

**LBA12 PALLAS: A randomized phase III trial of adjuvant palbociclib with endocrine therapy versus endocrine therapy alone for HR+/HER2-early breast cancer**

E.L. Mayer<sup>1</sup>, M.I. Gnant<sup>2</sup>, A. DeMichele<sup>3</sup>, M. Martin<sup>4</sup>, H. Burstein<sup>1</sup>, A. Prat<sup>5</sup>, G. Rubovszky<sup>6</sup>, K.D. Miller<sup>7</sup>, G. Pfeiler<sup>2</sup>, E.P. Winer<sup>1</sup>, N. Zdenkowski<sup>8</sup>, D. Anderson<sup>9</sup>, Z. Nowecki<sup>10</sup>, S. Loibl<sup>11</sup>, H. Fohler<sup>12</sup>, O. Metzger<sup>1</sup>, D. Fumagalli<sup>13</sup>, K. Puyana Theall<sup>14</sup>, C. Fesl<sup>15</sup>, A. Ducek<sup>16</sup>

<sup>1</sup>Breast Oncology Center, Dana Farber Cancer Institute, Boston, MA, USA; <sup>2</sup>Comprehensive Cancer Center, Medical University of Vienna, Vienna, Austria; <sup>3</sup>Medical Oncology, University of Pennsylvania-Perelman Center for Advanced Medicine, Philadelphia, PA, USA; <sup>4</sup>Departamento de Medicina, Instituto de Investigación Sanitaria Gregorio Marañón Universidad Complutense, Madrid, Spain; <sup>5</sup>Medical Oncology Department, Hospital Clinic, University of Barcelona, Barcelona, Spain; <sup>6</sup>Department of Medical Oncology and Clinical Pharmacology, National Institute of Oncology, Budapest, Hungary; <sup>7</sup>Department of Medicine Hematology/Oncology Division, Indiana University School of Medicine, Indianapolis, IN, USA; <sup>8</sup>The Breast and Endocrine Center, Lake Macquarie Private Hospital, Gateshead, Australia; <sup>9</sup>Medical Oncology Department, Regions Hospital Cancer Care Center, St Paul, MN, USA; <sup>10</sup>Oncology Centre, Instytut im. Marii-Skłodowskiej, Warsaw, Poland; <sup>11</sup>Department of Medicine and Research, German Breast Group, GBG Forschungs GmbH, Neu-Isenburg, Germany; <sup>12</sup>Trial Office, Austrian Breast and Colorectal Cancer Study Group, Vienna, Austria; <sup>13</sup>Institut Jules Bordet, BIG Against Breast Cancer, Brussels, Belgium; <sup>14</sup>Oncology Clinical Development, Pfizer Inc, Cambridge, MA, USA; <sup>15</sup>Department of Statistics, ABCSG - Austrian Breast Cancer Study Group, Vienna, Austria; <sup>16</sup>Department of Biostatistics, Mayo Clinic, Scottsdale, AZ, USA

**Background:** Palbociclib (P) added to endocrine therapy (ET) improves progression-free survival in hormone receptor positive (HR+)/HER2 negative (HER2-) metastatic breast cancer. The global PALLAS trial (NCT02513394) was designed to determine if the addition of two years of P to adjuvant ET improves invasive disease-free survival (iDFS) over ET alone in patients (pts) with HR+/HER2- early-stage breast cancer.

**Methods:** In this phase III open-label trial, pts with stage II-III HR+/HER2- breast cancer were randomized to receive either 2 years of P with adjuvant ET, or ET alone. Eligible pts were within 12 months of diagnosis and 6 months of initiating adjuvant ET. The primary objective was to compare invasive disease-free survival (iDFS) between arms; secondary objectives include other recurrence endpoints and safety, as well as quality of life, adherence, and translational science. The study had 85% power to detect a 25% improvement in iDFS (0.75 hazard ratio [HR]). Interim analyses (IA) were predefined in the protocol; IA2 was triggered when 67% of events were observed.

**Results:** 5,760 pts (median age 52 years) were randomized and included in the analysis; 1,013 (17.6%) had stage IIA disease and 4,729 (82.1%) stages IIB/III. 4,754 (82.5%) had received prior chemotherapy. At IA2, after a median follow-up of 23.7 months (351 events), iDFS was similar between the two arms, with 3-year iDFS of 88.2% for P and ET, and 88.5% for ET alone (HR 0.93, 95% confidence interval 0.76-1.15), crossing a pre-specified futility boundary. No benefit from P was observed within clinicopathologic subgroups. Grade 3 or 4 neutropenia was more common with P (61.3% vs 0.4%) but febrile neutropenia was uncommon (1.0%). Other all-grade toxicities occurring more often with P included leukopenia, fatigue, thrombocytopenia, anemia, upper respiratory tract infection, and alopecia. 42.2% of pts discontinued P prior to the planned 2 year duration, primarily due to adverse events.

**Conclusions:** Within the PALLAS trial, at IA2, two years of adjuvant palbociclib with ET did not improve iDFS compared to ET alone. Ongoing long-term follow-up and additional clinical and translational analyses will explore the effect of P in this patient population.

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(institution): Celgene; Research grant/Funding (institution): Astellas Pharma. G. Rubovszky: Honoraria (self), Advisory/Consultancy: Pfizer. G. Pfeiler: Advisory/Consultancy: Amgen; Advisory/Consultancy: Roche; Advisory/Consultancy: Novartis; Advisory/Consultancy: Lilly; Advisory/Consultancy: Pfizer; Advisory/Consultancy: AstraZeneca; Advisory/Consultancy: MSD. E.P. Winer: Advisory/Consultancy: Carrick; Advisory/Consultancy: Jounce; Advisory/Consultancy: Lilly; Advisory/Consultancy, Research grant/Funding (institution): Roche/Genentech; Advisory/Consultancy: GSK; Advisory/Consultancy, Officer/Board of Directors: Leap; Advisory/Consultancy: InfiniteMD; Advisory/Consultancy: Gi Therapeutics; Advisory/Consultancy: Genomic Health; Advisory/Consultancy: Novartis; Advisory/Consultancy: Seattle Genetics; Advisory/Consultancy: Syros. Z. Nowecki: Honoraria (self): Samsung; Honoraria (self): Roche. S. Loibl: Advisory/Consultancy, Research grant/Funding (institution): AbbVie; Advisory/Consultancy, Research grant/Funding (institution): Amgen; Advisory/Consultancy, Research grant/Funding (institution): Celgene; Advisory/Consultancy, Research grant/Funding (institution): Novartis; Advisory/Consultancy, Research grant/Funding (institution): Pfizer; Advisory/Consultancy, Research grant/Funding (institution): Roche; Advisory/Consultancy: Seattle Genetics; Honoraria (institution): PriME/Medscape; Honoraria (self): Chugai; Research grant/Funding (institution): Teva; Research grant/Funding (institution): Vifor; Honoraria (institution), Research grant/Funding (institution): Daiichi-Sankyo; Advisory/Consultancy: Lilly; Advisory/Consultancy: Samsung; Advisory/Consultancy: Eisai; Research grant/Funding (institution): Eisai; Research grant/Funding (institution): Roche/Genentech; Research grant/Funding (institution): Komen for the Cure; Advisory/Consultancy: G1 Therapeutics; Advisory/Consultancy, Travel/Accommodation/Expenses: Group Oncoclinics. D. Fumagalli: Research grant/Funding (institution): Pfizer; Research grant/Funding (institution): AstraZeneca; Research grant/Funding (institution): Novartis; Research grant/Funding (institution): Roche/Genentech; Research grant/Funding (institution): Servier; Research grant/Funding (institution): Tesaro. K. Puyana Theall: Shareholder/Stockholder/Stock options, Full/Part-time employment: Pfizer. All other authors have declared no conflicts of interest.

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**LBA13 Tumour infiltrating lymphocytes (TILs), PD-L1 expression and their dynamics in the NeoTRIPaPDL1 trial**

G. Bianchini<sup>1</sup>, C-S. Huang<sup>2</sup>, D. Egle<sup>3</sup>, B. Bermejo<sup>4</sup>, C. Zamagni<sup>5</sup>, M. Thill<sup>6</sup>, A. Anton<sup>7</sup>, S. Zambelli<sup>8</sup>, S. Russo<sup>9</sup>, E.M. Ciruelos<sup>9</sup>, R. Greil<sup>10</sup>, V. Semiglazov<sup>11</sup>, M.A. Colleoni<sup>12</sup>, C.M. Kelly<sup>13</sup>, G. Mariani<sup>14</sup>, L. Del Mastro<sup>15</sup>, C. Smart<sup>16</sup>, P. Valagussa<sup>16</sup>, G. Viale<sup>17</sup>, L. Gianni<sup>16</sup>

<sup>1</sup>Medical Oncology Department, IRCCS Ospedale San Raffaele, Milan, Italy; <sup>2</sup>Medical Oncology, National Taiwan University Hospital Taiwan Breast Cancer Consortium, Taipei, Taiwan; <sup>3</sup>Department of Gynecology, BrustGesundheitZentrum Tirol, Medical University Innsbruck, Innsbruck, Austria; <sup>4</sup>Medical Oncology, Hospital Clinico Universitario, GEICAM Spanish Breast Cancer Group, Valencia, Spain; <sup>5</sup>S.S.D. Oncologia Medica Addarii, Policlinico Sant'Orsola-Malpighi, Bologna, Italy; <sup>6</sup>Medical Oncology, Agaplesion Markus Krankenhaus, Frankfurt am Main, Germany; <sup>7</sup>Medical Oncology, Hospital Universitario Miguel Servet, GEICAM Spanish Breast Cancer Group, Zaragoza, Spain; <sup>8</sup>Oncologia Medica, Ospedale Santa Maria della Misericordia, Udine, Italy; <sup>9</sup>Medical Oncology, University Hospital 12 de Octubre, GEICAM Spanish Breast Cancer Group, Madrid, Spain; <sup>10</sup>3rd Medical Department, Paracelsus Medical University Salzburg; Salzburg Cancer; Research Institute-CCCIT, Salzburg, Austria; <sup>11</sup>Medical Oncology, NN Petrov Research Institute of Oncology, St. Petersburg, Russian Federation; <sup>12</sup>Senologia Medica, IEO, Istituto Europeo di Oncologia, IRCCS, Milan, Italy; <sup>13</sup>Medical Oncology, Cancer Trials Ireland & Mater Misericordiae University Hospital, Dublin, Ireland; <sup>14</sup>Oncologia Medica, Istituto Nazionale dei Tumori, Milan, Italy; <sup>15</sup>Medical Oncology Department & Department of Internal Medicine and Medical Specialties, University of Genoa and IRCCS Ospedale Policlinico San Martino, Genoa, Italy; <sup>16</sup>Fondazione Michelangelo, Fondazione Michelangelo, Milan, Italy; <sup>17</sup>Pathology Department, IEO, Istituto Europeo di Oncologia, University of Milan, Milan, Italy

**Background:** NeoTRIP, randomized 280 pts to 8 cycles of nab-paclitaxel/carbo (CT) or with atezolizumab (CT/A). 260 pts were evaluable for pCR as Per-Protocol Population.

**Methods:** We collected samples at baseline (n=260/260; 100%), on day 1 cycle 2 (d1c2) (n=228/260; 87.7%), and at surgery (SX) [n=231/260; 88.8%]. We assessed stromal and intratumoral TILs (sTILs, iTILs), and PD-L1 expression (SP142) on immune (IC) and tumor (TC) cells, their dynamics and association with pCR. We also aim to validate sTILs ≥ 40% at d1c2 as predictive of pCR (Bianchini G ASCO 2020).

**Results:** Baseline PD-L1 IC was balanced (IC0 43.4%; IC1 37.6%; IC2/3 18.5%), but sTILs and iTILs were higher in CT arm (p=0.046, p=0.005). All baseline biomarkers were significantly associated with pCR in CT/A, but only PD-L1 was in CT arm. Considering log PD-L1 IC (to correct skewness as continuous variable, OR were 3.42 [1.93-6.07] (p=0.00003) and 1.51 [1.04-2.21], (p=0.032) in CT/A and CT, respectively (interaction p=0.02). pCR for CT/A vs CT (and ΔpCR) by PD-L1 IC groups were 87.0% vs 72.0% [Δ15%] (IC2/3), 56.2% vs 44.0% [Δ12.2%] (IC1) and 35.1% vs 41.1% [Δ-6.0%] (IC0). After 1 cycle of treatment (d1c2), tumor cells were not found in 28.8% (CT/A) and 13.6% (CT) (p=0.003), which was predictive of pCR (78.2% vs 42.5%, p<0.0001). TILs increased at d1c2 in both arms (p<0.0001). PD-L1 IC+ did however increase from 45.4% to 74.7% in CT/A (p=0.03) (65.8% change to pos), but decreased from 52.7% to 37.9% in CT (p=0.0001) (44.0% change to neg). In CT/A, also PD-L1 TC+ increased for 2.7% to 36.5%. In both arms, sTILs at d1c2 were more informative than baseline sTILs and ΔTILs, e.g. in CT/A, sTILs ≥ 40% had 71.4% pCR (OR 6.38 [2.24-20.9], p=0.0007). Conversion rate of PD-L1 IC baseline to surgery (SX) was 42.9% (- to + 28.6% and + to - 14.3%) and 30.3% (- to + 9.1% and + to - 21.2%) in CT/A and CT arms, respectively.

**Conclusions:** Baseline imbalance in sTILs and iTILs might have resulted in smaller differences of pCR between arms. Atezo increased pCR by more than 10% in

"immune-rich" groups (PDL1 IC+, high sTILs/iTILs). PD-L1 dynamic is strong and divergent by arm, with atezo turning most PD-L1 neg to pos. D1c2 assessment provides an early surrogate of pCR, and high rate of tumor absence may suggest that shorter therapy may be enough for some cases.

**Clinical trial identification:** Neoadjuvant Therapy in TRIPLE Negative Breast Cancer With antiPDL1 (NeoTRIPaPDL1) (NCT02620280).

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Huang: Advisory/Consultancy, Speaker Bureau/Expert testimony, Travel/Accommodation/Expenses: Amgen; Research grant/Funding (institution): AstraZeneca; Research grant/Funding (institution): MSD; Speaker Bureau/Expert testimony, Research grant/Funding (institution): Novartis; Advisory/Consultancy, Speaker Bureau/Expert testimony, Research grant/Funding (institution), Travel/Accommodation/Expenses: Pfizer; Speaker Bureau/Expert testimony, Travel/Accommodation/Expenses: F. Hoffman-La Roche; Advisory/Consultancy, Research grant/Funding (institution): Eli Lilly; Research grant/Funding (institution): Obi; Research grant/Funding (institution): Eirgenix. D. Egle: Honoraria (self), Research grant/Funding (institution), Travel/Accommodation/Expenses: Roche; Honoraria (self), Travel/Accommodation/Expenses: Pfizer; Honoraria (self), Travel/Accommodation/Expenses: Novartis; Honoraria (self), Travel/Accommodation/Expenses: AstraZeneca; Honoraria (self), Travel/Accommodation/Expenses: Lilly; Honoraria (self), Travel/Accommodation/Expenses: MSD. C. Zamagni: Honoraria (self): Takeda; Honoraria (self): Pierre Fabre; Honoraria (self): Teva; Honoraria (self): Istituto Gentili; Advisory/Consultancy: Roche; Advisory/Consultancy: Eisai; Advisory/Consultancy: Novartis; Advisory/Consultancy: AstraZeneca; Advisory/Consultancy: Pfizer; Advisory/Consultancy: PharmaMar; Advisory/Consultancy: Celgene; Advisory/Consultancy: Eli Lilly; Advisory/Consultancy: Amgen; Advisory/Consultancy: Tesaro; Advisory/Consultancy: QuintilesIMS. M. Thill: Honoraria (self), Speaker Bureau/Expert testimony, Travel/Accommodation/Expenses: Amgen; Honoraria (self), Speaker Bureau/Expert testimony, Travel/Accommodation/Expenses: Celgene; Honoraria (self), Speaker Bureau/Expert testimony, Travel/Accommodation/Expenses: Roche; Honoraria (self), Speaker Bureau/Expert testimony: MSD. E.M. Ciruelos: Advisory/Consultancy, Speaker Bureau/Expert testimony, Travel/Accommodation/Expenses: Roche; Advisory/Consultancy, Speaker Bureau/Expert testimony: Lilly; Advisory/Consultancy, Speaker Bureau/Expert testimony, Travel/Accommodation/Expenses: Pfizer; Advisory/Consultancy, Speaker Bureau/Expert testimony: Novartis. R. Greil: Honoraria (self), Advisory/Consultancy: Celgene; Honoraria (self), Advisory/Consultancy: Roche; Honoraria (self), Advisory/Consultancy: Merck; Honoraria (self), Advisory/Consultancy: Takeda; Honoraria (self), Advisory/Consultancy: AstraZeneca; Honoraria (self), Advisory/Consultancy: Novartis; Honoraria (self), Advisory/Consultancy: Amgen; Honoraria (self), Advisory/Consultancy: BMS; Honoraria (self), Advisory/Consultancy: Sandoz; Honoraria (self), Advisory/Consultancy: AbbVie; Honoraria (self), Advisory/Consultancy: Gilead; Honoraria (self), Advisory/Consultancy: Daiichi Sankyo; Honoraria (self), Advisory/Consultancy: Janssen; Honoraria (self), Advisory/Consultancy: MSD. M.A. Colleoni: Honoraria (self): Novartis; Advisory/Consultancy: PierreFabre; Advisory/Consultancy: Pfizer; Advisory/Consultancy: Puma Biotechnology; Advisory/Consultancy: OBI Pharma; Advisory/Consultancy: Celldex; Advisory/Consultancy: AstraZeneca. L. Del Mastro: Honoraria (self): Roche; Honoraria (self): Pfizer; Honoraria (self): Ipsen; Honoraria (self): Eli Lilly; Honoraria (self): Novartis; Honoraria (self): Takeda; Honoraria (self): MSD; Honoraria (self): Genomic Health; Travel/Accommodation/Expenses: Celgene. G. Viale: Advisory/Consultancy, Speaker Bureau/Expert testimony, Travel/Accommodation/Expenses: Roche Genentech; Advisory/Consultancy: BMS; Advisory/Consultancy: MSD; Advisory/Consultancy: AstraZeneca; Travel/Accommodation/Expenses: Celgene. L. Gianni: Advisory/Consultancy: Roche; Advisory/Consultancy: Pfizer; Advisory/Consultancy: ADC Therapeutics; Advisory/Consultancy: AstraZeneca; Advisory/Consultancy: Celgene; Advisory/Consultancy: GI Therapeutics; Advisory/Consultancy: Synthron; Advisory/Consultancy: Genomic Health; Advisory/Consultancy: Merck Sharp & Dome; Advisory/Consultancy: Genentech; Advisory/Consultancy: Eli Lilly; Advisory/Consultancy: Odonate Therapeutics; Advisory/Consultancy: Sandoz; Advisory/Consultancy: Oncolytics Biotech; Advisory/Consultancy: Tahio Pharmaceuticals; Advisory/Consultancy, Research grant/Funding (institution): Zymeworks; Advisory/Consultancy: Genenta; Advisory/Consultancy: MetIS; Advisory/Consultancy: Novartis; Advisory/Consultancy, Research grant/Funding (institution): Revolution Medicine; Advisory/Consultancy: CD47; Advisory/Consultancy: Synaffix; Research grant/Funding (institution): Daiichi Sankyo. All other authors have declared no conflicts of interest.

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### LBA14 De-escalated neoadjuvant T-DM1 with or without endocrine therapy (ET) vs trastuzumab+ET in early HR+/HER2+ breast cancer (BC): ADAPT-TP survival results

N. Harbeck<sup>1</sup>, U. Nitz<sup>2</sup>, M. Christgen<sup>3</sup>, S. Kuemmel<sup>4</sup>, M. Braun<sup>5</sup>, C. Schumacher<sup>6</sup>, J. Potenberg<sup>7</sup>, J. Tio<sup>8</sup>, B. Aktas<sup>9</sup>, W. Malter<sup>10</sup>, H. Forstbauer<sup>11</sup>, R. von Schumann<sup>12</sup>, M. Just<sup>13</sup>, K. Józwiak<sup>14</sup>, M. Hauptmann<sup>14</sup>, R. Kates<sup>15</sup>, M. Gräser<sup>16</sup>, R. Wuerstlein<sup>17</sup>, H. Kreipe<sup>3</sup>

<sup>1</sup>Breast Center, Dept. OB&GYN and CCLMU, Ludwig Maximilians University Hospital-Grosshadern, Munich, Germany; <sup>2</sup>Breast Center Niederrhein, Evangelical Hospital Johanniter Bethesda, Moenchengladbach, Germany; <sup>3</sup>Institute of Pathology, Hannover Medical School, Hannover, Germany; <sup>4</sup>Breast Unit, Klinikum Essen-Mitte, Essen, Germany; <sup>5</sup>Abteilung für Senologie, Klinikum Rotkreuz München, Munich, Germany; <sup>6</sup>Brustzentrum Köln-Hohenlind, St. Elisabeth-Krankenhaus, Cologne, Germany; <sup>7</sup>Oncology, Ev. Waldkrankenhaus, Berlin, Germany; <sup>8</sup>Breast Unit, University Clinics of Münster, Albert-Schweitzer-Campus, Munster, Germany; <sup>9</sup>Gynecology and Obstetrics and University Clinics Leipzig, University of Essen, Essen, Germany; <sup>10</sup>Breast Center Department, University Hospital Cologne, Cologne, Germany; <sup>11</sup>Oncology, Praxisnetzwerk Onkologie, Troisdorf, Germany; <sup>12</sup>Breast Center, Evang. Krankenhaus "Bethesda" Klinik, Moenchengladbach, Germany; <sup>13</sup>Haematology and Oncology, Onkologische Schwerpunktpraxis Bielefeld, Bielefeld, Germany; <sup>14</sup>Institute of Biostatistics and Registry Research, Brandenburg Medical School Theodor Fontane, Neuruppin, Germany; <sup>15</sup>West German Study Group, Moenchengladbach, Germany; <sup>16</sup>WSG, West German Study Group and Breast Center Niederrhein, Moenchengladbach, Germany; <sup>17</sup>Gynecology, LMU Klinikum der Universität München, Munich, Germany

**Background:** HR+/HER2+ BC is a distinct entity associated with different molecular and therapeutic features compared to HR-/HER2+ BC. The HER2 antibody drug conjugate T-DM1 is highly effective in metastatic and early HER2+ BC. So far, no survival data for de-escalated T-DM1-based (neo)adjuvant regimens without systemic chemotherapy (CT) are available. Here, we present first survival data from ADAPT-TP.

**Methods:** The prospective WSG-ADAPT-TP phase II-trial is part of the ADAPT-umbrella protocol (NCT NCT01779206): 375 patients (pts) with HR+/HER2+ BC were randomized to 12 weeks of T-DM1 +/- standard ET and trastuzumab+ET q3w (ratio 1:1:1). Primary endpoint was pCR (ypT0/is/ypN0) (previously published: T-DM1/T-DM1+ET/T+ET: 41%/42%/15%). Secondary endpoints: safety, 5-y DFS, OS, translational research. Omission of further CT was allowed in all pts with pCR after 12-weeks study therapy.

**Results:** After median follow-up of 5 years, no significant differences between study arms were observed regarding DFS (T-DM1/T-DM1+ET/T+ET 5-y rate: 88.9%, 85.3%, and 84.6%) and OS (97.2%, 96.4% and 96.3%). pCR (vs. non-pCR) after the 12-week study treatment was strongly associated with improved DFS (5y DFS 92.7% vs. 82.7, HR=0.40, 95% CI 0.18-0.85). Among 117 pts with pCR, no further CT was given in 41 pts (35%). Significant differences between CT-treated vs. non-treated pCR pts regarding baseline characteristics were only observed for age (median 50y vs. 56y, p=0.005); similar 5y DFS was observed in both groups (92.1% (95%-CI: 78-97%) vs. 93% (84-97%)). Only 3 deaths occurred in pts with pCR.

**Conclusions:** Early pCR after 12 weeks of therapy was strongly associated with improved outcome in ADAPT TP and may serve as a predictive marker for CT treatment (de)-escalation. Despite substantially higher pCR rates, T-DM1 +/- ET was not associated with different DFS or OS vs. T+ET, most likely due to standard CT, given to all non-pCR pts and most pCR pts or small sample size of study. Excellent 93% 5y DFS in pts with pCR after only 12 weeks of T-DM1 +/- ET (even w/o further CT) is promising and may serve as a basis for further prospective trials addressing omission of CT overtreatment in carefully selected patients with HER2+ early BC.

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