p62 immunostaining in the
665 clinical (middle), preclinical (right) and control group (left) (50 μ m). p62
666 immunostaining was significantly stronger in hypothalamus in clinical mice compared
667 to the preclinical and control groups, and in molecular layer and Purkinje layer of
668 cerebellum compared to the preclinical group. Notice the glial cell immunolabelling
669 (arrowheads and detail).

670 **Figure 4: Gene expression profiles of *Atg5*, *Lc3*, *Fbxw7*, *p62* and *Gas5* in Tg338**
671 **scrapie-infected mice.** Graphics show mRNA expression profiles in (a) mesencephalon
672 and (b) cervical spinal cord, in preclinical (left, grey bars) and clinical (right, black
673 bars) Tg338 scrapie-infected mice. Relative expression levels are expressed as mean \pm
674 standard deviation (SD). Results were normalized using the expression of *Sdha*
675 housekeeping gene. The expression values were determined using the $2^{-\Delta\Delta Ct}$ method and
676 results were analyzed with the Student's *t*-test (* $P < 0.05$).

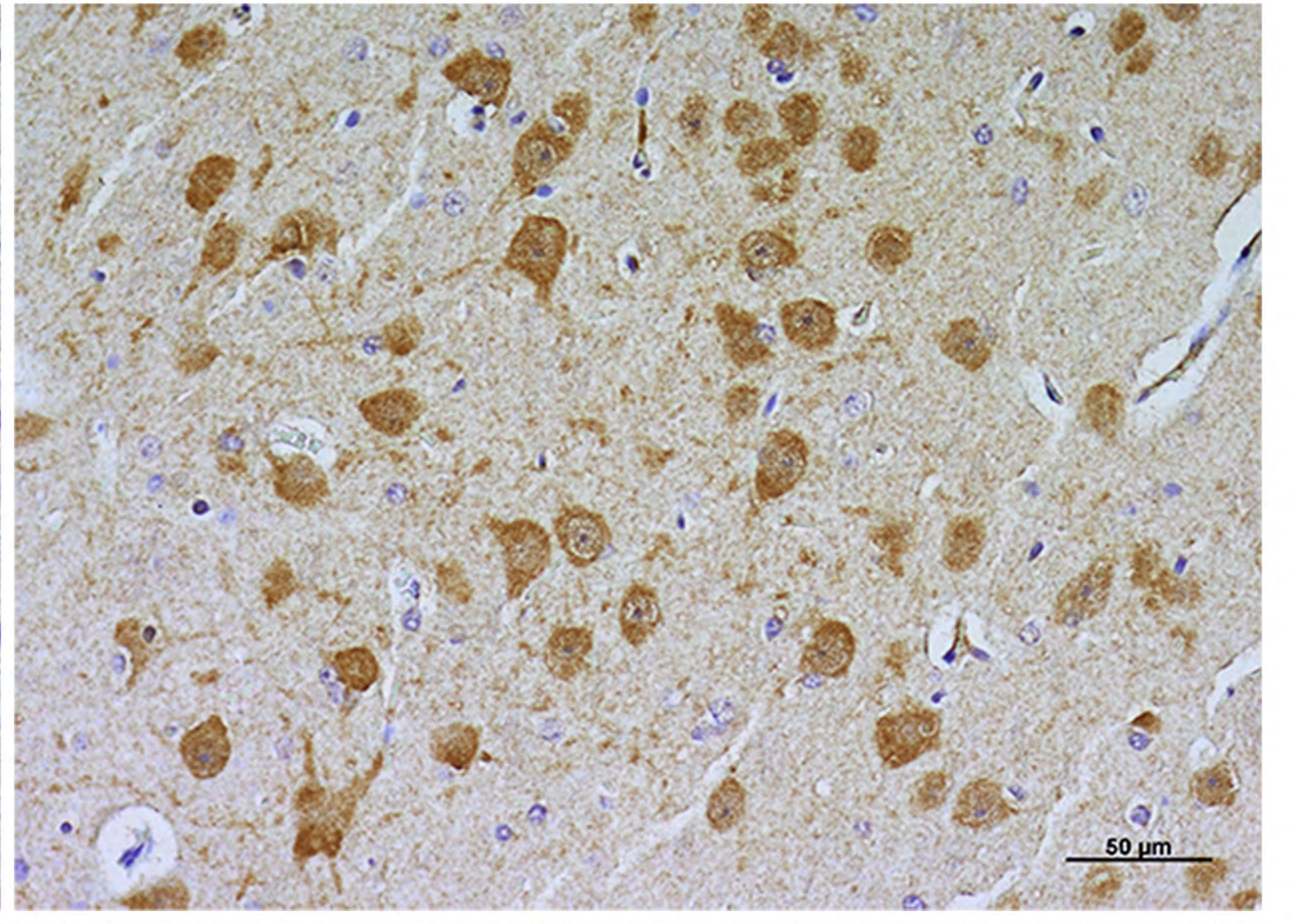
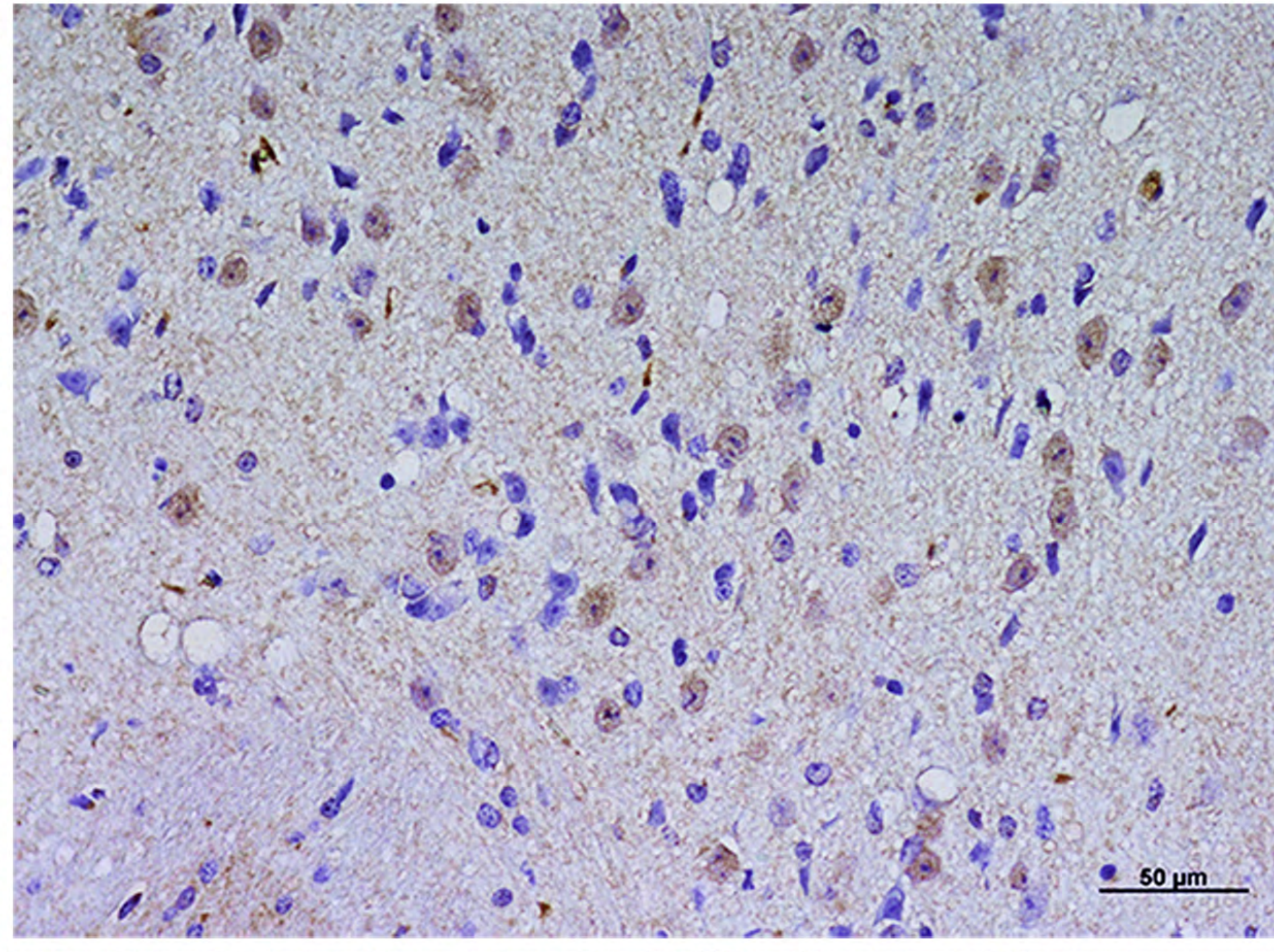
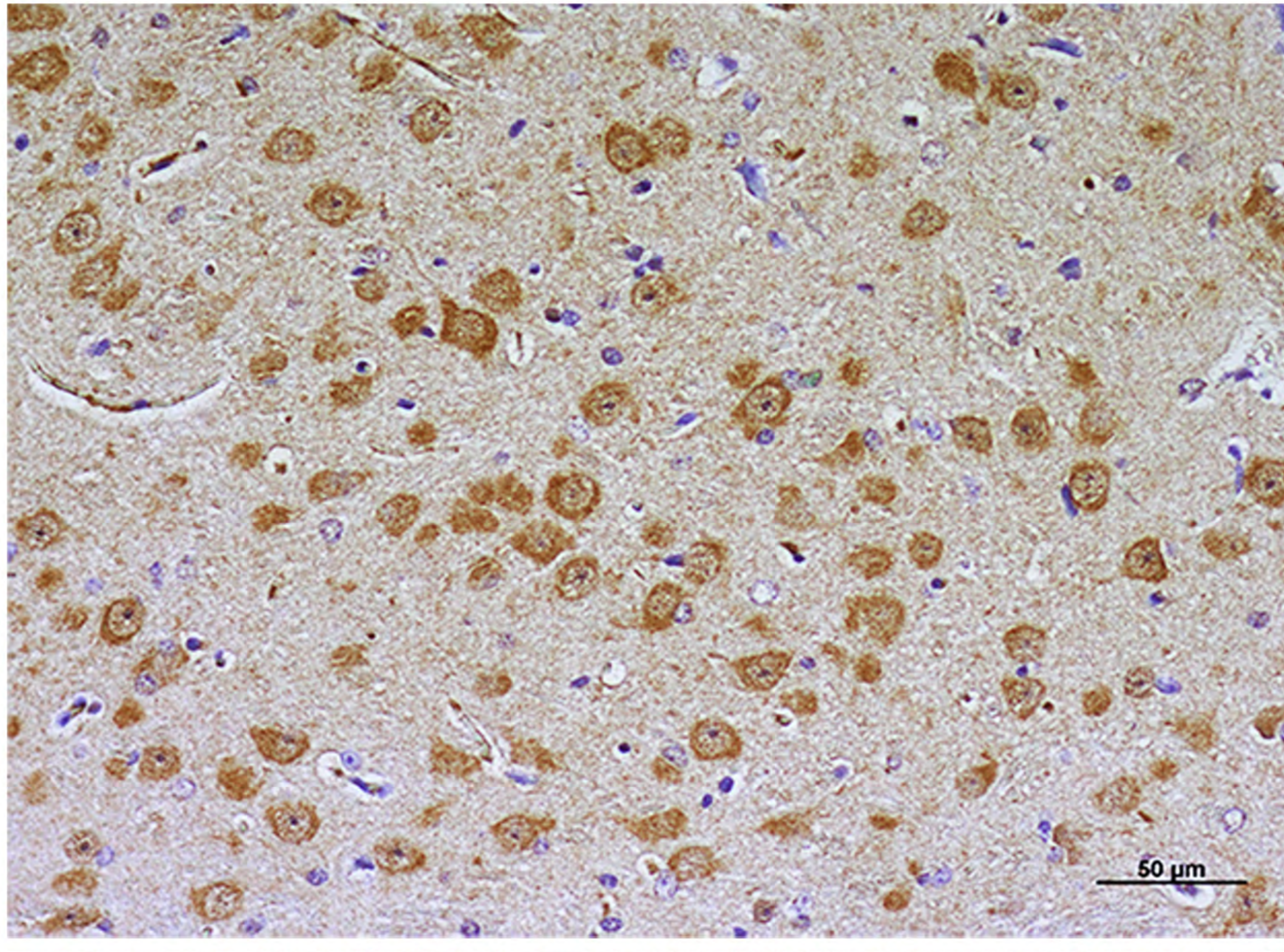


Clinical control

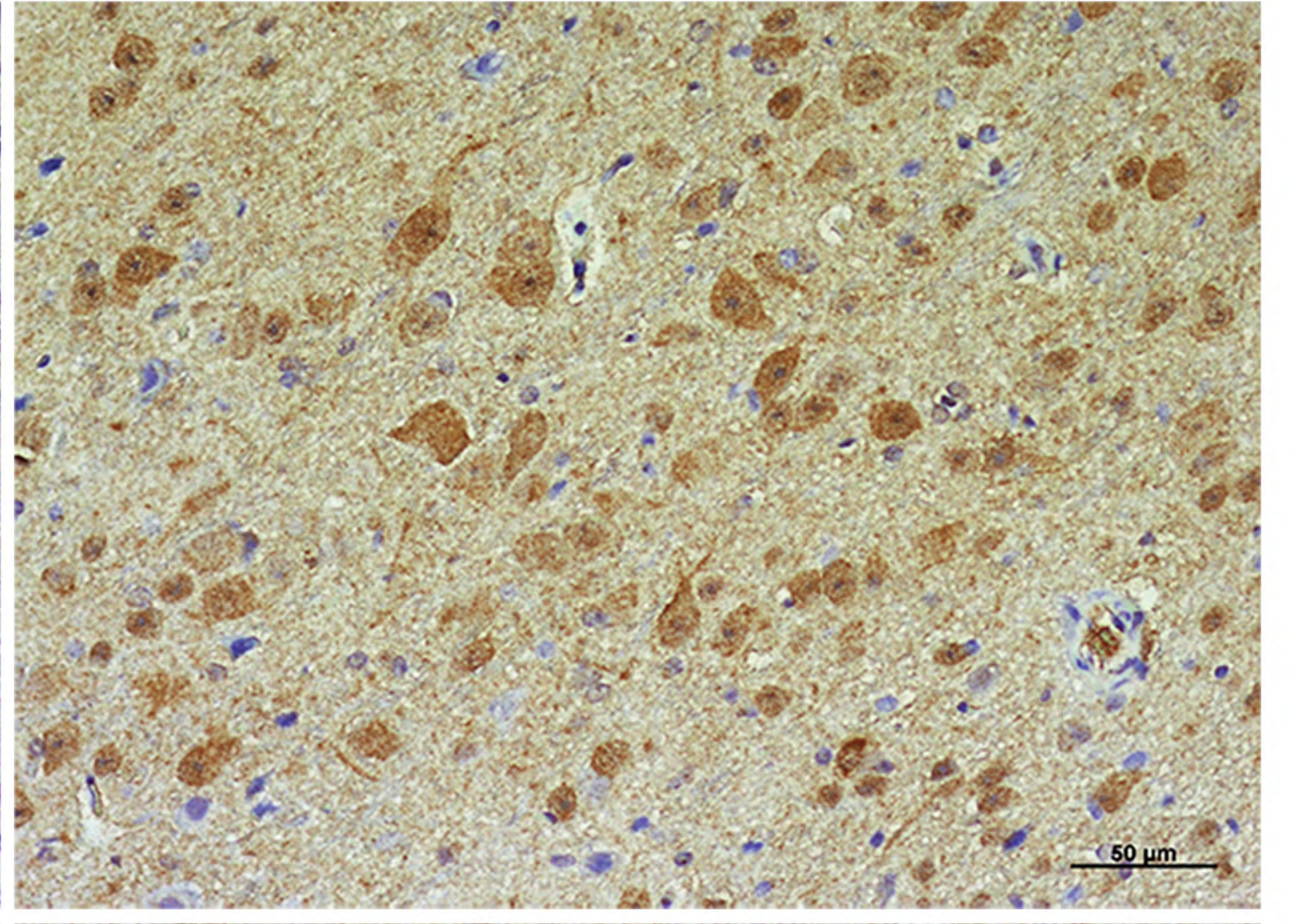
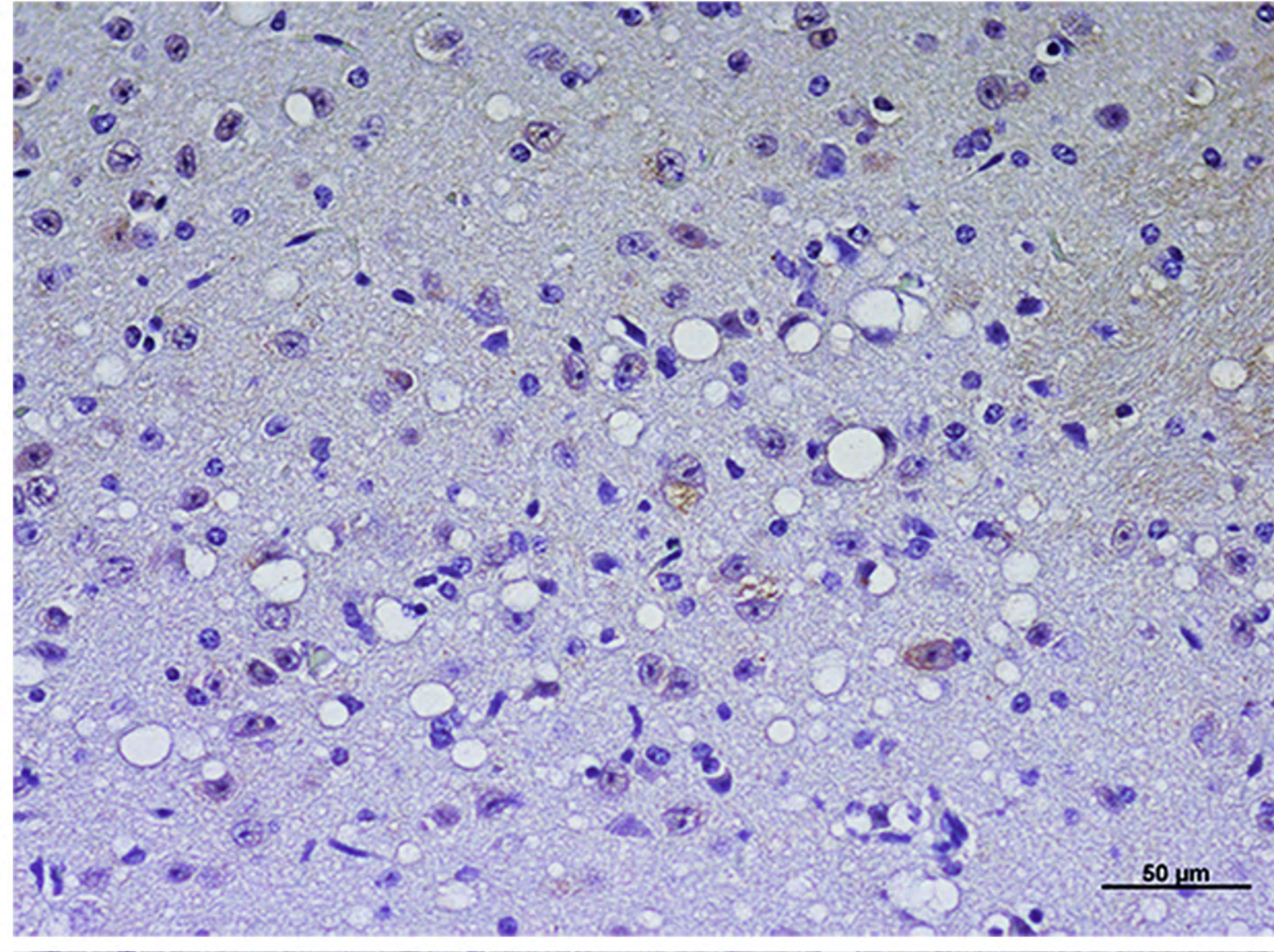
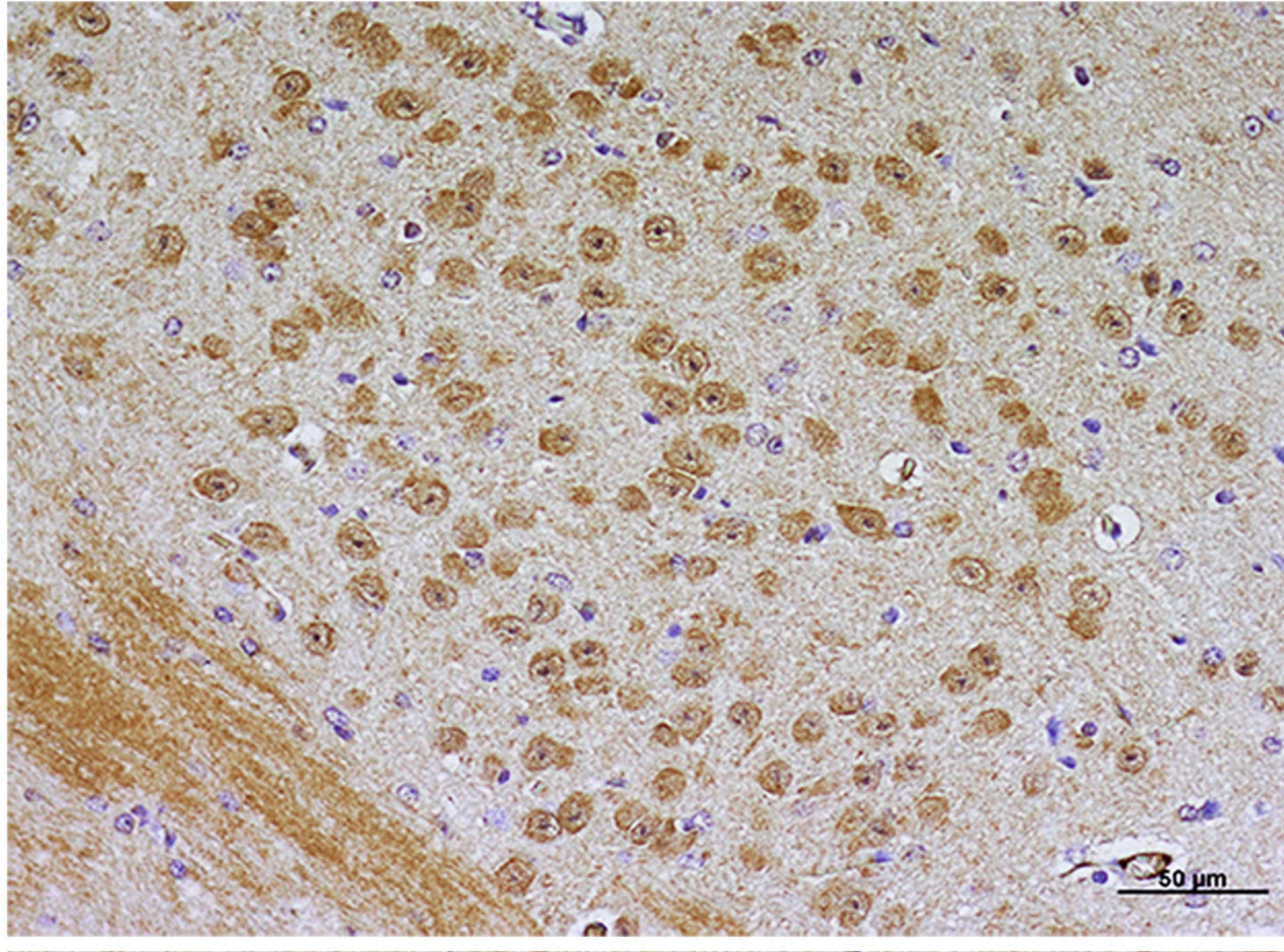
Clinical scrapie

Preclinical scrapie

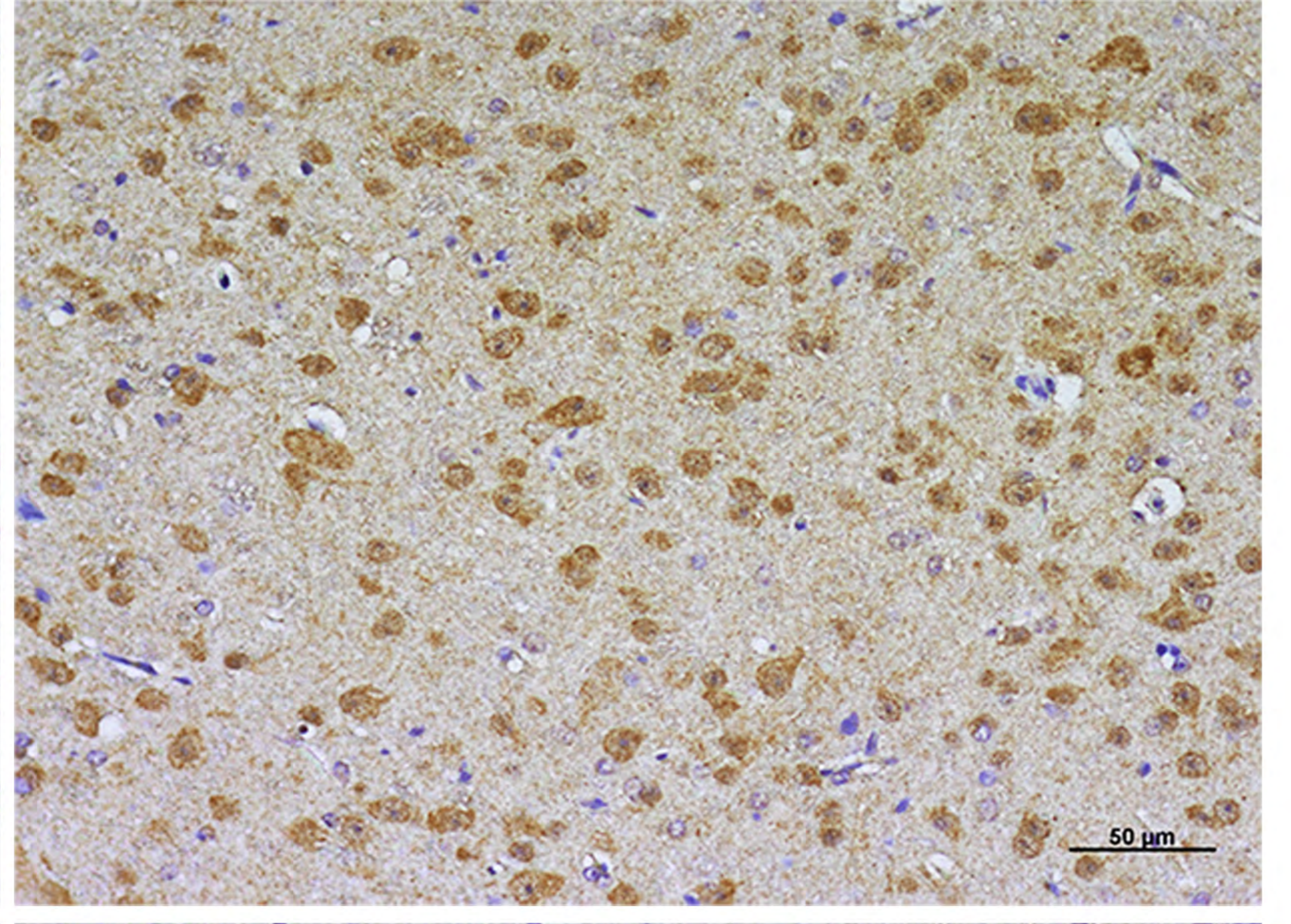
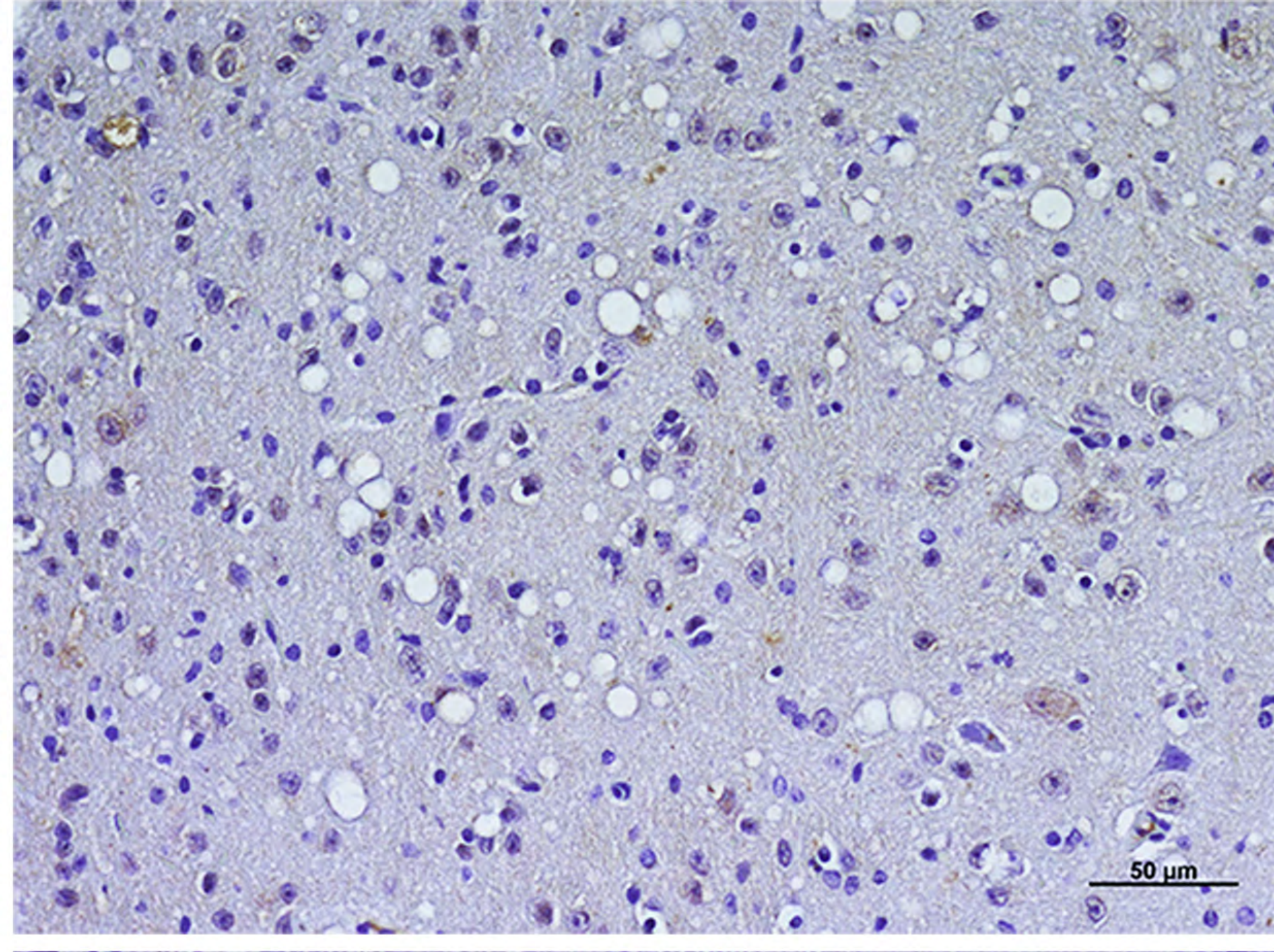
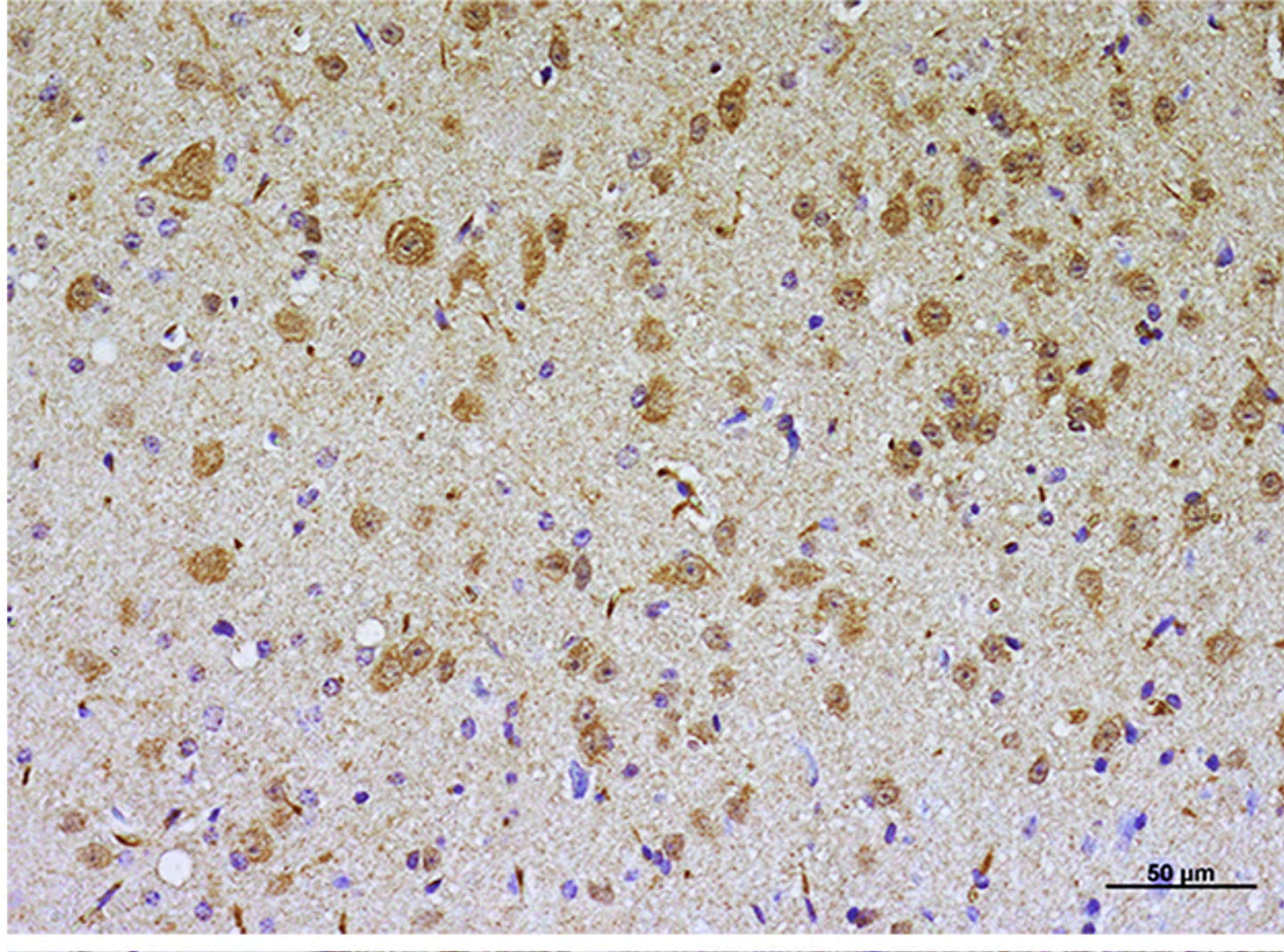
Thalamus



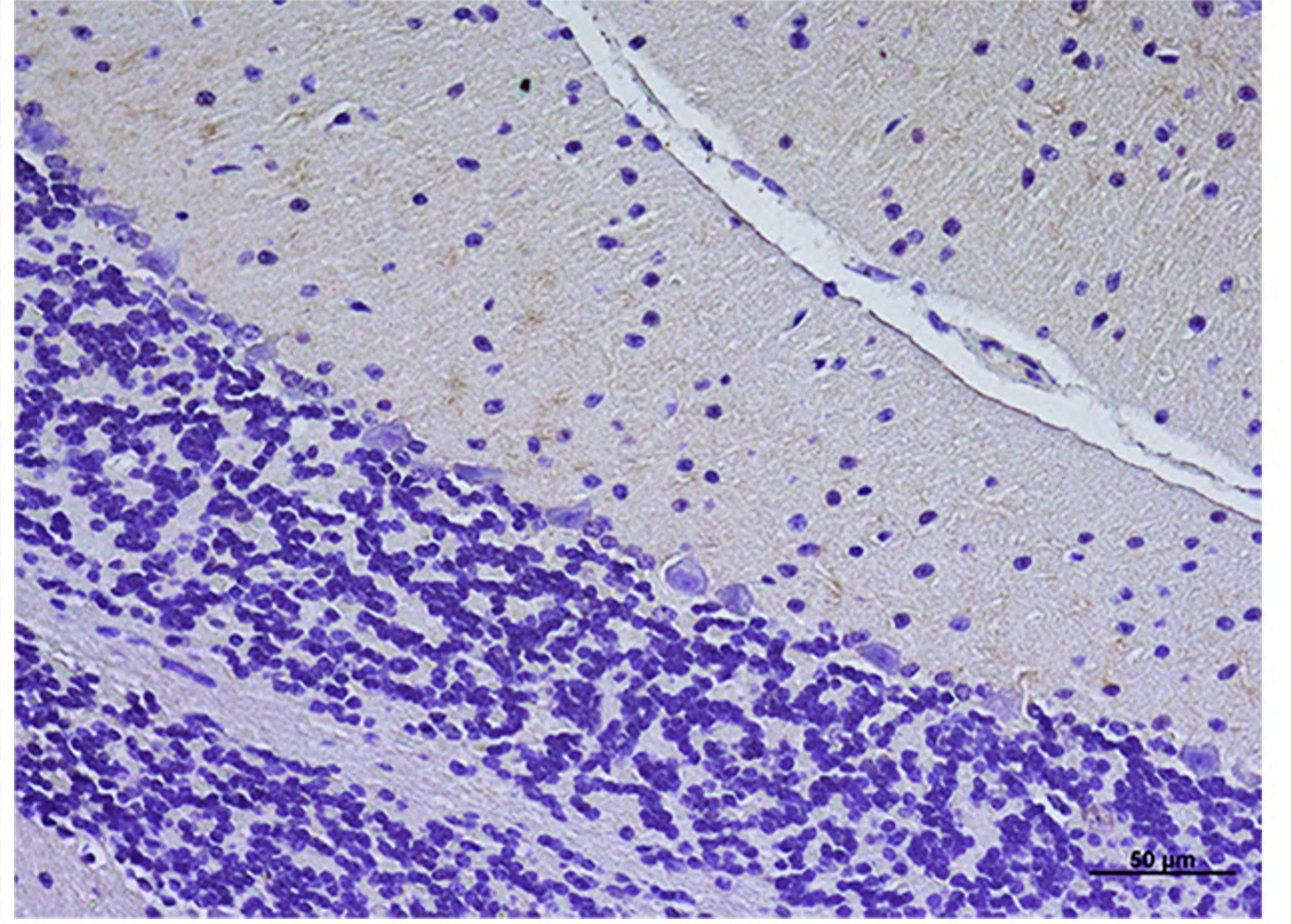
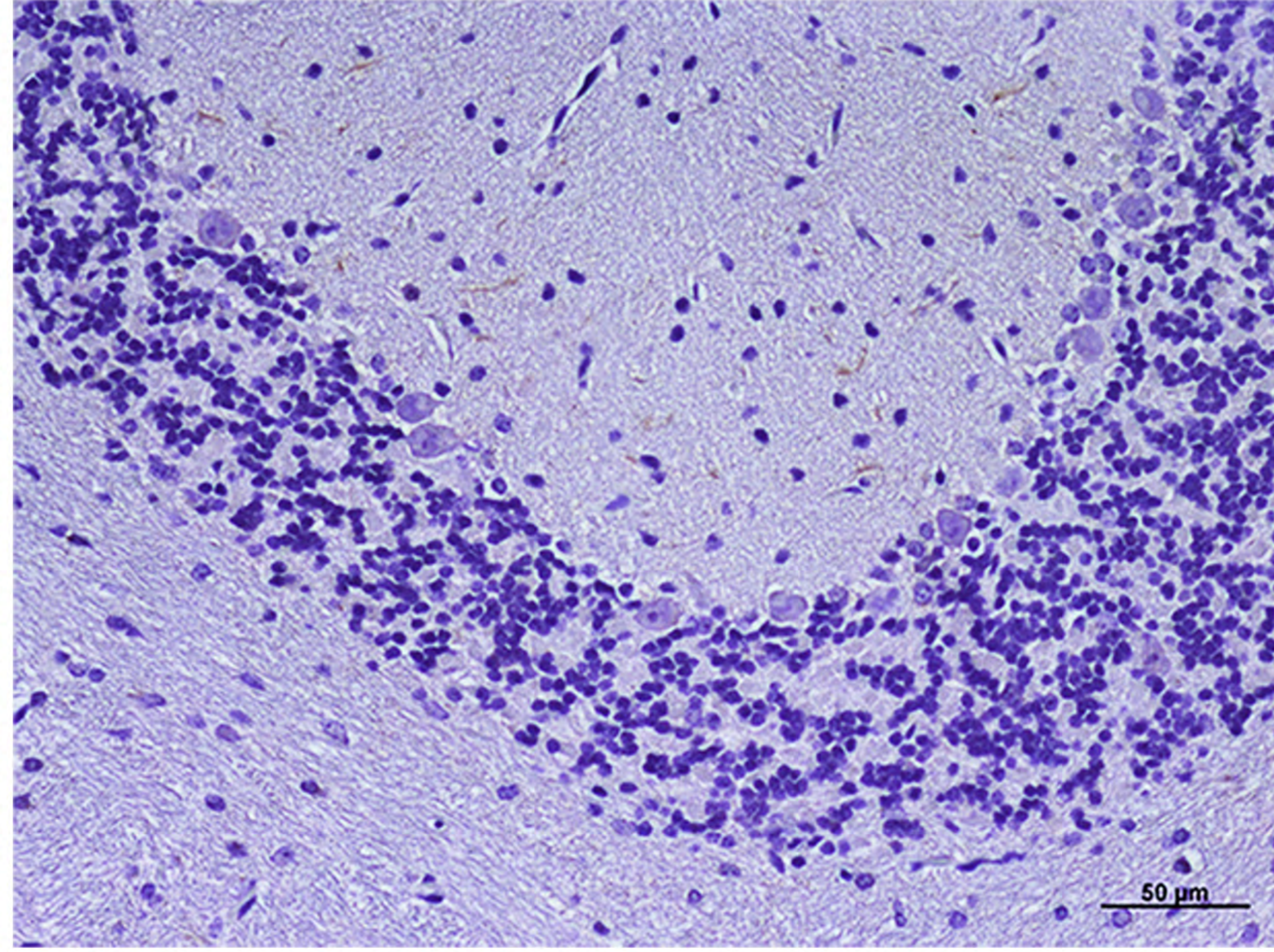
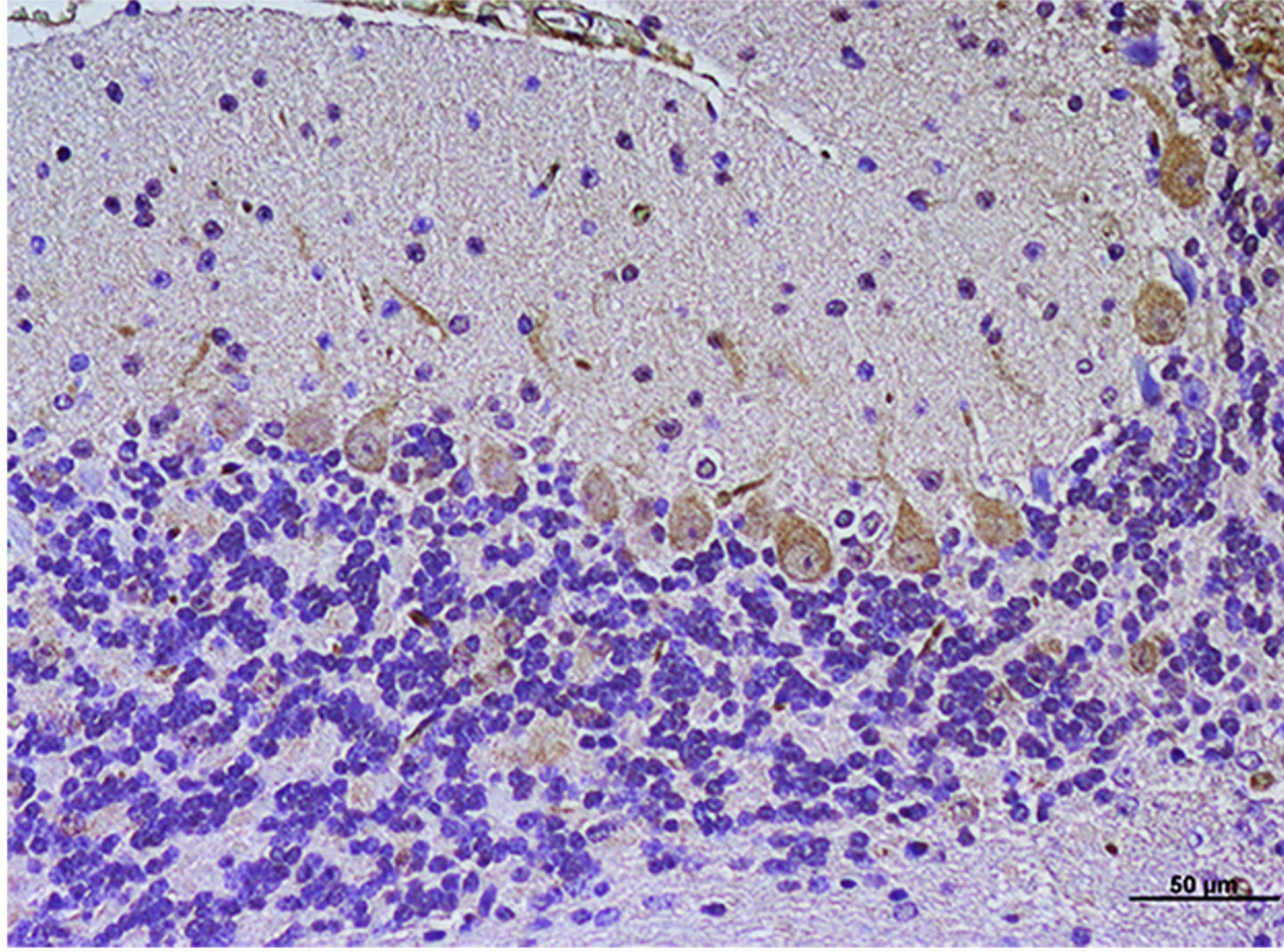
Hypothalamus



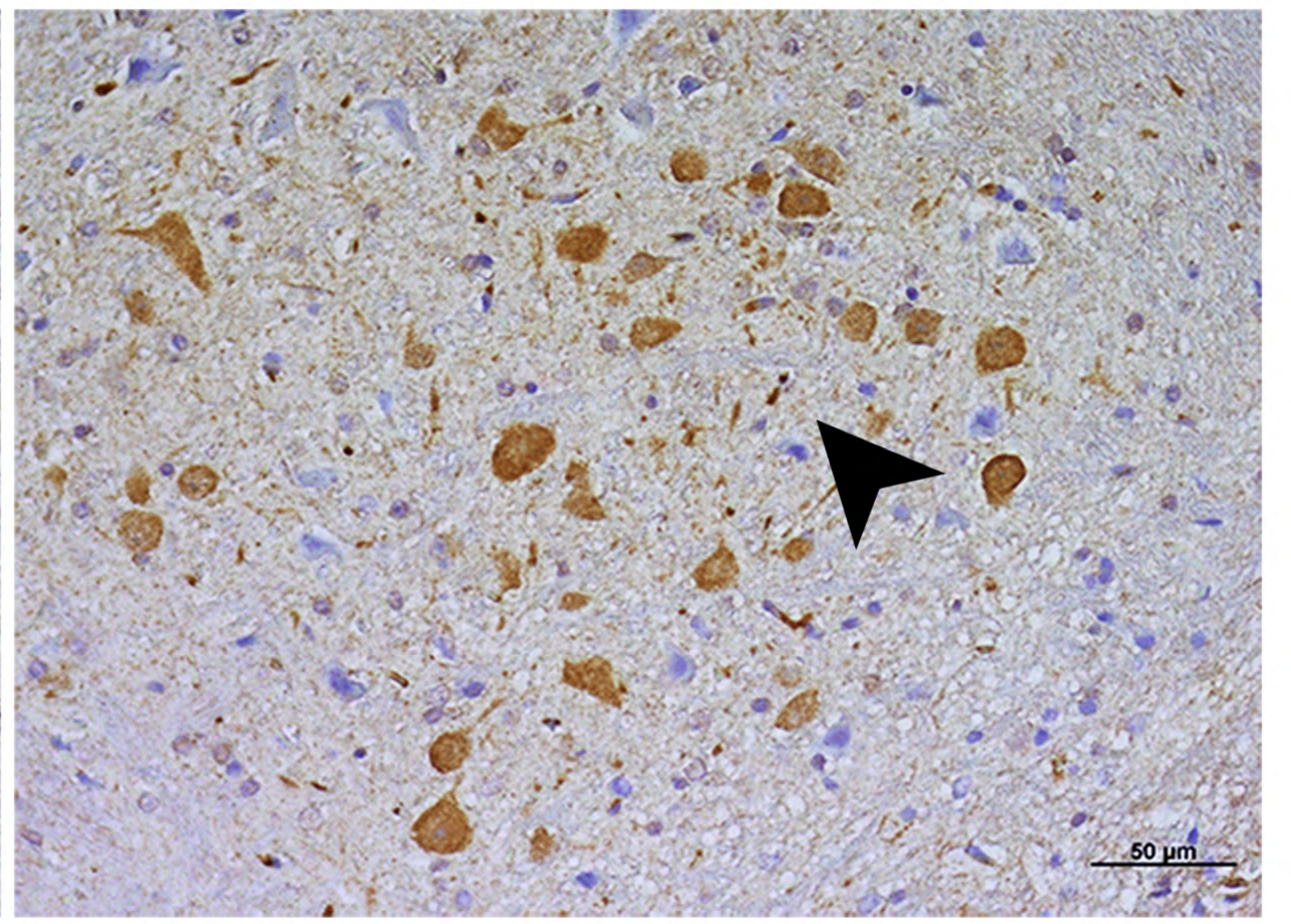
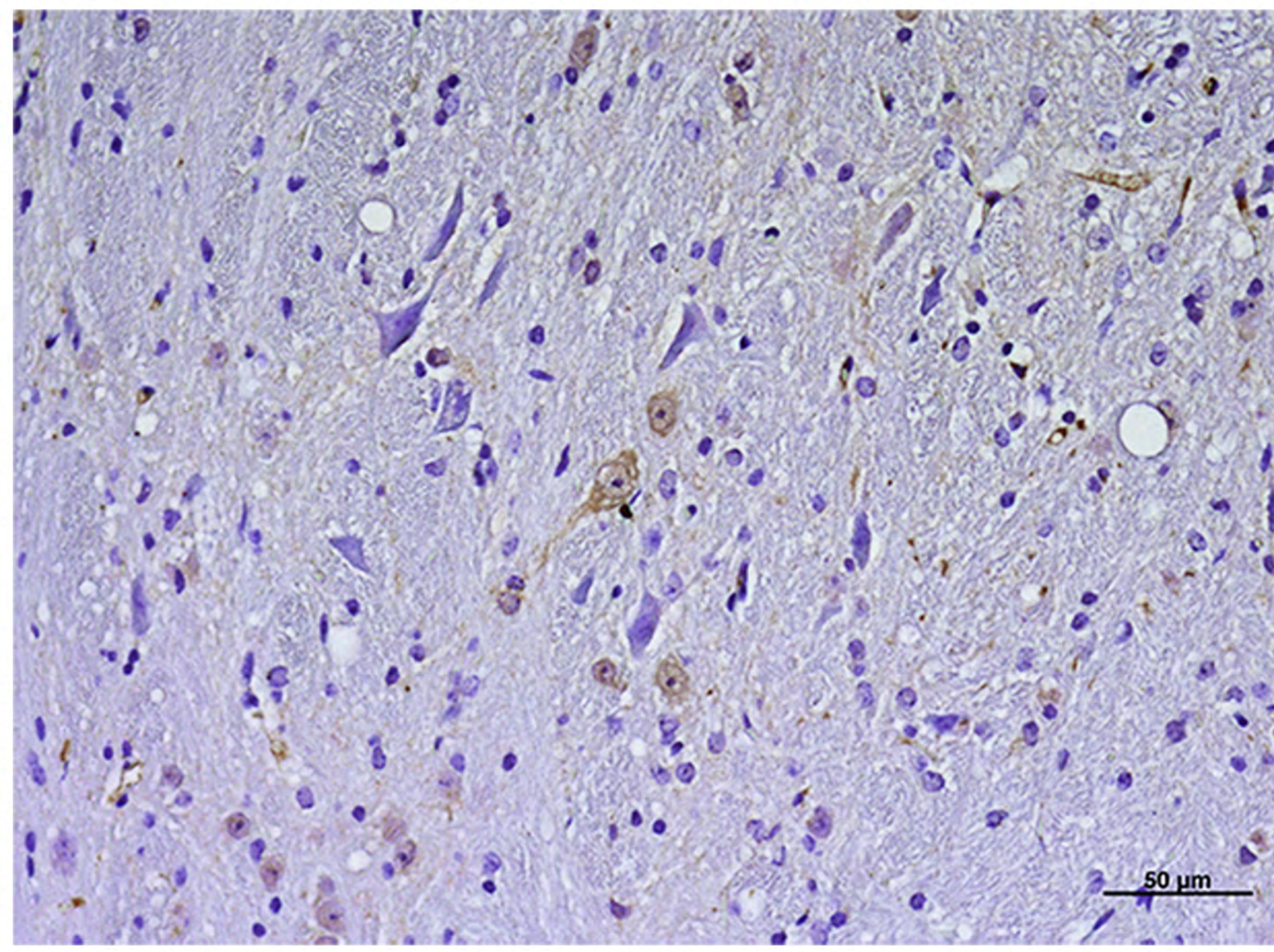
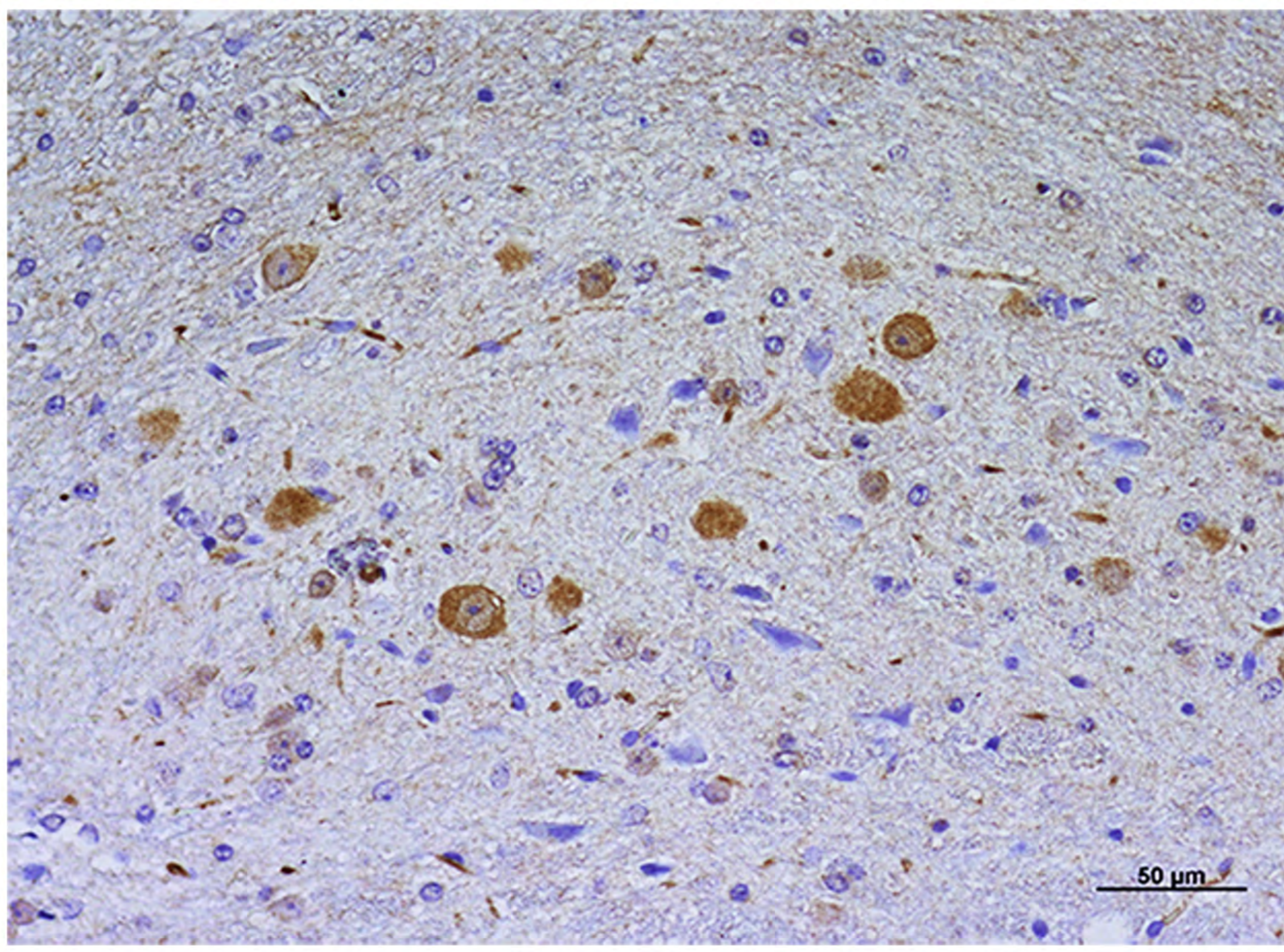
Mesencephalon



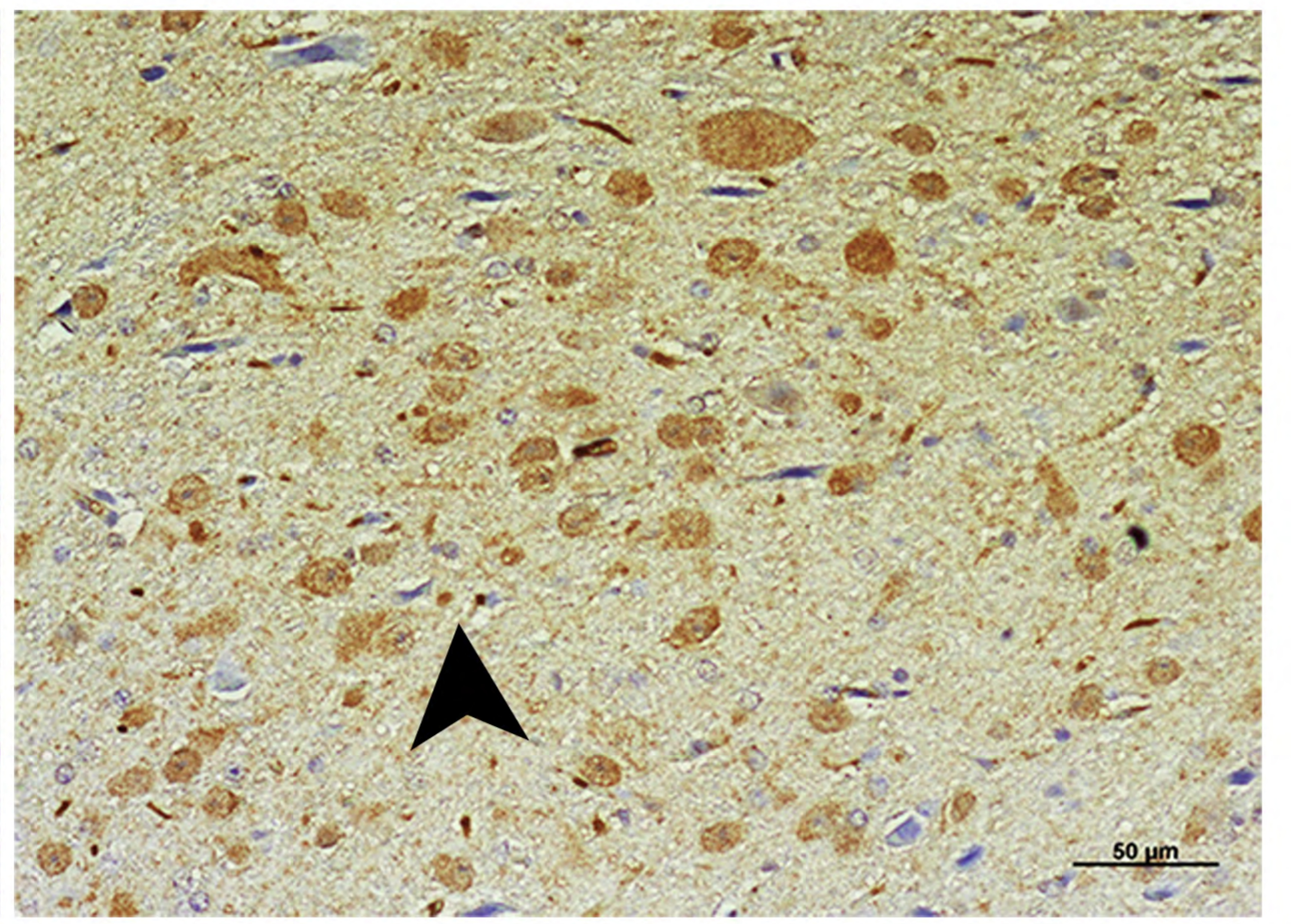
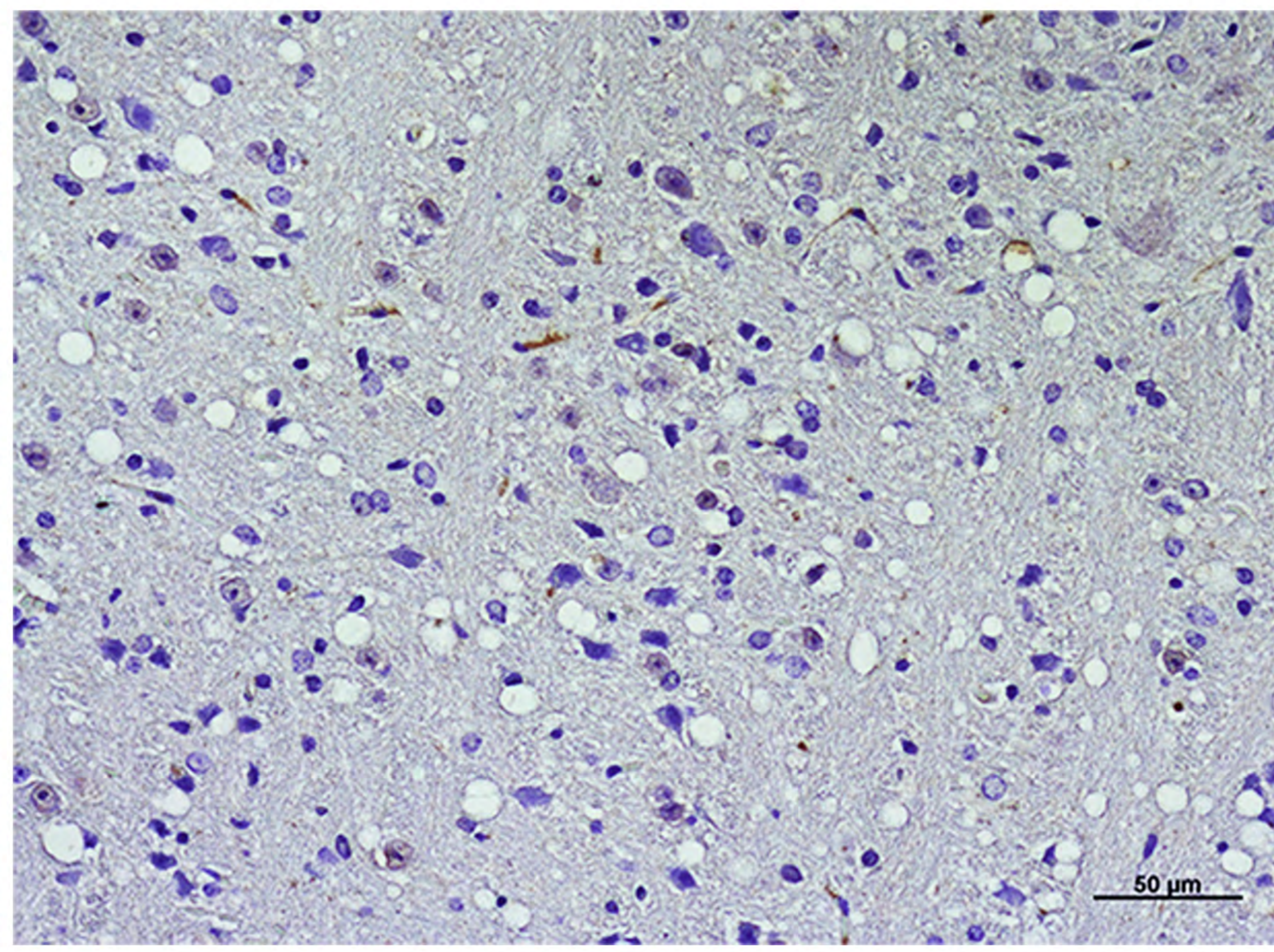
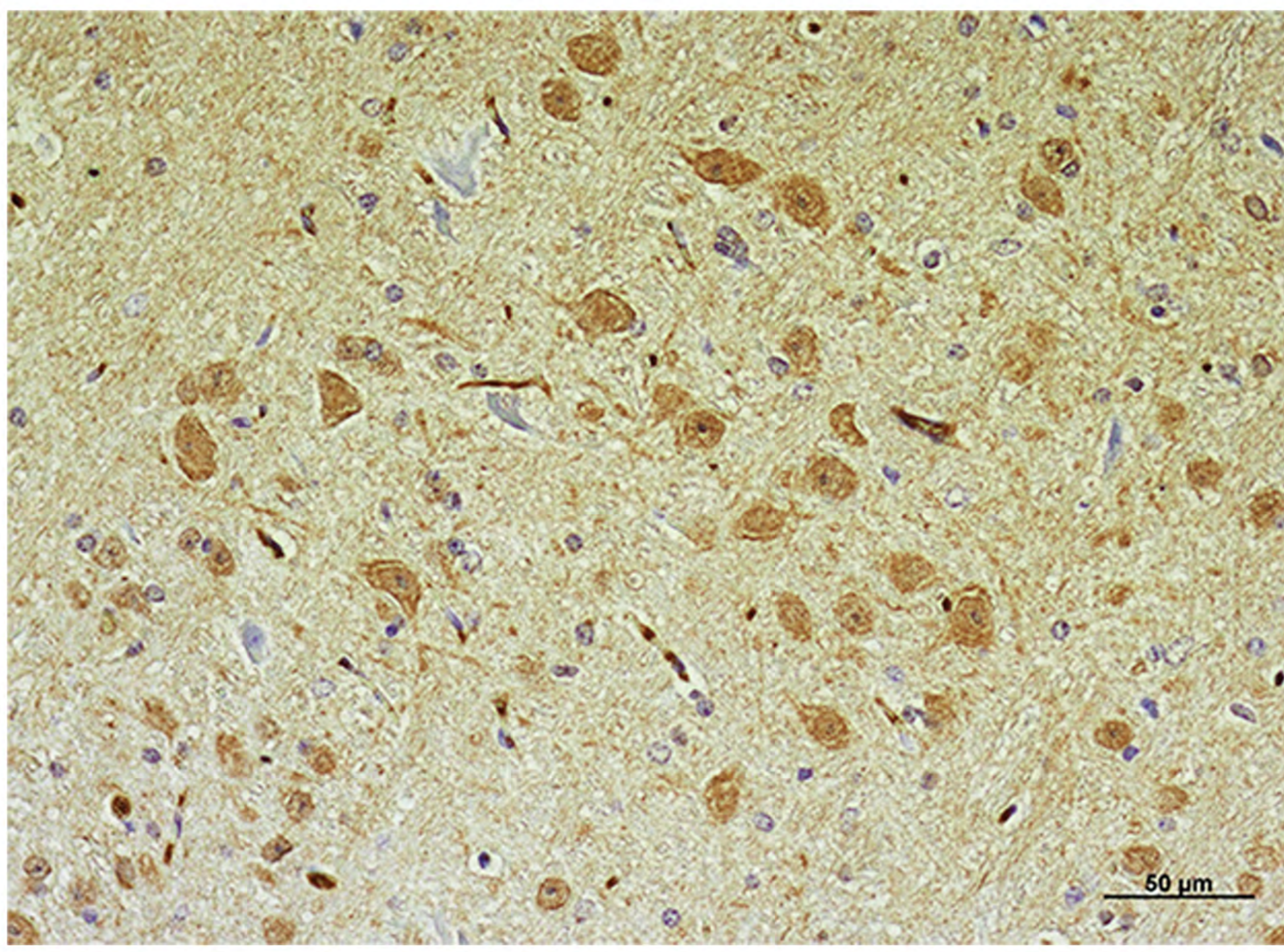
Cerebellum



Deep cerebellar nuclei



Medulla oblongata

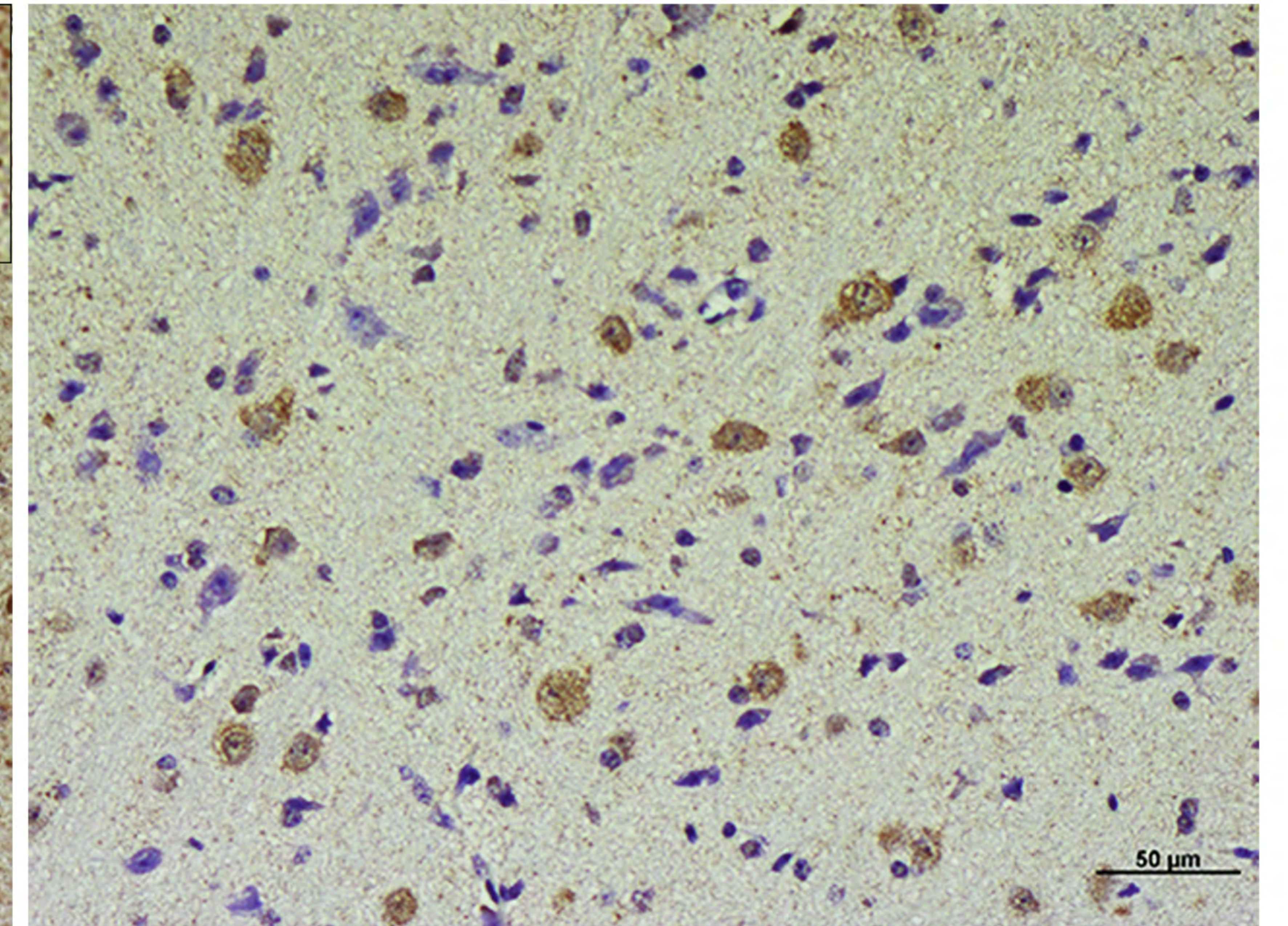
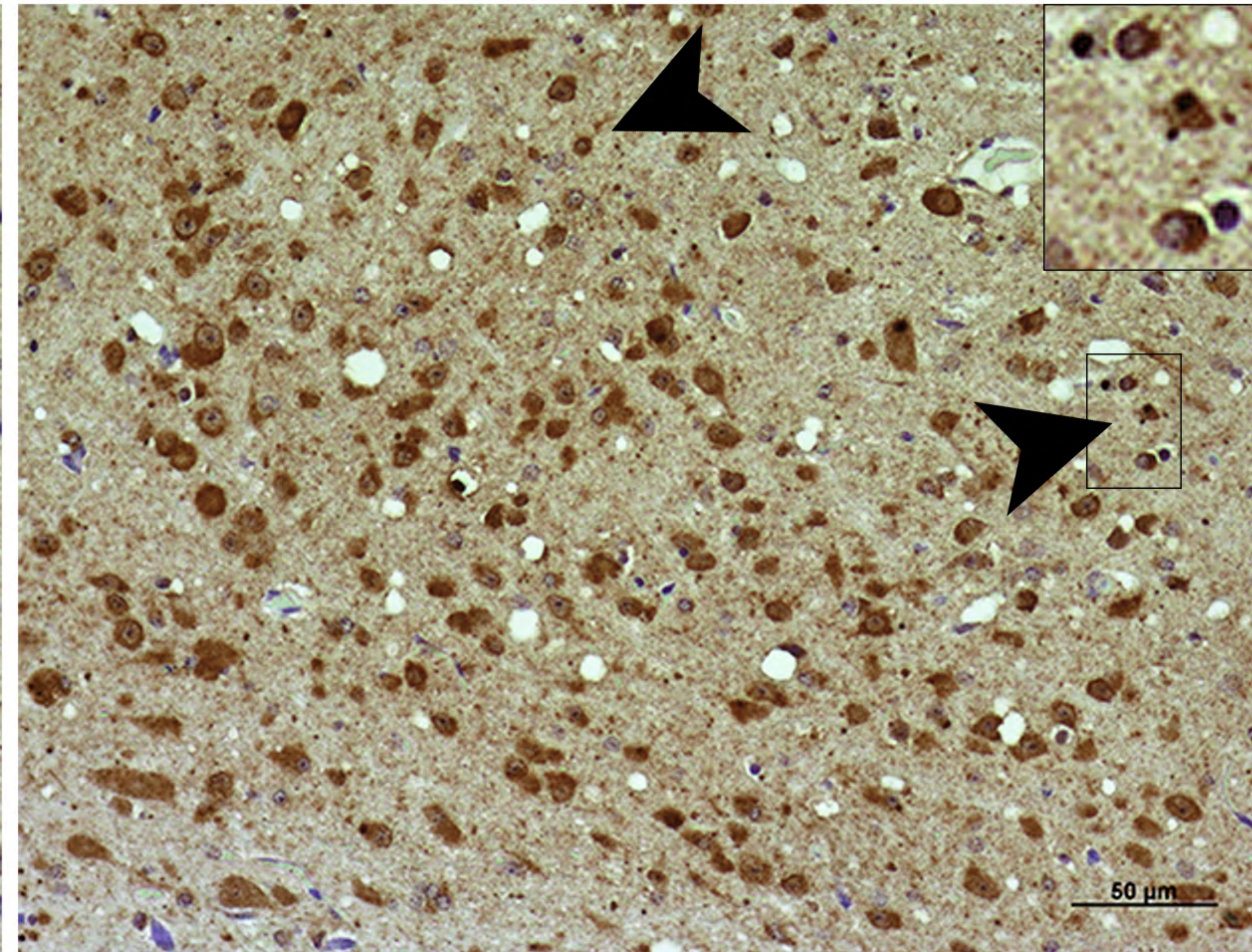
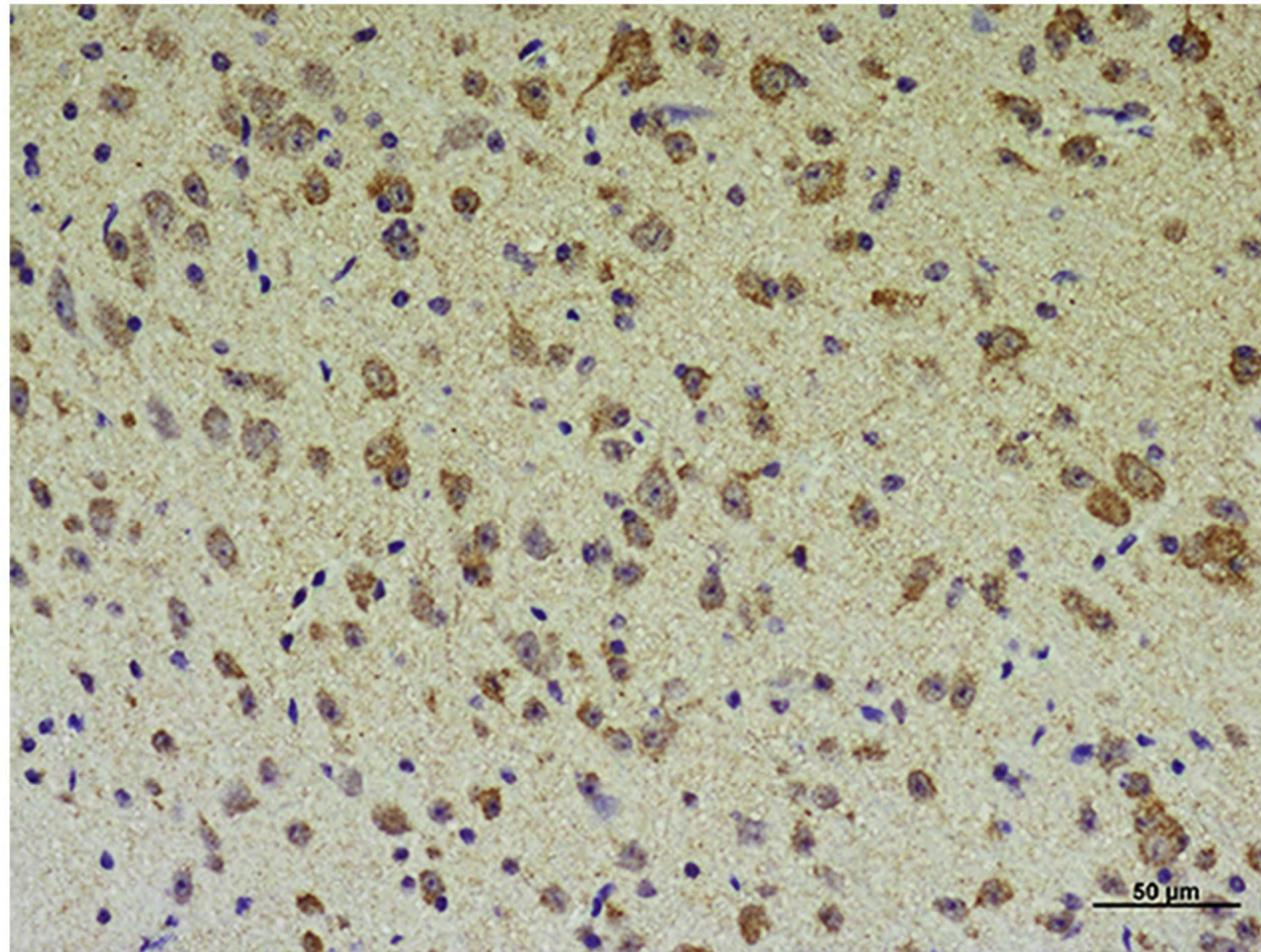


Clinical control

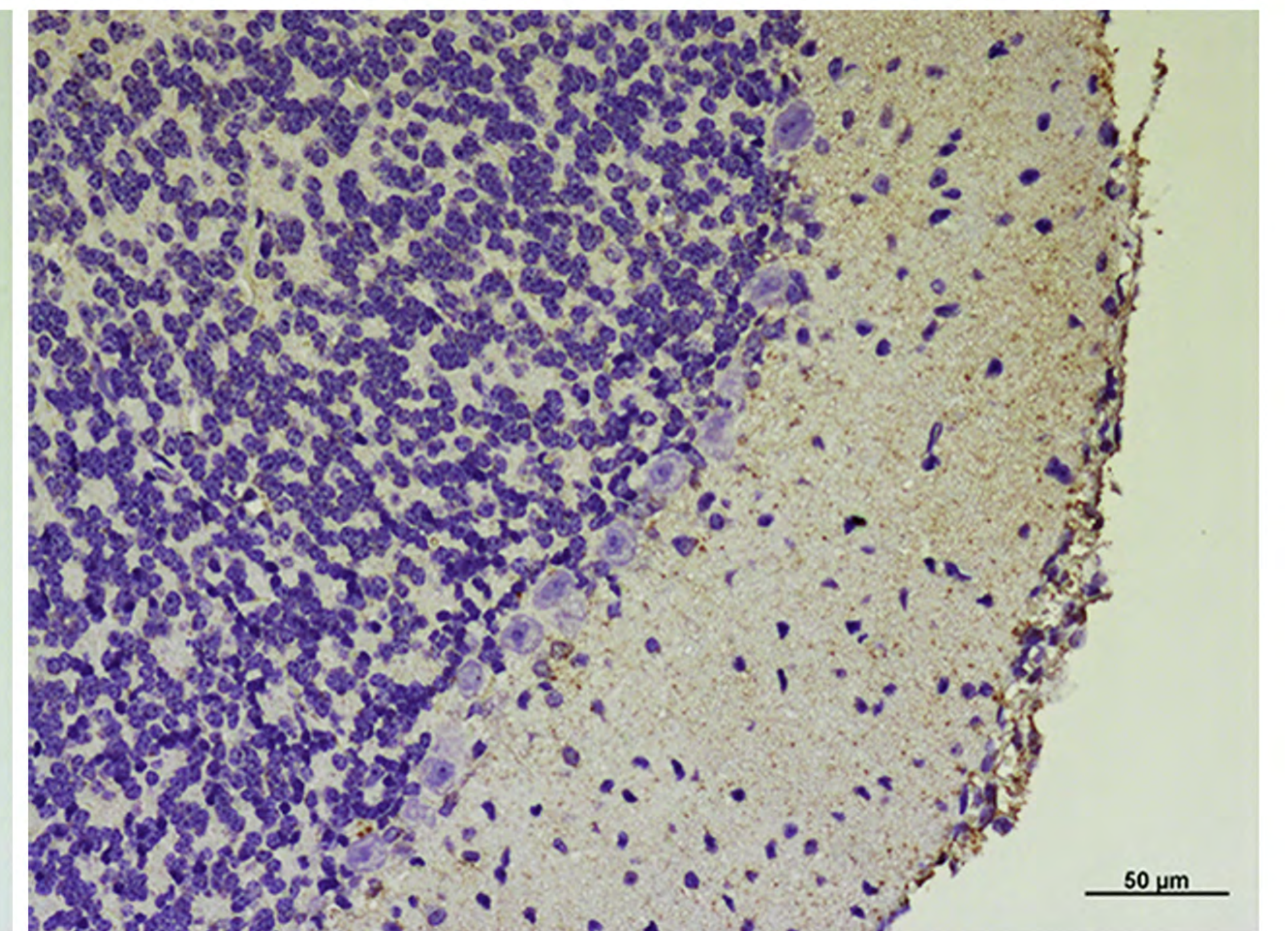
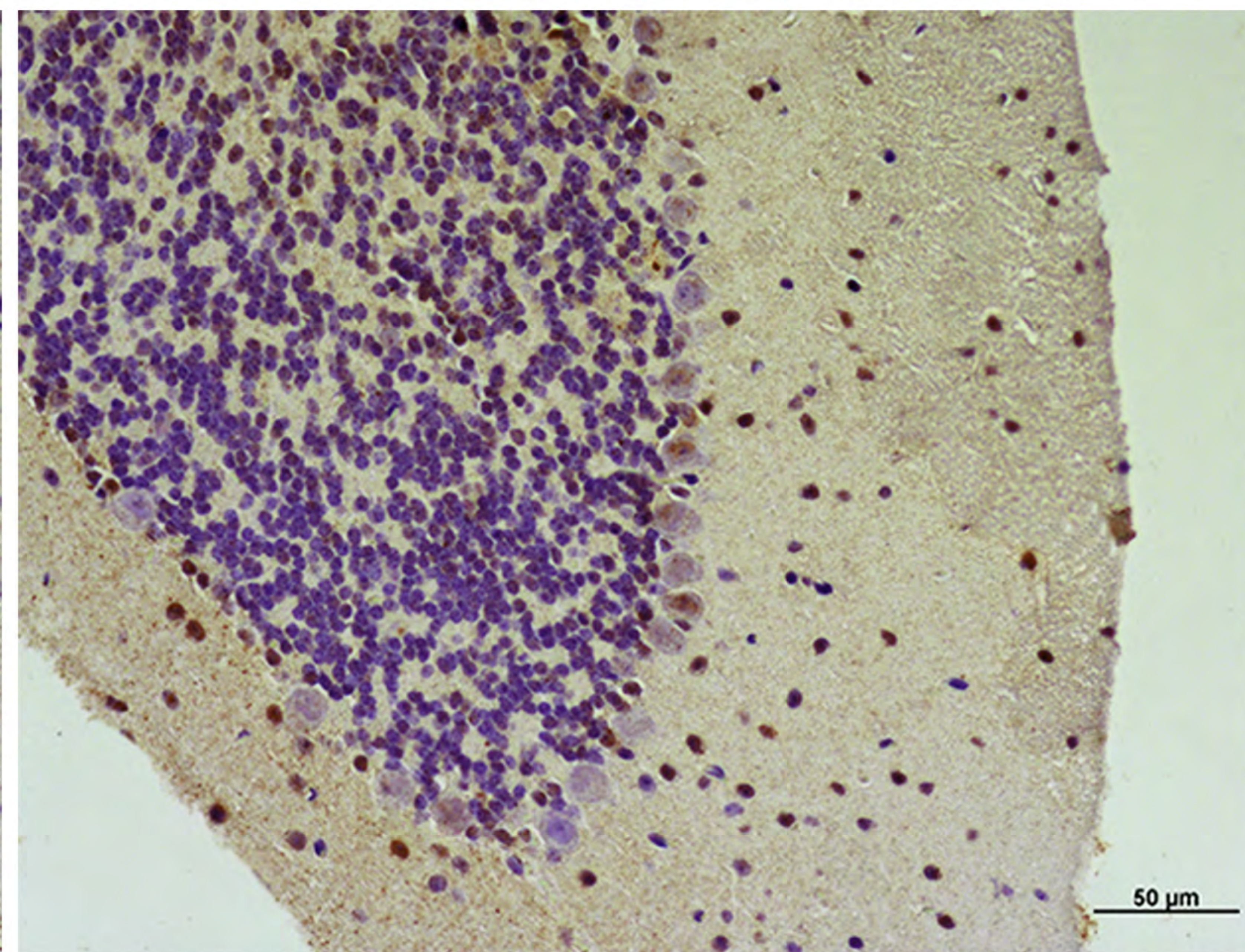
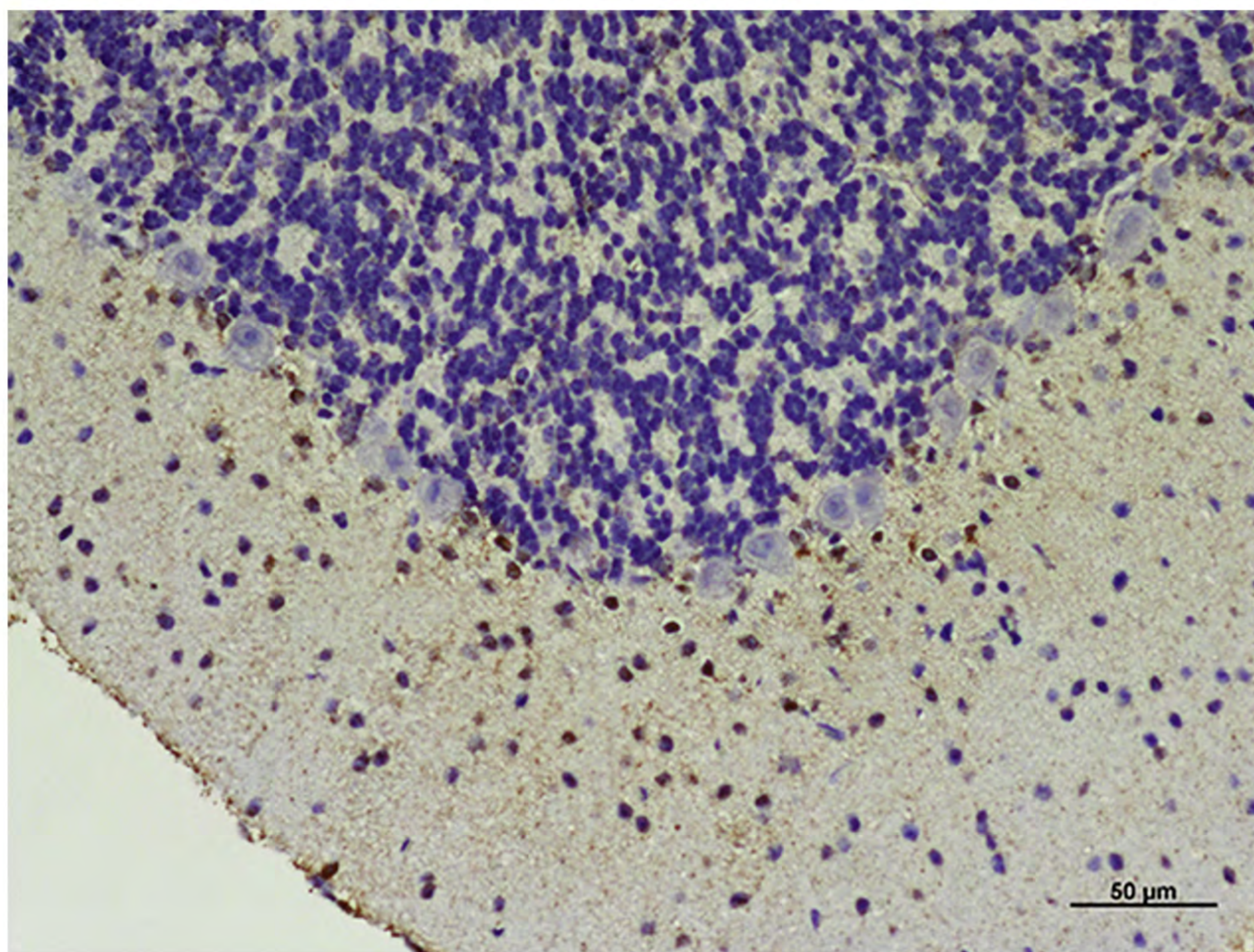
Clinical scrapie

Preclinical scrapie

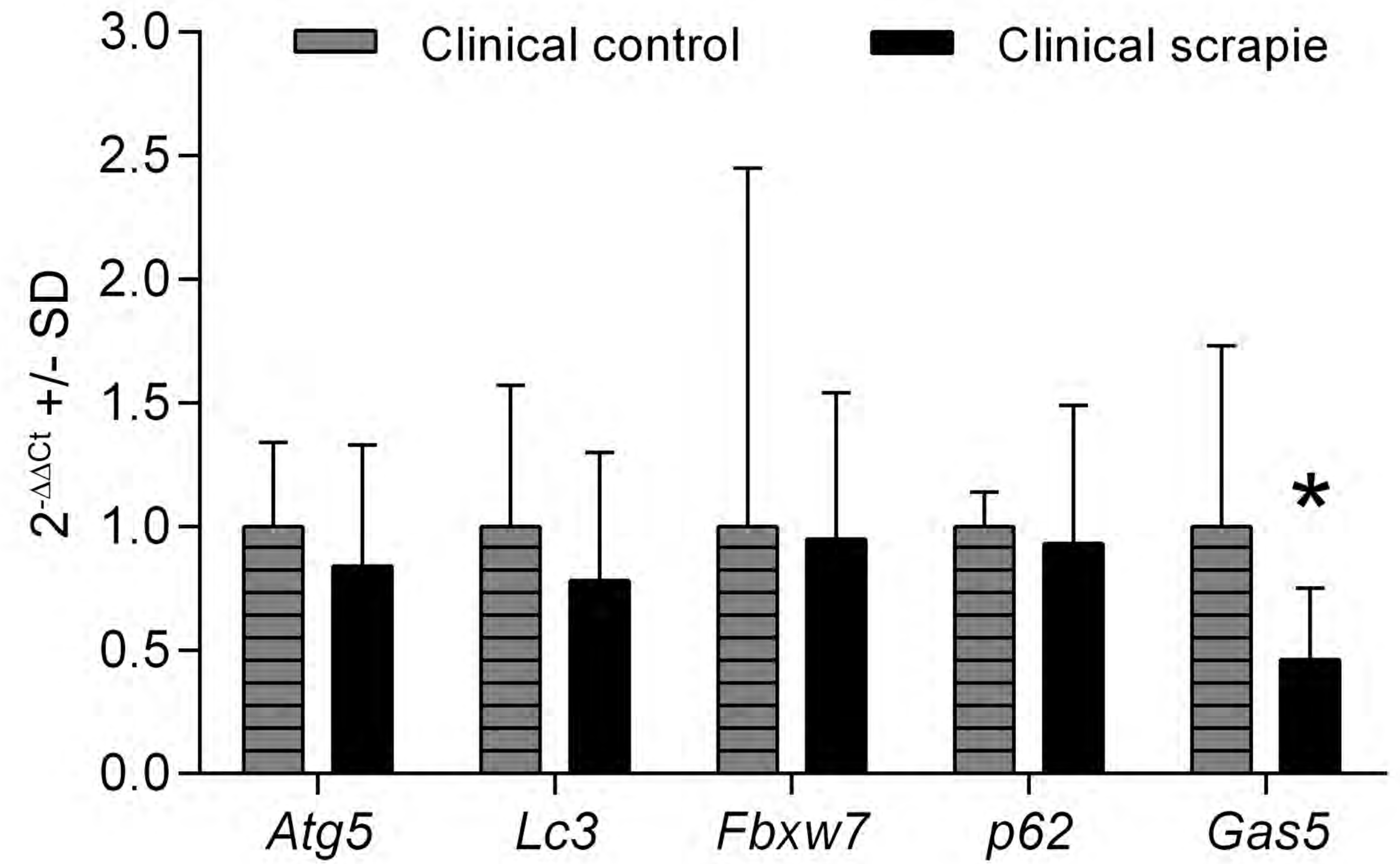
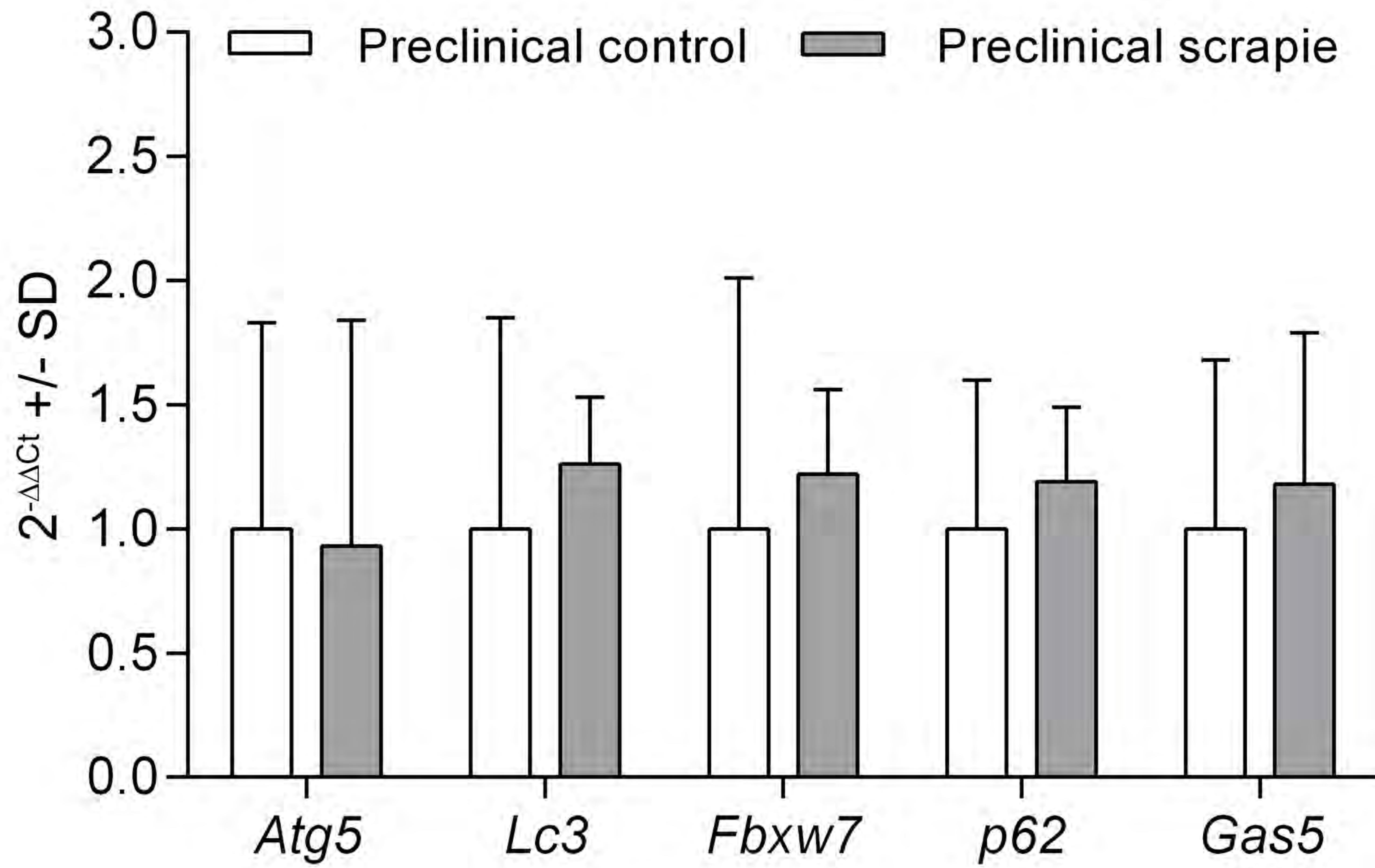
Hypothalamus



Cerebellum



A) Gene expression in mesencephalon



B) Gene expression in cervical spinal cord

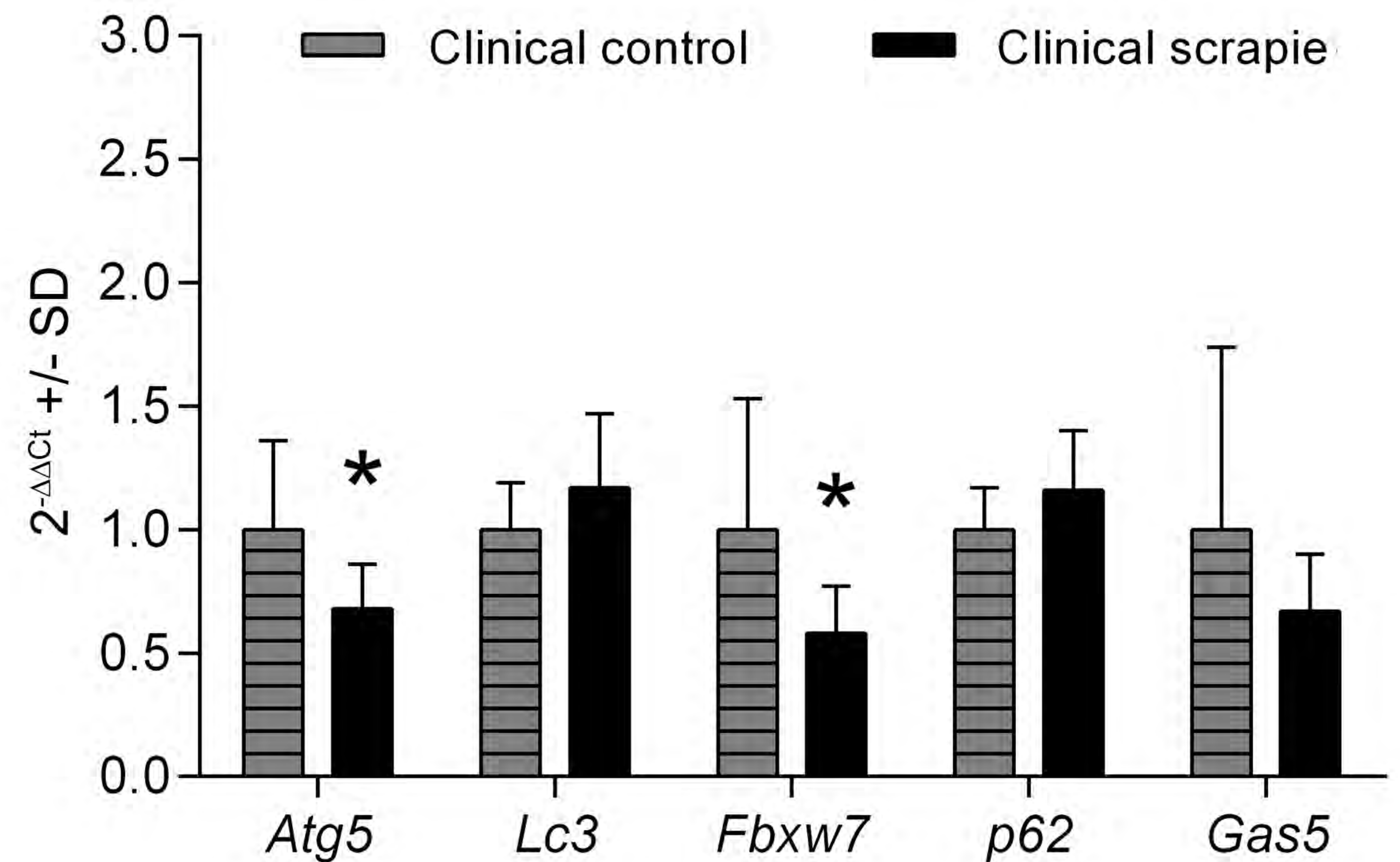
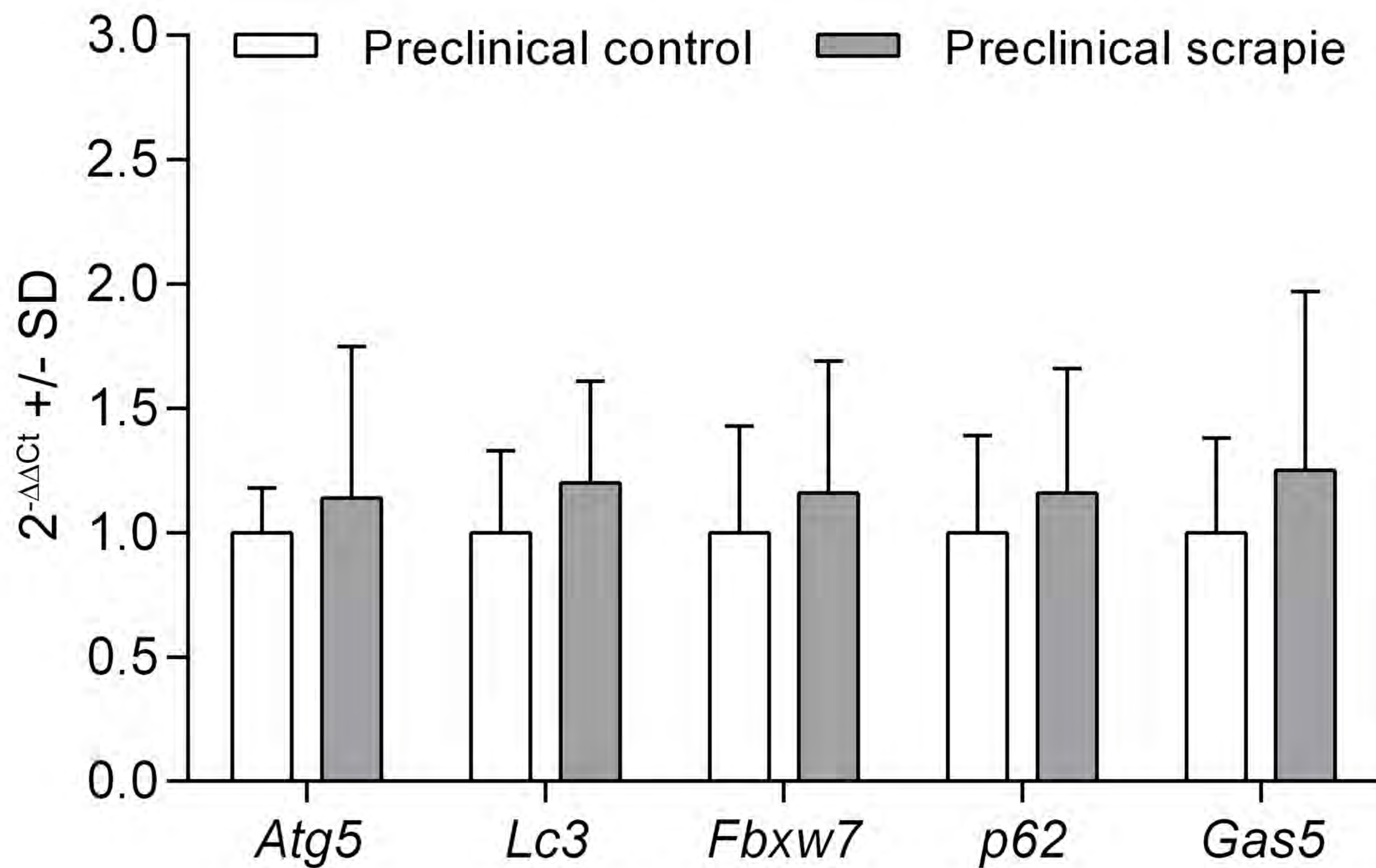


Table 1. Spearman correlation values between scores of autophagy markers (LC3-B and p62) and scrapie-related histopathological lesions (spongiform changes and PrP^{Sc} deposition) in the preclinical and clinical stage, in the total set of animals and only in scrapie inoculated mice.

	Preclinical stage		Clinical stage		Total set	Scrapie clinical + preclinical
	Control + Scrapie	Scrapie	Control + Scrapie	Scrapie		
LC3-B						
Spongiosis	0.462***	0.426***	0.09 N.S.	0.271 N.S.	0.242***	0.180 N.S.
PrP^{Sc}	----	-0.203 N.S.	----	-0.054 N.S.	----	-0.473***
p62						
Spongiosis	0.720***	0.760***	0.682***	0.768***	0.700***	0.766***
PrP^{Sc}	----	-0.040 N.S.	----	0.235 N.S.	----	0.300**

Correlations were estimated using the full set of data obtained in all tissues.

N.S.: No statistically significant value.

(Spearman correlation, **P < 0.01 and ***P < 0.001)